

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (UShs Billion)

		Approved Budget	Released by End Q 2	Spent by End Q2	% Budget Released	% Budget Spent	% Releases Spent
Recurrent	Wage	3.477	1.739	1.717	50.0%	49.4%	98.7%
	Non Wage	9.324	4.319	3.707	46.3%	39.8%	85.8%
Dev't.	GoU	0.215	0.172	0.108	80.0%	50.2%	62.5%
	Ext. Fin.	0.000	0.000	0.000	0.0%	0.0%	0.0%
GoU Total		13.017	6.230	5.532	47.9%	42.5%	88.8%
Total GoU+Ext Fin (MTEF)		13.017	6.230	5.532	47.9%	42.5%	88.8%
	Arrears	0.000	0.000	0.000	0.0%	0.0%	0.0%
Total Budget		13.017	6.230	5.532	47.9%	42.5%	88.8%
	<i>A.I.A Total</i>	0.000	0.000	0.000	0.0%	0.0%	0.0%
Grand Total		13.017	6.230	5.532	47.9%	42.5%	88.8%
Total Vote Budget Excluding Arrears		13.017	6.230	5.532	47.9%	42.5%	88.8%

Table V1.2: Releases and Expenditure by Program*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	% Releases Spent
Program: 1412 General Administration and Support Services	8.71	4.37	4.05	50.2%	46.4%	92.5%
Program: 1421 Prevention of ML/TF and Financial Intelligence Information Management	4.31	1.86	1.49	43.1%	34.5%	80.1%
Total for Vote	13.02	6.23	5.53	47.9%	42.5%	88.8%

Matters to note in budget execution

Less funds were released for the Quarter under review compared to amounts expected as per the cash flow plan and this negatively affected various operational activities during the quarter.

Funds not spent are already committed and activities are to be conducted in the next quarter.

Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)

<i>(i) Major unspent balances</i>	
Programs , Projects	
Program 1412 General Administration and Support Services	
0.040 Bn Shs	SubProgram/Project :07 Finance and Administration
Reason: Supplier had not delivered invoice for payment to be effected	

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

<i>Items</i>		
15,400,150.000 UShs	223005 Electricity	
	Reason: Supplier had not delivered invoice for payment to be effected	
14,570,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding	
	Reason: Supplier had not delivered invoice for payment to be effected	
0.161 Bn Shs	<i>SubProgram/Project :09 Human Resource Management Services</i>	
	Reason: Funds were not enough for the activity. Activity to be conducted in Q3	
<i>Items</i>		
85,831,850.000 UShs	221006 Commissions and related charges	
	Reason: Funds were not enough for the activity. Activity to be conducted in Q3	
74,040,000.000 UShs	213004 Gratuity Expenses	
	Reason: The recruitment process for the Director IT Systems and Security had not been completed	
1,000,000.000 UShs	213001 Medical expenses (To employees)	
	Reason: Funds meant to cater for the additional Director IT Systems and Security medical insurance expenses	
0.065 Bn Shs	<i>SubProgram/Project :1423 Support to Financial Intelligence Authority</i>	
	Reason: Supplier had not provided invoice for payment to be effected.	
<i>Items</i>		
64,500,000.000 UShs	312213 ICT Equipment	
	Reason: Supplier had not provided invoice for payment to be effected.	
Program 1421 Prevention of ML/TF and Financial Intelligence Information Management		
0.078 Bn Shs	<i>SubProgram/Project :02 Legal, Inspection and Compliance</i>	
	Reason: Supplier had not delivered invoice for payment to be effected	
<i>Items</i>		
38,500,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding	
	Reason: Supplier had not delivered invoice for payment to be effected	
25,000,000.000 UShs	221003 Staff Training	
	Reason: Funds were not enough to cover the activity. Awaiting for more funds to be released	
10,300,000.000 UShs	221017 Subscriptions	
	Reason: Funds were not enough to cater for the subscriptions awaiting for release of funds in next quarter for payment to be effected.	
3,830,000.000 UShs	221007 Books, Periodicals & Newspapers	
	Reason: Supplier had not delivered invoice for payment to be effected	
0.054 Bn Shs	<i>SubProgram/Project :05 International Relations and Strategic Analysis</i>	
	Reason: Funds were not enough for the activity. Activity to be conducted next quarter	
<i>Items</i>		

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

23,047,250.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Supplier had not delivered invoice by end of quarter	
18,780,545.000 UShs	221001 Advertising and Public Relations
Reason: Supplier had not delivered invoice by end of quarter	
11,170,000.000 UShs	227001 Travel inland
Reason: Funds were not enough for the activity. Activity to be conducted next quarter	
750,000.000 UShs	221007 Books, Periodicals & Newspapers
Reason: Supplier had not delivered invoice by end of quarter	
0.176 Bn Shs	SubProgram/Project :07 Operational Analysis
Reason: The consultant was yet to complete the assignment and payment will be made upon completion of assignment	
<i>Items</i>	
100,000,000.000 UShs	225001 Consultancy Services- Short term
Reason: The consultant was yet to complete the assignment and payment will be made upon completion of assignment	
20,000,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Supplier had not delivered invoice for payment to be effected	
16,768,260.000 UShs	221008 Computer supplies and Information Technology (IT)
Reason: Supplier had not delivered invoice for payment to be effected	
0.035 Bn Shs	SubProgram/Project :08 AML Systems and ICT Management
Reason: Funds were not enough to cater for the activity. It has been scheduled to be conducted in the next quarter upon receipt of more funds	
<i>Items</i>	
23,380,000.000 UShs	221003 Staff Training
Reason: Funds were not enough to cater for the activity. It has been scheduled to be conducted in the next quarter upon receipt of more funds	
8,250,000.000 UShs	227001 Travel inland
Reason: Funds were not enough to cater for the activity. It has been scheduled to be conducted in the next quarter upon receipt of more funds	
2,500,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Supplier had not delivered invoice for payment to be effected	
500,000.000 UShs	221007 Books, Periodicals & Newspapers
Reason: Supplier had not delivered invoice for payment to be effected	
(ii) Expenditures in excess of the original approved budget	

