

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 1: Highlights of Vote Performance

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (US\$ Billion)

	Approved Budget	Cashlimits by End Q1	Released by End Q 1	Spent by End Q1	% Budget Released	% Budget Spent	% Releases Spent
Recurrent Wage	3.477	0.869	0.869	0.724	25.0%	20.8%	83.3%
Non Wage	8.347	4.100	4.100	2.194	49.1%	26.3%	53.5%
Dev't. GoU	0.465	0.187	0.187	0.163	40.2%	35.1%	87.6%
Ext. Fin.	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
GoU Total	12.290	5.156	5.156	3.081	42.0%	25.1%	59.8%
Total GoU+Ext Fin (MTEF)	12.290	5.156	5.156	3.081	42.0%	25.1%	59.8%
Arrears	0.210	0.210	0.210	0.210	100.0%	100.0%	100.0%
Total Budget	12.500	5.366	5.366	3.291	42.9%	26.3%	61.3%
<i>A.I.A Total</i>	0.000	0.000	0.000	0.000	0.0%	0.0%	0.0%
Grand Total	12.500	5.366	5.366	3.291	42.9%	26.3%	61.3%
Total Vote Budget Excluding Arrears	12.290	5.156	5.156	3.081	42.0%	25.1%	59.8%

Table V1.2: Releases and Expenditure by Program*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
Program: 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	2.88	1.42	0.75	49.4%	26.1%	52.8%
Program: 1459 Policy, International Cooperation and Mutual Legal Assistance	9.41	3.73	2.33	39.7%	24.8%	62.4%
Total for Vote	12.29	5.16	3.08	42.0%	25.1%	59.8%

Matters to note in budget execution

A. Under Program: 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime, 47.2 percent of the funds under Legal were not utilized due to the delays in the finalization of the procurement of consultant to review Anti-Money Laundering Regulations. In addition, there was delayed payments for classified expenditure due to pending verification of information.

B. Additionally, the delays of the service providers to submit invoices affected the timely disbursement of the committed funds.

C. Under program 1459, Delayed Recruitment of staff hampered spending of funds related to salaries and undertaking field operations areas of monitoring compliance

Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)

<i>(i) Major unspent balances</i>
Programs , Projects

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Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime		
0.087 Bn Shs	SubProgram/Project :02 Legal, Inspection and Compliance	
	Reason: There was delayed implementation of the activities attributed to inadequate budget line allocation on some lines where procurement could not be effected and activities shifted to the next quarter.	
Items		
39,840,000.000 UShs	211103 Allowances	
	Reason: Some activities did not take place during this quarter. They were pushed to next quarter	
25,000,000.000 UShs	221003 Staff Training	
	Reason: The scheduled training was cancelled and shifted to a period in Q2 by the service provider.	
10,000,000.000 UShs	225001 Consultancy Services- Short term	
	Reason: funds accumulated to cover consultancy services in Q2	
7,935,600.000 UShs	227001 Travel inland	
	Reason: insufficient budget to cover the planned field work and activity to shifted to Q2	
2,028,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding	
	Reason: The delayed approval of AMLA regulations by the Hon. MoFPED and the funds will be utilized in Q2	
0.447 Bn Shs	SubProgram/Project :03 Operational Analysis	
	Reason: Service providers delayed to submit sufficient documents to warrant payment	
Items		
331,507,230.000 UShs	224003 Classified Expenditure	
	Reason: Some activities needed time for verification before payment. Payments will be done in Q2	
45,000,000.000 UShs	211103 Allowances	
	Reason: Funds committed for field activities in Q2.	
36,000,000.000 UShs	221009 Welfare and Entertainment	
	Reason: Delay in the submission of invoices by the service provider.	
20,000,000.000 UShs	225001 Consultancy Services- Short term	
	Reason: Lengthy procurement process that was not concluded in Q1. This will be done in Q2	
11,602,500.000 UShs	221003 Staff Training	
	Reason: Insufficient balance to cater for staff training. Waiting for Q2 release to implement the activity	
0.138 Bn Shs	SubProgram/Project :04 Information Systems Administration and Security	
	Reason: Consultant's delayed finalisation of the assignment and payment will be done in Q2 on conclusion of exercise and payment for computers will be executed upon delivery of necessary documents	
Items		
80,086,325.000 UShs	225001 Consultancy Services- Short term	
	Reason: Consultant delayed to complete the assignment and payment shall be done in Q2	
43,834,500.000 UShs	221008 Computer supplies and Information Technology (IT)	

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Reason: Computer supplies procured however there was a delay in providing invoice to process payment. This payment shall be completed in Q2	
14,150,000.000 UShs	221003 Staff Training
Reason: Insufficient balance for staff training. Waiting for Q2 release to implement activity	
Program 1459 Policy, International Cooperation and Mutual Legal Assistance	
1.110 Bn Shs	<i>SubProgram/Project :01 Headquarters</i>
Reason: Delayed appointment of the board, accumulated gratuity to be paid at the end of Q4 and rent to be paid in Q2	
<i>Items</i>	
286,270,695.000 UShs	223003 Rent – (Produced Assets) to private entities
Reason: Payment is done on quarterly basis	
220,000,000.000 UShs	221006 Commissions and related charges
Reason: There was delay in appointment of the FIA board, this would be spent in Q2 after the board appointment	
115,624,445.000 UShs	221003 Staff Training
Reason: New staff reported at the end of the quarter. Therefore training was pushed to Q2	
89,820,000.000 UShs	213004 Gratuity Expenses
Reason: Accumulated to be spent at the end of the end of Q4 to avoid shortfalls arising from budgetary cuts at the time of payment	
83,205,000.000 UShs	221009 Welfare and Entertainment
Reason: Funds committed but not yet paid out to the service providers.	
0.086 Bn Shs	<i>SubProgram/Project :05 International Relations and Strategic Analysis</i>
Reason: Sensitisation workshop of Forex bureaus did not take place this quarter because FIA staff were involved in the NRA report dissemination activities. It is scheduled for Q2	
<i>Items</i>	
40,898,078.000 UShs	221002 Workshops and Seminars
Reason: Sensitisation workshop of Forex bureaus did not take place this quarter because FIA staff were involved in the NRA report dissemination activities. Activity scheduled for Q2	
15,000,000.000 UShs	225001 Consultancy Services- Short term
Reason: Lengthy procurement process that was not concluded in Q1. This will be done in Q2	
10,800,000.000 UShs	211103 Allowances
Reason: Insufficient balance to cover the planned activity	
9,782,600.000 UShs	221003 Staff Training
Reason: Insufficient balance to cover training costs waiting for Q2 release	
9,451,112.000 UShs	227002 Travel abroad
Reason: Insufficient balance to cover costs waiting for Q2 release	
0.039 Bn Shs	<i>SubProgram/Project :06 Internal Audit</i>
Reason: Funds have been accumulated to be spent after the quarter when conducting audit of Q1 activities	

