

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Highlights of Vote Performance

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (UShs Billion)

	Approved Budget	Cashlimits by End Q3	Released by End Q 3	Spent by End Q3	% Budget Released	% Budget Spent	% Releases Spent
Recurrent Wage	2.306	1.730	1.730	1.686	75.0%	73.1%	97.5%
Non Wage	5.404	4.624	4.624	4.022	85.6%	74.4%	87.0%
Devt. GoU	0.465	0.420	0.420	0.390	90.3%	83.9%	92.9%
Ext. Fin.	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
GoU Total	8.175	6.773	6.773	6.097	82.9%	74.6%	90.0%
Total GoU+Ext Fin (MTEF)	8.175	6.773	6.773	6.097	82.9%	74.6%	90.0%
Arrears	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
Total Budget	8.175	6.773	6.773	6.097	82.9%	74.6%	90.0%
<i>A.I.A Total</i>	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
Grand Total	8.175	6.773	6.773	6.097	82.9%	74.6%	90.0%
Total Vote Budget Excluding Arrears	8.175	6.773	6.773	6.097	82.9%	74.6%	90.0%

Table V1.2: Releases and Expenditure by Program*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
Program: 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	1.63	1.43	1.15	87.8%	70.9%	80.7%
Program: 1459 Policy, International Cooperation and Mutual Legal Assistance	6.55	5.34	4.94	81.6%	75.5%	92.5%
Total for Vote	8.17	6.77	6.10	82.9%	74.6%	90.0%

Matters to note in budget execution

All the released funds for the quarter was not utilized due to delays experienced in the procurement process and in some instances the suppliers delayed to deliver the goods and services on time. in addition gratuity and utilities were accumulated to be paid in Q4

Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)

<i>(i) Major unspent balances</i>	
Programs , Projects	
Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	
0.044 Bn Shs	<i>SubProgram/Project :02 Legal, Inspection and Compliance</i>
Reason: The Activities were rescheduled to Q4 due to delays in executing planned activities.	

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<i>Items</i>	
37,430,990.000 UShs	227002 Travel abroad
Reason: The activity was rescheduled in Q4	
11,720,828.000 UShs	221003 Staff Training
Reason: The training was rescheduled to Q4	
10,000,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: The suppliers delayed to finalize and deliver in Q3	
5,000,000.000 UShs	221007 Books, Periodicals & Newspapers
Reason: Consolidated payment to be effected in Q4	
0.085 Bn Shs	<i>SubProgram/Project :03 Operational Analysis</i>
Reason: The funds were accumulated to cover the estimated procurement in Q4	
<i>Items</i>	
30,000,000.000 UShs	227002 Travel abroad
Reason: Insufficient funds to cover planned benchmarking exercise	
22,171,406.000 UShs	224003 Classified Expenditure
Reason: The activities were deferred to Q4	
20,000,000.000 UShs	221008 Computer supplies and Information Technology (IT)
Reason: insufficient funds to cover the entire procurement	
9,000,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Accumulated funds to cover the procurement in Q4	
4,000,000.000 UShs	221007 Books, Periodicals & Newspapers
Reason: insufficient funds to cover the entire procurement	
0.147 Bn Shs	<i>SubProgram/Project :04 Information Systems Administration and Security</i>
Reason: The suppliers delayed to delivered the supplies on time and payment deferred to Q4.	
<i>Items</i>	
105,000,000.000 UShs	222003 Information and communications technology (ICT)
Reason: Suppliers delayed to finish the installation on time and payment deferred to Q4	
25,000,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Suppliers delayed to finish the procurement on time.	
17,721,895.000 UShs	222001 Telecommunications
Reason: Insufficient amount and balance accumulated for supply in Q4	
4,864,300.000 UShs	221012 Small Office Equipment
Reason: deferred to Q4	
1,400,000.000 UShs	221002 Workshops and Seminars

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Reason: Amount deferred to Q4	
Program 1459 Policy, International Cooperation and Mutual Legal Assistance	
0.218 Bn Shs	SubProgram/Project :01 Headquarters
Reason: The funds were accumulated for fear of insufficient release and deferred to be paid in Q4	
<i>Items</i>	
220,620,000.000 UShs	213004 Gratuity Expenses
Reason: Accumulated for final payment in Q4	
112,000,000.000 UShs	213001 Medical expenses (To employees)
Reason: Accumulated to be paid in Q4	
40,000,000.000 UShs	222001 Telecommunications
Reason: Accumulated to be paid in Q4	
21,183,917.000 UShs	211103 Allowances
Reason: Accumulated for retainer of board members	
10,188,200.000 UShs	227001 Travel inland
Reason: Activities rescheduled for Q4	
0.084 Bn Shs	SubProgram/Project :05 International Relations and Strategic Analysis
Reason: The activities were deferred to Q4 due to delayed procurements.	
<i>Items</i>	
39,700,000.000 UShs	227004 Fuel, Lubricants and Oils
Reason: Activity deferred to Q4	
24,158,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: The suppliers delayed to finish the procurement on time.	
15,000,000.000 UShs	221008 Computer supplies and Information Technology (IT)
Reason: Delayed procurement	
5,255,085.000 UShs	221007 Books, Periodicals & Newspapers
Reason: deferred to Q4	
1,010,703.000 UShs	221003 Staff Training
Reason: insufficient funds	
0.024 Bn Shs	SubProgram/Project :06 Internal Audit
Reason: Delayed procurement and payments deferred to Q4	
<i>Items</i>	
15,000,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Supplier delayed to deliver on time and payments deferred to Q4	
15,000,000.000 UShs	227004 Fuel, Lubricants and Oils