V2: Performance Highlights

Table V2.1: Programme Outcome and Outcome Indicators*

Programme : 12 General Administration and Support Services

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

Responsible Officer: Sydney Asubo			
Programme Outcome: An Efficient and effective Financial Intelligence Authority (FIA)			
Sector Outcomes contributed to by the Programme Outcome			
1 .Sustainable Macroeconomic Stability			
Programme Outcome Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Level of Compliance of the Authority's planning and Budgeting instruments to NDPII	Percentage	70%	40%
Level of compliance of the Authority to Gender and Equity budgeting	Percentage	80%	20%
Annual External Auditor General Rating of the Authority	Percentage	100%	92%
Programme : 21 Prevention of ML/TF and Financial Intelligence Information Management			
Responsible Officer: Wandera Were Samuel			
Programme Outcome: Reduced level of ML and TF cases in all the regions of the country			
Sector Outcomes contributed to by the Programme Outcome			
1 .Sustainable Macroeconomic Stability			
Programme Outcome Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Proportion of ML/TF cases disseminated to LEDs with disaggregated data	Percentage	100%	40%
Proportion of STRs analyzed and indicating disaggregated data in terms of age, sex and nationality	Percentage	100%	55%

Table V2.2: Key Vote Output Indicators*

Programme : 12 General Administration and Support Services			
Sub Programme : 07 Finance and Administration			
KeyOutputPut : 01 FIA Support Services and Administration			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
No of Financial Statements produced	Number	2	1
Percentage of the Strategic actions in the Strategic Plan delivered	Percentage	80%	20%
KeyOutputPut : 21 Development of Internal Audit Controls and Risk Management			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Percentage of audit recommendations implemented	Percentage	100%	50%
Percentage of the strategic actions in the Strategic Plan delivered	Percentage	60%	20%
No. of risk management assessments conducted	Number	4	1
Sub Programme : 09 Human Resource Management Services			

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

KeyOutputPut : 19 Human Resource Management Services			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Percentage of approved FIA structure filled by gender and PWDs	Percentage	70%	59%
Number of staff trained in relevant capacity building by gender	Number	20	17
Programme : 21 Prevention of ML/TF and Financial Intelligence Information Management			
Sub Programme : 02 Legal, Inspection and Compliance			
KeyOutputPut : 03 Compliance with AML and CFT laws and Regulations			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Percentage of accountable persons issued with certificates of registration	Percentage	60%	5%
Number of inspection reports from regulatory bodies reviewed	Number	3	1
Number of sanctions applied and disaggregated by Accountable Persons	Number	1	0
KeyOutputPut : 04 Legal Representation and Litigation			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Percentage of cases concluded and forwarded for prosecution	Percentage	100%	25%
Sub Programme : 05 International Relations and Strategic Analysis			
KeyOutputPut : 05 Coordination of the implementation of AML/CFT NRA and MER recommendations			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Number of AML/CFT Awareness campaigns conducted by region	Number	5	0
Proportion of Accountable persons and supervisory bodies trained on AML/CFT	Percentage	50%	12.5%
Number of recommendations from AML/CFT coordination forum implemented	Number	14	3
KeyOutputPut : 06 Financial Intelligence Research and Strategic Development			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Number of studies on ML/TF trends and methods concluded with disaggregated data	Number	2	
Proportion of recommendations from MER and NRA	Number	14	
Sub Programme : 07 Operational Analysis			

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

KeyOutPut : 01 Analysis and Reporting Financial Operations in the different Sectors			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Number of (STR)/LCTR/CBR received and analysed with disaggregated data	Number	480	302
Proportion of STRs analysed and disseminated for investigations to the relevant LEAs	Percentage	100%	20%
Number of due diligence requests on companies handled classified from each requesting MDA	Number	10	5
Sub Programme : 08 AML Systems and ICT Management			
KeyOutPut : 02 Ensure safety and integrity of FIA information			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q2
Number of reporting entities using goAML system disaggregated by Accountable persons	Number	20	3
Number of statistical databases on STR/LCTR/CBR established and maintained to reflect national character	Number	1	1

Performance highlights for the Quarter

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

During this quarter, 302 Suspicious Transaction Reports (STRs) were received and analysed. Intelligence information was gathered from various sources to assist in collaborating the financial analysis process. Out of the 302 STRs received and analysed, 04 intelligence reports were generated and disseminated to various Law Enforcement Agencies (LEAs) for further management and investigation. All databases were regularly updated with new information. 05 financial due diligence request were received from MoFPED, 2 cases have been concluded and submitted and 3 cases are still ongoing. Disseminated 04 STRs; and Closed 231 STR files, while 69 STRs files remained pending receipt of additional information to enable further analysis.

2 onsite inspections were conducted during the quarter and these include; 21/10/2019 Finance Trust Bank Ltd (FTB), 07/11/2019, Equity Bank Uganda Limited – the AML/CFT issues identified were shared with the management of the respective banks for their consideration..

FIA issued 5 opinions for purposes of guidance to accountable persons;

- i. 17/12/19, opinion to Stanbic bank on clarification on Section 6 (3) (a) of the Anti-Money Laundering Act, 2013, which was raised by BoU in their 2019 Onsite report as the bank did not verify work permits for non-residents, residential addresses and or identity of some account signatories for some of its top depositors, which reflects weaknesses in the bank's AML monitoring processes.
- ii. 19/12/19, opinion to Bank of Africa on identification and verification of Beneficial Ownership with regards to wire transfers.
- iii. 20/12/19, opinion to MTN on KYC requirements and financial inclusion.
- iv. 28/11/19, opinion to Arachi Forex Bureau on PEP lists, sanctioned individuals and countries.

