

# Vote:129 Financial Intelligence Authority (FIA)

## QUARTER 1: Highlights of Vote Performance

### V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (US\$ Billion)

	Approved Budget	Cashlimits by End Q1	Released by End Q 1	Spent by End Q1	% Budget Released	% Budget Spent	% Releases Spent
Recurrent Wage	2.306	0.577	0.577	0.576	25.0%	25.0%	99.8%
Non Wage	5.404	2.007	2.007	1.215	37.1%	22.5%	60.5%
Dev. GoU	0.465	0.391	0.391	0.000	84.1%	0.0%	0.0%
Ext. Fin.	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
<b>GoU Total</b>	<b>8.175</b>	<b>2.975</b>	<b>2.975</b>	<b>1.790</b>	<b>36.4%</b>	<b>21.9%</b>	<b>60.2%</b>
<b>Total GoU+Ext Fin (MTEF)</b>	<b>8.175</b>	<b>2.975</b>	<b>2.975</b>	<b>1.790</b>	<b>36.4%</b>	<b>21.9%</b>	<b>60.2%</b>
Arrears	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
<b>Total Budget</b>	<b>8.175</b>	<b>2.975</b>	<b>2.975</b>	<b>1.790</b>	<b>36.4%</b>	<b>21.9%</b>	<b>60.2%</b>
<i>A.I.A Total</i>	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
<b>Grand Total</b>	<b>8.175</b>	<b>2.975</b>	<b>2.975</b>	<b>1.790</b>	<b>36.4%</b>	<b>21.9%</b>	<b>60.2%</b>
<b>Total Vote Budget Excluding Arrears</b>	<b>8.175</b>	<b>2.975</b>	<b>2.975</b>	<b>1.790</b>	<b>36.4%</b>	<b>21.9%</b>	<b>60.2%</b>

Table V1.2: Releases and Expenditure by Program\*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	% Releases Spent
Program: 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	1.63	0.71	0.39	43.6%	23.9%	55.0%
Program: 1459 Policy, International Cooperation and Mutual Legal Assistance	6.55	2.27	1.40	34.6%	21.4%	61.8%
<b>Total for Vote</b>	<b>8.17</b>	<b>2.97</b>	<b>1.79</b>	<b>36.4%</b>	<b>21.9%</b>	<b>60.2%</b>

### Matters to note in budget execution

The authority concentrated on executing activities to ensure Uganda exits the ICRG- FATF watch list and joining Egmont. Therefore activities like training, workshops and seminars were scheduled to Q2. However, other activities like procurement of motor vehicles require lengthy procurement process and spilled into Q2. There were items with small balances that could not be used to conclude processes.

#### Challenges

- Inadequate staff levels due to a ban on recruitment by the government in financial 2016/2017.

Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)

<i>(i) Major unspent balances</i>
Programs , Projects
Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime

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<b>0.053 Bn Shs</b>	<b><i>SubProgram/Project :02 Legal, Inspection and Compliance</i></b>
Reason: All trainings were rescheduled to QII	
<b>Items</b>	
<b>37,770,000.000 UShs</b>	221003 Staff Training
Reason:	
<b>14,952,000.000 UShs</b>	221002 Workshops and Seminars
Reason:	
<b>0.159 Bn Shs</b>	<b><i>SubProgram/Project :03 Operational Analysis</i></b>
Reason: Travel abroad: The activity was rescheduled to QII Printing stationery, photocopying and binding: There was a delay in the procurement process and activity pushed to QII, Staff Training: Trainings were rescheduled to QII Classified expenditure: there was savings from activities previously planned (investigations and intelligence gathering is required. )	
<b>Items</b>	
<b>81,919,420.000 UShs</b>	224003 Classified Expenditure
Reason:	
<b>33,650,000.000 UShs</b>	221011 Printing, Stationery, Photocopying and Binding
Reason:	
<b>24,916,435.000 UShs</b>	221003 Staff Training
Reason:	
<b>18,700,400.000 UShs</b>	227002 Travel abroad
Reason:	
<b>0.108 Bn Shs</b>	<b><i>SubProgram/Project :04 Information Systems Administration and Security</i></b>
Reason: All the workshops and staff training were rescheduled to QII and Telecommunications there was delay in receiving bills from service providers and delay in procurement for computer supplies	
<b>Items</b>	
<b>39,170,000.000 UShs</b>	221002 Workshops and Seminars
Reason:	
<b>24,500,000.000 UShs</b>	222001 Telecommunications
Reason:	
<b>21,286,800.000 UShs</b>	221003 Staff Training
Reason:	
<b>20,756,220.000 UShs</b>	221008 Computer supplies and Information Technology (IT)
Reason:	
<b>1,944,310.000 UShs</b>	227002 Travel abroad
Reason:	
<b>Program 1459 Policy, International Cooperation and Mutual Legal Assistance</b>	

