

# Vote: 112 Ethics and Integrity

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## Structure of Submission

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**QUARTER 2 Performance Report**

Summary of Vote Performance

Cumulative Progress Report for Projects and Programme

Quarterly Progress Report for Projects and Programmes

**QUARTER 3: Workplans for Projects and Programmes**

**QUARTER 4: Cash Request**

Submission Checklist

# Vote: 112 Ethics and Integrity

## HALF-YEAR: Highlights of Vote Performance

### V1: Summary of Issues in Budget Execution

This section provides an overview of Vote expenditure

#### (i) Snapshot of Vote Releases and Expenditures

Table V1.1 below summarises cumulative releases and expenditures by the end of the quarter:

**Table V1.1: Overview of Vote Expenditures (US\$ Billion)**

<i>(i) Excluding Arrears, Taxes</i>	Approved Budget	Released by End	Spent by End Dec	% Budget Released	% Budget Spent	% Releases Spent
Recurrent Wage	0.530	0.155	0.131	29.2%	24.7%	84.3%
Recurrent Non Wage	3.488	1.256	1.190	36.0%	34.1%	94.7%
Development GoU	0.211	0.071	0.045	33.6%	21.3%	63.4%
Development Donor*	0.000	0.000	0.000	N/A	N/A	N/A
<b>GoU Total</b>	<b>4.229</b>	<b>1.482</b>	<b>1.366</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>
<b>Total GoU+Donor (MTEF)</b>	<b>4.229</b>	<b>1.482</b>	<b>1.366</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>
<i>(ii) Arrears and Taxes</i> Arrears	0.000	0.000	0.000	N/A	N/A	N/A
Taxes**	0.000	0.000	0.000	N/A	N/A	N/A
<b>Total Budget</b>	<b>4.229</b>	<b>1.482</b>	<b>1.366</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>

The table below shows cumulative releases and expenditures to the Vote by Vote Function :

**Table V1.2: Releases and Expenditure by Vote Function\***

Billion Uganda Shillings	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	% Releases Spent
VF: 1452 Governance and Accountability	4.23	1.48	1.37	35.0%	32.3%	92.1%
<b>Total For Vote</b>	<b>4.23</b>	<b>1.48</b>	<b>1.37</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>

\* Excluding Taxes and Arrears

#### (ii) Matters to note in budget execution

The late and less release than planned caused the variance in budget execution

**Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)**

<i>(i) Major unspent balances</i>
<i>(ii) Expenditures in excess of the original approved budget</i>

\* Excluding Taxes and Arrears

### V2: Performance Highlights

This section provides highlights of output performance, focusing on key outputs and actions implemented to improve section performance.

**Table V2.1: Key Vote Output Indicators and Expenditures\***

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## HALF-YEAR: Highlights of Vote Performance

<i>Vote, Vote Function Key Output</i>	<b>Approved Budget and Planned outputs</b>	<b>Cumulative Expenditure and Performance</b>	<b>Status and Reasons for any Variation from Plans</b>
<b>Vote Function: 1452 Governance and Accountability</b>			
<b>Output: 145201</b>	<b>Formulation and monitoring of Policies, laws and strategies</b>		
<i>Description of Performance:</i>		The leadership Code Act reviewed and submitted to Cabinet	The performance is in line with the work plan
<i>Performance Indicators:</i>			
No. of functional IAF working groups	4	03	
<i>Output Cost:</i>	US\$ Bn: 0.814	US\$ Bn: 0.157	% Budget Spent: 19.3%
<b>Output: 145202</b>	<b>Public education and awareness</b>		
<i>Description of Performance:</i>	10 district integrity promotion forums established and their capacity enhanced	Six district Integrity promotion forums have been established and their capacity enhanced (Mayuge, Iganga, Soroti, Serere, Adjumani and Moyo)	
<i>Output Cost:</i>	US\$ Bn: 0.714	US\$ Bn: 0.150	% Budget Spent: 21.0%
<b>Output: 145204</b>	<b>National Anti Corruption Strategy Coordinated</b>		
<i>Description of Performance:</i>	National Anti Corruption Strategy (NACS) disseminated to 20 districts	National Anti Corruption Strategy (NACS) disseminated to Six districts namely Iganga, Mayuge, Soroti and Serere, Adjumani and Moyo)	
<i>Output Cost:</i>	US\$ Bn: 0.454	US\$ Bn: 0.070	% Budget Spent: 15.4%
<b>Vote Function Cost</b>	<b>US\$ Bn: 4.229</b>	<b>US\$ Bn: 1.366</b>	<b>% Budget Spent: 32.3%</b>
<b>Cost of Vote Services:</b>	<b>US\$ Bn: 4.229</b>	<b>US\$ Bn: 1.366</b>	<b>% Budget Spent: 32.3%</b>

\* Excluding Taxes and Arrears

1. Disseminated the National Anti-corruption strategy to the following districts, Mayuge, Iganga, Serere, Soroti, Moyo, Adjumani. The NACS is a five year overarching planning framework and agenda to achieve a Public Service that appreciates and embraces integrity; accepts the need for transparency and accountability; and ensures full compliance with anti corruption regulatory and legal requirements. The NACS sets a sector wide institutional framework under the umbrella of the Inter Agency Forum to provide a coordination mechanism for all anti corruption agencies.