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<i>Items</i>	
28,000,000.000 UShs	211103 Allowances
Reason: This has been accumulated to be spent after the quarter	
8,500,000.000 UShs	221003 Staff Training
Reason: The amount released was not sufficient to cover the training needed	
2,000,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Services procured but payment to done in Q2	
0.023 Bn Shs	<i>SubProgram/Project :1423 Support to Financial Intelligence Authority</i>
Reason: Delayed submission of delivery documents to process payment	
<i>Items</i>	
23,206,400.000 UShs	312202 Machinery and Equipment
Reason: Delayed submission of delivery documents to process payment	
<i>(ii) Expenditures in excess of the original approved budget</i>	

V2: Performance Highlights

Table V2.1: Programme Outcome and Outcome Indicators*

Table V2.2: Key Vote Output Indicators*

Performance highlights for the Quarter

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85 STRs received against a target of 120 representing 70.8% performance and below the 122 reports received during the previous quarter. Of the 85 STRs, 83 were received from Banks and 2 from Forex Bureaus. 33 STRs were analysed and closed, 08 TSRs were disseminated and 44 STRs are still undergoing further analysis.

Intelligence reports were disseminated to law enforcement agencies for further investigation disaggregated as follows Uganda Revenue Authority 03, Uganda Police Force (CIID) 03, Inspectorate of Government 01, and Directorate of Public Prosecutions 01.

Conducted 06 financial due diligence on intending investors to partner with government in infrastructure projects

Maintained and updated the database of all suspicious transactions, cash and /or monetary transactions and cross-border declarations received from accountable persons to facilitate analysis and generation of statistics on ML/TF.

The Anti – Money Laundering (Exchange of Information) Regulations 2018 was issued and gazetted on 24/9/2018 to comply with FATF standards

Drafted amendments to the Anti-Money Laundering Regulations 2015 and submitted for approval to the MOFPED

FIA successfully challenged the application for judicial review for the freezing of accounts of Sundus & Others in accordance with the Anti-Terrorism Act, 2017 was dismissed with costs on 27th August 2018.

The FIA filed defences in 2 other applications against it for halting transactions in accordance with AMLA 2013 (Smart Protus Magara vs FIA – Smart Protus Magara and Palmfox Ltd vs DFCU, BOU, FIA)

FIA registered 250 Accountable persons as required by AMLA representing 10% of the targeted number

Issued guidelines and guidance notes on registration procedure to guide Accountable persons to comply with the AMLA.

Conducted 3 on-sight inspections for AML/CFT compliance on a risk-based approach covering 2 forex bureaus and 2 border points and issued recommendations for implementation by accountable persons

Trained 5 institutions i.e. Action Aid on 31/07/2018, Ugafode Microfinance on 3/08/2018, EFC staff on 9/08/2018, Klyn Forex Bureau and Stanlib on 21/09/2018.

Conducted AML/CFT awareness programs which covered 280 Police Officers at Police Training School Kabalye, Masindi, 20 Uganda Wildlife Authority Investigation officers, NPOs on the Legal framework and obligations of Accountable persons hosted by the Defenders Protection Initiative. FIA reached out to over 300 members of public during the URA Tax payers' week. 3 articles on AML/CFT were published in the Newspapers to sensitise the public on their obligations.

FIA disseminated the National Risk Assessment (NRA) report on 14/09/2018 at a function officiated by the Hon. MOFPED.

Piloted goAML electronic system to receive STRs/LCTRs from six banks namely: Barclays Bank, Standard Chartered Bank, DFCU Bank, Centenary Bank, Diamond Trust Bank and Stanbic Bank.

Carried out sensitization of 8 MLCOs, 6 IT staff from banks and 2 IT vendors on registration and administration of users of the goAML system at their institutions.

Implemented recommendations of the NISF report to enhance IT security

V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Output*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	2.88	1.42	0.75	49.4%	26.1%	52.8%
<i>Class: Outputs Provided</i>	2.88	1.42	0.75	49.4%	26.1%	52.8%
145801 Compliance with AML and CFT laws and Regulations	0.27	0.11	0.06	41.7%	23.0%	55.3%
145802 Legal Representation and Litigation	0.11	0.04	0.00	33.6%	0.4%	1.3%