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<b>0.348 Bn Shs</b>		<b>SubProgram/Project :01 Headquarters</b>
		Reason: There was variation on Workshops, Staff training and allowances as result of rescheduling the activities to QII as the Authority prioritized activities related to FATF. Guard and security Services there was a delay in finalizing contract signing and the activity pushed to QII
<i>Items</i>		
<b>82,626,872.000 UShs</b>	211103	Allowances
		Reason:
<b>58,067,125.000 UShs</b>	223004	Guard and Security services
		Reason:
<b>40,000,000.000 UShs</b>	221003	Staff Training
		Reason:
<b>30,000,000.000 UShs</b>	221002	Workshops and Seminars
		Reason:
<b>28,020,000.000 UShs</b>	213004	Gratuity Expenses
		Reason:
<b>0.106 Bn Shs</b>		<b>SubProgram/Project :05 International Relations and Strategic Analysis</b>
		Reason: The planned workshop was rescheduled to QII as the Authority was engaged in finalizing ICRG-FATF activities.  Printing, stationery and photocopying was as result of delay in procurement and the activity pushed to QII Travel abroad was a result of savings made when the number of officers envisaged to travel reduced due to other urgent activities at the office. The travel was rescheduled to QII. Welfare was a result of delayed payment of service providers. This is rescheduled to QII
<i>Items</i>		
<b>65,093,722.000 UShs</b>	221002	Workshops and Seminars
		Reason:
<b>23,095,000.000 UShs</b>	221009	Welfare and Entertainment
		Reason:
<b>13,303,640.000 UShs</b>	227002	Travel abroad
		Reason:
<b>4,158,000.000 UShs</b>	221011	Printing, Stationery, Photocopying and Binding
		Reason:
<b>0.019 Bn Shs</b>		<b>SubProgram/Project :06 Internal Audit</b>
		Reason: Workshops was rescheduled to QII due to urgent ICRG FATF activities Travel Abroad the balance can not cover travel requirements and funds will be added in QII maintenance of vehicles .The vehicles were well maintained during the Quarter.
<i>Items</i>		
<b>10,000,000.000 UShs</b>	221002	Workshops and Seminars
		Reason:
<b>4,865,400.000 UShs</b>	227002	Travel abroad

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Reason:	
<b>4,442,214.000 UShs</b>	228002 Maintenance - Vehicles
Reason:	
<b>0.391 Bn Shs</b>	<i>SubProgram/Project :1423 Support to Financial Intelligence Authority</i>
Reason: There was delay in procurement process and the expenditure is to be effected in QII	
<i>Items</i>	
<b>375,000,000.000 UShs</b>	312201 Transport Equipment
Reason:	
<b>16,250,000.000 UShs</b>	312213 ICT Equipment
Reason:	
<i>(ii) Expenditures in excess of the original approved budget</i>	

## V2: Performance Highlights

Table V2.1: Programme Outcome and Outcome Indicators\*

Table V2.2: Key Vote Output Indicators\*

### Performance highlights for the Quarter

- Received 100 STRS from reporting entities, analysed them and disseminated 19 to LEA and closed 25.
- GoAML customized and pre-tested
- FIA Servers and websites secured against attacks
- Draft AML( amendment) regulations produced
- FIA Strategic plan approved by NPA
- NRA&MER action plans approved by cabinet.

## V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Output\*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<b>Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime</b>	<b>1.63</b>	<b>0.71</b>	<b>0.39</b>	<b>43.6%</b>	<b>23.9%</b>	<b>55.0%</b>
<i>Class: Outputs Provided</i>	<i>1.63</i>	<i>0.71</i>	<i>0.39</i>	<i>43.6%</i>	<i>23.9%</i>	<i>55.0%</i>
145801 Compliance with AML and CFT laws and Regulations	0.14	0.08	0.03	58.4%	20.1%	34.5%
145802 Legal Representation and Litigation	0.10	0.00	0.00	0.0%	0.0%	0.0%
145803 Analysis and Reporting Financial Operations	0.74	0.33	0.22	44.7%	30.2%	67.5%
145804 Coordination of AML/CFT enforcement entities	0.06	0.06	0.00	91.7%	4.4%	4.8%
145805 Ensure safety and integrity of FIA information	0.60	0.25	0.14	41.2%	23.1%	56.1%

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<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<b>Program 1459 Policy, International Cooperation and Mutual Legal Assistance</b>	<b>6.55</b>	<b>2.27</b>	<b>1.40</b>	<b>34.6%</b>	<b>21.4%</b>	<b>61.8%</b>
<b>Class: Outputs Provided</b>	<b>6.08</b>	<b>1.87</b>	<b>1.40</b>	<b>30.8%</b>	<b>23.0%</b>	<b>74.7%</b>
145901 International Mutual Legal Assistance	0.15	0.05	0.00	30.0%	0.0%	0.0%
145902 Financial Intelligence Research and Strategic Development	0.28	0.14	0.07	48.7%	26.8%	55.1%
145903 Development and Management of Internal Audit and Controls	0.16	0.05	0.03	27.5%	15.7%	57.1%
145904 FIA Support Services and Administration	5.49	1.65	1.30	30.0%	23.7%	78.9%
<b>Class: Capital Purchases</b>	<b>0.47</b>	<b>0.39</b>	<b>0.00</b>	<b>84.1%</b>	<b>0.0%</b>	<b>0.0%</b>
145975 Purchase of Motor Vehicles and Other Transport Equipment	0.38	0.38	0.00	100.0%	0.0%	0.0%
145976 Purchase of Office and ICT Equipment, including Software	0.05	0.02	0.00	32.5%	0.0%	0.0%
145977 Purchase of Specialised Machinery & Equipment	0.03	0.00	0.00	0.0%	0.0%	0.0%
145979 Acquisition of Other Capital Assets	0.02	0.00	0.00	0.0%	0.0%	0.0%
<b>Total for Vote</b>	<b>8.17</b>	<b>2.97</b>	<b>1.79</b>	<b>36.4%</b>	<b>21.9%</b>	<b>60.2%</b>

