

Vote:129

 Financial Intelligence Authority (FIA)

QUARTER 4: Highlights of Vote Performance

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (UShs Billion)

	Approved Budget	Cashlimits by End Q4	Released by End Q 4	Spent by End Q4	% Budget Released	% Budget Spent	% Releases Spent
Recurrent Wage	2.306	2.697	2.697	2.697	117.0%	117.0%	100.0%
Non Wage	5.404	5.438	5.438	5.456	100.6%	101.0%	100.3%
Devt. GoU	0.465	0.465	0.465	0.465	100.0%	100.0%	100.0%
Ext. Fin.	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
GoU Total	8.175	8.600	8.600	8.618	105.2%	105.4%	100.2%
Total GoU+Ext Fin (MTEF)	8.175	8.600	8.600	8.618	105.2%	105.4%	100.2%
Arrears	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
Total Budget	8.175	8.600	8.600	8.618	105.2%	105.4%	100.2%
<i>A.I.A Total</i>	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
Grand Total	8.175	8.600	8.600	8.618	105.2%	105.4%	100.2%
Total Vote Budget Excluding Arrears	8.175	8.600	8.600	8.618	105.2%	105.4%	100.2%

Table V1.2: Releases and Expenditure by Program*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	% Releases Spent
Program: 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	1.63	1.63	1.63	100.0%	100.4%	100.4%
Program: 1459 Policy, International Cooperation and Mutual Legal Assistance	6.55	6.97	6.98	106.5%	106.7%	100.2%
Total for Vote	8.17	8.60	8.62	105.2%	105.4%	100.2%

Matters to note in budget execution

The Financial Intelligence Authority received 100% of its budget . No major challenges were experienced during implementation apart from inadequate staff numbers.

Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)

<i>(i) Major unspent balances</i>
Programs , Projects
Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime
Program 1459 Policy, International Cooperation and Mutual Legal Assistance
<i>(ii) Expenditures in excess of the original approved budget</i>

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Highlights of Vote Performance

Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	
0.000 Bn Shs	<i>SubProgram/Project :03 Operational Analysis</i>
Reason: This is a system error	
<i>Items</i>	
433,290.000 UShs	221003 Staff Training
Reason: System error	
0.005 Bn Shs	<i>SubProgram/Project :04 Information Systems Administration and Security</i>
Reason: This is a sstem error	
<i>Items</i>	
3,992,928.000 UShs	221002 Workshops and Seminars
Reason: system Error	
1,363,237.000 UShs	221003 Staff Training
Reason: system Error	
Program 1459 Policy, International Cooperation and Mutual Legal Assistance	
0.034 Bn Shs	<i>SubProgram/Project :01 Headquarters</i>
Reason: This is a system error.	
<i>Items</i>	
33,800,000.000 UShs	213004 Gratuity Expenses
Reason: system error	
569,555.000 UShs	221003 Staff Training
Reason: system error	
0.012 Bn Shs	<i>SubProgram/Project :05 International Relations and Strategic Analysis</i>
Reason: This is a system error	
<i>Items</i>	
11,703,005.000 UShs	221003 Staff Training
Reason: System error	

V2: Performance Highlights

Table V2.1: Programme Outcome and Outcome Indicators*

Table V2.2: Key Vote Output Indicators*

Performance highlights for the Quarter

Vote:129

 Financial Intelligence Authority (FIA)

QUARTER 4: Highlights of Vote Performance

FIA produced a number of due diligence reports on investors who intend to partner with Government to invest in the country.

FIA implemented its core mandate of Receipt, analysis and dissemination of information to the competent authorities

GoAML electronic system was piloted in six banks and three banks managed to successfully report STRS via the portal.

FIA identified proposed amendment to the AMLA which was submitted to the first parliamentary counsel for drafting and ensuring the AMLA is enacted to meet Egmont admission criteria. FIA drafted regulations to operationalize AMLA as amended and was submitted to the first parliamentary counsel.

FIA carried out 2 onsite inspection of financial institutions on the compliance with ML/TF requirements.

FIA submitted its application to join the Egmont Group

FIA trained accountable persons on their role to detect and prevent money laundering and terrorism financing.

Public awareness and Stakeholder Outreach to promote public and reporting entities understanding of matters of money laundering and terrorism financing was carried out.

International obligations of FIA in relation to exchange and sharing of information was executed with other FIUs.