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Reason: Accumulated to be spent in Q4		
5,000,000.000 UShs	221008	Computer supplies and Information Technology (IT)
Reason: Delayed procurement		
3,965,000.000 UShs	221009	Welfare and Entertainment
Reason: insufficient funds		
0.030 Bn Shs	SubProgram/Project :1423 Support to Financial Intelligence Authority	
Reason: The funds were accumulated since the release was insufficient to cover planned expenditure.		
Items		
16,250,000.000 UShs	312213	ICT Equipment
Reason: insufficient release to cover planned expenditure.		
13,750,000.000 UShs	312211	Office Equipment
Reason: insufficient release to cover planned expenditure		
(ii) Expenditures in excess of the original approved budget		

V2: Performance Highlights

Table V2.1: Programme Outcome and Outcome Indicators*

Table V2.2: Key Vote Output Indicators*

Performance highlights for the Quarter

Received 110 Suspicious Transaction Reports (STRs) from reporting entities which were analyzed. Disseminated 22 Intelligence reports to law enforcement Agencies, closed 21 STR files, while 67 STRs files remained undergoing further analysis.

Implemented activities to support Operationalization of the goAML System and enhanced IT Security of the authority. These included; review of the IT infrastructure readiness, the servers/network and architecture, finalized the configurations and updates of the system to be able to start receipt and analysis of reports, upgraded goAML environments to latest Standard Edition System releases, imported all configuration finalized previously, created the workflows previously designed, renamed required labels to custom values and imported lookups into the goAML application, finalized installation of the Automated Fire Suppression System.

Public Awareness/Stakeholder Outreach was conducted which included, sensitizing law enforcement Agencies and reporting entities on their role on fighting money laundering and Combating financing of terrorism.

Carried compliance inspections and supervision of anti money laundering and countering financing of terrorism programmes

implemented support programmes to enhance effectiveness of FIA operations in procurement and financial management.

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V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Output*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	1.63	1.43	1.15	87.8%	70.9%	80.7%
<i>Class: Outputs Provided</i>	<i>1.63</i>	<i>1.43</i>	<i>1.15</i>	<i>87.8%</i>	<i>70.9%</i>	<i>80.7%</i>
145801 Compliance with AML and CFT laws and Regulations	0.14	0.12	0.12	89.1%	83.4%	93.6%
145802 Legal Representation and Litigation	0.10	0.07	0.04	74.9%	39.1%	52.3%
145803 Analysis and Reporting Financial Operations	0.74	0.73	0.64	98.8%	87.3%	88.3%
145804 Coordination of AML/CFT enforcement entities	0.06	0.06	0.06	100.0%	100.0%	100.0%
145805 Ensure safety and integrity of FIA information	0.60	0.44	0.30	74.8%	50.1%	67.0%
Program 1459 Policy, International Cooperation and Mutual Legal Assistance	6.55	5.34	4.94	81.6%	75.5%	92.5%
<i>Class: Outputs Provided</i>	<i>6.08</i>	<i>4.92</i>	<i>4.55</i>	<i>81.0%</i>	<i>74.9%</i>	<i>92.5%</i>
145901 International Mutual Legal Assistance	0.15	0.15	0.14	100.0%	92.9%	92.9%
145902 Financial Intelligence Research and Strategic Development	0.28	0.28	0.20	100.0%	73.4%	73.4%
145903 Development and Management of Internal Audit and Controls	0.16	0.15	0.12	90.0%	75.3%	83.7%
145904 FIA Support Services and Administration	5.49	4.35	4.09	79.2%	74.4%	94.0%
<i>Class: Capital Purchases</i>	<i>0.47</i>	<i>0.42</i>	<i>0.39</i>	<i>90.3%</i>	<i>83.9%</i>	<i>92.9%</i>
145975 Purchase of Motor Vehicles and Other Transport Equipment	0.38	0.38	0.38	100.0%	100.0%	100.0%
145976 Purchase of Office and ICT Equipment, including Software	0.05	0.02	0.00	32.5%	0.0%	0.0%
145977 Purchase of Specialised Machinery & Equipment	0.03	0.01	0.00	55.0%	0.0%	0.0%
145979 Acquisition of Other Capital Assets	0.02	0.02	0.02	100.0%	100.0%	100.0%
Total for Vote	8.17	6.77	6.10	82.9%	74.6%	90.0%