Table V3.2: 2017/18 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<b>Class: Outputs Provided</b>	<b>7.71</b>	<b>2.58</b>	<b>1.79</b>	<b>33.5%</b>	<b>23.2%</b>	<b>69.3%</b>
211102 Contract Staff Salaries (Incl. Casuals, Temporary)	2.31	0.58	0.58	25.0%	25.0%	99.8%
211103 Allowances	0.31	0.30	0.22	99.0%	72.2%	72.9%
212101 Social Security Contributions	0.25	0.10	0.09	39.0%	33.8%	86.7%
213001 Medical expenses (To employees)	0.11	0.00	0.00	0.0%	0.0%	0.0%
213004 Gratuity Expenses	0.51	0.13	0.10	25.0%	19.5%	77.8%
221001 Advertising and Public Relations	0.06	0.01	0.01	16.7%	13.8%	83.0%
221002 Workshops and Seminars	0.28	0.19	0.03	66.4%	9.3%	13.9%
221003 Staff Training	0.31	0.16	0.04	52.5%	12.4%	23.7%
221007 Books, Periodicals & Newspapers	0.05	0.01	0.00	11.9%	0.0%	0.0%
221008 Computer supplies and Information Technology (IT)	0.13	0.06	0.01	42.3%	11.0%	25.9%
221009 Welfare and Entertainment	0.12	0.08	0.05	68.3%	43.5%	63.7%
221011 Printing, Stationery, Photocopying and Binding	0.18	0.04	0.00	21.7%	1.2%	5.5%
221012 Small Office Equipment	0.02	0.01	0.00	22.6%	12.5%	55.2%
221016 IFMS Recurrent costs	0.00	0.00	0.00	0.0%	0.0%	0.0%
221017 Subscriptions	0.33	0.01	0.00	2.3%	0.0%	0.0%
222001 Telecommunications	0.14	0.03	0.00	17.5%	0.3%	2.0%
222002 Postage and Courier	0.00	0.00	0.00	0.0%	0.0%	0.0%
222003 Information and communications technology (ICT)	0.21	0.00	0.00	0.0%	0.0%	0.0%
223003 Rent – (Produced Assets) to private entities	0.80	0.21	0.21	26.0%	26.0%	99.9%
223004 Guard and Security services	0.15	0.07	0.01	45.0%	6.3%	14.0%

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223005 Electricity	0.06	0.01	0.01	16.7%	13.5%	81.0%
224003 Classified Expenditure	0.60	0.29	0.21	48.7%	35.0%	71.9%
226001 Insurances	0.18	0.03	0.02	13.7%	8.2%	60.0%
227001 Travel inland	0.06	0.02	0.00	27.3%	0.0%	0.0%
227002 Travel abroad	0.32	0.21	0.16	66.2%	49.0%	74.0%
227004 Fuel, Lubricants and Oils	0.21	0.06	0.06	29.3%	29.3%	100.0%
228002 Maintenance - Vehicles	0.02	0.02	0.00	60.0%	2.2%	3.7%
<b>Class: Capital Purchases</b>	<b>0.47</b>	<b>0.39</b>	<b>0.00</b>	<b>84.1%</b>	<b>0.0%</b>	<b>0.0%</b>
312201 Transport Equipment	0.38	0.38	0.00	100.0%	0.0%	0.0%
312203 Furniture & Fixtures	0.02	0.00	0.00	0.0%	0.0%	0.0%
312211 Office Equipment	0.03	0.00	0.00	0.0%	0.0%	0.0%
312213 ICT Equipment	0.05	0.02	0.00	32.5%	0.0%	0.0%
<b>Total for Vote</b>	<b>8.17</b>	<b>2.97</b>	<b>1.79</b>	<b>36.4%</b>	<b>21.9%</b>	<b>60.2%</b>