3 Legal gap were addressed i.e;

- i) The amendment bill 2019 of AMLA 2013 S. 38 (1) (b) was passed by parliament and the President accented to it on the 19th/9/2019 passing it into law to allow for the spontaneous exchange of information.
- ii) The AML Regulations amendment to incorporate enforcement of administrative sanctions on reporting entities that fail to comply with AMLA. The draft administrative sanctions are still under review by First Parliamentary Council (FPC).
- iii) The amendment of the second schedule of AMLA, 2013 as amended, to incorporate Virtual Assets Service Providers (VASPs) as accountable persons. FIA is still fast tracking this amendment through the office of the Honourable Minister of MOFPED.

2 Legal gaps are still being fast tracked; The AML Regulations amendment to incorporate enforcement of administrative sanctions on reporting entities that fail to comply with AMLA are under review by First Parliamentary Council (FPC). And the amendment of the 2nd schedule of AMLA, 2013, to incorporate Virtual Assets Service Providers as accountable persons. This is currently before parliament for their consideration and approval. 4 Litigation cases were handled; i Wondu Alemu v Francis Asimwe, Julia Nansamba, Agro Seeds & Fisheries Ltd, Europet Ltd, KCB Bank (U) Ltd And FIA Civil Suit No. 300 of 2019. This is about KCB and FIA for unfreezing account. The court is yet to set a hearing date. ii Uganda Health Marketing Group (UHMG) v FIA, HCMC No. 179 of 2019 on Application for judicial review challenging the FIA's decision to freeze UHMG accounts - Ruling was delivered on 1/11/19 at 10am. The applicant's freezing order by the FIA against the applicant's account held with Standard Chartered Bank was lifted. iii Tadeo Sseruwagi v FIA & Smart Protus Magara HCMC No. 311 of 2019 on Application for review of court's judgment in the case of Smart Protus Magara v FIA MISC Cause No. 215 of 2018 - The ruling will be delivered on 28/2/20. iv Smart Protus & 138 others -V- Mwesigwa & 24 Others HCCS NO.132 of 2018, Bulime Bob & Another HCCS NO. 115 of 2018, Josephine Kasajja & Others HCCS NO. 19 of 2018 and Micheal Akampurira & Another HCCS NO. 855 of 2018 - All the listed applications were consolidated and court directed that a verification committee be set up to verify all the claims made. The verification committee was set up comprising of, URSB, FIA, Smart Protus Magara, Kantutu & Co. Advocates, Lubega, Ssakka & Co. Advocates and Yiga & Co. Advocates. The committee presented the verification criteria to court which was approved. Court granted six weeks to the committee to complete the verification exercise. The matter was therefore adjourned to 14/2/20 at 2:30pm

Conducted AML/CFT training for 15 reporting entities and over 300 staff and members of management for Accountable persons. These Included;

18/10/2019, trained Board Members of Finance Trust Bank and Anti-Money Laundering officers on AML/CFT compliance obligations. 28/10/2019, trained 50 staff of UGAFODE Microfinance LTD Management and other Head office staff on AML/CFT roles and obligations. 02/11/2019-trained 30 staff of Shumuk Forex bureau Grand Imperial Hotel. 28/11/2019, held at Serena Hotel. FIA was given an award for being the best visionary Government Financial Regulatory Authority of the year. 28/11/2019 – trained 80 staff of forex bureaus at ESAMI, 03/10/2019, trained 10 Property Developers' Voice members on their AML/CFT roles and obligations, 15/10/2019, trained 10 Real estate Developers on their AML/CFT roles and obligations, 16/10/2019, trained members of Association of Real Estate Agents of Uganda (AREA) on AML/CFT roles and obligation. 18/10/2019, trained Board of Directors of the Finance Trust Bank in AML/CFT. 16/11/2019, trained 25 staff and management of Metropolitan Forex Bureau on AML/CFT roles and obligations at their head office on Metropole House. 09/12/2019, trained 15 Board of Directors and Top Management Teams of the Tropical Bank. FIA participated in the Accountability sector interuniversity debate 2019, meant to increase understanding of Accountability issues among young people in universities on the achievements of FIA in line with the Accountability sector strategic Investment plan.

V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Output*

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1412 General Administration and Support Services	8.71	4.37	4.05	50.2%	46.4%	92.5%
<i>Class: Outputs Provided</i>	<i>8.50</i>	<i>4.20</i>	<i>3.94</i>	<i>49.5%</i>	<i>46.4%</i>	<i>93.7%</i>
141201 FIA Support Services and Administration	1.98	0.94	0.89	47.2%	44.9%	95.2%
141219 Human Resource Management Services	6.36	3.20	3.01	50.3%	47.2%	93.9%
141221 Development of Internal Audit Controls and Risk Management	0.15	0.07	0.04	43.5%	27.4%	63.0%
<i>Class: Capital Purchases</i>	<i>0.22</i>	<i>0.17</i>	<i>0.11</i>	<i>80.0%</i>	<i>50.0%</i>	<i>62.5%</i>
141276 Purchase of Office and ICT Equipment, including Software	0.22	0.17	0.11	80.0%	50.0%	62.5%
Program 1421 Prevention of ML/TF and Financial Intelligence Information Management	4.31	1.86	1.49	43.1%	34.5%	80.1%
<i>Class: Outputs Provided</i>	<i>4.31</i>	<i>1.86</i>	<i>1.49</i>	<i>43.1%</i>	<i>34.5%</i>	<i>80.1%</i>
142101 Analysis and Reporting Financial Operations in the different Sectors	2.13	0.99	0.82	46.7%	38.4%	82.2%
142102 Ensure safety and integrity of FIA information	0.50	0.13	0.09	26.6%	18.8%	70.8%
142103 Compliance with AML and CFT laws and Regulations	0.40	0.14	0.08	35.7%	20.3%	56.9%
142104 Legal Representation and Litigation	0.20	0.10	0.07	48.9%	34.6%	70.6%
142105 Coordination of the implementation of AML/CFT NRA and MER recommendations	0.68	0.42	0.36	61.9%	52.5%	84.7%
142106 Financial Intelligence Research and Strategic Development	0.40	0.07	0.07	17.5%	17.2%	98.7%
Total for Vote	13.02	6.23	5.53	47.9%	42.5%	88.8%