Implemented prudential financial management, and procurement of goods and services as required by the PFMA and PPDA respectively.

The following manuals discussed and approved namely Board Manual, HR Policy and Procedures Manual, Audit Manual and IT Manual.

The tenure of the term of the Board expired on 30th June, 2018 and the Chairperson of the Board notified the Minister of Finance of this development.

The Board conducted a self-evaluation and prepared a report that was submitted to the Minister of Finance highlighting how it had performed during their tenure.

V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Output*

Vote:129

 Financial Intelligence Authority (FIA)

QUARTER 4: Highlights of Vote Performance

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	1.63	1.63	1.63	100.0%	100.4%	100.4%
<i>Class: Outputs Provided</i>	<i>1.63</i>	<i>1.63</i>	<i>1.63</i>	<i>100.0%</i>	<i>100.4%</i>	<i>100.4%</i>
145801 Compliance with AML and CFT laws and Regulations	0.14	0.14	0.14	100.0%	100.0%	100.0%
145802 Legal Representation and Litigation	0.10	0.10	0.10	100.0%	100.0%	100.0%
145803 Analysis and Reporting Financial Operations	0.74	0.74	0.74	100.0%	100.1%	100.1%
145804 Coordination of AML/CFT enforcement entities	0.06	0.06	0.06	100.0%	100.0%	100.0%
145805 Ensure safety and integrity of FIA information	0.60	0.60	0.60	100.0%	100.9%	100.9%
Program 1459 Policy, International Cooperation and Mutual Legal Assistance	6.55	6.97	6.98	106.5%	106.7%	100.2%
<i>Class: Outputs Provided</i>	<i>6.08</i>	<i>6.51</i>	<i>6.52</i>	<i>107.0%</i>	<i>107.2%</i>	<i>100.2%</i>
145901 International Mutual Legal Assistance	0.15	0.15	0.16	100.0%	107.5%	107.5%
145902 Financial Intelligence Research and Strategic Development	0.28	0.28	0.28	100.0%	100.1%	100.1%
145903 Development and Management of Internal Audit and Controls	0.16	0.16	0.16	100.0%	100.0%	100.0%
145904 FIA Support Services and Administration	5.49	5.91	5.92	107.7%	107.8%	100.0%
<i>Class: Capital Purchases</i>	<i>0.47</i>	<i>0.47</i>	<i>0.47</i>	<i>100.0%</i>	<i>100.0%</i>	<i>100.0%</i>
145975 Purchase of Motor Vehicles and Other Transport Equipment	0.38	0.38	0.38	100.0%	100.0%	100.0%
145976 Purchase of Office and ICT Equipment, including Software	0.05	0.05	0.05	100.0%	100.0%	100.0%
145977 Purchase of Specialised Machinery & Equipment	0.03	0.03	0.03	100.0%	100.0%	100.0%
145979 Acquisition of Other Capital Assets	0.02	0.02	0.02	100.0%	100.0%	100.0%
Total for Vote	8.17	8.60	8.62	105.2%	105.4%	100.2%

Table V3.2: 2017/18 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<i>Class: Outputs Provided</i>	<i>7.71</i>	<i>8.13</i>	<i>8.15</i>	<i>105.5%</i>	<i>105.7%</i>	<i>100.2%</i>
211102 Contract Staff Salaries (Incl. Casuals, Temporary)	2.31	2.70	2.70	117.0%	117.0%	100.0%
211103 Allowances	0.31	0.31	0.31	100.0%	100.0%	100.0%
212101 Social Security Contributions	0.25	0.25	0.25	100.0%	100.0%	100.0%
213001 Medical expenses (To employees)	0.11	0.11	0.11	100.0%	100.0%	100.0%
213004 Gratuity Expenses	0.51	0.54	0.54	106.7%	106.7%	100.0%
221001 Advertising and Public Relations	0.06	0.06	0.06	100.0%	100.0%	100.0%
221002 Workshops and Seminars	0.28	0.28	0.28	100.0%	101.4%	101.4%
221003 Staff Training	0.31	0.31	0.32	100.0%	104.5%	104.5%
221007 Books, Periodicals & Newspapers	0.05	0.05	0.05	100.0%	100.0%	100.0%
221008 Computer supplies and Information Technology (IT)	0.13	0.13	0.13	100.0%	100.0%	100.0%
221009 Welfare and Entertainment	0.12	0.12	0.12	100.0%	100.0%	100.0%
221011 Printing, Stationery, Photocopying and Binding	0.18	0.18	0.18	100.0%	100.0%	100.0%