Table V3.3: GoU Releases and Expenditure by Project and Programme\*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<b>Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime</b>	<b>1.63</b>	<b>0.71</b>	<b>0.39</b>	<b>43.6%</b>	<b>23.9%</b>	<b>55.0%</b>
<i>Recurrent SubProgrammes</i>						
02 Legal, Inspection and Compliance	0.24	0.08	0.03	33.8%	11.7%	34.5%
03 Operational Analysis	0.80	0.38	0.22	48.2%	28.2%	58.5%
04 Information Systems Administration and Security	0.60	0.25	0.14	41.2%	23.1%	56.1%
<b>Program 1459 Policy, International Cooperation and Mutual Legal Assistance</b>	<b>6.55</b>	<b>2.27</b>	<b>1.40</b>	<b>34.6%</b>	<b>21.4%</b>	<b>61.8%</b>
<i>Recurrent SubProgrammes</i>						
01 Headquarters	5.49	1.65	1.30	30.0%	23.7%	78.9%
05 International Relations and Strategic Analysis	0.43	0.18	0.07	42.1%	17.4%	41.3%
06 Internal Audit	0.16	0.05	0.03	27.5%	15.7%	57.1%
<i>Development Projects</i>						
1423 Support to Financial Intelligence Authority	0.47	0.39	0.00	84.1%	0.0%	0.0%
<b>Total for Vote</b>	<b>8.17</b>	<b>2.97</b>	<b>1.79</b>	<b>36.4%</b>	<b>21.9%</b>	<b>60.2%</b>

Table V3.4: External Financing Releases and Expenditure by Sub Programme

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
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## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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**Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime**

*Recurrent Programmes*

**Subprogram: 02 Legal, Inspection and Compliance**

*Outputs Provided*

**Output: 01 Compliance with AML and CFT laws and Regulations**

Item	Spent
221002 Workshops and Seminars	5,048
221003 Staff Training	2,730
227002 Travel abroad	20,000

*Reasons for Variation in performance*

<b>Total</b>	<b>27,778</b>
Wage Recurrent	0
Non Wage Recurrent	27,778
AIA	0
<b>Total For SubProgramme</b>	<b>27,778</b>
Wage Recurrent	0
Non Wage Recurrent	27,778
AIA	0

*Recurrent Programmes*

**Subprogram: 03 Operational Analysis**

*Outputs Provided*

**Output: 03 Analysis and Reporting Financial Operations**

	Item	Spent
Confidential Intelligence reports produced	- 19 reports to law enforcement agencies sent.	221003 Staff Training
Suspicious Transactions Reports designated to law enforcement Agencies	- 19 reports to law enforcement agencies sent.	224003 Classified Expenditure
Statistics on AML/CFT produced	- Statistics produced and shared with organisations and MDAs e.g SUGAR	
Data base on AML/CFT compiled	- STR Database produced	
Cross Border movement of cash and bearer negotiable instruments reports received and filed	- Crossborder Currency declarations Database produced.	
.	- ML/TF related requests Database produced and maintained.	
STRs received and analysed	- Reports received, analyzed and database developed.	
	- 100STRS received from Banks, Credit institutions and Forex Bureaus.	
Large Cash Transaction Reports received and filed	- 19 Disseminated	
	- 25 Closed	

*Reasons for Variation in performance*

<b>Total</b>	<b>222,084</b>
Wage Recurrent	0

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## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		Non Wage Recurrent	222,084
		AIA	0

### Output: 04 Coordination of AML/CFT enforcement entities

Law Enforcement Agencies coordination - AML committee minutes produced. reports produced

Item	Spent
221011 Printing, Stationery, Photocopying and Binding	1,350
227002 Travel abroad	1,300

### Reasons for Variation in performance

<b>Total</b>	<b>2,650</b>
Wage Recurrent	0
Non Wage Recurrent	2,650
AIA	0
<b>Total For SubProgramme</b>	<b>224,733</b>
Wage Recurrent	0
Non Wage Recurrent	224,733
AIA	0

### Recurrent Programmes

### Subprogram: 04 Information Systems Administration and Security

#### Outputs Provided

### Output: 05 Ensure safety and integrity of FIA information

		Item	Spent
Capacity of staff enhanced	9 GoAML internal users have been		
IT policy produced	trained on customization and operation.	221002 Workshops and Seminars	10,830
IT secure platform created	IT policy produced and approved by the board.	221003 Staff Training	23,713
IT systems maintained	FIA security gaps in line with national information security framework ( NISF) identified.	221008 Computer supplies and Information Technology (IT)	14,244
GoAML System operationalised	FIA servers and website secured against attacks.	222001 Telecommunications	500
	GoAML electronic system customised and pre-tested internally.	227002 Travel abroad	48,056
		227004 Fuel, Lubricants and Oils	40,000

### Reasons for Variation in performance

<b>Total</b>	<b>137,343</b>
Wage Recurrent	0
Non Wage Recurrent	137,343
AIA	0
<b>Total For SubProgramme</b>	<b>137,343</b>
Wage Recurrent	0
Non Wage Recurrent	137,343
AIA	0

### Program: 59 Policy, International Cooperation and Mutual Legal Assistance

#### Recurrent Programmes



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## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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### Subprogram: 01 Headquarters

#### Outputs Provided

#### Output: 04 FIA Support Services and Administration

Item	Spent
211102 Contract Staff Salaries (Incl. Casuals, Temporary)	575,614
211103 Allowances	221,873
212101 Social Security Contributions	85,480
213004 Gratuity Expenses	98,400
221001 Advertising and Public Relations	8,300
221009 Welfare and Entertainment	23,295
221012 Small Office Equipment	2,760
223003 Rent – (Produced Assets) to private entities	207,792
223004 Guard and Security services	9,433
223005 Electricity	8,100
226001 Insurances	15,000
227002 Travel abroad	44,282