2. Built capacity of tutors from Loro primary teachers colleges to integrate ethical values in school activities enhanced

3. Built the capacity of six local governments in to promote accountability and effective leadership enhanced.

4. Monitored the Implementation of the National Anti-Corruption Strategy to evaluate the progress, extent and quality of implementation of NACS and document progress against the strategic objective of increasing public demand for accountability.

5. The taskforce discussed the comments and proposed amendments by the IAF on the review of the leadership Code Act and have been incorporated into the bill by the first parliamentary counsel. The bill awaits presentation to cabinet.

6. Principles for initiation of the proceeds of corruption law were developed. The taskforce has developed a draft Asset Recovery bill 2012

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## HALF-YEAR: Highlights of Vote Performance

7. The Legal Department disseminated the Anti corruption laws(Anti-Corruption Act,Whistleblowers protection Act, Access to information Act,Leadership Code Act, PPDA Act and Regulations,Public Service Standing Orders) in Mbale and Budaka Districts

8. The Director legal sevices participated in facilitating a regional training workshop on UNCAC Review mechanism in Ouagadougou-Burkina Faso.The workshop was organised by UNDP-Senegal.The workshop brought together 16 countries from west and Central Africa Subregion under the theme `Understanding and sharing lessons learnt on the mechanism for reviewing the implementation of UNCAC`. Uganda which was reviewed in 2011 had been identified for its ability to share with other Countries its experience in undertaking the review

9. Conducted 3 Task Force working Group meetings to develop the Asset Recovery Law

10. Printed 1000 copies of the Simplified versions of the anti corruption laws.

### Challenges

The late release of finance by Ministry of Finance,Planning and Economic Development does affect delivery of the planned outputs and more capacity building of Staff in IFMS is necessary

**Table V2.2: Implementing Actions to Improve Vote Performance**

Planned Actions:	Actual Actions:	Reasons for Variation
Vote: 112 Ethics and Integrity		
Vote Function: 1452 Governance and Accountability		
Joint Monitoring with sector institutions in 20 districts mandated to undertake monitoring conducted.	<b>Joint Monitoring with sector institutions i mandated to undertake monitoring has not been conducted.</b>	This out put is in quarter three and four
Continue strengthening the Public Private Partnership with civil society organisations to mobilise the public to demand for accountability and service delivery	<b>Continue strengthening the Public Private Partnership with civil society organisations to mobilise the public to demand for accountability and service delivery</b>	The performance is in line with the work plan
Enhance staff capacity and their remuneration to enable attraction and retention of competent personnel	<b>The matter is being pursued with Ministry of Public Service</b>	The slow response has not enabled the delivery of the mentioned output

## V3: Details of Releases and Expenditure

This section provides a comprehensive summary of the outputs delivered by the Vote and further details of Vote expenditures by Vote Function and Expenditure Item.

**Table V3.1: GoU Releases and Expenditure by Output\***

Billion Uganda Shillings	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
<b>VF:1452 Governance and Accountability</b>	<b>4.23</b>	<b>1.48</b>	<b>1.37</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>
<i>Class: Outputs Provided</i>	<i>4.02</i>	<i>1.41</i>	<i>1.32</i>	<i>35.1%</i>	<i>32.9%</i>	<i>93.6%</i>
145201 Formulation and monitoring of Policies, laws and strategies	0.81	0.16	<b>0.16</b>	20.0%	19.3%	96.5%
145202 Public education and awareness	0.71	0.17	<b>0.17</b>	24.3%	23.9%	98.4%
145204 National Anti Corruption Startegy Coordinated	0.45	0.07	<b>0.07</b>	15.4%	15.4%	99.7%

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## HALF-YEAR: Highlights of Vote Performance

145205 DEI Support Services	2.04	1.00	<b>0.92</b>	49.3%	45.3%	91.9%
<i>Class: Capital Purchases</i>	<i>0.21</i>	<i>0.07</i>	<i>0.04</i>	<i>33.6%</i>	<i>21.3%</i>	<i>63.4%</i>
145276 Purchase of Office and ICT Equipment, including Software	0.19	0.07	<b>0.04</b>	37.1%	23.5%	63.4%
145278 Purchase of Office and Residential Furniture and Fittings	0.02	0.00	<b>0.00</b>	0.0%	0.0%	N/A
<b>Total For Vote</b>	<b>4.23</b>	<b>1.48</b>	<b>1.37</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>