Table V3.2: 2019/20 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<i>Class: Outputs Provided</i>	<i>12.80</i>	<i>6.06</i>	<i>5.42</i>	47.3%	42.4%	89.5%
211102 Contract Staff Salaries	3.48	1.74	1.72	50.0%	49.4%	98.7%
211103 Allowances (Inc. Casuals, Temporary)	0.51	0.19	0.18	38.1%	35.9%	94.3%
212101 Social Security Contributions	0.35	0.17	0.17	50.0%	48.4%	96.8%
213001 Medical expenses (To employees)	0.20	0.00	0.00	0.5%	0.0%	0.0%
213004 Gratuity Expenses	0.70	0.35	0.27	50.0%	39.4%	78.8%
221001 Advertising and Public Relations	0.11	0.07	0.04	62.4%	36.2%	58.1%
221002 Workshops and Seminars	0.10	0.09	0.08	90.0%	83.6%	92.9%
221003 Staff Training	0.97	0.22	0.15	23.0%	15.7%	67.9%
221006 Commissions and related charges	0.96	0.43	0.34	44.5%	35.5%	79.9%
221007 Books, Periodicals & Newspapers	0.09	0.02	0.01	20.4%	10.8%	53.1%
221008 Computer supplies and Information Technology (IT)	0.05	0.04	0.02	70.0%	36.5%	52.1%
221009 Welfare and Entertainment	0.26	0.18	0.18	68.9%	66.6%	96.8%
221011 Printing, Stationery, Photocopying and Binding	0.22	0.16	0.06	73.9%	29.0%	39.3%
221012 Small Office Equipment	0.02	0.02	0.01	100.0%	27.8%	27.8%

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

221017 Subscriptions	0.51	0.36	0.35	71.4%	69.4%	97.1%
222001 Telecommunications	0.07	0.02	0.02	33.7%	28.0%	83.0%
223003 Rent – (Produced Assets) to private entities	0.92	0.44	0.42	47.8%	46.0%	96.2%
223004 Guard and Security services	0.10	0.09	0.09	82.2%	82.2%	100.0%
223005 Electricity	0.07	0.04	0.02	50.7%	28.7%	56.6%
224003 Classified Expenditure	1.76	0.85	0.85	48.2%	48.2%	100.0%
224004 Cleaning and Sanitation	0.05	0.03	0.03	68.8%	67.0%	97.5%
225001 Consultancy Services- Short term	0.48	0.11	0.01	21.9%	1.0%	4.8%
226001 Insurances	0.02	0.01	0.01	50.8%	25.0%	49.2%
227001 Travel inland	0.20	0.10	0.07	50.1%	35.2%	70.3%
227002 Travel abroad	0.20	0.13	0.13	64.3%	63.2%	98.3%
227004 Fuel, Lubricants and Oils	0.34	0.17	0.17	50.0%	50.0%	100.0%
228002 Maintenance - Vehicles	0.06	0.03	0.03	50.0%	50.0%	100.0%
Class: Capital Purchases	0.22	0.17	0.11	80.0%	50.0%	62.5%
312213 ICT Equipment	0.22	0.17	0.11	80.0%	50.0%	62.5%
Total for Vote	13.02	6.23	5.53	47.9%	42.5%	88.8%

Table V3.3: GoU Releases and Expenditure by Project and Programme*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1412 General Administration and Support Services	8.71	4.37	4.05	50.2%	46.4%	92.5%
07 Finance and Administration	2.13	1.00	0.93	47.0%	43.7%	93.1%
09 Human Resource Management Services	6.36	3.20	3.01	50.3%	47.2%	93.9%
<i>Development Projects</i>						
1423 Support to Financial Intelligence Authority	0.22	0.17	0.11	80.0%	50.0%	62.5%
Program 1421 Prevention of ML/TF and Financial Intelligence Information Management	4.31	1.86	1.49	43.1%	34.5%	80.1%
<i>Recurrent SubProgrammes</i>						
02 Legal, Inspection and Compliance	0.60	0.24	0.15	40.1%	25.1%	62.5%
05 International Relations and Strategic Analysis	1.08	0.49	0.43	45.5%	39.4%	86.7%
07 Operational Analysis	2.13	0.99	0.82	46.7%	38.4%	82.2%
08 AML Systems and ICT Management	0.50	0.13	0.09	26.6%	18.8%	70.8%
Total for Vote	13.02	6.23	5.53	47.9%	42.5%	88.8%

Table V3.4: External Financing Releases and Expenditure by Sub Programme

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
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Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Program: 12 General Administration and Support Services

Recurrent Programmes

Subprogram: 07 Finance and Administration

Outputs Provided

Output: 01 FIA Support Services and Administration

		Item	Spent
Office supplies for staff procured	Various office supplies for staff were	211103 Allowances (Inc. Casuals, Temporary)	52,500
Financial management and accountability	procured to ensure that staff had all the	221003 Staff Training	95,885
Policies and operation procedure	appropriate business tools to enable them	221017 Subscriptions	360
developed and enforced	perform their roles effectively and	223003 Rent – (Produced Assets) to private	424,059
Routine operations facilitated to ensure	efficiently. Financial management and	entities	
efficiency and effectiveness	Standard Operating Procedures were	223004 Guard and Security services	86,000
	developed and reviewed respectively to	223005 Electricity	20,100
	foster accountability and achieve	224003 Classified Expenditure	100,000
	operational efficiency All routine	224004 Cleaning and Sanitation	24,161
	operations were promptly facilitated to	226001 Insurances	75
	ensure efficiency and effectiveness.	227001 Travel inland	17,502
		227002 Travel abroad	27,922
		227004 Fuel, Lubricants and Oils	42,600