Table V3.2: 2017/18 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<i>Class: Outputs Provided</i>	<i>7.71</i>	<i>6.35</i>	<i>5.71</i>	82.4%	74.0%	89.8%
211102 Contract Staff Salaries (Incl. Casuals, Temporary)	2.31	1.73	1.69	75.0%	73.1%	97.5%
211103 Allowances	0.31	0.30	0.28	99.0%	92.1%	93.0%
212101 Social Security Contributions	0.25	0.25	0.25	100.0%	98.7%	98.7%
213001 Medical expenses (To employees)	0.11	0.11	0.00	100.0%	0.0%	0.0%
213004 Gratuity Expenses	0.51	0.38	0.16	75.0%	31.4%	41.8%
221001 Advertising and Public Relations	0.06	0.01	0.06	16.7%	100.0%	600.0%
221002 Workshops and Seminars	0.28	0.26	0.27	94.3%	95.8%	101.7%
221003 Staff Training	0.31	0.30	0.29	97.0%	93.0%	95.8%

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221007 Books, Periodicals & Newspapers	0.05	0.03	0.02	50.3%	46.6%	92.7%
221008 Computer supplies and Information Technology (IT)	0.13	0.11	0.08	84.6%	64.6%	76.3%
221009 Welfare and Entertainment	0.12	0.11	0.11	98.8%	95.3%	96.5%
221011 Printing, Stationery, Photocopying and Binding	0.18	0.16	0.10	86.4%	54.8%	63.4%
221012 Small Office Equipment	0.02	0.02	0.02	99.4%	100.0%	100.6%
221016 IFMS Recurrent costs	0.00	0.00	0.00	100.0%	0.0%	0.0%
221017 Subscriptions	0.33	0.24	0.33	73.8%	100.0%	135.5%
222001 Telecommunications	0.14	0.10	0.05	72.0%	31.6%	43.9%
222002 Postage and Courier	0.00	0.00	0.00	0.0%	0.0%	0.0%
222003 Information and communications technology (ICT)	0.21	0.11	0.00	51.2%	0.0%	0.0%
223003 Rent – (Produced Assets) to private entities	0.80	0.63	0.63	78.5%	78.3%	99.8%
223004 Guard and Security services	0.15	0.10	0.11	65.0%	71.9%	110.7%
223005 Electricity	0.06	0.04	0.04	61.7%	68.7%	111.4%
224003 Classified Expenditure	0.60	0.59	0.57	98.6%	94.9%	96.3%
226001 Insurances	0.18	0.16	0.18	89.6%	100.0%	111.7%
227001 Travel inland	0.06	0.06	0.04	100.0%	81.5%	81.5%
227002 Travel abroad	0.32	0.32	0.26	100.0%	81.0%	81.0%
227004 Fuel, Lubricants and Oils	0.21	0.21	0.15	100.0%	73.3%	73.3%
228002 Maintenance - Vehicles	0.02	0.02	0.02	100.0%	80.0%	80.0%
Class: Capital Purchases	0.47	0.42	0.39	90.3%	83.9%	92.9%
312201 Transport Equipment	0.38	0.38	0.38	100.0%	100.0%	100.0%
312203 Furniture & Fixtures	0.02	0.02	0.02	100.0%	100.0%	100.0%
312211 Office Equipment	0.03	0.01	0.00	55.0%	0.0%	0.0%
312213 ICT Equipment	0.05	0.02	0.00	32.5%	0.0%	0.0%
Total for Vote	8.17	6.77	6.10	82.9%	74.6%	90.0%

Table V3.3: GoU Releases and Expenditure by Project and Programme*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	1.63	1.43	1.15	87.8%	70.9%	80.7%
<i>Recurrent SubProgrammes</i>						
02 Legal, Inspection and Compliance	0.24	0.20	0.15	83.1%	64.8%	78.0%
03 Operational Analysis	0.80	0.79	0.70	98.9%	88.2%	89.2%
04 Information Systems Administration and Security	0.60	0.44	0.30	74.8%	50.1%	67.0%
Program 1459 Policy, International Cooperation and Mutual Legal Assistance	6.55	5.34	4.94	81.6%	75.5%	92.5%
<i>Recurrent SubProgrammes</i>						
01 Headquarters	5.49	4.35	4.09	79.2%	74.4%	94.0%
05 International Relations and Strategic Analysis	0.43	0.43	0.34	100.0%	80.3%	80.3%
06 Internal Audit	0.16	0.15	0.12	90.0%	75.3%	83.7%
<i>Development Projects</i>						
1423 Support to Financial Intelligence Authority	0.47	0.42	0.39	90.3%	83.9%	92.9%