\* Excluding Taxes and Arrears

**Table V3.2: 2012/13 GoU Expenditure by Item**

<i>Billion Uganda Shillings</i>	Approved Budget	Releases	Expenditure	% Budget Released	% Budget Spent	% Releases Spent
<b>Output Class: Outputs Provided</b>	<b>4.02</b>	<b>1.41</b>	<b>1.32</b>	<b>35.1%</b>	<b>32.9%</b>	<b>93.6%</b>
211101 General Staff Salaries	0.53	0.16	<b>0.13</b>	29.2%	24.7%	84.3%
211103 Allowances	0.40	0.19	<b>0.19</b>	48.9%	47.8%	97.9%
213001 Medical Expenses(To Employees)	0.02	0.01	<b>0.01</b>	60.0%	60.0%	100.0%
213002 Incapacity, death benefits and funeral expenses	0.02	0.00	<b>0.00</b>	0.0%	0.0%	N/A
221001 Advertising and Public Relations	0.07	0.01	<b>0.01</b>	20.0%	17.5%	87.7%
221002 Workshops and Seminars	1.23	0.25	<b>0.25</b>	20.3%	20.2%	99.5%
221003 Staff Training	0.04	0.02	<b>0.02</b>	50.0%	41.6%	83.2%
221007 Books, Periodicals and Newspapers	0.03	0.01	<b>0.00</b>	40.0%	20.0%	50.0%
221009 Welfare and Entertainment	0.15	0.05	<b>0.05</b>	34.5%	34.5%	100.0%
221011 Printing, Stationery, Photocopying and Binding	0.09	0.01	<b>0.01</b>	12.9%	12.9%	100.0%
221016 IFMS Recurrent Costs	0.05	0.02	<b>0.01</b>	44.5%	25.1%	56.3%
222001 Telecommunications	0.03	0.02	<b>0.01</b>	50.0%	40.0%	80.0%
223003 Rent - Produced Assets to private entities	0.42	0.21	<b>0.21</b>	49.8%	49.8%	100.0%
223005 Electricity	0.01	0.01	<b>0.00</b>	50.0%	25.0%	50.0%
224002 General Supply of Goods and Services	0.10	0.05	<b>0.04</b>	52.0%	44.9%	86.3%
224003 Classified Expenditure	0.04	0.01	<b>0.01</b>	19.0%	19.0%	100.0%
225001 Consultancy Services- Short-term	0.08	0.00	<b>0.00</b>	0.0%	0.0%	N/A
227001 Travel Inland	0.16	0.12	<b>0.12</b>	78.0%	76.9%	98.6%
227002 Travel Abroad	0.31	0.13	<b>0.13</b>	41.6%	41.5%	99.8%
227004 Fuel, Lubricants and Oils	0.17	0.06	<b>0.06</b>	38.8%	38.8%	100.0%
228002 Maintenance - Vehicles	0.10	0.07	<b>0.05</b>	73.1%	46.0%	63.0%
321103 Expenditure Accounts	0.00	0.00	<b>0.00</b>	N/A	N/A	N/A
<b>Output Class: Capital Purchases</b>	<b>0.21</b>	<b>0.07</b>	<b>0.04</b>	<b>33.6%</b>	<b>21.3%</b>	<b>63.4%</b>
231005 Machinery and Equipment	0.19	0.07	<b>0.04</b>	37.1%	23.5%	63.4%
231006 Furniture and Fixtures	0.02	0.00	<b>0.00</b>	0.0%	0.0%	N/A
<b>Grand Total:</b>	<b>4.23</b>	<b>1.48</b>	<b>1.37</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>
<b>Total Excluding Taxes and Arrears:</b>	<b>4.23</b>	<b>1.48</b>	<b>1.37</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>

**Table V3.3: GoU Releases and Expenditure by Project and Programme\***

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
<b>VF:1452 Governance and Accountability</b>	<b>4.23</b>	<b>1.48</b>	<b>1.37</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>
<i>Recurrent Programmes</i>						
01 Finance and Administration	2.46	1.09	<b>1.01</b>	44.4%	41.1%	92.5%
02 Ethics Education and Information Management	0.71	0.15	<b>0.15</b>	21.5%	21.1%	98.2%
03 Legal Services	0.81	0.16	<b>0.16</b>	20.0%	19.3%	96.5%
04 Internal Audit Department	0.03	0.00	<b>0.00</b>	5.8%	4.8%	83.3%
<i>Development Projects</i>						
0939 Strengthening Cord of Acct Sector	0.00	0.00	<b>0.00</b>	N/A	N/A	N/A
1028 Anti Corruption Threshold Country Programme	0.00	0.00	<b>0.00</b>	N/A	N/A	N/A
1226 Support to Directorate of Ethics and Integrity	0.21	0.07	<b>0.04</b>	33.6%	21.3%	63.4%
<b>Total For Vote</b>	<b>4.23</b>	<b>1.48</b>	<b>1.37</b>	<b>35.0%</b>	<b>32.3%</b>	<b>92.1%</b>

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## HALF-YEAR: Highlights of Vote Performance

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\* *Excluding Taxes and Arrears*

### Table V3.4: Donor Releases and Expenditure by Project and Programme\*

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## QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs and Cumulative Outputs Achieved by End of Quarter (Quantity and Location)	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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### Vote Function: 1452 Governance and Accountability

#### Recurrent Programmes

#### Programme 01 Finance and Administration

##### Outputs Provided

#### Output: 14 5204 National Anti Corruption Strategy Coordinated

Annual Planned Outputs:	Item	Spent
An annual NACS performance report compiled	221002 Workshops and Seminars	69,798

20 Districts monitored and evaluated on their performance and implementation of National Anti-corruption Strategy

National Anti-corruption Strategy disseminated to six(6) districts

#### Cumulative Outputs Achieved by the end of the Quarter:

1. National Anti-corruption Strategy disseminated to six districts

2. Two Quarterly IAF meeting were successfully held in September and November 2012.

3. The department organized the 1st anti corruption performance review workshop . The report of the workshop will be the basis for the final NACS performance report which will be ready for printing and dissemination by March 2013

#### Reasons for Variation in performance

Due to limited resources release, only one out of the three planned outputs could be implemented and is on track. This was also possible as a result of adopting a joint collaboration framework with Ethics Education department during the implementation stage.