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<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
145803 Analysis and Reporting Financial Operations	2.00	1.05	0.65	52.5%	32.4%	61.7%
145804 Coordination of AML/CFT enforcement entities	0.05	0.05	0.00	90.0%	0.0%	0.0%
145805 Ensure safety and integrity of FIA information	0.45	0.18	0.04	39.7%	9.0%	22.6%
Program 1459 Policy, International Cooperation and Mutual Legal Assistance	9.62	3.94	2.54	41.0%	26.4%	64.4%
Class: Outputs Provided	8.94	3.55	2.17	39.7%	24.2%	61.1%
145901 International Mutual Legal Assistance	0.02	0.00	0.00	0.0%	0.0%	0.0%
145902 Financial Intelligence Research and Strategic Development	0.86	0.31	0.22	36.0%	25.9%	72.1%
145903 Development and Management of Internal Audit and Controls	0.10	0.04	0.00	38.5%	0.0%	0.0%
145904 FIA Support Services and Administration	7.97	3.20	1.95	40.1%	24.4%	60.8%
Class: Capital Purchases	0.47	0.19	0.16	40.1%	35.1%	87.6%
145977 Purchase of Specialised Machinery & Equipment	0.43	0.19	0.16	43.4%	38.0%	87.6%
145978 Purchase of Office and Residential Furniture and Fittings	0.04	0.00	0.00	0.0%	0.0%	0.0%
Class: Arrears	0.21	0.21	0.21	100.0%	100.0%	100.0%
145999 Arrears	0.21	0.21	0.21	100.0%	100.0%	100.0%
Total for Vote	12.50	5.37	3.29	42.9%	26.3%	61.3%

Table V3.2: 2018/19 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Class: Outputs Provided	11.82	4.97	2.92	42.0%	24.7%	58.7%
211102 Contract Staff Salaries (Incl. Casuals, Temporary)	3.48	0.87	0.72	25.0%	20.8%	83.3%
211103 Allowances	0.44	0.22	0.09	50.0%	21.1%	42.3%
212101 Social Security Contributions	0.35	0.09	0.07	25.0%	20.8%	83.1%
213004 Gratuity Expenses	0.70	0.17	0.08	25.0%	12.1%	48.3%
221001 Advertising and Public Relations	0.02	0.01	0.01	59.5%	47.6%	80.0%
221002 Workshops and Seminars	0.62	0.16	0.11	25.0%	18.4%	73.6%
221003 Staff Training	0.54	0.26	0.08	48.7%	14.2%	29.1%
221004 Recruitment Expenses	0.18	0.18	0.14	100.0%	77.6%	77.6%
221006 Commissions and related charges	0.44	0.22	0.00	49.5%	0.0%	0.0%
221007 Books, Periodicals & Newspapers	0.02	0.00	0.00	30.0%	8.3%	27.6%
221008 Computer supplies and Information Technology (IT)	0.21	0.11	0.01	50.0%	4.9%	9.9%
221009 Welfare and Entertainment	0.33	0.17	0.05	49.9%	14.1%	28.2%
221011 Printing, Stationery, Photocopying and Binding	0.13	0.09	0.07	69.6%	59.7%	85.7%
221012 Small Office Equipment	0.01	0.01	0.00	100.0%	0.0%	0.0%
221017 Subscriptions	0.34	0.31	0.31	92.5%	92.5%	100.0%
222001 Telecommunications	0.04	0.01	0.01	25.0%	15.3%	61.1%
223003 Rent – (Produced Assets) to private entities	0.75	0.50	0.21	66.5%	28.4%	42.7%
223004 Guard and Security services	0.17	0.09	0.03	50.0%	16.8%	33.7%

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223005 Electricity	0.07	0.02	0.01	25.0%	18.2%	72.9%
224003 Classified Expenditure	1.78	0.98	0.65	55.0%	36.3%	66.1%
224004 Cleaning and Sanitation	0.04	0.01	0.00	25.0%	13.5%	54.2%
225001 Consultancy Services- Short term	0.38	0.16	0.02	41.3%	5.3%	12.8%
226001 Insurances	0.18	0.10	0.04	54.8%	19.8%	36.1%
227001 Travel inland	0.07	0.02	0.00	35.0%	3.1%	9.0%
227002 Travel abroad	0.22	0.13	0.12	58.1%	53.7%	92.4%
227004 Fuel, Lubricants and Oils	0.30	0.08	0.08	25.0%	25.0%	100.0%
228002 Maintenance - Vehicles	0.05	0.03	0.00	60.0%	4.8%	8.0%
Class: Capital Purchases	0.47	0.19	0.16	40.1%	35.1%	87.6%
312202 Machinery and Equipment	0.43	0.19	0.16	43.4%	38.0%	87.6%
312203 Furniture & Fixtures	0.04	0.00	0.00	0.0%	0.0%	0.0%
Class: Arrears	0.21	0.21	0.21	100.0%	100.0%	100.0%
321605 Domestic arrears (Budgeting)	0.21	0.21	0.21	100.0%	100.0%	100.0%
Total for Vote	12.50	5.37	3.29	42.9%	26.3%	61.3%

Table V3.3: GoU Releases and Expenditure by Project and Programme*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1458 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	2.88	1.42	0.75	49.4%	26.1%	52.8%
<i>Recurrent SubProgrammes</i>						
02 Legal, Inspection and Compliance	0.38	0.15	0.06	39.3%	16.5%	41.9%
03 Operational Analysis	2.05	1.09	0.65	53.4%	31.6%	59.2%
04 Information Systems Administration and Security	0.45	0.18	0.04	39.7%	9.0%	22.6%
Program 1459 Policy, International Cooperation and Mutual Legal Assistance	9.62	3.94	2.54	41.0%	26.4%	64.4%
<i>Recurrent SubProgrammes</i>						
01 Headquarters	8.18	3.41	2.16	41.7%	26.3%	63.2%
05 International Relations and Strategic Analysis	0.87	0.31	0.22	35.3%	25.5%	72.1%
06 Internal Audit	0.10	0.04	0.00	38.5%	0.0%	0.0%
<i>Development Projects</i>						
1423 Support to Financial Intelligence Authority	0.47	0.19	0.16	40.1%	35.1%	87.6%
Total for Vote	12.50	5.37	3.29	42.9%	26.3%	61.3%

Table V3.4: External Financing Releases and Expenditure by Sub Programme

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
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QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 01 Compliance with AML and CFT laws and Regulations