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Total for Vote	8.17	6.77	6.10	82.9%	74.6%	90.0%
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Table V3.4: External Financing Releases and Expenditure by Sub Programme

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
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QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 01 Compliance with AML and CFT laws and Regulations

Item	Spent
221002 Workshops and Seminars	19,600
221003 Staff Training	40,500
221008 Computer supplies and Information Technology (IT)	15,000
227002 Travel abroad	20,000
227004 Fuel, Lubricants and Oils	20,000

Reasons for Variation in performance

Total	115,100
Wage Recurrent	0
Non Wage Recurrent	115,100
<i>AIA</i>	0

Output: 02 Legal Representation and Litigation

MoUs, Agreements and contracts with other stakeholders on AML/CFT signed
AML/CFT guidelines produced and laws and regulations regularly updated.
Laws and regulations gazetted
AML/CFT Laws Harmonised
Advisory reports produced
Judgments of AMLCFT concluded
Awareness on AML/CFT enhanced

Item	Spent
221002 Workshops and Seminars	10,000
221003 Staff Training	9,140
227004 Fuel, Lubricants and Oils	20,000

Reasons for Variation in performance

Total	39,140
Wage Recurrent	0
Non Wage Recurrent	39,140
<i>AIA</i>	0
Total For SubProgramme	154,240
Wage Recurrent	0
Non Wage Recurrent	154,240
<i>AIA</i>	0

Recurrent Programmes

Subprogram: 03 Operational Analysis

Outputs Provided

Output: 03 Analysis and Reporting Financial Operations

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QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
Confidential Intelligence reports produced		Item	Spent
Suspicious Transactions Reports designated to law enforcement Agencies		221003 Staff Training	28,205
Statistics on AML/CFT produced		221011 Printing, Stationery, Photocopying and Binding	45,000
Data base on AML/CFT compiled		224003 Classified Expenditure	569,248
Cross Border movement of cash and bearer negotiable instruments reports received and filed			
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STRs received and analysed			
Large Cash Transaction Reports received and filed			
<i>Reasons for Variation in performance</i>			
		Total	642,453
		Wage Recurrent	0
		Non Wage Recurrent	642,453
		<i>AIA</i>	0
Output: 04 Coordination of AML/CFT enforcement entities			
Law Enforcement Agencies coordination reports produced		Item	Spent
		221003 Staff Training	10,000
		221011 Printing, Stationery, Photocopying and Binding	30,000
		227002 Travel abroad	20,000
<i>Reasons for Variation in performance</i>			
		Total	60,000
		Wage Recurrent	0
		Non Wage Recurrent	60,000
		<i>AIA</i>	0
		Total For SubProgramme	702,453
		Wage Recurrent	0
		Non Wage Recurrent	702,453
		<i>AIA</i>	0

Recurrent Programmes

Subprogram: 04 Information Systems Administration and Security

Outputs Provided

Output: 05 Ensure safety and integrity of FIA information

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QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
Capacity of staff enhanced		Item	Spent
IT policy produced		221002 Workshops and Seminars	48,600
IT secure platform created		221003 Staff Training	55,000
IT systems maintained		221008 Computer supplies and Information Technology (IT)	35,000
GoAML System operationalised		221012 Small Office Equipment	7,136
		222001 Telecommunications	45,149
		227002 Travel abroad	57,124
		227004 Fuel, Lubricants and Oils	50,000

Reasons for Variation in performance

	Total	298,008
Wage Recurrent		0
Non Wage Recurrent		298,008
AIA		0
Total For SubProgramme		298,008
Wage Recurrent		0
Non Wage Recurrent		298,008
AIA		0

Program: 59 Policy, International Cooperation and Mutual Legal Assistance

Recurrent Programmes

Subprogram: 01 Headquarters

Outputs Provided

Output: 04 FIA Support Services and Administration

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QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		Item	Spent
		211102 Contract Staff Salaries (Incl. Casuals, Temporary)	1,685,680
		211103 Allowances	283,316
		212101 Social Security Contributions	249,589
		213004 Gratuity Expenses	158,640
		221001 Advertising and Public Relations	60,000
		221002 Workshops and Seminars	58,700
		221003 Staff Training	40,000
		221007 Books, Periodicals & Newspapers	8,400
		221008 Computer supplies and Information Technology (IT)	18,980
		221009 Welfare and Entertainment	60,000
		221011 Printing, Stationery, Photocopying and Binding	25,000
		221012 Small Office Equipment	15,000
		221017 Subscriptions	330,000
		223003 Rent – (Produced Assets) to private entities	626,582
		223004 Guard and Security services	107,923
		223005 Electricity	41,200
		226001 Insurances	182,420
		227001 Travel inland	44,812
		227002 Travel abroad	60,000
		227004 Fuel, Lubricants and Oils	20,000
		228002 Maintenance - Vehicles	10,000