#### Reasons for Variation in performance

<b>Total</b>	<b>1,300,329</b>
Wage Recurrent	575,614
Non Wage Recurrent	724,715
AIA	0
<b>Total For SubProgramme</b>	<b>1,300,329</b>
Wage Recurrent	575,614
Non Wage Recurrent	724,715
AIA	0

#### Recurrent Programmes

### Subprogram: 05 International Relations and Strategic Analysis

#### Outputs Provided

#### Output: 01 International Mutual Legal Assistance

Compliance with international standards on AML/CFT enhanced	Hosted ICRG-FATF team to review progress on implementation of deficiencies identified in AML/CFT framework. Attended and participated ESAAMLG meeting to review implementation of AML/CFT framework in the region.	Item	Spent
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#### Reasons for Variation in performance

<b>Total</b>	<b>0</b>
Wage Recurrent	0

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## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		Non Wage Recurrent	0
		AIA	0

### Output: 02 Financial Intelligence Research and Strategic Development

Capacity of staff and accounting persons enhanced	Trained Forex Bureaus, Banks, Money Remitters, Insurance companies, Insurance Regulatory Authority and Brokers on their role in AML/CFT framework.	Item	Spent
MER and NRA Implementation Action Plan produced	The NRA and MER Action plans were developed and approved by cabinet. Final draft was concluded submitted to NPA for approval.	221002 Workshops and Seminars	9,906
FIA Strategic Plan produced	Conducted 1 Radio talk show and 1 TV talk show.	221009 Welfare and Entertainment	26,905
Public awareness enhanced	The concept Note on Illicit Financing developed	221011 Printing, Stationery, Photocopying and Binding	842
Typology/research reports produced		227002 Travel abroad	16,696
		227004 Fuel, Lubricants and Oils	20,000

### Reasons for Variation in performance

<b>Total</b>	<b>74,350</b>
Wage Recurrent	0
Non Wage Recurrent	74,350
AIA	0
<b>Total For SubProgramme</b>	<b>74,350</b>
Wage Recurrent	0
Non Wage Recurrent	74,350
AIA	0

### Recurrent Programmes

### Subprogram: 06 Internal Audit

#### Outputs Provided

### Output: 03 Development and Management of Internal Audit and Controls

Special Audit reports produced	- 1 Audit report produced and ready for review.	Item	Spent
Internal Audit management controls enhanced	- Pay roll audited and report produced.	227002 Travel abroad	25,135
Pay roll reports regularly updated	- Audit report on compliance with policies and procedures produced.	228002 Maintenance - Vehicles	558
Compliance with established laws and procedures enhanced.			

### Reasons for Variation in performance

<b>Total</b>	<b>25,692</b>
Wage Recurrent	0
Non Wage Recurrent	25,692
AIA	0
<b>Total For SubProgramme</b>	<b>25,692</b>
Wage Recurrent	0
Non Wage Recurrent	25,692

# Vote:129

Financial Intelligence Authority (FIA)

## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>UShs Thousand</i>
		<i>AIA</i>	0
		<b>GRAND TOTAL</b>	<b>1,790,225</b>
		Wage Recurrent	575,614
		Non Wage Recurrent	1,214,611
		GoU Development	0
		External Financing	0
		<i>AIA</i>	0

# Vote:129 Financial Intelligence Authority (FIA)

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
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**Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime**

*Recurrent Programmes*

**Subprogram: 02 Legal, Inspection and Compliance**

*Outputs Provided*

**Output: 01 Compliance with AML and CFT laws and Regulations**

Item	Spent
221002 Workshops and Seminars	5,048
221003 Staff Training	2,730
227002 Travel abroad	20,000

*Reasons for Variation in performance*

<b>Total</b>	<b>27,778</b>
Wage Recurrent	0
Non Wage Recurrent	27,778
AIA	0

**Output: 02 Legal Representation and Litigation**

MoUs signed with other agencies for information sharing  
AML/CFT guidelines reviewed and updated  
Regulations on AML/CFT reviewed to ensure that criminals are apprehended  
Legal framework surrounding the ML & FT harmonized  
Advisory reports produced  
FIA represented on litigation issues  
Reporting Entities trained on AML/CFT legal obligations

-1 MOU signed  
-Draft proposed amendments to the AML Regulations 2015 produced.  
AML amendment Act, 2017 gazetted.  
- Anti-Terrorism and Anti-Money Laundering Acts harmonised  
- Advisory reports produced and sent BoU, Pricewater Coopers and Diamond Trust Bank.  
None  
-2 Banks, FBMRA and Insurance Regulatory Authority.