Vote:129

 Financial Intelligence Authority (FIA)

QUARTER 4: Highlights of Vote Performance

221012 Small Office Equipment	0.02	0.02	0.02	100.0%	100.0%	100.0%
221016 IFMS Recurrent costs	0.00	0.00	0.00	100.0%	100.0%	100.0%
221017 Subscriptions	0.33	0.33	0.33	100.0%	100.0%	100.0%
222001 Telecommunications	0.14	0.14	0.14	100.0%	100.0%	100.0%
222002 Postage and Courier	0.00	0.00	0.00	100.0%	100.0%	100.0%
222003 Information and communications technology (ICT)	0.21	0.21	0.21	100.0%	100.0%	100.0%
223003 Rent – (Produced Assets) to private entities	0.80	0.80	0.80	100.0%	100.0%	100.0%
223004 Guard and Security services	0.15	0.15	0.15	100.0%	100.0%	100.0%
223005 Electricity	0.06	0.06	0.06	100.0%	100.0%	100.0%
224003 Classified Expenditure	0.60	0.60	0.60	100.0%	100.0%	100.0%
226001 Insurances	0.18	0.18	0.18	100.0%	100.0%	100.0%
227001 Travel inland	0.06	0.06	0.06	100.0%	100.0%	100.0%
227002 Travel abroad	0.32	0.32	0.32	100.0%	100.0%	100.0%
227004 Fuel, Lubricants and Oils	0.21	0.21	0.21	100.0%	100.0%	100.0%
228002 Maintenance - Vehicles	0.02	0.02	0.02	100.0%	100.0%	100.0%
Class: Capital Purchases	0.47	0.47	0.47	100.0%	100.0%	100.0%
312201 Transport Equipment	0.38	0.38	0.38	100.0%	100.0%	100.0%
312203 Furniture & Fixtures	0.02	0.02	0.02	100.0%	100.0%	100.0%
312211 Office Equipment	0.03	0.03	0.03	100.0%	100.0%	100.0%
312213 ICT Equipment	0.05	0.05	0.05	100.0%	100.0%	100.0%
Total for Vote	8.17	8.60	8.62	105.2%	105.4%	100.2%

Table V3.3: GoU Releases and Expenditure by Project and Programme*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	1.63	1.63	1.63	100.0%	100.4%	100.4%
<i>Recurrent SubProgrammes</i>						
02 Legal, Inspection and Compliance	0.24	0.24	0.24	100.0%	100.0%	100.0%
03 Operational Analysis	0.80	0.80	0.80	100.0%	100.1%	100.1%
04 Information Systems Administration and Security	0.60	0.60	0.60	100.0%	100.9%	100.9%
Program 1459 Policy, International Cooperation and Mutual Legal Assistance	6.55	6.97	6.98	106.5%	106.7%	100.2%
<i>Recurrent SubProgrammes</i>						
01 Headquarters	5.49	5.91	5.92	107.7%	107.8%	100.0%
05 International Relations and Strategic Analysis	0.43	0.43	0.44	100.0%	102.7%	102.7%
06 Internal Audit	0.16	0.16	0.16	100.0%	100.0%	100.0%
<i>Development Projects</i>						
1423 Support to Financial Intelligence Authority	0.47	0.47	0.47	100.0%	100.0%	100.0%
Total for Vote	8.17	8.60	8.62	105.2%	105.4%	100.2%

Table V3.4: External Financing Releases and Expenditure by Sub Programme

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Highlights of Vote Performance

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
---------------------------------	----------------------------	-----------------	--------------	------------------------------	---------------------------	----------------------------

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
------------------------	---	--	------------------

Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 01 Compliance with AML and CFT laws and Regulations

Item	Spent
221002 Workshops and Seminars	20,000
221003 Staff Training	40,500
221007 Books, Periodicals & Newspapers	5,000
221008 Computer supplies and Information Technology (IT)	15,000
221011 Printing, Stationery, Photocopying and Binding	10,000
227002 Travel abroad	27,431
227004 Fuel, Lubricants and Oils	20,000