Reasons for Variation in performance

Total	4,086,242
Wage Recurrent	1,685,680
Non Wage Recurrent	2,400,562
AIA	0
Total For SubProgramme	4,086,242
Wage Recurrent	1,685,680
Non Wage Recurrent	2,400,562
AIA	0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 01 International Mutual Legal Assistance

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QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
Compliance with international standards on AML/CFT enhanced		Item	Spent
		221002 Workshops and Seminars	49,400
		221003 Staff Training	50,000
		227002 Travel abroad	40,000

Reasons for Variation in performance

	Total	139,400
Wage Recurrent		0
Non Wage Recurrent		139,400
AIA		0

Output: 02 Financial Intelligence Research and Strategic Development

Capacity of staff and accounting persons enhanced	Item	Spent
MER and NRA Implementation Action Plan produced	221002 Workshops and Seminars	52,100
FIA Strategic Plan produced	221003 Staff Training	30,209
Public awareness enhanced	221009 Welfare and Entertainment	50,000
Typology/research reports produced	221011 Printing, Stationery, Photocopying and Binding	842
	227002 Travel abroad	30,000
	227004 Fuel, Lubricants and Oils	40,300

Reasons for Variation in performance

	Total	203,451
Wage Recurrent		0
Non Wage Recurrent		203,451
AIA		0
Total For SubProgramme		342,851
Wage Recurrent		0
Non Wage Recurrent		342,851
AIA		0

Recurrent Programmes

Subprogram: 06 Internal Audit

Outputs Provided

Output: 03 Development and Management of Internal Audit and Controls

Special Audit reports produced	Item	Spent
Internal Audit management controls enhanced	221002 Workshops and Seminars	28,465
Pay roll reports regularly updated	221003 Staff Training	25,000
Compliance with established laws and procedures enhanced.	221007 Books, Periodicals & Newspapers	15,000
	221008 Computer supplies and Information Technology (IT)	15,000
	227002 Travel abroad	30,000
	228002 Maintenance - Vehicles	10,000

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QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Reasons for Variation in performance

	Total	123,465
Wage Recurrent		0
Non Wage Recurrent		123,465
AIA		0
Total For SubProgramme		123,465
Wage Recurrent		0
Non Wage Recurrent		123,465
AIA		0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 75 Purchase of Motor Vehicles and Other Transport Equipment

Transport equipment procured	Item	Spent
	312201 Transport Equipment	375,000

Reasons for Variation in performance

	Total	375,000
GoU Development		375,000
External Financing		0
AIA		0

Output: 79 Acquisition of Other Capital Assets

	Item	Spent
	312203 Furniture & Fixtures	15,000

Reasons for Variation in performance

	Total	15,000
GoU Development		15,000
External Financing		0
AIA		0
Total For SubProgramme		390,000
GoU Development		390,000
External Financing		0
AIA		0
GRAND TOTAL		6,097,258
Wage Recurrent		1,685,680
Non Wage Recurrent		4,021,578
GoU Development		390,000
External Financing		0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

AIA 0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
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Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 01 Compliance with AML and CFT laws and Regulations

Item	Spent
221002 Workshops and Seminars	1,700
221008 Computer supplies and Information Technology (IT)	15,000

Reasons for Variation in performance

Total	16,700
Wage Recurrent	0
Non Wage Recurrent	16,700
AIA	0

Output: 02 Legal Representation and Litigation

MoUs signed with other agencies for information sharing
AML/CFT guidelines reviewed and updated
Regulations on AML/CFT reviewed to ensure that criminals Legal framework surrounding the ML & FT harmonized
Advisory reports produced
FIA represented on litigation issues
Reporting Entities trained on AML/CFT legal obligations

Memorandum of Understanding signed with FIU Ghana
Draft AMLA Regulations (2017) to amend provisions of 2015 regulations produced.

Item	Spent
221002 Workshops and Seminars	10,000
221003 Staff Training	9,140

Reasons for Variation in performance

Total	19,140
Wage Recurrent	0
Non Wage Recurrent	19,140
AIA	0
Total For SubProgramme	35,840
Wage Recurrent	0
Non Wage Recurrent	35,840
AIA	0

Recurrent Programmes

Subprogram: 03 Operational Analysis

Outputs Provided

Output: 03 Analysis and Reporting Financial Operations

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
Intelligence Reports produced and disseminated Disseminated concluded reports for prosecution Statistics produced and shared with other Agencies Data base developed on AML/CFT operations Cross Boarder movement of Cash and bearer STRs and LCTRS received from reporting authorities analyzed	Financial Intelligence information collected and analysed Disseminated 22 STRs to Law Enforcement Agencies and closed 21 STRs. Statistics collected Database on ML/TF updated Received and analysed 110 suspicious transaction reports.	Item 221003 Staff Training 221011 Printing, Stationery, Photocopying and Binding 224003 Classified Expenditure	Spent 1,221 35,000 151,495