Item	Spent
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*Reasons for Variation in performance*

<b>Total</b>	<b>0</b>
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0
<b>Total For SubProgramme</b>	<b>27,778</b>
Wage Recurrent	0
Non Wage Recurrent	27,778
AIA	0

*Recurrent Programmes*

**Subprogram: 03 Operational Analysis**

*Outputs Provided*

**Output: 03 Analysis and Reporting Financial Operations**

# Vote:129 Financial Intelligence Authority (FIA)

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
Intelligence Reports produced and disseminated to Law Enforcement Agencies	- 19 reports to law enforcement agencies sent.	<b>Item</b>	<b>Spent</b>
Disseminated concluded reports for prosecution or further investigation by Law Enforcement Agencies	-19 reports to law enforcement agencies sent.	221003 Staff Training	12,084
Statistics produced and shared with other Agencies	- Statistics produced and shared with organisations and MDAs e.g SUGAR	224003 Classified Expenditure	210,000
Data base developed on AML/CFT operations	- STR Database produced		
Cross Boarder movement of Cash and bearer negotiable instruments compiled and analyzed	- Crossborder Currency declarations Database produced.		
STRs and LCTRS received from reporting authorities analyzed	- ML/TF related requests Database produced and maintained.		
	- Reports received, analyzed and database developed.		
	-100STRS received from Banks, Credit institutions and Forex Bureaus.		
	- 19 Disseminated		
	- 25 Closed		

### Reasons for Variation in performance

<b>Total</b>	<b>222,084</b>
Wage Recurrent	0
Non Wage Recurrent	222,084
<i>AIA</i>	0

### Output: 04 Coordination of AML/CFT enforcement entities

Reports of engagements with other competent authorities	- AML committee minutes produced.	<b>Item</b>	<b>Spent</b>
		221011 Printing, Stationery, Photocopying and Binding	1,350
		227002 Travel abroad	1,300

### Reasons for Variation in performance

<b>Total</b>	<b>2,650</b>
Wage Recurrent	0
Non Wage Recurrent	2,650
<i>AIA</i>	0
<b>Total For SubProgramme</b>	<b>224,733</b>
Wage Recurrent	0
Non Wage Recurrent	224,733
<i>AIA</i>	0

### Recurrent Programmes

### Subprogram: 04 Information Systems Administration and Security

#### Outputs Provided

### Output: 05 Ensure safety and integrity of FIA information

# Vote:129 Financial Intelligence Authority (FIA)

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
Capacity of staff and accounting entities enhanced on use of GoAML	9 GoAML internal users have been trained on customization and operation.	<b>Item</b>	<b>Spent</b>
FIA IT systems secured	IT policy produced and approved by the board.	221002 Workshops and Seminars	10,830
IT systems and equipment maintained safely	FIA security gaps in line with national information security framework ( NISF) identified.	221003 Staff Training	23,713
GOAML Platform Pre-tested	FIA servers and website secured against attacks.	221008 Computer supplies and Information Technology (IT)	14,244
	GoAML electronic system customised and pre-tested internally.	222001 Telecommunications	500
		227002 Travel abroad	48,056
		227004 Fuel, Lubricants and Oils	40,000

### Reasons for Variation in performance

<b>Total</b>	<b>137,343</b>
Wage Recurrent	0
Non Wage Recurrent	137,343
AIA	0
<b>Total For SubProgramme</b>	<b>137,343</b>
Wage Recurrent	0
Non Wage Recurrent	137,343
AIA	0

### Program: 59 Policy, International Cooperation and Mutual Legal Assistance

#### Recurrent Programmes

#### Subprogram: 01 Headquarters

#### Outputs Provided

#### Output: 04 FIA Support Services and Administration

Item	Spent
211102 Contract Staff Salaries (Incl. Casuals, Temporary)	575,614
211103 Allowances	221,873
212101 Social Security Contributions	85,480
213004 Gratuity Expenses	98,400
221001 Advertising and Public Relations	8,300
221009 Welfare and Entertainment	23,295
221012 Small Office Equipment	2,760
223003 Rent – (Produced Assets) to private entities	207,792
223004 Guard and Security services	9,433
223005 Electricity	8,100
226001 Insurances	15,000
227002 Travel abroad	44,282

### Reasons for Variation in performance

<b>Total</b>	<b>1,300,329</b>
Wage Recurrent	575,614

# Vote:129 Financial Intelligence Authority (FIA)

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Non Wage Recurrent	724,715
		AIA	0
		<b>Total For SubProgramme</b>	<b>1,300,329</b>
		Wage Recurrent	575,614
		Non Wage Recurrent	724,715
		AIA	0

### Recurrent Programmes

#### Subprogram: 05 International Relations and Strategic Analysis

##### Outputs Provided

##### Output: 01 International Mutual Legal Assistance

	Item	Spent
AML/CFT International standards complied with	Hosted ICRG-FATF team to review progress on implementation of deficiencies identified in AML/CFT framework. Attended and participated ESAAMLG meeting to review implementation of AML/CFT framework in the region.	