		Item	Spent
Conduct public awareness to ensure compliance with AML/CFT requirements	Trained 280 police officers and 20 UWA investigation officers at the Police Training School, Kabalye - Masindi	211103 Allowances	59,680
AML/CFT supervision guidelines to regulatory authorities issued		221011 Printing, Stationery, Photocopying and Binding	472
Undertake supervision of non-regulated sectors to enforce AML/CFT compliance	Trained Non-Profit Organisations on their role as accountable persons.	227001 Travel inland	2,064
Risk based AML compliance programs for sectors where there are no regulators established	Consultative meeting with the Ministry of Lands, Housing and Urban Planning to draft guidelines for Real Estate dealers held.		
AML/CFT onsite undertaken	Issued guidelines and guidance notes on registration procedure to guide Accountable persons to comply with the AMLA.		
	Conducted 3 on-sight inspections for AML/CFT compliance on a risk-based approach covering 2 forex bureaus in Arua and 2 border points of Malaba and Vurra and issued recommendations for implementation by accountable persons		

Reasons for Variation in performance

Total	62,216
Wage Recurrent	0
Non Wage Recurrent	62,216
<i>AIA</i>	0

Output: 02 Legal Representation and Litigation

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QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
Procedures to enforce administrative sanctions established	The Anti – Money Laundering (Exchange of Information) Regulations 2018 was issued and gazetted on 24/9/2018 to comply with FATF standards	Item 211103 Allowances	Spent 480
Existing gaps in the current AML/CFT legislations addressed			
AMLA regulations 2015 reviewed, amended and revoked to ensure compliance and to meet FATF standards	Drafted amendments to the Anti-Money Laundering Regulations 2015 and submitted for approval to the MOFPED		
	FIA successfully challenged the application for judicial review for the freezing of accounts of Sundus & Others in accordance with the Anti-Terrorism Act, 2017 was dismissed with costs on 27th August 2018.		
	The FIA filed defences in 2 other applications against it for halting transactions in accordance with AMLA 2013 (Smart Protus Magara vs FIA – Smart Protus Magara and Palmfox Ltd vs DFCU, BOU, FIA)		

Reasons for Variation in performance

Total	480
Wage Recurrent	0
Non Wage Recurrent	480
<i>AIA</i>	0
Total For SubProgramme	62,696
Wage Recurrent	0
Non Wage Recurrent	62,696
<i>AIA</i>	0

Recurrent Programmes

Subprogram: 03 Operational Analysis

Outputs Provided

Output: 03 Analysis and Reporting Financial Operations

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QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
Intelligence reports disseminated to Law Enforcement Agencies	Intelligence reports were disseminated to law enforcement agencies for further investigation disaggregated as follows	Item	Spent
Specialized skills in financial analysis and investigations developed	Uganda Revenue Authority 03, Uganda Police Force (CIID) 03, Inspectorate of Government 01, and Directorate of Public Prosecutions 01	221003 Staff Training	398
Data base of AML/CFT statistics developed		224003 Classified Expenditure	647,000
Data base of AML/CFT statistics developed			
Improved collection, Analysis and dissemination of information to competent Authorities	Maintained and updated the database of all suspicious transactions, cash and /or monetary transactions and cross-border declarations received from accountable persons to facilitate analysis and generation of statistics on ML/TF		
Improved collection, Analysis and dissemination of information to competent Authorities			
Parallel ML/TF financial investigations initiated	85 STRs received against a target of 120 representing 70.8% performance and below the 122 reports received during the previous quarter. Of the 85 STRs, 83 were received from Banks and 2 from Forex Bureaus. 33 STRs were analysed and closed, 08 TSRs were disseminated and 44 STRs are still undergoing further analysis		
	Conducted 06 financial due diligence on intending investors to partner with government in infrastructure projects		

Reasons for Variation in performance

Total	647,398
Wage Recurrent	0
Non Wage Recurrent	647,398
<i>AIA</i>	0
Total For SubProgramme	647,398
Wage Recurrent	0
Non Wage Recurrent	647,398
<i>AIA</i>	0

Recurrent Programmes

Subprogram: 04 Information Systems Administration and Security

Outputs Provided

Output: 05 Ensure safety and integrity of FIA information

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QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
Support EGMONT Operations	Procured of a dedicated computer to handle Egmont information	Item	Spent
Capacity building of staff		221003 Staff Training	4,350
Information Security of the goAML infrastructure Strengthened	- Director IT trained in Cyber Security and Forensic investigations from ESAMI-Arusha(10th -21st September 2018)	221008 Computer supplies and Information Technology (IT)	6,166
GoAML electronic reporting system installed	-Introductory training for the new members of the IT,Analysis and Compliance teams on the use of goAML system held	221017 Subscriptions	10,000
IT equipment procured	Implemented recommendations of the NISF report to enhance IT security	225001 Consultancy Services- Short term	19,914
GoAML operations supported	The goAML electronic system was piloted to receive STRs/LCTRs from six banks namely: Barclays Bank, Standard Chartered Bank, DFCU Bank, Centenary Bank, Diamond Trust Bank and Stanbic Bank		
Reporting entities trained on the use of GoAML electric reporting system	Carried out sensitization of 8 MLCOs, 6 IT staff from banks and 2 IT vendors on registration and administration of users of the goAML system at their institutions. Piloted goAML electronic system to receive STRs/LCTRs from six banks namely: Barclays Bank, Standard Chartered Bank, DFCU Bank, Centenary Bank, Diamond Trust Bank and Stanbic Bank		

Reasons for Variation in performance

Total	40,429
Wage Recurrent	0
Non Wage Recurrent	40,429
<i>AIA</i>	0
Total For SubProgramme	40,429
Wage Recurrent	0
Non Wage Recurrent	40,429
<i>AIA</i>	0