Reasons for Variation in performance

The performance pf this quarter was as per the planned target

Total	891,165
Wage Recurrent	0
Non Wage Recurrent	891,165
AIA	0

Output: 21 Development of Internal Audit Controls and Risk Management

		Item	Spent
Number of Risk based audits conducted	One Risk based audit was conducted and	211103 Allowances (Inc. Casuals, Temporary)	6,000
Number of times FIA Risk register is	findings assisted the organisation in	221011 Printing, Stationery, Photocopying and	5,430
updated	focusing its resources on the high risk	Binding	
	areas as first priority. The FIA risk	227001 Travel inland	7,420
	register was updated once during quarter	227002 Travel abroad	15,000
	and it aided departments in proactively	227004 Fuel, Lubricants and Oils	7,200
	mitigating the identified risks		

Reasons for Variation in performance

The performance pf this quarter was as per the planned target

Total	41,050
Wage Recurrent	0
Non Wage Recurrent	41,050
AIA	0
Total For SubProgramme	932,215
Wage Recurrent	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		Non Wage Recurrent	932,215
		AIA	0

Recurrent Programmes

Subprogram: 09 Human Resource Management Services

Outputs Provided

Output: 19 Human Resource Management Services

Management of human capital	The available Human capital was effectively managed through retooling, effective deployment, and timely objective half year performance assessments reviews conducted.	Item	Spent
		211102 Contract Staff Salaries	1,716,585
		212101 Social Security Contributions	168,920
		213004 Gratuity Expenses	274,800
		221006 Commissions and related charges	340,943
		221007 Books, Periodicals & Newspapers	7,250
		221009 Welfare and Entertainment	175,260
		221017 Subscriptions	322,000
		Total	3,005,758
		Wage Recurrent	1,716,585
		Non Wage Recurrent	1,289,173
		AIA	0
		Total For SubProgramme	3,005,758
		Wage Recurrent	1,716,585
		Non Wage Recurrent	1,289,173
		AIA	0

Reasons for Variation in performance

Performance was as planned

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 76 Purchase of Office and ICT Equipment, including Software

ICT software for goAML procured and installed	The procurement process was not complete by end of quarter 2	Item	Spent
		312213 ICT Equipment	107,500

Reasons for Variation in performance

The procurement process was not complete by end of quarter 2. To be supplied in Q3

Total	107,500
GoU Development	107,500
External Financing	0
AIA	0
Total For SubProgramme	107,500
GoU Development	107,500
External Financing	0
AIA	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Program: 21 Prevention of ML/TF and Financial Intelligence Information Management

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 03 Compliance with AML and CFT laws and Regulations

Increased inspection reports	Cumulatively, 5 onsite inspections were conducted by end of Q2.	Item	Spent
Accountable persons registered		211103 Allowances (Inc. Casuals, Temporary)	29,085
Guidelines issued		221011 Printing, Stationery, Photocopying and Binding	5,000
Reports reviewed		227001 Travel inland	21,900
Sanctions enforced		227004 Fuel, Lubricants and Oils	25,200

Reasons for Variation in performance

Total	81,185
Wage Recurrent	0
Non Wage Recurrent	81,185
AIA	0

Output: 04 Legal Representation and Litigation

Legal gaps identified and address	Cumulatively, 3 legal gaps were identified and being addressed. And 7 litigation cases handled	Item	Spent
Litigation cases handled		211103 Allowances (Inc. Casuals, Temporary)	22,500
Stakeholder MOUs signed		221003 Staff Training	18,000
		221007 Books, Periodicals & Newspapers	270
		221011 Printing, Stationery, Photocopying and Binding	6,500
		221017 Subscriptions	5,000
		227001 Travel inland	655
		227004 Fuel, Lubricants and Oils	16,200

Reasons for Variation in performance

Total	69,125
Wage Recurrent	0
Non Wage Recurrent	69,125
AIA	0
Total For SubProgramme	150,310
Wage Recurrent	0
Non Wage Recurrent	150,310
AIA	0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
AML/CFT Awareness campaigns conducted across Uganda	Cumulatively, by end of Q2, 800 members of staff and management of various reporting entities had been trained in AML/CFT	Item	Spent
Accountable persons and supervisory bodies trained on AML/CFT		221001 Advertising and Public Relations	39,850
Various recommendations from AML/CFT coordination forum implemented		221002 Workshops and Seminars	33,596
		221003 Staff Training	25,129
		221011 Printing, Stationery, Photocopying and Binding	36,953
		224003 Classified Expenditure	150,000
		227001 Travel inland	21,330
		227002 Travel abroad	40,000
		228002 Maintenance - Vehicles	10,000

Reasons for Variation in performance

There was no variance in performance.

Total	356,858
Wage Recurrent	0
Non Wage Recurrent	356,858
<i>AIA</i>	0

Output: 06 Financial Intelligence Research and Strategic Development

Studies on ML/TF trends and methods conducted	Cumulatively by end of Q2, 2 typology studies had been completed. That is ML/TF typology study on the NGO sector in Uganda and ML/TF typology study on the sports betting sector in Uganda. The findings and recommendations are to be used to inform policy	Item	Spent
		211103 Allowances (Inc. Casuals, Temporary)	44,853
		221007 Books, Periodicals & Newspapers	2,000
		227004 Fuel, Lubricants and Oils	22,100

Reasons for Variation in performance

Other typology studies are in development stage to be conducted in the subsequent quarters.