Reasons for Variation in performance

Total	187,716
Wage Recurrent	0
Non Wage Recurrent	187,716
AIA	0

Output: 04 Coordination of AML/CFT enforcement entities

Reports of engagements with other competent	Item 221011 Printing, Stationery, Photocopying and Binding	Spent 12,650
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Reasons for Variation in performance

Total	12,650
Wage Recurrent	0
Non Wage Recurrent	12,650
AIA	0
Total For SubProgramme	200,366
Wage Recurrent	0
Non Wage Recurrent	200,366
AIA	0

Recurrent Programmes

Subprogram: 04 Information Systems Administration and Security

Outputs Provided

Output: 05 Ensure safety and integrity of FIA information

Capacity of staff and accounting entities enhanced FIA IT systems secured IT systems and equipment maintained safely GOAML Platform Pre-tested	15 bank staff trained in use and submission of reports using GoAML web portal Consultant procured to implement recommendations made to the FIA information security assessment report. procured and installed the Automated Fire Suppression System. Finalised the configurations and updates to receive and analyse reports using GoAML electronic reporting system	Item 221002 Workshops and Seminars 221003 Staff Training 221008 Computer supplies and Information Technology (IT) 221012 Small Office Equipment 222001 Telecommunications 227002 Travel abroad 227004 Fuel, Lubricants and Oils	Spent 12,442 10,056 13,842 7,136 34,649 7,124 5,200
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Reasons for Variation in performance

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Total	90,447
		Wage Recurrent	0
		Non Wage Recurrent	90,447
		AIA	0
		Total For SubProgramme	90,447
		Wage Recurrent	0
		Non Wage Recurrent	90,447
		AIA	0

Program: 59 Policy, International Cooperation and Mutual Legal Assistance

Recurrent Programmes

Subprogram: 01 Headquarters

Outputs Provided

Output: 04 FIA Support Services and Administration

Item	Spent
211102 Contract Staff Salaries (Incl. Casuals, Temporary)	532,680
211103 Allowances	8,587
212101 Social Security Contributions	69,520
213004 Gratuity Expenses	50,240
221001 Advertising and Public Relations	50,000
221002 Workshops and Seminars	32,611
221008 Computer supplies and Information Technology (IT)	6,980
221009 Welfare and Entertainment	506
221011 Printing, Stationery, Photocopying and Binding	25,000
221012 Small Office Equipment	12,140
221017 Subscriptions	97,715
223003 Rent – (Produced Assets) to private entities	210,501
223004 Guard and Security services	77,544
223005 Electricity	20,026
226001 Insurances	156,055
227001 Travel inland	31,004

Reasons for Variation in performance

Total	1,381,110
Wage Recurrent	532,680
Non Wage Recurrent	848,430
AIA	0
Total For SubProgramme	1,381,110
Wage Recurrent	532,680
Non Wage Recurrent	848,430

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
			AIA 0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 01 International Mutual Legal Assistance

AML/CFT International standards complied with	Information exchange with FIU Zimbabwe and FIU Ethiopia	Item	Spent
		221002 Workshops and Seminars	4,453
		221003 Staff Training	50,000
		227002 Travel abroad	40,000

Reasons for Variation in performance

Total	94,453
Wage Recurrent	0
Non Wage Recurrent	94,453
AIA	0

Output: 02 Financial Intelligence Research and Strategic Development

Training of staff , accountable persons and competent Authority's implemented Action Plans Implemented For NRA and MER Strategic Plan approved Public awareness campaign on AML/CFT implemented Typology Reports produced	Trained Crested Capital, Jubilee Insurance Staff, ABC Capital Bank Ltd, Stanlib and Jubilee Insurance Staff. MER and NRA implementation Action plan produced. Financial Intelligence Authority Strategic plan approved and printed Public awareness on ML/TF campaign conducted through print media Typology study on Procurement Corruption in public sector commenced and the filled questionnaires were sent to ESAAMLG secretariat for analysis.	Item	Spent
		221002 Workshops and Seminars	22,100
		221003 Staff Training	30,209
		227004 Fuel, Lubricants and Oils	20,300

Reasons for Variation in performance

Total	72,609
Wage Recurrent	0
Non Wage Recurrent	72,609
AIA	0
Total For SubProgramme	167,062
Wage Recurrent	0
Non Wage Recurrent	167,062
AIA	0