<b>Total</b>	<b>69,798</b>
<i>Wage Recurrent</i>	0
<i>Non Wage Recurrent</i>	69,798
<i>NTR</i>	0

#### Output: 14 5205 DEI Support Services

Annual Planned Outputs:	Item	Spent
Efficient and effective support services offered.	211101 General Staff Salaries	96,209
	211103 Allowances	159,350
Secretariat and coordination function provided for inter agency forum against corruption	213001 Medical Expenses(To Employees)	9,000
	221001 Advertising and Public Relations	11,401
	221003 Staff Training	16,636
Capacity for three staff built in fields of policy, strategic planning and M& E	221007 Books, Periodicals and Newspapers	5,000
	221009 Welfare and Entertainment	50,000
Goods and services procured	221011 Printing, Stationery, Photocopying and Binding	11,000
	221016 IFMS Recurrent Costs	13,000
Budget frame work paper and ministerial policy statements developed and submitted to finance	222001 Telecommunications	12,000
	223003 Rent - Produced Assets to private entities	208,000
IFMS maintained staff performance monitored	224002 General Supply of Goods and Services	44,865
	224003 Classified Expenditure	8,000
All vacant posts for staff filled	227001 Travel Inland	38,363
	227002 Travel Abroad	129,761
Cross cutting issues coordinated\	227004 Fuel, Lubricants and Oils	60,000
	228002 Maintenance - Vehicles	45,512

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## QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs and Cumulative Outputs Achieved by End of Quarter (Quantity and Location)	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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### Vote Function: 1452 Governance and Accountability

#### Recurrent Programmes

#### Programme 01 Finance and Administration

Job analysis and evaluation of all staff undertaken

DEI Review of the establishment structure coordinated

Internship guidelines developed

Registry organised

#### Cumulative Outputs Achieved by the end of the Quarter:

**Efficient and effective support services offered.**

**Secretariat and coordination function provided for inter agency forum against corruption**

**IFMS maintained staff performance monitored**

#### Reasons for Variation in performance

The performance is in line with the workplan

<b>Total</b>	<b>921,095</b>
<i>Wage Recurrent</i>	96,209
<i>Non Wage Recurrent</i>	824,886
<i>NTR</i>	0

#### Programme 02 Ethics Education and Information Management

##### Outputs Provided

#### Output: 14 5202 Public education and awareness

Annual Planned Outputs:	Item	Spent
	211101 General Staff Salaries	14,370
	211103 Allowances	930
The National Ethical values policy developed and submitted to Cabinet for approval	221002 Workshops and Seminars	129,141
	227004 Fuel, Lubricants and Oils	4,000
The National Ethical Values policy launched	321103 Expenditure Accounts	1,000

Capacity of tutors of six primary teachers colleges to integrate ethical values in school activities enhanced

The capacity of six local governments in to promote accountability and effective leadership enhanced.

Development of the Codes of conduct for professional bodies supported.

ACCPPP Coordination framework strengthened.

#### Cumulative Outputs Achieved by the end of the Quarter:

**Capacity of six District Integrity Promotion Forums ( Mayuge, Iganga, Serere, Soroti, Moyo and Adjumani) enhanced.**

**Capacity of one primary Teachers Colleges (Loro PTC) on integration of ethical values in the school activities enhanced**

**Two IAF/ACPPP task force meetings conducted on issues raised by partners**

#### Reasons for Variation in performance

Most of the planned outputs and activities could not be implemented due



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## QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs and Cumulative Outputs Achieved by End of Quarter (Quantity and Location)	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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### Vote Function: 1452 Governance and Accountability

#### Recurrent Programmes

#### Programme 02 Ethics Education and Information Management

to limited funds released in the two quarters.

However, the performance under the enhancement of the Capacity of District Integrity Forum was on track due to a joint collaboration framework with Finance and Administration during the implementation stage.

<b>Total</b>	<b>151,107</b>
<i>Wage Recurrent</i>	14,370
<i>Non Wage Recurrent</i>	136,738
<i>NTR</i>	0

#### Programme 03 Legal Services

##### Outputs Provided

#### Output: 14 5201 Formulation and monitoring of Policies, laws and strategies

Annual Planned Outputs:	Item	Spent
1. Leadership Code Act reviewed	211101 General Staff Salaries	18,719
	211103 Allowances	8,740
	221002 Workshops and Seminars	49,895
2. Proceeds of corruption law developed		
3. Qui Tam Law developed		
4. Monitor Uganda's compliance with Regional and International anti-Corruption legal Instruments		
5. Anti-corruption laws disseminated.		
6. Implementation of the recommendations of the Commissions of Inquiry into anti-Corruption monitored		

#### Cumulative Outputs Achieved by the end of the Quarter:

1. The taskforce discussed the comments and proposed amendments by the IAF on the review of the leadership Code Act and have been incorporated into the bill by the first parliamentary counsel. The bill awaits presentation to cabinet.

2. Principles for initiation of the proceeds of corruption law were developed.

The taskforce has developed a draft Asset Recovery bill 2012

3. The Legal Department disseminated the Anti corruption laws (Anti-Corruption Act, Whistleblowers protection Act, Access to information Act, Leadership Code Act, PPDA Act and Regulations, Public Service Standing Orders) in Mbale and Budaka Districts

4. The Director legal services participated in facilitating a regional training workshop on UNCAC Review mechanism in Ouagadougou-Burkina Faso. The workshop was organised by UNDP-Senegal. The workshop brought together 16 countries from west and Central Africa Subregion under the theme 'Understanding and sharing lessons learnt on the mechanism for reviewing the implementation of

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## QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs and Cumulative Outputs Achieved by End of Quarter (Quantity and Location)	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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### Vote Function: 1452 Governance and Accountability

#### Recurrent Programmes

#### Programme 03 Legal Services

UNCAC. Uganda which was reviewed in 2011 had been identified for its ability to share with other Countries its experience in undertaking the review

Conducted 3 Task Force working Group meetings to develop the Asset Recovery Law

Printed 1000 copies of the Simplified versions of the anti corruption laws.