##### Reasons for Variation in performance

<b>Total</b>	<b>0</b>
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0

##### Output: 02 Financial Intelligence Research and Strategic Development

	Item	Spent
Training of staff , accountable persons and competent Authority's implemented	Trained Forex Bureaus, Banks, Money Remitters, Insurance companies, Insurance Regulatory Authority and Brokers on their role in AML/CFT framework.	
National Risk Assessment and Mutual Evaluation Report Action Plan developed for implementations	The NRA and MER Action plans were developed and approved by cabinet.	
Draft FIA strategic Plan produced	Final draft was concluded submitted to NPA for approval.	
Public awareness campaign on AML/CFT implemented	Conducted 1 Radio talk show and 1 TV talk show.	
Typology Reports produced	The concept Note on Illicit Financing developed	
	221002 Workshops and Seminars	9,906
	221009 Welfare and Entertainment	26,905
	221011 Printing, Stationery, Photocopying and Binding	842
	227002 Travel abroad	16,696
	227004 Fuel, Lubricants and Oils	20,000

##### Reasons for Variation in performance

<b>Total</b>	<b>74,350</b>
Wage Recurrent	0
Non Wage Recurrent	74,350
AIA	0
<b>Total For SubProgramme</b>	<b>74,350</b>
Wage Recurrent	0
Non Wage Recurrent	74,350
AIA	0

# Vote:129 Financial Intelligence Authority (FIA)

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
<i>Recurrent Programmes</i>			
<b>Subprogram: 06 Internal Audit</b>			
<i>Outputs Provided</i>			
<b>Output: 03 Development and Management of Internal Audit and Controls</b>			
Audit controls maintained	- 1 Audit report produced and ready for review.	<b>Item</b> 227002 Travel abroad	<b>Spent</b> 25,135
FIA pay roll audited	- Pay roll audited and report produced.	228002 Maintenance - Vehicles	558
Quarterly Audit report on FIA compliance with established policies and procedures produced	-Audit report on compliance with policies and procedures produced.		
<i>Reasons for Variation in performance</i>			
<b>Total</b>			<b>25,692</b>
Wage Recurrent			0
Non Wage Recurrent			25,692
AIA			0
<b>Total For SubProgramme</b>			<b>25,692</b>
Wage Recurrent			0
Non Wage Recurrent			25,692
AIA			0
<b>GRAND TOTAL</b>			<b>1,790,225</b>
Wage Recurrent			575,614
Non Wage Recurrent			1,214,611
GoU Development			0
External Financing			0
AIA			0



# Vote:129

Financial Intelligence Authority (FIA)

## QUARTER 2: Revised Workplan

<i>US\$ Thousands</i>	<b>Planned Outputs for the Quarter</b>	<b>Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)</b>
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**Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime**

*Recurrent Programmes*

**Subprogram: 02 Legal, Inspection and Compliance**

*Outputs Provided*

**Output: 01 Compliance with AML and CFT laws and Regulations**

	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
	221002 Workshops and Seminars	14,952	0	14,952
	221003 Staff Training	37,770	0	37,770
	<b>Total</b>	<b>52,722</b>	<b>0</b>	<b>52,722</b>
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>52,722</i>	<i>0</i>	<i>52,722</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

**Subprogram: 03 Operational Analysis**

*Outputs Provided*

**Output: 03 Analysis and Reporting Financial Operations**

	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
STRs and LCTRS received from reporting authorities analyzed	221003 Staff Training	14,916	0	14,916
Cross Boarder movement of Cash and bearer	221011 Printing, Stationery, Photocopying and Binding	10,000	0	10,000
Intelligence Reports produced and disseminated	224003 Classified Expenditure	81,919	0	81,919
	<b>Total</b>	<b>106,836</b>	<b>0</b>	<b>106,836</b>
Disseminated concluded reports for prosecution	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
Data base developed on AML/CFT operations	<i>Non Wage Recurrent</i>	<i>106,836</i>	<i>0</i>	<i>106,836</i>
Statistics produced and shared with other Agencies	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

**Output: 04 Coordination of AML/CFT enforcement entities**

	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
Reports of engagements with other competent	221003 Staff Training	10,000	0	10,000
	221011 Printing, Stationery, Photocopying and Binding	23,650	0	23,650
	227002 Travel abroad	18,700	0	18,700
	<b>Total</b>	<b>52,350</b>	<b>0</b>	<b>52,350</b>
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>52,350</i>	<i>0</i>	<i>52,350</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

# Vote:129

Financial Intelligence Authority (FIA)

## QUARTER 2: Revised Workplan

<i>US\$ Thousands</i>	<b>Planned Outputs for the Quarter</b>	<b>Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)</b>
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### Subprogram: 04 Information Systems Administration and Security

#### *Outputs Provided*

#### **Output: 05 Ensure safety and integrity of FIA information**

<i>US\$ Thousands</i>	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
FIA IT systems secured	221002 Workshops and Seminars	39,170	0	39,170
GOAML Platform Pre-tested	221003 Staff Training	21,287	0	21,287
IT systems maintained safely IT systems and equipment maintained safely	221008 Computer supplies and Information Technology (IT)	20,756	0	20,756
Capacity of staff and accounting entities enhanced	222001 Telecommunications	24,500	0	24,500
	227002 Travel abroad	1,944	0	1,944
	<b>Total</b>	<b>107,657</b>	<b>0</b>	<b>107,657</b>
	<b>Wage Recurrent</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Non Wage Recurrent</b>	<b>107,657</b>	<b>0</b>	<b>107,657</b>
	<b>AIA</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### *Development Projects*