Reasons for Variation in performance

Total	137,931
Wage Recurrent	0
Non Wage Recurrent	137,931
AIA	0

Output: 02 Legal Representation and Litigation

MoUs, Agreements and contracts with other stakeholders on AML/CFT signed AML/CFT guidelines produced and laws and regulations regularly updated.	FIA signed Memorandum of understanding for information sharing and exchange with FIU Ghana, Mauritius and Djibouti	Item	Spent
Laws and regulations gazetted	Draft AMLA Regulations 2017 to amend provisions of 2015 produced and submitted to Ministry of Finance	221002 Workshops and Seminars	20,000
AML/CFT Laws Harmonised	Planning and Economic Development.	221003 Staff Training	30,000
Advisory reports produced	Review process was started	227002 Travel abroad	30,000
Judgments of AMLCFT concluded	Advisory reports to the FIA Board produced	227004 Fuel, Lubricants and Oils	20,000
Awareness on AML/CFT enhanced	FIA was sued by two Accountable Persons in relation to executing its mandate however this was resolved through out of Court settlement		
	2 AML/CFT Awareness trainings was undertaken		

Reasons for Variation in performance

Awareness programme on ML/TF mainly conducted by compliance.
The process of reviewing consistence to be initiated the next financial year.
There was a delay in issuing final AMLA 2017 amendments to the regulations of 2015.

Total	100,000
Wage Recurrent	0

Vote:129

 Financial Intelligence Authority (FIA)

QUARTER 4: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
		Non Wage Recurrent	100,000
		AIA	0
		Total For SubProgramme	237,931
		Wage Recurrent	0
		Non Wage Recurrent	237,931
		AIA	0

Recurrent Programmes

Subprogram: 03 Operational Analysis

Outputs Provided

Output: 03 Analysis and Reporting Financial Operations

		Item	Spent
Confidential Intelligence reports produced	5 Confidential Intelligence Reports produced	221003 Staff Training	28,421
Suspicious Transactions Reports designated to law enforcement Agencies	82 Intelligence Reports disseminated to Law Enforcement Agencies	221007 Books, Periodicals & Newspapers	4,000
Statistics on AML/CFT produced	AML/CFT statistics in terms of cases concluded, ongoing analysis and closed cases have been developed.	221008 Computer supplies and Information Technology (IT)	20,000
Data base on AML/CFT compiled	Data Base on STRs received and Large Cash Transactions Reports and Cross Border declaration of Cash and BNI has been developed.	221011 Printing, Stationery, Photocopying and Binding	54,000
Cross Border movement of cash and bearer negotiable instruments reports received and filed	Received only 10 reports.	224003 Classified Expenditure	600,000
.	Received 435 STRs, and over 600,000 Large Cash Transaction reports	227002 Travel abroad	30,000
STRs received and analysed			
Large Cash Transaction Reports received and filed			

Reasons for Variation in performance

FIA produced confidential financial intelligence Reports on possible investors who want to Partner with Government.

The declaration of cross border movement of cash and BNI suffered some operational challenges which required FIA, Immigration and URA to resolve.

Total	736,421
Wage Recurrent	0
Non Wage Recurrent	736,421
AIA	0

Output: 04 Coordination of AML/CFT enforcement entities

		Item	Spent
Law Enforcement Agencies coordination reports produced	4 Coordination quarterly reports on AML/CFT produced	221003 Staff Training	10,000
		221011 Printing, Stationery, Photocopying and Binding	30,000
		227002 Travel abroad	20,000

Reasons for Variation in performance

Total	60,000
Wage Recurrent	0

Vote:129

 Financial Intelligence Authority (FIA)

QUARTER 4: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
		Non Wage Recurrent	60,000
		AIA	0
		Total For SubProgramme	796,421
		Wage Recurrent	0
		Non Wage Recurrent	796,421
		AIA	0

Recurrent Programmes

Subprogram: 04 Information Systems Administration and Security

Outputs Provided

Output: 05 Ensure safety and integrity of FIA information

Capacity of staff enhanced IT policy produced IT secure platform created IT systems maintained GoAML System operationalised	Critical skills of Staff in the IT has been enhanced through specialized training. The IT Policy was produced and approved by the Board. IT security platform created and consultant procured to test the consistence of the IT security system The Authorities IT system including equipment well maintained GoAML Electronic reporting system was operationalized and six banks are piloting the system to report their financial reporting to FIA.	Item	Spent
		221002 Workshops and Seminars	53,993
		221003 Staff Training	56,363
		221007 Books, Periodicals & Newspapers	10,000
		221008 Computer supplies and Information Technology (IT)	35,000
		221011 Printing, Stationery, Photocopying and Binding	25,000
		221012 Small Office Equipment	12,136
		222001 Telecommunications	102,870
		222003 Information and communications technology (ICT)	205,000
		227002 Travel abroad	50,000
		227004 Fuel, Lubricants and Oils	50,000

Reasons for Variation in performance

The rollover will be delayed due to delays from the UNDOC team to finalize certain tests of the system.