#### Reasons for Variation in performance

Not all the planned outputs could be implemented due to limited funds released by end of December

<b>Total</b>	<b>157,264</b>
<i>Wage Recurrent</i>	18,719
<i>Non Wage Recurrent</i>	138,546
<i>NTR</i>	0

#### Programme 04 Internal Audit Department

#### Outputs Provided

Output: 14 5205 DEI Support Services

#### Annual Planned Outputs:

capacity of staff built in areas of risk management, internal control systems  
Governance and public finance management

DEI programs reviewed and monitored  
DEI Audit reports prepared

#### Cumulative Outputs Achieved by the end of the Quarter:

Quarter one and two DEI audit report produced and submitted to Ministry of Finance, Planning and Economic Development

#### Reasons for Variation in performance

The release was not in line with the workplan and therefore could not enable delivery of the planned outputs

Item	Spent
211101 General Staff Salaries	1,466

<b>Total</b>	<b>1,466</b>
<i>Wage Recurrent</i>	1,466
<i>Non Wage Recurrent</i>	0
<i>NTR</i>	0

#### Development Projects

#### Project 1226 Support to Directorate of Ethics and Integrity

#### Capital Purchases

Output: 14 5276 Purchase of Office and ICT Equipment, including Software

#### Annual Planned Outputs:

One heavy duty colour printer procured

100 units of office equipment serviced and maintained

five computers procured

Item	Spent
231005 Machinery and Equipment	44,853

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## QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs and Cumulative Outputs Achieved by End of Quarter (Quantity and Location)	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>UShs Thousand</i>
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### Vote Function: 1452 Governance and Accountability

#### Development Projects

#### Project 1226 Support to Directorate of Ethics and Integrity

Heavy duty photocopier

Eighteen laptops procured

#### Cumulative Outputs Achieved by the end of the Quarter:

**200 units of office equipment serviced and maintained**

The procurement process is in progress for many of the outputs

#### Reasons for Variation in performance

Due to limited funds released, most of the planned outputs in quarter two could not be implemented. However, the procurement process is in progress for many of these outputs pending availability of funds.

<b>Total</b>	<b>44,853</b>
<i>GoU Development</i>	44,853
<i>Donor Development</i>	0
<i>NTR</i>	0

### Output: 14 5278 Purchase of Office and Residential Furniture and Fittings

#### Annual Planned Outputs:

five executive office desks procured.

Seven executive filing cabinets procured.

Ten executive office chair procured.

#### Cumulative Outputs Achieved by the end of the Quarter:

The procurement process is in progress

#### Reasons for Variation in performance

The long procurement process could not enable DEI deliver the above outputs

<b>Total</b>	<b>0</b>
<i>GoU Development</i>	0
<i>Donor Development</i>	0
<i>NTR</i>	0

<b>GRAND TOTAL</b>	<b>1,345,584</b>
<i>Wage Recurrent</i>	130,764
<i>Non Wage Recurrent</i>	1,169,967
<i>GoU Development</i>	44,853
<i>Donor Development</i>	0
<i>NTR</i>	0

# Vote: 112 Ethics and Integrity

## QUARTER 2: Outputs and Expenditure in Quarter

Planned and Actual Outputs in Quarter (Quantity and Location)	Expenditures incurred in the Quarter to deliver outputs <i>US\$ Thousand</i>
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### Vote Function: 1452 Governance and Accountability

#### Recurrent Programmes

#### Programme 01 Finance and Administration

##### Outputs Provided

#### Output: 14 5204 National Anti Corruption Strategy Coordinated

	<i>Item</i>	<i>Spent</i>
<b>Outputs Planned in Quarter:</b>	221002 Workshops and Seminars	29,798
National Anti-corruption Strategy disseminated to two districts		

##### Actual Outputs Achieved in Quarter:

1. National Anti-corruption Strategy disseminated to two districts
2. Two Quarterly IAF meeting were successfully held in September and November 2012.
3. The department organized the 1st anti corruption performance review workshop . The report of the workshop will be the basis for the final NACS performance report which will be ready for printing and dissemination by March 2013

##### Reasons for Variation in performance

Due to limited resources release, only one out of the three planned outputs could be implemented and is on track. This was also possible as a result of adopting a joint collaboration framework with Ethics Education department during the implementation stage.