Program: 59 Policy, International Cooperation and Mutual Legal Assistance

Recurrent Programmes

Subprogram: 01 Headquarters

Outputs Provided

Output: 04 FIA Support Services and Administration

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QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
FIA Business systems modernized	Unified Messaging and Collaboration System(UMCS) for effective government communication to ease information exchange successfully completed	Item	Spent
Strengthened staff capacity		211102 Contract Staff Salaries (Incl. Casuals, Temporary)	723,802
Corporate governance standards maintained		211103 Allowances	30,500
FIA ICT infrastructure safeguarded against evolving National and International Security threats		212101 Social Security Contributions	72,200
Physical security of Staff and premises effectively secured	-Induction and training of 17 new members of staff conducted	213004 Gratuity Expenses	84,000
Financial reporting and procurement systems established	Financial Intelligence Authority Strategic plan and ML/TF National Risk Assessment report approved and printed	221001 Advertising and Public Relations	8,000
Office operations facilitated	Security software tools to continuously monitor ICT equipment installed	221003 Staff Training	55,876
Competent staff recruited		221004 Recruitment Expenses	139,650
		221007 Books, Periodicals & Newspapers	1,240
		221008 Computer supplies and Information Technology (IT)	4,177
	Security provided for all staff at work and 3 top officials of FIA were provided with security on the way and at home.	221009 Welfare and Entertainment	46,795
		221011 Printing, Stationery, Photocopying and Binding	74,095
		221017 Subscriptions	300,000
	Staff provided with office stationery and other office tools	222001 Telecommunications	6,108
		223003 Rent – (Produced Assets) to private entities	213,729
	17 new staff members to fill the existing gaps recruited	223004 Guard and Security services	28,671
		223005 Electricity	13,128
		224004 Cleaning and Sanitation	4,875
		226001 Insurances	36,100
		227002 Travel abroad	25,000
		227004 Fuel, Lubricants and Oils	75,000
		228002 Maintenance - Vehicles	2,400

Reasons for Variation in performance

Total	1,945,346
Wage Recurrent	723,802
Non Wage Recurrent	1,221,544
AIA	0

Arrears

Total For SubProgramme	1,945,346
Wage Recurrent	723,802
Non Wage Recurrent	1,221,544
AIA	0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 02 Financial Intelligence Research and Strategic Development

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
Typologies/trends analysis studies produced	Developed Terms of reference for typology studies in the gaming and NGO sectors	Item	Spent
Reporting entities and other stakeholders trained in AML/CFT.		211103 Allowances	1,700
Communication strategy for FIA developed	Trained 5 institutions i.e. Action Aid on 31/07/2018, Ugafode Microfinance on 3/08/2018, EFC staff on 9/08/2018, Klyn Forex Bureau and Stanlib on 21/09/2018.	221002 Workshops and Seminars	114,102
coordinated mechanism for effective implementation of AML/CFT NRA/MER recommendations developed.		221003 Staff Training	15,217
Reports for FIA Planning and budgeting produced.		227002 Travel abroad	90,549
Increased public awareness on ML/TF	FIA disseminated the National Risk Assessment (NRA) report on 14/09/2018 at a function officiated by the Hon. MOFPED		
	4th Quarter report and end of year reports produced and submitted to management		
	1 newspaper article published in the Newvision of 13th September 2018, on the achievements of FIA in line with the National Development Plan (NDPII) and 1 article which was published in the Accountability Sector Bulletin about the effects of Money Laundering, the role of Accountable persons, competent authorities and the general public in the fight against Money Laundering and Terrorism Financing as stated in the AMLA.		

Reasons for Variation in performance

Total	221,568
Wage Recurrent	0
Non Wage Recurrent	221,568
<i>AIA</i>	0
Total For SubProgramme	221,568
Wage Recurrent	0
Non Wage Recurrent	221,568
<i>AIA</i>	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 77 Purchase of Specialised Machinery & Equipment

Procure specialized machinery and Equipment	Procured 19 computer laptops and their accessories	Item	Spent
		312202 Machinery and Equipment	163,294

Reasons for Variation in performance

Total	163,294
GoU Development	163,294

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
		External Financing	0
		AIA	0
		Total For SubProgramme	163,294
		GoU Development	163,294
		External Financing	0
		AIA	0
		GRAND TOTAL	3,080,731
		Wage Recurrent	723,802
		Non Wage Recurrent	2,193,635
		GoU Development	163,294
		External Financing	0
		AIA	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
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Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 01 Compliance with AML and CFT laws and Regulations

		Item	Spent
Identify the compliance gaps within accountable Persons and coordinate the training to support the entities. Develop the regulatory guidelines one onsite inspections to be conducted Development of the Risk based compliance matrix Two onsite inspection conducted	Trained 280 police officers and 20 UWA investigation officers at the Police Training School, Kabalye - Masindi	211103 Allowances	59,680
	Trained Non-Profit Organisations on their role as accountable persons. Consultative meeting with the Ministry of Lands, Housing and Urban Planning to draft guidelines for Real Estate dealers held.	221011 Printing, Stationery, Photocopying and Binding	472
	Issued guidelines and guidance notes on registration procedure to guide Accountable persons to comply with the AMLA.	227001 Travel inland	2,064
	Conducted 3 on-sight inspections for AML/CFT compliance on a risk-based approach covering 2 forex bureaus in Arua and 2 border points of Malaba and Vurra and issued recommendations for implementation by accountable persons		

Reasons for Variation in performance

Total	62,216
Wage Recurrent	0
Non Wage Recurrent	62,216
<i>A/A</i>	0

Output: 02 Legal Representation and Litigation

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
Develop guidelines for enforcing the administrative sanctions Identification of the legal gaps Draft the Regulations in line with the amended AMLA 2016	<p>The Anti – Money Laundering (Exchange of Information) Regulations 2018 was issued and gazetted on 24/9/2018 to comply with FATF standards</p> <p>Drafted amendments to the Anti-Money Laundering Regulations 2015 and submitted for approval to the MOFPED</p> <p>FIA successfully challenged the application for judicial review for the freezing of accounts of Sundus & Others in accordance with the Anti-Terrorism Act, 2017 was dismissed with costs on 27th August 2018.</p> <p>The FIA filed defences in 2 other applications against it for halting transactions in accordance with AMLA 2013 (Smart Protus Magara vs FIA – Smart Protus Magara and Palmfox Ltd vs DFCU, BOU, FIA)</p>	<p>Item</p> <p>211103 Allowances</p>	<p>Spent</p> <p>480</p>