Total	600,362
Wage Recurrent	0
Non Wage Recurrent	600,362
AIA	0
Total For SubProgramme	600,362
Wage Recurrent	0
Non Wage Recurrent	600,362
AIA	0

Program: 59 Policy, International Cooperation and Mutual Legal Assistance

Recurrent Programmes

Subprogram: 01 Headquarters

Outputs Provided

Output: 04 FIA Support Services and Administration

Vote:129

 Financial Intelligence Authority (FIA)

QUARTER 4: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
		Item	Spent
		211102 Contract Staff Salaries (Incl. Casuals, Temporary)	2,697,400
		211103 Allowances	307,480
		212101 Social Security Contributions	252,840
		213001 Medical expenses (To employees)	112,000
		213004 Gratuity Expenses	539,480
		221001 Advertising and Public Relations	60,000
		221002 Workshops and Seminars	60,000
		221003 Staff Training	40,570
		221007 Books, Periodicals & Newspapers	11,000
		221008 Computer supplies and Information Technology (IT)	25,000
		221009 Welfare and Entertainment	60,000
		221011 Printing, Stationery, Photocopying and Binding	25,000
		221012 Small Office Equipment	10,000
		221017 Subscriptions	330,000
		222001 Telecommunications	40,000
		222002 Postage and Courier	2,331
		223003 Rent – (Produced Assets) to private entities	800,000
		223004 Guard and Security services	150,000
		223005 Electricity	60,000
		226001 Insurances	182,420
		227001 Travel inland	55,000
		227002 Travel abroad	60,000
		227004 Fuel, Lubricants and Oils	20,000
		228002 Maintenance - Vehicles	15,000

Reasons for Variation in performance

Total	5,915,520
Wage Recurrent	2,697,400
Non Wage Recurrent	3,218,120
AIA	0
Total For SubProgramme	5,915,520
Wage Recurrent	2,697,400
Non Wage Recurrent	3,218,120
AIA	0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
Output: 01 International Mutual Legal Assistance			
Compliance with international standards on AML/CFT enhanced	FIA has implemented a number of measures which have led to Uganda exiting from the FATF monitoring list, under the ICRG Process, fast-tracking admission to EGDMONT Group of FIUs, signed MoUs with other FIUs to exchange and share information and attended all the FATF and ESAAMLG activities.	Item 221002 Workshops and Seminars 221003 Staff Training 227002 Travel abroad 227004 Fuel, Lubricants and Oils	Spent 50,000 61,303 40,000 10,000
<i>Reasons for Variation in performance</i>			
			Total
			161,303
			Wage Recurrent
			0
			Non Wage Recurrent
			161,303
			AIA
			0
Output: 02 Financial Intelligence Research and Strategic Development			
Capacity of staff and accounting persons enhanced	The skills of staff have been enhanced through specialized training.	Item 221002 Workshops and Seminars	Spent 50,000
MER and NRA Implementation Action Plan produced	The National AML/CFT Risk Assessment and action Plan and Uganda's Mutual Evaluation Report on AML/CFT produced and printed for dissemination	221003 Staff Training	31,620
FIA Strategic Plan produced	FIA Strategic Plan produced and approved by both the Board and National Planning Authority.	221007 Books, Periodicals & Newspapers	5,255
Public awareness enhanced	Public Awareness through print media, Radio talk shows, TV , seminars, and workshops conducted to enlighten the Public on dangers of Money laundering and terrorism financing	221008 Computer supplies and Information Technology (IT)	15,000
Typology/research reports produced	Typology reports on trends and methods of laundering money and financing terrorism carried out in the areas of procurement corruption, private companies and individuals who want to invest with government and pyramid schemes	221009 Welfare and Entertainment	50,000
		221011 Printing, Stationery, Photocopying and Binding	25,000
		221016 IFMS Recurrent costs	728
		227002 Travel abroad	30,000
		227004 Fuel, Lubricants and Oils	70,000
<i>Reasons for Variation in performance</i>			
			Total
			277,604
			Wage Recurrent
			0
			Non Wage Recurrent
			277,604
			AIA
			0
			Total For SubProgramme
			438,907
			Wage Recurrent
			0
			Non Wage Recurrent
			438,907
			AIA
			0