<b>Total</b>	<b>29,798</b>
<i>Wage Recurrent</i>	0
<i>Non Wage Recurrent</i>	29,798
<i>NTR</i>	0

#### Output: 14 5205 DEI Support Services

	<i>Item</i>	<i>Spent</i>
<b>Outputs Planned in Quarter:</b>	211101 General Staff Salaries	57,669
Cross cutting issues coordinated	211103 Allowances	77,885
IFMS maintained	213001 Medical Expenses(To Employees)	7,460
staff performane monitored	221001 Advertising and Public Relations	1,401
Secretariat and	221003 Staff Training	6,746
coodination function provided for inter agency forum against corruption	221007 Books, Periodicals and Newspapers	4,392
Accountability Sector	221009 Welfare and Entertainment	21,000
<b>Actual Outputs Achieved in Quarter:</b>	221011 Printing, Stationery, Photocopying and Binding	123
Cross cutting issues coordinated	221016 IFMS Recurrent Costs	13,000
IFMS maitained	222001 Telecommunications	4,500
staff performane monitored	223003 Rent - Produced Assets to private entities	108,000
Secretariat and	224002 General Supply of Goods and Services	38,127
coodination function provided for inter agency forum aga	224003 Classified Expenditure	8,000
<b>Reasons for Variation in performance</b>	227001 Travel Inland	18,363
The performance is in line with the workplan	227002 Travel Abroad	79,837
	227004 Fuel, Lubricants and Oils	30,361
	228002 Maintenance - Vehicles	29,825

<b>Total</b>	<b>506,687</b>
<i>Wage Recurrent</i>	57,669
<i>Non Wage Recurrent</i>	449,018
<i>NTR</i>	0

# Vote: 112 Ethics and Integrity

## QUARTER 2: Outputs and Expenditure in Quarter

Planned and Actual Outputs in Quarter (Quantity and Location)	Expenditures incurred in the Quarter to deliver outputs <i>US\$ Thousands</i>
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### Vote Function: 1452 Governance and Accountability

*Recurrent Programmes*

#### **Programme 02 Ethics Education and Information Management**

*Outputs Provided*

**Output: 14 5202 Public education and awareness**

<i>Outputs Planned in Quarter:</i>	<i>Item</i>	<i>Spent</i>
Capacity of two primary Teachers colleges on the Integration of ethical values in school activities enhanced	211101 General Staff Salaries	8,622
	211103 Allowances	930
	221002 Workshops and Seminars	49,141
Capacity of two District Integrity Promotion Forums enhanced.	227004 Fuel, Lubricants and Oils	2,000
	321103 Expenditure Accounts	1,000

Ttraining for one regional CSOs partners on monitoring, investigation and reporting corruption cases conducted

#### **Actual Outputs Achieved in Quarter:**

**1. Capacity of two District Integrity Promotion Forums (Moyo and Adjumani) enhanced.**

**2. One IAF/ACPPP task force meeting conducted on issues raised by partners**

#### **Reasons for Variation in performance**

Most of the planned outputs and activities could not be implemented due to limited funds released in the two quarters.

However, the performance under the enhancement of the Capacity of District Integrity Forum was on track due to a joint collaboration framework with Finance and Administration during the implementation stage.

<b>Total</b>	<b>61,693</b>
<i>Wage Recurrent</i>	8,622
<i>Non Wage Recurrent</i>	53,071
<i>NTR</i>	0

#### **Programme 03 Legal Services**

*Outputs Provided*

**Output: 14 5201 Formulation and monitoring of Policies, laws and strategies**

<i>Outputs Planned in Quarter:</i>	<i>Item</i>	<i>Spent</i>
Proceeds of crime legislations developed	211101 General Staff Salaries	11,153
	211103 Allowances	2,740
Implementation of the recommendations of the Commissions of Inquiry into anti- Corruption monitored	221002 Workshops and Seminars	49,895

#### **Actual Outputs Achieved in Quarter:**

**1. Conducted 3 Task Force meetings to develop the Asset Recovery Law**

**2. Conducted one regional workshop in Mbale to disseminate the anti corruption laws.**

**3. Printed 1000 copies of the popular version of the anti corruption laws**

**4. Attended one International conference on anti corruption.**

#### **Reasons for Variation in performance**

Not all the planned outputs could be implemented due to limited funds released by end of December

**Vote: 112** Ethics and Integrity**QUARTER 2: Outputs and Expenditure in Quarter**

Planned and Actual Outputs in Quarter (Quantity and Location)	Expenditures incurred in the Quarter to deliver outputs <i>US\$ Thousands</i>
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**Vote Function: 1452 Governance and Accountability***Recurrent Programmes***Programme 03 Legal Services**

<b>Total</b>	<b>63,788</b>
<i>Wage Recurrent</i>	<i>11,153</i>
<i>Non Wage Recurrent</i>	<i>52,635</i>
<i>NTR</i>	<i>0</i>

**Programme 04 Internal Audit Department***Outputs Provided***Output: 14 5205 DEI Support Services**

<i>Outputs Planned in Quarter:</i>	<i>Item</i>	<i>Spent</i>
Quarter two DEI audit report produced and submitted to Ministry of Finance, Planning and Economic Development	211101 General Staff Salaries	880

**Actual Outputs Achieved in Quarter:**

Quarter two DEI audit report produced and submitted to Ministry of Finance, Planning and Economic Development

**Reasons for Variation in performance**

The release was not in line with the workplan and therefore could not enable delivery of the planned outputs

<b>Total</b>	<b>880</b>
<i>Wage Recurrent</i>	<i>880</i>
<i>Non Wage Recurrent</i>	<i>0</i>
<i>NTR</i>	<i>0</i>

*Development Projects***Project 1226 Support to Directorate of Ethics and Integrity***Capital Purchases***Output: 14 5276 Purchase of Office and ICT Equipment, including Software**

<i>Outputs Planned in Quarter:</i>	<i>Item</i>	<i>Spent</i>
Heavy duty photocopier	231005 Machinery and Equipment	30,922

Eighteen laptops procured

**Actual Outputs Achieved in Quarter:**

1. 100 units of office equipment serviced and maintained

The procurement process is in progress for many of the outputs

**Reasons for Variation in performance**

Due to limited funds released, most of the planned outputs in quarter two could not be implemented. However, the procurement process is in progress for many of these outputs pending availability of funds.