Reasons for Variation in performance

Total	480
Wage Recurrent	0
Non Wage Recurrent	480
AIA	0
Total For SubProgramme	62,696
Wage Recurrent	0
Non Wage Recurrent	62,696
AIA	0

Recurrent Programmes

Subprogram: 03 Operational Analysis

Outputs Provided

Output: 03 Analysis and Reporting Financial Operations

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
Analyzing and coloration of all the reports received and produce intelligence report and disseminate to LEA Training of staff Compile and develop data base and continuous updating of information Compile and develop data base and continuous updating of information Receive suspicious transaction Reports, Large Cash Transaction Reports and cross border declaration of cash and BNI Receive suspicious transaction Reports, Large Cash Transaction Reports and cross border declaration of cash and BNI Engage LEA to enforce parallel financial investigation along side the predicate offences	Intelligence reports were disseminated to law enforcement agencies for further investigation disaggregated as follows Uganda Revenue Authority 03, Uganda Police Force (CIID) 03, Inspectorate of Government 01, and Directorate of Public Prosecutions 01 Maintained and updated the database of all suspicious transactions, cash and /or monetary transactions and cross-border declarations received from accountable persons to facilitate analysis and generation of statistics on ML/TF 85 STRs received against a target of 120 representing 70.8% performance and below the 122 reports received during the previous quarter. Of the 85 STRs, 83 were received from Banks and 2 from Forex Bureaus. 33 STRs were analysed and closed, 08 TSRs were disseminated and 44 STRs are still undergoing further analysis Conducted 06 financial due diligence on intending investors to partner with government in infrastructure projects	Item 221003 Staff Training 224003 Classified Expenditure	Spent 398 647,000

Reasons for Variation in performance

Total	647,398
Wage Recurrent	0
Non Wage Recurrent	647,398
AIA	0

Output: 04 Coordination of AML/CFT enforcement entities

Stakeholder meetings to address crosscutting AML/CFT Issues	Item	Spent
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Reasons for Variation in performance

Total	0
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0
Total For SubProgramme	647,398
Wage Recurrent	0
Non Wage Recurrent	647,398
AIA	0

Recurrent Programmes

Subprogram: 04 Information Systems Administration and Security

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
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Outputs Provided

Output: 05 Ensure safety and integrity of FIA information

		Item	Spent
Continuous support to EGMONT users and monitoring the smooth operation of the system	Procured of a dedicated computer to handle Egmont information	221003 Staff Training	4,350
training of staff	- Director IT trained in Cyber Security and Forensic investigations from ESAMI-Arusha(10th -21st September 2018)	221008 Computer supplies and Information Technology (IT)	6,166
Provide continuous monitoring of the Information security	Procure heavy duty photocopiers	221017 Subscriptions	10,000
Piloting the system	Continuous support to users and monitoring the smooth operation of the system	225001 Consultancy Services- Short term	19,914
Training of Reporting entities in the use of GoAML electronic Reporting system	Introductions for the new members of the IT, Analysis and Compliance teams on the use of goAML system held		
	Implemented recommendations of the NISF report to enhance IT security		
	The goAML electronic system was piloted to receive STRs/LCTRs from six banks namely: Barclays Bank, Standard Chartered Bank, DFCU Bank, Centenary Bank, Diamond Trust Bank and Stanbic Bank		
	Carried out sensitization of 8 MLCOs, 6 IT staff from banks and 2 IT vendors on registration and administration of users of the goAML system at their institutions.		
	Piloted goAML electronic system to receive STRs/LCTRs from six banks namely: Barclays Bank, Standard Chartered Bank, DFCU Bank, Centenary Bank, Diamond Trust Bank and Stanbic Bank		

Reasons for Variation in performance

Total	40,429
Wage Recurrent	0
Non Wage Recurrent	40,429
A/A	0
Total For SubProgramme	40,429
Wage Recurrent	0
Non Wage Recurrent	40,429
A/A	0

Program: 59 Policy, International Cooperation and Mutual Legal Assistance

Recurrent Programmes

Subprogram: 01 Headquarters

Outputs Provided

Output: 04 FIA Support Services and Administration

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
Re-design, manage, host and continually update FIA website Install a Unified Messaging and Collaboration System (UMCS) to effectively network, coordinate, and share financial intelligence Assess training needs and train staff Adherence to Corporate governance standards Monitoring and safeguarding of the ICT infrastructure against security threats Provide for security of staff while at work and protect entitled staff on the way and at home Produce financial management reports Ensure proper financial management of FIA funds Ensure that services, goods and works are procured and disposed off as per the PPDA rules and regulations Provide assorted office requirements for all staff Initiate the recruitment process	Unified Messaging and Collaboration System(UMCS) for effective government communication to ease information exchange successfully completed -Induction and training of 17 new members of staff conducted Financial Intelligence Authority Strategic plan and ML/TF National Risk Assessment report approved and printed Security software tools to continuously monitor ICT equipment installed Security provided for all staff at work and 3 top officials of FIA were provided with security on the way and at home. Staff provided with office stationery and other office tools 17 new staff members to fill the existing gaps recruited	Item 211102 Contract Staff Salaries (Incl. Casuals, Temporary) 211103 Allowances 212101 Social Security Contributions 213004 Gratuity Expenses 221001 Advertising and Public Relations 221003 Staff Training 221004 Recruitment Expenses 221007 Books, Periodicals & Newspapers 221008 Computer supplies and Information Technology (IT) 221009 Welfare and Entertainment 221011 Printing, Stationery, Photocopying and Binding 221017 Subscriptions 222001 Telecommunications 223003 Rent – (Produced Assets) to private entities 223004 Guard and Security services 223005 Electricity 224004 Cleaning and Sanitation 226001 Insurances 227002 Travel abroad 227004 Fuel, Lubricants and Oils 228002 Maintenance - Vehicles	Spent 723,802 30,500 72,200 84,000 8,000 55,876 139,650 1,240 4,177 46,795 74,095 300,000 6,108 213,729 28,671 13,128 4,875 36,100 25,000 75,000 2,400