Total	68,953
Wage Recurrent	0
Non Wage Recurrent	68,953
<i>AIA</i>	0
Total For SubProgramme	425,811
Wage Recurrent	0
Non Wage Recurrent	425,811
<i>AIA</i>	0

Recurrent Programmes

Subprogram: 07 Operational Analysis

Outputs Provided

Output: 01 Analysis and Reporting Financial Operations in the different Sectors

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
Reports received and analysed Intelligence information gathered Intelligence reports disseminated Databases maintained	Cumulatively, by end of quarter 2, 995 Suspicious Transaction Reports (STRs) were received and analysed. Intelligence information was gathered from various sources to assist in collaborating the financial analysis process Out of the 995 STRs received and analysed, 13 intelligence reports were generated and disseminated to various Law Enforcement Agencies (LEAs) for further management and investigation All databases was regularly updated with new information. 06 financial due diligence request were received from MoFPED, 3 cases have been concluded and submitted and 3 cases are still ongoing. Disseminated 13 STRs; and Closed 806 STR files, while 176 STRs files remained pending receipt of additional information to enable further analysis.	Item 211103 Allowances (Inc. Casuals, Temporary) 221002 Workshops and Seminars 221003 Staff Training 221008 Computer supplies and Information Technology (IT) 221011 Printing, Stationery, Photocopying and Binding 221012 Small Office Equipment 221017 Subscriptions 224003 Classified Expenditure 224004 Cleaning and Sanitation 226001 Insurances 227001 Travel inland 227002 Travel abroad 227004 Fuel, Lubricants and Oils 228002 Maintenance - Vehicles	Spent 14,500 50,000 11,844 18,232 10,000 5,000 26,400 600,000 8,000 5,000 750 25,000 31,200 10,000

Reasons for Variation in performance

The over performance is attributed to the increased use of the goAML electronic reporting system by reporting entities to submit reports.

Total	815,925
Wage Recurrent	0
Non Wage Recurrent	815,925
<i>AIA</i>	0
Total For SubProgramme	815,925
Wage Recurrent	0
Non Wage Recurrent	815,925
<i>AIA</i>	0

Recurrent Programmes

Subprogram: 08 AML Systems and ICT Management

Outputs Provided

Output: 02 Ensure safety and integrity of FIA information

goAML System Managed	goAML electronic system was rolled out to 90% of the Financial Institutions during the quarter	Item 211103 Allowances (Inc. Casuals, Temporary) 221003 Staff Training 222001 Telecommunications 225001 Consultancy Services- Short term 227002 Travel abroad 227004 Fuel, Lubricants and Oils 228002 Maintenance - Vehicles	Spent 13,500 1,620 19,922 5,000 20,000 24,000 10,000
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Reasons for Variation in performance

The performance is as per the planned target for Q2

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		Total	94,042
		Wage Recurrent	0
		Non Wage Recurrent	94,042
		AIA	0
		Total For SubProgramme	94,042
		Wage Recurrent	0
		Non Wage Recurrent	94,042
		AIA	0
		GRAND TOTAL	5,531,561
		Wage Recurrent	1,716,585
		Non Wage Recurrent	3,707,476
		GoU Development	107,500
		External Financing	0
		AIA	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
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Program: 12 General Administration and Support Services

Recurrent Programmes

Subprogram: 07 Finance and Administration

Outputs Provided

Output: 01 FIA Support Services and Administration

Office supplies for staff procured	Various office supplies for staff were	Item	Spent
Financial management and accountability	procured to ensure that staff had all the	211103 Allowances (Inc. Casuals, Temporary)	38,930
Policies and operation procedures approved	appropriate business tools to enable them	221003 Staff Training	95,885
Routine operations facilitated to ensure efficiency and effectiveness	perform their roles effectively and efficiently. Financial management and Standard Operating Procedures were developed and reviewed respectively to foster accountability and achieve operational efficiency All routine operations were promptly facilitated to ensure efficiency and effectiveness.	221017 Subscriptions	360
		223003 Rent – (Produced Assets) to private entities	214,014
		223004 Guard and Security services	54,154
		223005 Electricity	13,318
		224003 Classified Expenditure	50,000
		224004 Cleaning and Sanitation	17,161
		227001 Travel inland	8,297
		227002 Travel abroad	7,922
		227004 Fuel, Lubricants and Oils	21,300

Reasons for Variation in performance

The performance pf this quarter was as per the planned target

Total	521,341
Wage Recurrent	0
Non Wage Recurrent	521,341
AIA	0

Output: 21 Development of Internal Audit Controls and Risk Management

One Risk based audit conducted	One Risk based audit was conducted and	Item	Spent
Risk register updated once per quarter	findings assisted the organisation in focusing its resources on the high risk areas as first priority. The FIA risk register was updated once during quarter and it aided departments in proactively mitigating the identified risks	211103 Allowances (Inc. Casuals, Temporary)	3,500
		221011 Printing, Stationery, Photocopying and Binding	5,000
		227001 Travel inland	7,420
		227004 Fuel, Lubricants and Oils	3,600

Reasons for Variation in performance

The performance pf this quarter was as per the planned target

Total	19,520
Wage Recurrent	0
Non Wage Recurrent	19,520
AIA	0
Total For SubProgramme	540,861
Wage Recurrent	0
Non Wage Recurrent	540,861
AIA	0

Recurrent Programmes

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
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Subprogram: 09 Human Resource Management Services

Outputs Provided

Output: 19 Human Resource Management Services

Management of human capital	The available Human capital was effectively managed through retooling, effective deployment, and timely objective half year performance assessments reviews conducted.	Item	Spent
		211102 Contract Staff Salaries	849,350
		212101 Social Security Contributions	84,810
		213004 Gratuity Expenses	105,120
		221006 Commissions and related charges	158,155
		221007 Books, Periodicals & Newspapers	6,967
		221009 Welfare and Entertainment	117,440
		221017 Subscriptions	312,000

Reasons for Variation in performance

Performance was as planned

Total	1,633,842
Wage Recurrent	849,350
Non Wage Recurrent	784,492
AIA	0
Total For SubProgramme	1,633,842
Wage Recurrent	849,350
Non Wage Recurrent	784,492
AIA	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 76 Purchase of Office and ICT Equipment, including Software

Maintenance and upgrade of ICT equipment	The procurement process was not complete by end of quarter 2	Item	Spent
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Reasons for Variation in performance

The procurement process was not complete by end of quarter 2. To be supplied in Q3