Recurrent Programmes

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
------------------------	---	--	------------------

Subprogram: 06 Internal Audit

Outputs Provided

Output: 03 Development and Management of Internal Audit and Controls

Special Audit reports produced	Internal Audit Management controls enhanced	Internal Audit Management controls through issuance of quarterly Audit reports.	Item	Spent
Internal Audit management controls enhanced	Internal Audit Management controls through issuance of quarterly Audit reports.	Monthly pay roll audit reports produced	221002 Workshops and Seminars	28,465
Pay roll reports regularly updated	Compliance with established laws and procedures enhanced.	Compliance checks reports produced on adherence to the established policies and procedures	221003 Staff Training	25,000
			221007 Books, Periodicals & Newspapers	15,000
			221008 Computer supplies and Information Technology (IT)	20,000
			221009 Welfare and Entertainment	5,400
			221011 Printing, Stationery, Photocopying and Binding	15,000
			227002 Travel abroad	30,000
			227004 Fuel, Lubricants and Oils	15,000
			228002 Maintenance - Vehicles	10,000

Reasons for Variation in performance

There has been no case which require special audit.

Total	163,865
Wage Recurrent	0
Non Wage Recurrent	163,865
AIA	0
Total For SubProgramme	163,865
Wage Recurrent	0
Non Wage Recurrent	163,865
AIA	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 75 Purchase of Motor Vehicles and Other Transport Equipment

Transport equipment procured	Procured three double cabin vehicles for the Authority	Item	Spent
		312201 Transport Equipment	375,000

Reasons for Variation in performance

Total	375,000
GoU Development	375,000
External Financing	0
AIA	0

Output: 76 Purchase of Office and ICT Equipment, including Software

Assorted ICT equipment procured	Assorted ICT equipment procured to support operations. This included tonners, stationery, punches, staplers and	Item	Spent
		312213 ICT Equipment	50,000

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
------------------------	---	--	------------------

Reasons for Variation in performance

	Total	50,000
	GoU Development	50,000
	External Financing	0
	AIA	0

Output: 77 Purchase of Specialised Machinery & Equipment

Specialized equipment procured	Two laptop computers and desk top computers procured	Item	Spent
		312211 Office Equipment	25,000

Reasons for Variation in performance

	Total	25,000
	GoU Development	25,000
	External Financing	0
	AIA	0

Output: 79 Acquisition of Other Capital Assets

	Item	Spent
	312203 Furniture & Fixtures	15,000

Reasons for Variation in performance

	Total	15,000
	GoU Development	15,000
	External Financing	0
	AIA	0

Total For SubProgramme

	465,000
GoU Development	465,000
External Financing	0
AIA	0

GRAND TOTAL

	8,618,006
Wage Recurrent	2,697,400
Non Wage Recurrent	5,455,606
GoU Development	465,000
External Financing	0
AIA	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
----------------------------	------------------------------------	---	------------------

Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 01 Compliance with AML and CFT laws and Regulations

Item	Spent
221002 Workshops and Seminars	400
221007 Books, Periodicals & Newspapers	5,000
221011 Printing, Stationery, Photocopying and Binding	10,000
227002 Travel abroad	7,431

Reasons for Variation in performance

Total	22,831
Wage Recurrent	0
Non Wage Recurrent	22,831
<i>AIA</i>	0

Output: 02 Legal Representation and Litigation

MoUs signed with other agencies for information sharing
AML/CFT guidelines reviewed and updated
Regulations on AML/CFT reviewed to ensure that criminals Legal framework surrounding the ML & FT harmonized
Advisory reports produced
FIA represented on litigation issues
Reporting Entities trained on AML/CFT legal obligations

Memorandum of Understanding signed with FIU of Mauritius.
Draft AMLA sent to the MoFPED for onward submission to the first parliamentary counsel

Presentation to NGOs on the role of NGOs in the fight against ML

Item	Spent
221002 Workshops and Seminars	10,000
221003 Staff Training	20,860
227002 Travel abroad	30,000

Reasons for Variation in performance

Awareness programme on ML/TF mainly conducted by compliance.
The process of reviewing consistency to be initiated the next financial year.
There was a delay in issuing final AMLA 2017 amendments to the regulations of 2015.