### **Program: 59 Policy, International Cooperation and Mutual Legal Assistance**

#### *Recurrent Programmes*

# Vote:129 Financial Intelligence Authority (FIA)

## QUARTER 2: Revised Workplan

<i>US\$ Thousands</i>	<b>Planned Outputs for the Quarter</b>	<b>Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)</b>
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### Subprogram: 01 Headquarters

#### Outputs Provided

#### Output: 04 FIA Support Services and Administration

	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
	211102 Contract Staff Salaries (Incl. Casuals, Temporary)	886	0	886
	211103 Allowances	82,627	0	82,627
	212101 Social Security Contributions	13,125	0	13,125
	213004 Gratuity Expenses	28,020	0	28,020
	221001 Advertising and Public Relations	1,700	0	1,700
	221002 Workshops and Seminars	30,000	0	30,000
	221003 Staff Training	40,000	0	40,000
	221007 Books, Periodicals & Newspapers	6,000	0	6,000
	221008 Computer supplies and Information Technology (IT)	20,000	0	20,000
	221009 Welfare and Entertainment	5,556	0	5,556
	221012 Small Office Equipment	2,240	0	2,240
	221017 Subscriptions	7,500	0	7,500
	223003 Rent – (Produced Assets) to private entities	288	0	288
	223004 Guard and Security services	58,067	0	58,067
	223005 Electricity	1,900	0	1,900
	226001 Insurances	10,000	0	10,000
	227001 Travel inland	15,000	0	15,000
	227002 Travel abroad	15,718	0	15,718
	228002 Maintenance - Vehicles	10,000	0	10,000
	<b>Total</b>	<b>348,626</b>	<b>0</b>	<b>348,626</b>
	<b>Wage Recurrent</b>	<b>886</b>	<b>0</b>	<b>886</b>
	<b>Non Wage Recurrent</b>	<b>347,740</b>	<b>0</b>	<b>347,740</b>
	<b>AIA</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Subprogram: 05 International Relations and Strategic Analysis

#### Outputs Provided

#### Output: 01 International Mutual Legal Assistance

AML/CFT International standards complied with	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
	221002 Workshops and Seminars	45,000	0	45,000
	<b>Total</b>	<b>45,000</b>	<b>0</b>	<b>45,000</b>
	<b>Wage Recurrent</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Non Wage Recurrent</b>	<b>45,000</b>	<b>0</b>	<b>45,000</b>
	<b>AIA</b>	<b>0</b>	<b>0</b>	<b>0</b>

# Vote:129 Financial Intelligence Authority (FIA)

## QUARTER 2: Revised Workplan

<i>US\$ Thousands</i>	<b>Planned Outputs for the Quarter</b>	<b>Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)</b>		
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### Output: 02 Financial Intelligence Research and Strategic Development

	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
Final Draft strategic Plan Produced				
Action Plans Implemented For NRA and MER	221002 Workshops and Seminars	20,094	0	20,094
Typology Reports produced	221009 Welfare and Entertainment	23,095	0	23,095
Public awareness campaign on AML/CFT implemented	221011 Printing, Stationery, Photocopying and Binding	4,158	0	4,158
Training of staff , accountable persons and competent Authority's implemented	227002 Travel abroad	13,304	0	13,304
	<b>Total</b>	<b>60,650</b>	<b>0</b>	<b>60,650</b>
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>60,650</i>	<i>0</i>	<i>60,650</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

### Subprogram: 06 Internal Audit

#### Outputs Provided

### Output: 03 Development and Management of Internal Audit and Controls

	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
Audit controls maintained				
FIA pay roll audited	221002 Workshops and Seminars	10,000	0	10,000
Quarterly Audit report on FIA compliance with	227002 Travel abroad	4,865	0	4,865
	228002 Maintenance - Vehicles	4,442	0	4,442
	<b>Total</b>	<b>19,308</b>	<b>0</b>	<b>19,308</b>
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>19,308</i>	<i>0</i>	<i>19,308</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

#### Development Projects

### Project: 1423 Support to Financial Intelligence Authority

#### Capital Purchases

### Output: 75 Purchase of Motor Vehicles and Other Transport Equipment

	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
Procurement process finalized				
	312201 Transport Equipment	375,000	0	375,000
	<b>Total</b>	<b>375,000</b>	<b>0</b>	<b>375,000</b>
	<i>GoU Development</i>	<i>375,000</i>	<i>0</i>	<i>375,000</i>
	<i>External Financing</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

### Output: 76 Purchase of Office and ICT Equipment, including Software

<b>A</b>	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
	312213 ICT Equipment	16,250	0	16,250
	<b>Total</b>	<b>16,250</b>	<b>0</b>	<b>16,250</b>
	<i>GoU Development</i>	<i>16,250</i>	<i>0</i>	<i>16,250</i>
	<i>External Financing</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

# Vote:129

Financial Intelligence Authority (FIA)

## QUARTER 2: Revised Workplan

<i>US\$ Thousand</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releaes)			
		GRAND TOTAL	1,184,400	0	1,184,400
		Wage Recurrent	886	0	886
		Non Wage Recurrent	792,264	0	792,264
		GoU Development	391,250	0	391,250
		External Financing	0	0	0
		AIA	0	0	0