Recurrent Programmes

Subprogram: 06 Internal Audit

Outputs Provided

Output: 03 Development and Management of Internal Audit and Controls

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
Audit controls maintained auditedQuarterly Audit report on FIA compliance with	Quarterly Audit report produced Pay roll audited and report produced Audit report on compliance with policies and procedures produced	Item 221002 Workshops and Seminars 221003 Staff Training 221007 Books, Periodicals & Newspapers 221008 Computer supplies and Information Technology (IT) 228002 Maintenance - Vehicles	Spent 23,265 464 15,000 15,000 9,442

Reasons for Variation in performance

Total	63,170
Wage Recurrent	0
Non Wage Recurrent	63,170
AIA	0
Total For SubProgramme	63,170
Wage Recurrent	0
Non Wage Recurrent	63,170
AIA	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 75 Purchase of Motor Vehicles and Other Transport Equipment

Transport equipment procured	Item	Spent
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Reasons for Variation in performance

Total	0
GoU Development	0
External Financing	0
AIA	0

Output: 76 Purchase of Office and ICT Equipment, including Software

Assorted ICT Equipment procurement process procured	Item	Spent
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Reasons for Variation in performance

Total	0
GoU Development	0
External Financing	0
AIA	0

Output: 77 Purchase of Specialised Machinery & Equipment

	Item	Spent
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Reasons for Variation in performance

Total	0
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Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
		GoU Development	0
		External Financing	0
		AIA	0
		Total For SubProgramme	0
		GoU Development	0
		External Financing	0
		AIA	0
		GRAND TOTAL	1,937,996
		Wage Recurrent	532,680
		Non Wage Recurrent	1,405,316
		GoU Development	0
		External Financing	0
		AIA	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)
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Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 01 Compliance with AML and CFT laws and Regulations

	Item	Balance b/f	New Funds	Total
	221002 Workshops and Seminars	400	0	400
	221007 Books, Periodicals & Newspapers	5,000	0	5,000
	221008 Computer supplies and Information Technology (IT)	(15,000)	0	(15,000)
	221011 Printing, Stationery, Photocopying and Binding	10,000	0	10,000
	227002 Travel abroad	7,431	0	7,431
	Total	7,831	0	7,831
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>7,831</i>	<i>0</i>	<i>7,831</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Output: 02 Legal Representation and Litigation

	Item	Balance b/f	New Funds	Total
FIA represented on litigation issues	221002 Workshops and Seminars	(6,000)	0	(6,000)
AML/CFT guidelines reviewed and updated	221003 Staff Training	11,721	0	11,721
MoUs signed with other agencies for information sharing	227002 Travel abroad	30,000	0	30,000
Advisory reports produced	Total	35,721	0	35,721
Regulations on AML/CFT reviewed to ensure that criminals	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
Reporting Entities trained on AML/CFT legal obligations	<i>Non Wage Recurrent</i>	<i>35,721</i>	<i>0</i>	<i>35,721</i>
Legal framework surrounding the ML & FT harmonized	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Subprogram: 03 Operational Analysis

Outputs Provided

Output: 03 Analysis and Reporting Financial Operations

STRs and LCTRS received from reporting authorities	Item	Balance b/f	New Funds	Total
Cross Boarder movement of Cash and bearer	221003 Staff Training	(217)	0	(217)
Intelligence Reports produced and disseminated	221007 Books, Periodicals & Newspapers	4,000	0	4,000
Disseminated concluded reports for prosecution	221008 Computer supplies and Information Technology (IT)	20,000	0	20,000
Data base developed on AML/CFT operations	221011 Printing, Stationery, Photocopying and Binding	9,000	0	9,000
Statistics produced and shared with other Agencies	224003 Classified Expenditure	22,171	0	22,171
	227002 Travel abroad	30,000	0	30,000
	Total	84,955	0	84,955
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>84,955</i>	<i>0</i>	<i>84,955</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Output: 04 Coordination of AML/CFT enforcement entities

Reports of engagements with other competent

Subprogram: 04 Information Systems Administration and Security

Outputs Provided

Output: 05 Ensure safety and integrity of FIA information

FIA IT systems secured	Item	Balance b/f	New Funds	Total
GOAML operationalized	221002 Workshops and Seminars	1,400	0	1,400
IT systems and equipment maintained safely	221011 Printing, Stationery, Photocopying and Binding	25,000	0	25,000
Capacity of staff and accounting entities enhanced	221012 Small Office Equipment	4,864	0	4,864
	222001 Telecommunications	17,722	0	17,722
	222003 Information and communications technology (ICT)	105,000	0	105,000
	227002 Travel abroad	(7,124)	0	(7,124)
	Total	146,862	0	146,862
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>146,862</i>	<i>0</i>	<i>146,862</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Development Projects

Program: 59 Policy, International Cooperation and Mutual Legal Assistance

Recurrent Programmes

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)
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Subprogram: 01 Headquarters