Total	60,860
Wage Recurrent	0
Non Wage Recurrent	60,860
<i>AIA</i>	0
Total For SubProgramme	83,691
Wage Recurrent	0
Non Wage Recurrent	83,691
<i>AIA</i>	0

Recurrent Programmes

Subprogram: 03 Operational Analysis

Outputs Provided

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
Output: 03 Analysis and Reporting Financial Operations			
Intelligence Reports produced and disseminated	I case disseminated to IG, & 7cases disseminated to Police(CID) and case disseminated to DPP	Item	Spent
Disseminated concluded reports for prosecution	9 cases were disseminated	221003 Staff Training	217
Statistics produced and shared with other Agencies	Statistics gathered and shared with key stakeholders in the fight against money laundering and terrorism financing	221007 Books, Periodicals & Newspapers	4,000
Data base developed on AML/CFT operations	ML/TF database updated	221008 Computer supplies and Information Technology (IT)	20,000
Cross Boarder movement of Cash and bearer STRs and LCTRS received from reporting authorities	Received and analysed STRs were 122	221011 Printing, Stationery, Photocopying and Binding	9,000
		224003 Classified Expenditure	30,752
		227002 Travel abroad	30,000

Reasons for Variation in performance

FIA produced confidential financial intelligence Reports on possible investors who want to Partner with Government. The declaration of cross border movement of cash and BNI suffered some operational challenges which required FIA, Immigration and URA to resolve.

Total	93,969
Wage Recurrent	0
Non Wage Recurrent	93,969
AIA	0

Output: 04 Coordination of AML/CFT enforcement entities

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Item	Spent
Reports of engagements with other competent	2 coordination meetings held with competent authorities		

Reasons for Variation in performance

Total	0
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0
Total For SubProgramme	93,969
Wage Recurrent	0
Non Wage Recurrent	93,969
AIA	0

Recurrent Programmes

Subprogram: 04 Information Systems Administration and Security

Outputs Provided

Output: 05 Ensure safety and integrity of FIA information

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
Capacity of staff and accounting entities enhanced FIA IT systems secured IT systems and equipment maintained safely GOAML operationalized	trained 6 banks to use GoAML to report their operations to FIA	Item	Spent
		221002 Workshops and Seminars	5,393
	Serviced and maintained the IT systems piloted GoAML electronic reporting system which received STRs from 3 banks out of the 6 banks that were selected to carry out the pilot study	221003 Staff Training	1,363
		221007 Books, Periodicals & Newspapers	10,000
		221011 Printing, Stationery, Photocopying and Binding	25,000
		221012 Small Office Equipment	5,000
		222001 Telecommunications	57,722
		222003 Information and communications technology (ICT)	205,000
	227002 Travel abroad	-7,124	

Reasons for Variation in performance

The rollover will be delayed due to delays from the UNDOC team to finalize certain tests of the system.

Total	302,354
Wage Recurrent	0
Non Wage Recurrent	302,354
AIA	0
Total For SubProgramme	302,354
Wage Recurrent	0
Non Wage Recurrent	302,354
AIA	0

Program: 59 Policy, International Cooperation and Mutual Legal Assistance

Recurrent Programmes

Subprogram: 01 Headquarters

Outputs Provided

Output: 04 FIA Support Services and Administration

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Item	Spent
		211102 Contract Staff Salaries (Incl. Casuals, Temporary)	1,011,720
		211103 Allowances	24,164
		212101 Social Security Contributions	3,251
		213001 Medical expenses (To employees)	112,000
		213004 Gratuity Expenses	380,840
		221002 Workshops and Seminars	1,300
		221003 Staff Training	570
		221007 Books, Periodicals & Newspapers	2,600
		221008 Computer supplies and Information Technology (IT)	6,020
		221012 Small Office Equipment	-5,000
		222001 Telecommunications	40,000
		222002 Postage and Courier	2,331
		223003 Rent – (Produced Assets) to private entities	173,418
		223004 Guard and Security services	42,077
		223005 Electricity	18,800
		227001 Travel inland	10,188
		228002 Maintenance - Vehicles	5,000