<b>Total</b>	<b>30,922</b>
<i>GoU Development</i>	<i>30,922</i>
<i>Donor Development</i>	<i>0</i>
<i>NTR</i>	<i>0</i>

**Output: 14 5278 Purchase of Office and Residential Furniture and Fittings**

# Vote: 112 Ethics and Integrity

## QUARTER 2: Outputs and Expenditure in Quarter

Planned and Actual Outputs in Quarter (Quantity and Location)	Expenditures incurred in the Quarter to deliver outputs <i>UShs Thousand</i>
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### Vote Function: 1452 Governance and Accountability

#### *Development Projects*

#### **Project 1226 Support to Directorate of Ethics and Integrity**

#### **Outputs Planned in Quarter:**

five executive office desks procured.

Seven executive filing cabinets procured.

Ten executive office chair procured.

#### **Actual Outputs Achieved in Quarter:**

**The procurement process is in progress**

#### **Reasons for Variation in performance**

The long procurement process could not enable DEI deliver the above outputs

<b>Total</b>	<b>0</b>
<i>GoU Development</i>	<i>0</i>
<i>Donor Development</i>	<i>0</i>
<i>NTR</i>	<i>0</i>
<b>GRAND TOTAL</b>	<b>693,767</b>
<i>Wage Recurrent</i>	<i>78,323</i>
<i>Non Wage Recurrent</i>	<i>584,522</i>
<i>GoU Development</i>	<i>30,922</i>
<i>Donor Development</i>	<i>0</i>
<i>NTR</i>	<i>0</i>

# Vote: 112 Ethics and Integrity

## QUARTER 3: Revised Workplan

Planned Outputs for the Quarter (Quantity and Location)	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)	US\$ Thousands
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### Vote Function: 1452 Governance and Accountability

#### Recurrent Programmes

#### Programme 01 Finance and Administration

##### Outputs Provided

#### Output: 14 5204 National Anti Corruption Strategy Coordinated

Item	Balance b/f	New Funds	Total
10 Districts monitored and evaluated on their performance and implementation of National Anti-corruption Strategy	221002 Workshops and Seminars 202	0	202
	<b>Total</b>	<b>0</b>	<b>202</b>
	<i>Wage Recurrent</i>	0	0
National Anti-corruption Strategy disseminated to two districts	<i>Non Wage Recurrent</i> 202	0	202
	<i>NTR</i>	0	0

#### Output: 14 5205 DEI Support Services

Item	Balance b/f	New Funds	Total
Job analysis and evaluation of all staff undertaken	211101 General Staff Salaries 17,341	0	17,341
	211103 Allowances 2,317	0	2,317
	221001 Advertising and Public Relations 1,599	0	1,599
DEI Review of the establishment structure coordinated	221003 Staff Training 3,364	0	3,364
	221007 Books, Periodicals and Newspapers 5,000	0	5,000
Secretariat and coordination function provided for inter agency forum against corruption	221016 IFMS Recurrent Costs 10,071	0	10,071
	222001 Telecommunications 3,000	0	3,000
	223005 Electricity 3,000	0	3,000
	224002 General Supply of Goods and Services 7,135	0	7,135
Budget frame work paper and submitted to MOFPED	227001 Travel Inland 1,638	0	1,638
officers facilitated to attend 2.international anti corruption conventions and reports produced thereof.	227002 Travel Abroad 239	0	239
	228002 Maintenance - Vehicles 26,750	0	26,750
	<b>Total</b>	<b>0</b>	<b>81,454</b>
	<i>Wage Recurrent</i>	17,341	17,341
	<i>Non Wage Recurrent</i>	64,114	64,114
Efficient and effective support services offered	<i>NTR</i>	0	0

#### Programme 02 Ethics Education and Information Management

##### Outputs Provided

#### Output: 14 5202 Public education and awareness

Item	Balance b/f	New Funds	Total
The capacity of two Primary Teachers Colleges on the integration of ethical values in the school activities enhanced	211101 General Staff Salaries 2,874	0	2,874
	211103 Allowances 70	0	70
	221002 Workshops and Seminars 859	0	859
	<b>Total</b>	<b>0</b>	<b>2,803</b>
The capacity of two District Integrity Promotion Forums enhanced	<i>Wage Recurrent</i> 2,874	0	2,874
	<i>Non Wage Recurrent</i>	-71	-71
	<i>NTR</i>	0	0

#### Programme 03 Legal Services

##### Outputs Provided



**Vote: 112** Ethics and Integrity**QUARTER 3: Revised Workplan**

Planned Outputs for the Quarter (Quantity and Location)	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)	US\$ Thousand
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**Vote Function: 1452 Governance and Accountability***Recurrent Programmes***Programme 03 Legal Services****Output: 14 5201 Formulation and monitoring of Policies, laws and strategies**

	<i>Item</i>	<i>Balance b/f</i>	<i>New Funds</i>	<i>Total</i>
Qui Tam law developed	211101 General Staff Salaries	3,783	0	3,783
	211103 Allowances	1,760	0	1,760
	221002 Workshops and Seminars	105	0	105
	227001 Travel Inland	90	0	90
	<b>Total</b>	<b>5,738</b>	<b>0</b>	<b>5,738</b>
	<i>Wage Recurrent</i>	3,783	0	3,783
	<i>Non Wage Recurrent</i>	1,955	0	1,955
	<i>NTR</i>	0	0	0