Outputs Provided

Output: 04 FIA Support Services and Administration

	Item	Balance b/f	New Funds	Total
	211102 Contract Staff Salaries (Incl. Casuals, Temporary)	43,820	0	43,820
	211103 Allowances	21,184	0	21,184
	212101 Social Security Contributions	3,251	0	3,251
	213001 Medical expenses (To employees)	112,000	0	112,000
	213004 Gratuity Expenses	220,620	0	220,620
	221001 Advertising and Public Relations	(50,000)	0	(50,000)
	221002 Workshops and Seminars	1,300	0	1,300
	221007 Books, Periodicals & Newspapers	2,600	0	2,600
	221008 Computer supplies and Information Technology (IT)	1,020	0	1,020
	221011 Printing, Stationery, Photocopying and Binding	(25,000)	0	(25,000)
	221012 Small Office Equipment	(5,000)	0	(5,000)
	221017 Subscriptions	(86,500)	0	(86,500)
	222001 Telecommunications	40,000	0	40,000
	223003 Rent – (Produced Assets) to private entities	1,499	0	1,499
	223004 Guard and Security services	(10,423)	0	(10,423)
	223005 Electricity	(4,200)	0	(4,200)
	226001 Insurances	(19,060)	0	(19,060)
	227001 Travel inland	10,188	0	10,188
	228002 Maintenance - Vehicles	5,000	0	5,000
	Total	262,298	0	262,298
	Wage Recurrent	43,820	0	43,820
	Non Wage Recurrent	218,478	0	218,478
	AIA	0	0	0

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 01 International Mutual Legal Assistance

AML/CFT International standards complied with	Item	Balance b/f	New Funds	Total
	221002 Workshops and Seminars	600	0	600
	227004 Fuel, Lubricants and Oils	10,000	0	10,000
	Total	10,600	0	10,600
	Wage Recurrent	0	0	0
	Non Wage Recurrent	10,600	0	10,600
	AIA	0	0	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Output: 02 Financial Intelligence Research and Strategic Development

	Item	Balance b/f	New Funds	Total
Strategic Plan disseminated				
Action Plans Implemented For NRA and MER	221002 Workshops and Seminars	(2,100)	0	(2,100)
Typology Reports produced	221003 Staff Training	1,011	0	1,011
Public awareness campaign on AML/CFT implemented	221007 Books, Periodicals & Newspapers	5,255	0	5,255
Training of staff , accountable persons and competent Authority's implemented	221008 Computer supplies and Information Technology (IT)	15,000	0	15,000
	221011 Printing, Stationery, Photocopying and Binding	24,158	0	24,158
	221016 IFMS Recurrent costs	728	0	728
	227004 Fuel, Lubricants and Oils	29,700	0	29,700
	Total	73,752	0	73,752
	Wage Recurrent	0	0	0
	Non Wage Recurrent	73,752	0	73,752
	AIA	0	0	0

Subprogram: 06 Internal Audit

Outputs Provided

Output: 03 Development and Management of Internal Audit and Controls

	Item	Balance b/f	New Funds	Total
Audit controls maintained				
FIA pay roll audited	221007 Books, Periodicals & Newspapers	(15,000)	0	(15,000)
Quarterly Audit report on FIA compliance with	221008 Computer supplies and Information Technology (IT)	5,000	0	5,000
	221009 Welfare and Entertainment	3,965	0	3,965
	221011 Printing, Stationery, Photocopying and Binding	15,000	0	15,000
	227004 Fuel, Lubricants and Oils	15,000	0	15,000
	Total	23,965	0	23,965
	Wage Recurrent	0	0	0
	Non Wage Recurrent	23,965	0	23,965
	AIA	0	0	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 76 Purchase of Office and ICT Equipment, including Software

Item	Balance b/f	New Funds	Total
312213 ICT Equipment	16,250	0	16,250
Total	16,250	0	16,250
GoU Development	16,250	0	16,250
External Financing	0	0	0
AIA	0	0	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>UShs Thousand</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Output: 77 Purchase of Specialised Machinery & Equipment

<i>Item</i>	Balance b/f	New Funds	Total
312211 Office Equipment	13,750	0	13,750
Total	13,750	0	13,750
<i>GoU Development</i>	<i>13,750</i>	<i>0</i>	<i>13,750</i>
<i>External Financing</i>	<i>0</i>	<i>0</i>	<i>0</i>
<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>
GRAND TOTAL	675,984	0	675,984
<i>Wage Recurrent</i>	<i>43,820</i>	<i>0</i>	<i>43,820</i>
<i>Non Wage Recurrent</i>	<i>602,164</i>	<i>0</i>	<i>602,164</i>
<i>GoU Development</i>	<i>30,000</i>	<i>0</i>	<i>30,000</i>
<i>External Financing</i>	<i>0</i>	<i>0</i>	<i>0</i>
<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>