Reasons for Variation in performance

	Total	1,945,346
	Wage Recurrent	723,802
	Non Wage Recurrent	1,221,544
	A/A	0
Arrears		
	Total For SubProgramme	1,945,346
	Wage Recurrent	723,802
	Non Wage Recurrent	1,221,544
	A/A	0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 01 International Mutual Legal Assistance

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
Application to EGMONTContinuous updating of the template for recommendations of the MER and monitor progress and request ESAAMLG to revise ratings.Participate in scheduled ESAAMLG, EGMONT and FATF activitiesSpontaneously and upon requests exchange information with other FIUS and other relevant jurisdictions	The application to join EGMONT group was submitted for consideration The rerating for Uganda's mutual evaluation recommendations was submitted to ESAAMLG Council of ministers meeting in Seychelles (August 29-7th September 2018) 4 members of Top management from FIA attended and participated in ESAAMLG meetings on 29th August -7th September 2018 5 requests for Information sharing and exchange between FIA and FIU Tanzania, NCA UK, FIC South Africa and FIU Slovenia	Item	Spent

Reasons for Variation in performance

Total	0
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0

Output: 02 Financial Intelligence Research and Strategic Development

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Item	Spent
Develop Terms of reference of the studyTraining of reporting entities on AML/CFTEngage the stakeholders to review progress of implementation of NRA and MER recommendations.Produce FIA quarterly performance reportsUndertake public awareness campaigns and trainings of stakeholders	Developed Terms of reference for typology studies in the gaming and NGO sectors Trained 5 institutions i.e. Action Aid on 31/07/2018, Ugafode Microfinance on 3/08/2018, EFC staff on 9/08/2018, Klyn Forex Bureau and Stanlib on 21/09/2018. FIA disseminated the National Risk Assessment (NRA) report on 14/09/2018 at a function officiated by the Hon. MOFPED 4th Quarter report and end of year reports produced and submitted to management 1 newspaper article published in the Newvision of 13th September 2018, on the achievements of FIA in line with the National Development Plan (NDPII) and 1 article which was published in the Accountability Sector Bulletin about the effects of Money Laundering, the role of Accountable persons, competent authorities and the general public in the fight against Money Laundering and Terrorism Financing as stated in the AMLA.	211103 Allowances 221002 Workshops and Seminars 221003 Staff Training 227002 Travel abroad	1,700 114,102 15,217 90,549

Reasons for Variation in performance

Total	221,568
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Vote:129 Financial Intelligence Authority (FIA)

QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Wage Recurrent	0
		Non Wage Recurrent	221,568
		AIA	0
		Total For SubProgramme	221,568
		Wage Recurrent	0
		Non Wage Recurrent	221,568
		AIA	0

Recurrent Programmes

Subprogram: 06 Internal Audit

Outputs Provided

Output: 03 Development and Management of Internal Audit and Controls

Item	Spent
Undertake a risk based audit of FIAs Internal Control Environment	Q4 audit report produced and submitted to management
Publish and disseminate quarterly internal audit reports to eligible stake holders	
Provides assurance on efficiency and effectiveness of FIA in administration of programs	

Reasons for Variation in performance

Total	0
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0
Total For SubProgramme	0
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 77 Purchase of Specialised Machinery & Equipment

Item	Spent
initiate procurement process for computers and photocopiers and other assorted machinery and equipment	Procured 19 computer laptops and their accessories
	312202 Machinery and Equipment
	163,294

Reasons for Variation in performance

Total	163,294
GoU Development	163,294
External Financing	0
AIA	0

Output: 78 Purchase of Office and Residential Furniture and Fittings

QUARTER 1: Outputs and Expenditure in Quarter

22/27

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)
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Program: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 01 Compliance with AML and CFT laws and Regulations

	Item	Balance b/f	New Funds	Total
Two onsite inspection conducted	211103 Allowances	30,320	0	30,320
Approval of the Regulatory guidelines	221003 Staff Training	10,000	0	10,000
one onsite inspections to be conducted	221011 Printing, Stationery, Photocopying and Binding	2,028	0	2,028
Disseminate the risk based compliance matrix to the non regulated sectors	227001 Travel inland	7,936	0	7,936
	Total	50,284	0	50,284
Identify the compliance gaps within accountable Persons and coordinate the training to support the entities.	Wage Recurrent	0	0	0
	Non Wage Recurrent	50,284	0	50,284
	AIA	0	0	0

Output: 02 Legal Representation and Litigation

	Item	Balance b/f	New Funds	Total
Approval of guidelines	211103 Allowances	9,520	0	9,520
forward the identified gaps to the Ministry of Finance to initiate drafting of the Bills	221003 Staff Training	15,000	0	15,000
Approval of the regulations	221007 Books, Periodicals & Newspapers	2,000	0	2,000
	225001 Consultancy Services- Short term	10,000	0	10,000
	Total	36,520	0	36,520
	Wage Recurrent	0	0	0
	Non Wage Recurrent	36,520	0	36,520
	AIA	0	0	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Subprogram: 03 Operational Analysis

Outputs Provided

Output: 03 Analysis and Reporting Financial Operations

	Item	Balance b/f	New Funds	Total
Receive suspicious transaction Reports, Large Cash Transaction Reports and cross border declaration of cash and BNI	221003 Staff Training	11,603	0	11,603
	221009 Welfare and Entertainment	36,000	0	36,000
Compile and develop data base and continuous updating of information	221011 Printing, Stationery, Photocopying and Binding	2,500	0	2,500
	224003 Classified Expenditure	331,507	0	331,507
Training of staff	225001 Consultancy Services- Short term	20,000	0	20,000
Receive suspicious transaction Reports, Large Cash Transaction Reports and cross border declaration of cash and BNI	Total	401,610	0	401,610
	Wage Recurrent	0	0	0
	Non Wage Recurrent	401,610	0	401,610
	AIA	0	0	0
Analyzing and coloration of all the reports received and produce intelligence report and disseminate to LEA				
Compile and develop data base and continuous updating of information				
Engage LEA to enforce parallel financial investigation along side the predicate offences				