Total	0
GoU Development	0
External Financing	0
AIA	0
Total For SubProgramme	0
GoU Development	0
External Financing	0
AIA	0

Program: 21 Prevention of ML/TF and Financial Intelligence Information Management

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
Output: 03 Compliance with AML and CFT laws and Regulations			
2 Onsite inspection reports	2 onsite inspections were conducted during the quarter and these include;	Item	Spent
Register new accountable persons and update the database	21/10/2019 Finance Trust Bank Ltd (FTB), 07/11/2019, Equity Bank Uganda Limited – the AML/CFT issues identified	211103 Allowances (Inc. Casuals, Temporary)	19,085
Issue 1 Guideline for financial sector AML/CFT Reports reviewed	were shared with the management of the respective banks for their consideration.	221011 Printing, Stationery, Photocopying and Binding	5,000
1 Sanctions enforced for non compliance		227001 Travel inland	14,870
		227004 Fuel, Lubricants and Oils	12,600
Reasons for Variation in performance			
		Total	51,555
		Wage Recurrent	0
		Non Wage Recurrent	51,555
		<i>AIA</i>	0

Output: 04 Legal Representation and Litigation

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
Legal gaps identified and addressed Litigation cases handled	2 Legal gaps are still being fast tracked; The AML Regulations amendment to incorporate enforcement of administrative sanctions on reporting entities that fail to comply with AMLA are under review by First Parliamentary Council (FPC). And the amendment of the 2nd schedule of AMLA, 2013, to incorporate Virtual Assets Service Providers as accountable persons. This is currently before parliament for their consideration and approval. 4 Litigation cases were handled; i Wondu Alemu v Francis Asimwe, Julia Nansamba, Agro Seeds & Fisheries Ltd, Europet Ltd, KCB Bank (U) Ltd And FIA Civil Suit No. 300 of 2019. This is about KCB and FIA for unfreezing account. The court is yet to set a hearing date. ii Uganda Health Marketing Group (UHM) v FIA, HCMC No. 179 of 2019 on Application for judicial review challenging the FIA's decision to freeze UHM accounts - Ruling was delivered on 1/11/19 at 10am. The applicant's freezing order by the FIA against the applicant's account held with Standard Chartered Bank was lifted. iii Tadeo Sseruwagi v FIA & Smart Protus Magara HCMC No. 311 of 2019 on Application for review of court's judgment in the case of Smart Protus Magara v FIA MISC Cause No. 215 of 2018 - The ruling will be delivered on 28/2/20. iv Smart Protus & 138 others -V- Mwesigwa & 24 Others HCCS NO.132 of 2018, Bulime Bob & Another HCCS NO. 115 of 2018, Josephine Kasajja & Others HCCS NO. 19 of 2018 and Micheal Akampurira & Another HCCS NO. 855 of 2018 - All the listed applications were consolidated and court directed that a verification committee be set up to verify all the claims made. The verification committee was set up comprising of, URSB, FIA, Smart Protus Magara, Kantutu & Co. Advocates, Lubega, Ssakka & Co. Advocates and Yiga & Co. Advocates. The committee presented the verification criteria to court which was approved. Court granted six weeks to the committee to complete the verification exercise. The matter was therefore adjourned to 14/2/20 at 2:30pm	Item 211103 Allowances (Inc. Casuals, Temporary) 221003 Staff Training 221011 Printing, Stationery, Photocopying and Binding 221017 Subscriptions 227001 Travel inland 227004 Fuel, Lubricants and Oils	Spent 12,510 18,000 6,500 5,000 655 8,100

Reasons for Variation in performance

Total	50,765
Wage Recurrent	0
Non Wage Recurrent	50,765

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		AIA	0
		Total For SubProgramme	102,320
		Wage Recurrent	0
		Non Wage Recurrent	102,320
		AIA	0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

		Item	Spent
Conduct 5 awareness campaigns across the country	No Public awareness campaigns were conducted during this quarter.	221001 Advertising and Public Relations	9,500
Conduct AML/CFT training for 2 reporting entities	Conducted AML/CFT training for 15 reporting entities and over 300 staff and members of management for Accountable persons. These Included;	221002 Workshops and Seminars	13,596
Implement 100% recommendation of the UAMLC Task force recommendations	18/10/2019, trained Board Members of Finance Trust Bank and Anti-Money Laundering officers on AML/CFT compliance obligations. 28/10/2019, trained 50 staff of UGAFODE Microfinance LTD Management and other Head office staff on AML/CFT roles and obligations. 02/11/2019-trained 30 staff of Shumuk Forex bureau Grand Imperial Hotel. 28/11/2019, held at Serena Hotel. FIA was given an award for being the best visionary Government Financial Regulatory Authority of the year. 28/11/2019 – trained 80 staff of forex bureaus at ESAMI, 03/10/2019, trained 10 Property Developers' Voice members on their AML/CFT roles and obligations, 15/10/2019, trained 10 Real estate Developers on their AML/CFT roles and obligations, 16/10/2019, trained members of Association of Real Estate Agents of Uganda (AREA) on AML/CFT roles and obligation. 18/10/2019, trained Board of Directors of the Finance Trust Bank in AML/CFT. 16/11/2019, trained 25 staff and management of Metropolitan Forex Bureau on AML/CFT roles and obligations at their head office on Metropole House. 09/12/2019, trained 15 Board of Directors and Top Management Teams of the Tropical Bank. FIA participated in the Accountability sector interuniversity debate 2019, meant to increase understanding of Accountability issues among young people in universities on the achievements of FIA in line with the Accountability sector strategic Investment plan.	221003 Staff Training	25,129
		221011 Printing, Stationery, Photocopying and Binding	16,953
		224003 Classified Expenditure	100,000
		227001 Travel inland	1,330
		227002 Travel abroad	25,000
		228002 Maintenance - Vehicles	5,355

Reasons for Variation in performance

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
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There was no variance in performance.