Reasons for Variation in performance

Total	1,829,278
Wage Recurrent	1,011,720
Non Wage Recurrent	817,558
AIA	0
Total For SubProgramme	1,829,278
Wage Recurrent	1,011,720
Non Wage Recurrent	817,558
AIA	0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 01 International Mutual Legal Assistance

AML/CFT International standards complied with	Information exchange with; -FIU Ethiopia -FIU Djibouti -FIC South Africa -FRC Kenya -FIU Nigeria -NCA UK -FIU Brazil	Item	Spent
		221002 Workshops and Seminars	600
		221003 Staff Training	11,303
		227004 Fuel, Lubricants and Oils	10,000

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
----------------------------	------------------------------------	---	------------------

Reasons for Variation in performance

	Total	21,903
	Wage Recurrent	0
	Non Wage Recurrent	21,903
	AIA	0

Output: 02 Financial Intelligence Research and Strategic Development

	Item	Spent
Training of staff , accountable persons and competent Authority's implemented	Accountable persons' training - NGOs	221002 Workshops and Seminars -2,100
Action Plans Implemented For NRA and MER	-Senior police officers	221003 Staff Training 1,411
Strategic Plan disseminated	-Public accountants	221007 Books, Periodicals & Newspapers 5,255
Public awareness campaign on AML/CFT implemented	-Opportunity Bank	221008 Computer supplies and Information Technology (IT) 15,000
Typology Reports produced	-Uganda Bankers Association	221011 Printing, Stationery, Photocopying and Binding 24,158
	Mutual Evaluation report printed and shared with Parliamentary Committee on finance planning and Economic Development	221016 IFMS Recurrent costs 728
	-1 article published in the Daily Monitor News paper about ML/TF on Labour Day	227004 Fuel, Lubricants and Oils 29,700
	-Presentation on KFM radio on the vulnerabilities and obligations of NGOs to ML/TF	
	Information on the sources of funds for Alshabab, ISIL, Al Qaeda and their associates compiled and sent to FATF for further analysis	

Reasons for Variation in performance

	Total	74,152
	Wage Recurrent	0
	Non Wage Recurrent	74,152
	AIA	0
	Total For SubProgramme	96,055
	Wage Recurrent	0
	Non Wage Recurrent	96,055
	AIA	0

Recurrent Programmes

Subprogram: 06 Internal Audit

Outputs Provided

Output: 03 Development and Management of Internal Audit and Controls

	Item	Spent
Audit controls maintained	221008 Computer supplies and Information Technology (IT)	5,000
FIA pay roll audited	221009 Welfare and Entertainment	5,400
Quarterly Audit report on FIA compliance with	221011 Printing, Stationery, Photocopying and Binding	15,000
	227004 Fuel, Lubricants and Oils	15,000

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
----------------------------	------------------------------------	---	------------------

Reasons for Variation in performance

There has been no case which require special audit.

Total	40,400
Wage Recurrent	0
Non Wage Recurrent	40,400
AIA	0
Total For SubProgramme	40,400
Wage Recurrent	0
Non Wage Recurrent	40,400
AIA	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 75 Purchase of Motor Vehicles and Other Transport Equipment

Item	Spent
------	-------

Reasons for Variation in performance

Total	0
GoU Development	0
External Financing	0
AIA	0

Output: 76 Purchase of Office and ICT Equipment, including Software

2 Laptop Computers procured

Item	Spent
312213 ICT Equipment	50,000

Reasons for Variation in performance

Total	50,000
GoU Development	50,000
External Financing	0
AIA	0

Output: 77 Purchase of Specialised Machinery & Equipment

Procured office furniture for new staff to be recruited in FY 2018/19

Item	Spent
312211 Office Equipment	25,000

Partitioned the office to accommodate new staff to be recruited in 2018/19

Reasons for Variation in performance

Total	25,000
GoU Development	25,000
External Financing	0

Vote:129

 Financial Intelligence Authority (FIA)

QUARTER 4: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
		AIA	0
		Total For SubProgramme	75,000
		GoU Development	75,000
		External Financing	0
		AIA	0
		GRAND TOTAL	2,520,748
		Wage Recurrent	1,011,720
		Non Wage Recurrent	1,434,028
		GoU Development	75,000
		External Financing	0
		AIA	0