**Programme 04 Internal Audit Department***Outputs Provided***Output: 14 5205 DEI Support Services**

	<i>Item</i>	<i>Balance b/f</i>	<i>New Funds</i>	<i>Total</i>
Quarter three DEI audit report produced and submitted to Ministry of Finance, Planning and Economic Development	211101 General Staff Salaries	293	0	293
	<b>Total</b>	<b>293</b>	<b>0</b>	<b>293</b>
	<i>Wage Recurrent</i>	293	0	293
	<i>Non Wage Recurrent</i>	0	0	0
	<i>NTR</i>	0	0	0

*Development Projects***Project 1226 Support to Directorate of Ethics and Integrity***Capital Purchases***Output: 14 5276 Purchase of Office and ICT Equipment, including Software**

	<i>Item</i>	<i>Balance b/f</i>	<i>New Funds</i>	<i>Total</i>
Eighteen laptops procured	231005 Machinery and Equipment	25,941	0	25,941
	<b>Total</b>	<b>25,941</b>	<b>0</b>	<b>25,941</b>
	<i>GoU Development</i>	25,941	0	25,941
	<i>Donor Development</i>	0	0	0
	<i>NTR</i>	0	0	0

**Output: 14 5278 Purchase of Office and Residential Furniture and Fittings**

five executive office desks procured.

	<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<i>GoU Development</i>	0	0	0
	<i>Donor Development</i>	0	0	0
	<i>NTR</i>	0	0	0
	<b>GRAND TOTAL</b>	<b>116,431</b>	<b>0</b>	<b>116,431</b>
	<i>Wage Recurrent</i>	24,291	0	24,291
	<i>Non Wage Recurrent</i>	66,199	0	66,199
	<i>GoU Development</i>	25,941	0	25,941
	<i>Donor Development</i>	0	0	0
	<i>NTR</i>	0	0	0

# Vote: 112 Ethics and Integrity

## QUARTER 4: Revised Cashflow Plan

### Non-Wage Recurrent

	Annual budget	Release to end of Q3	% Budget Released	Q4 Cash Requirement	
				Total	% Budget
PAF	0	0	0.0%	0	0.0%
Statutory	0	0	0.0%	0	0.0%
Other	3.487771313	0.279942	8.0%	0.815677	23.4%
<b>Total</b>	<b>3.487771313</b>	<b>0.279942</b>	<b>8.0%</b>	<b>0.815677</b>	<b>23.4%</b>

Reasons for cash requirement greater than 1/4 of the budget:

The little budget release forced the Directorate to postpone the outputs to third and fourth quarter

### GoU Development

	Annual budget	Release to end of Q3	% Budget Released	Q4 Cash Requirement	
				Total	% Budget
PAF	0	0	0.0%	0	0.0%
Other	0.210596691	0.395036667	187.6%	0.042	19.9%
<b>Total</b>	<b>0.210596691</b>	<b>0.395036667</b>	<b>187.6%</b>	<b>0.042</b>	<b>19.9%</b>

Reasons for cash requirement greater than 1/4 of the budget:

The little budget release forced the Directorate to postpone the outputs to third and fourth quarter

### Grand Total

	Annual budget	Release to end of Q3	% Budget Released	Q4 Cash Requirement	
				Total	% Budget
<b>Grand Total</b>	<b>3.698368004</b>	<b>0.674978667</b>	<b>18.3%</b>	<b>0.857677</b>	<b>23.2%</b>

## Vote: 112 Ethics and Integrity

### Checklist for OBT Submissions made during QUARTER 3

This is an automated checklist which shows whether data has been entered into the areas which are required for a complete quarterly submission. It does not verify the quality of the data that has been entered. A complete checklist is therefore a necessary, but not sufficient condition for a satisfactory submission to MoFPED.

### *Project and Programme Quarterly Performance Reports and Workplans (Step 2)*

The table below shows whether output information, and where relevant donor and ntr data has been entered into the required areas for the quarterly performance reports and quarterly workplans under step 2.

#### Output Information

Vote Function, Project and Program	Q2 Report	Q3 Workplan
<b>1452 Governance and Accountability</b>		
○ <i>Recurrent Programmes</i>		
- 03 Legal Services	Data In	Data In
- 04 Internal Audit Department	Data In	Data In
- 01 Finance and Administration	Data In	Data In
- 02 Ethics Education and Information Management	Data In	Data In
○ <i>Development Projects</i>		
- 1226 Support to Directorate of Ethics and Integrity	Data In	Data In

#### Donor Releases and Expenditure

#### NTR Releases and Expenditure

### *Vote Performance Summary (Step 3)*

The table below shows whether information has been entered into the required fields in the vote performance summary tables for each vote functions under step 3.1:

Vote Function	Perf. Indicators	Output Summary	Actions
1452 Governance and Accountability	Data In	Data In	Data In

The table below shows whether data has been entered in the fields for key variances in budget execution under step 3.2:

The table below shows whether data has been entered into the vote narrative fields under step 3.3:

Narrative	Narrative
Narrative	Data In

### *Quarterly Cash Requests (Step 4)*

The table below shows whether data has been entered into the cash request under step 4:

Cash Request

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**Vote: 112** Ethics and Integrity

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**Checklist for OBT Submissions made during QUARTER 3**

Cash Request	Data In
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