Training of staff

Output: 04 Coordination of AML/CFT enforcement entities

	Item	Balance b/f	New Funds	Total
Stakeholder meetings to address crosscutting AML/CFT Issues	211103 Allowances	45,000	0	45,000
	Total	45,000	0	45,000
	Wage Recurrent	0	0	0
	Non Wage Recurrent	45,000	0	45,000
	AIA	0	0	0

Subprogram: 04 Information Systems Administration and Security

Outputs Provided

Output: 05 Ensure safety and integrity of FIA information

	Item	Balance b/f	New Funds	Total
Training of Reporting entities in the use of GoAML electronic Reporting system	221003 Staff Training	14,150	0	14,150
Roll out the financial institutions in a phased manner	221008 Computer supplies and Information Technology (IT)	43,835	0	43,835
Continuous support to users and monitoring the smooth operation of the system	225001 Consultancy Services- Short term	80,086	0	80,086
	Total	138,071	0	138,071
	Wage Recurrent	0	0	0
	Non Wage Recurrent	138,071	0	138,071
	AIA	0	0	0
procure laptop computers				
Provide continuous monitoring of the Information security				
training of staff				

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)
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Development Projects

Program: 59 Policy, International Cooperation and Mutual Legal Assistance

Recurrent Programmes

Subprogram: 01 Headquarters

Outputs Provided

Output: 04 FIA Support Services and Administration

	Item	Balance b/f	New Funds	Total
Establish a help line to receive feedback and attend to general inquiries	211102 Contract Staff Salaries (Incl. Casuals, Temporary)	145,548	0	145,548
Maintain computers and IT systems and equipment in sound health through managing SLAs (4 SLAs for voice, power, hardware, software)	211103 Allowances	2,500	0	2,500
Procure Internet Services procured (5Mbps)	212101 Social Security Contributions	14,710	0	14,710
	213004 Gratuity Expenses	89,820	0	89,820
Monitoring and safeguarding of the ICT infrastructure against security threats	221001 Advertising and Public Relations	2,000	0	2,000
	221003 Staff Training	115,624	0	115,624
Training staff	221004 Recruitment Expenses	40,350	0	40,350
Recruit staff	221006 Commissions and related charges	220,000	0	220,000
Provide for security of staff while at work and protect entitled staff on the way and at home	221007 Books, Periodicals & Newspapers	1,260	0	1,260
	221008 Computer supplies and Information Technology (IT)	50,823	0	50,823
Adherence to Corporate governance standards	221009 Welfare and Entertainment	83,205	0	83,205
Produce financial management reports	221011 Printing, Stationery, Photocopying and Binding	5,905	0	5,905
Ensure proper financial management of FIA funds	221012 Small Office Equipment	14,000	0	14,000
Ensure that services, goods and works are procured and disposed off as per the PPDA rules and regulations	222001 Telecommunications	3,893	0	3,893
	223003 Rent – (Produced Assets) to private entities	286,271	0	286,271
Provide assorted office requirements for all staff	223004 Guard and Security services	56,329	0	56,329
	223005 Electricity	4,872	0	4,872
	224004 Cleaning and Sanitation	4,125	0	4,125
	225001 Consultancy Services- Short term	10,000	0	10,000
	226001 Insurances	63,900	0	63,900
	227001 Travel inland	13,000	0	13,000
	228002 Maintenance - Vehicles	27,600	0	27,600
	Total	1,255,734	0	1,255,734
	Wage Recurrent	145,548	0	145,548
	Non Wage Recurrent	1,110,186	0	1,110,186
	AIA	0	0	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 02 Financial Intelligence Research and Strategic Development

	Item	Balance b/f	New Funds	Total
Undertake the typology studies	211103 Allowances	10,800	0	10,800
Engage the stakeholders to review progress of implementation of NRA and MER recommendations.	221002 Workshops and Seminars	40,898	0	40,898
Produce FIA quarterly performance reports	221003 Staff Training	9,783	0	9,783
Undertake public awareness campaigns and trainings of stakeholders	225001 Consultancy Services- Short term	15,000	0	15,000
	227002 Travel abroad	9,451	0	9,451
Training of reporting entities on AML/CFT	Total	85,932	0	85,932
	Wage Recurrent	0	0	0
	Non Wage Recurrent	85,932	0	85,932
	AIA	0	0	0

Subprogram: 06 Internal Audit

Outputs Provided

Output: 03 Development and Management of Internal Audit and Controls

	Item	Balance b/f	New Funds	Total
Undertake a risk based audit of FIAs Internal Control Environment	211103 Allowances	28,000	0	28,000
Publish and disseminate quarterly internal audit reports to eligible stake holders	221003 Staff Training	8,500	0	8,500
Provides assurance on efficiency and effectiveness of FIA in administration of programs	221011 Printing, Stationery, Photocopying and Binding	2,000	0	2,000
	Total	38,500	0	38,500
	Wage Recurrent	0	0	0
	Non Wage Recurrent	38,500	0	38,500
	AIA	0	0	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 77 Purchase of Specialised Machinery & Equipment

	Item	Balance b/f	New Funds	Total
procure computes and photocopiers and other assorted machinery and equipment	312202 Machinery and Equipment	23,206	0	23,206
	Total	23,206	0	23,206
	GoU Development	23,206	0	23,206
	External Financing	0	0	0
	AIA	0	0	0
	GRAND TOTAL	2,074,857	0	2,074,857
	Wage Recurrent	145,548	0	145,548
	Non Wage Recurrent	1,906,102	0	1,906,102

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Revised Workplan

UShs Thousand	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releaes)			
		GoU Development	23,206	0	23,206
		External Financing	0	0	0
		AIA	0	0	0