	Total	196,862
	Wage Recurrent	0
	Non Wage Recurrent	196,862
	<i>AIA</i>	0

Output: 06 Financial Intelligence Research and Strategic Development

Disseminate findings of study on ML/TF trends and methods in Uganda	2 typology studies were conducted during the quarter. These included; ML/TF typology study on the Non Government Organisations (NGOs) in Uganda; and the ML/TF typology study on the sports betting sector in Uganda. The findings and recommendations of both typologies are to be used to inform policy	Item	Spent
		211103 Allowances (Inc. Casuals, Temporary)	31,640
		221007 Books, Periodicals & Newspapers	2,000
		227004 Fuel, Lubricants and Oils	11,100

Reasons for Variation in performance

Other typology studies are in development stage to be conducted in the subsequent quarters.

	Total	44,740
	Wage Recurrent	0
	Non Wage Recurrent	44,740
	<i>AIA</i>	0
	Total For SubProgramme	241,602
	Wage Recurrent	0
	Non Wage Recurrent	241,602
	<i>AIA</i>	0

Recurrent Programmes

Subprogram: 07 Operational Analysis

Outputs Provided

Output: 01 Analysis and Reporting Financial Operations in the different Sectors

Reports received and analysed Intelligence information gathered Intelligence reports disseminated Databases maintained Due diligence on investors intending to partner with government in infrastructural developments conducted	During this quarter, 302 Suspicious Transaction Reports (STRs) were received and analysed. Intelligence information was gathered from various sources to assist in collaborating the financial analysis process Out of the 302 STRs received and analysed, 04 intelligence reports were generated and disseminated to various Law Enforcement Agencies (LEAs) for further management and investigation All databases was regularly updated with new information. 05 financial due diligence request were received from MoFPED, 2 cases have been concluded and submitted and 3 cases are still ongoing. Disseminated 04 STRs; and Closed 231 STR files, while 69 STRs files remained pending receipt of additional information to enable further analysis.	Item	Spent
		211103 Allowances (Inc. Casuals, Temporary)	12,575
		221002 Workshops and Seminars	50,000
		221003 Staff Training	11,844
		221008 Computer supplies and Information Technology (IT)	13,370
		221017 Subscriptions	13,868
		224003 Classified Expenditure	500,000
		227002 Travel abroad	10,000
		227004 Fuel, Lubricants and Oils	15,600
		228002 Maintenance - Vehicles	5,405

Reasons for Variation in performance

The over performance is attributed to the increased use of the goAML electronic reporting system by reporting entities to submit reports.

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Total	632,661
		Wage Recurrent	0
		Non Wage Recurrent	632,661
		AIA	0
		Total For SubProgramme	632,661
		Wage Recurrent	0
		Non Wage Recurrent	632,661
		AIA	0

Recurrent Programmes

Subprogram: 08 AML Systems and ICT Management

Outputs Provided

Output: 02 Ensure safety and integrity of FIA information

Phased roll out of goAML system to 100% telecommunications with mobile money platforms	goAML electronic system was rolled out to 90% of the Financial Institutions during the quarter	Item	Spent
		211103 Allowances (Inc. Casuals, Temporary)	6,970
		221003 Staff Training	1,620
		222001 Telecommunications	11,675
		225001 Consultancy Services- Short term	5,000
		227004 Fuel, Lubricants and Oils	12,000
		228002 Maintenance - Vehicles	5,000

Reasons for Variation in performance

The performance is as per the planned target for Q2

	Total	42,265
	Wage Recurrent	0
	Non Wage Recurrent	42,265
	AIA	0
	Total For SubProgramme	42,265
	Wage Recurrent	0
	Non Wage Recurrent	42,265
	AIA	0
	GRAND TOTAL	3,193,551
	Wage Recurrent	849,350
	Non Wage Recurrent	2,344,201
	GoU Development	0
	External Financing	0
	AIA	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)
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Program: 12 General Administration and Support Services

Recurrent Programmes

Subprogram: 07 Finance and Administration

Outputs Provided

Output: 01 FIA Support Services and Administration

Office supplies for staff procured Financial management and accountability Policies and operation procedures enforced Routine operations facilitated to ensure efficiency and effectiveness	Item	Balance b/f	New Funds	Total
	221003 Staff Training	4,381	0	4,381
	221017 Subscriptions	140	0	140
	223003 Rent – (Produced Assets) to private entities	16,941	0	16,941
	223005 Electricity	15,400	0	15,400
	224004 Cleaning and Sanitation	839	0	839
	226001 Insurances	250	0	250
	227001 Travel inland	4,998	0	4,998
	227002 Travel abroad	2,278	0	2,278
	Total	45,227	0	45,227
	Wage Recurrent	0	0	0
	Non Wage Recurrent	45,227	0	45,227
	AIA	0	0	0

Output: 21 Development of Internal Audit Controls and Risk Management

One risk based audit conducted Risk register updated once per quarter	Item	Balance b/f	New Funds	Total
	221007 Books, Periodicals & Newspapers	1,500	0	1,500
	221011 Printing, Stationery, Photocopying and Binding	14,570	0	14,570
	221012 Small Office Equipment	8,000	0	8,000
	227001 Travel inland	80	0	80
	Total	24,150	0	24,150
	Wage Recurrent	0	0	0
	Non Wage Recurrent	24,150	0	24,150
	AIA	0	0	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Subprogram: 09 Human Resource Management Services

Outputs Provided

Output: 19 Human Resource Management Services

Management of human capital	Item	Balance b/f	New Funds	Total
	211102 Contract Staff Salaries	22,115	0	22,115
	212101 Social Security Contributions	5,500	0	5,500
	213001 Medical expenses (To employees)	1,000	0	1,000
	213004 Gratuity Expenses	74,040	0	74,040
	221006 Commissions and related charges	85,832	0	85,832
	221009 Welfare and Entertainment	5,821	0	5,821
	Total	194,308	0	194,308
	<i>Wage Recurrent</i>	<i>22,115</i>	<i>0</i>	<i>22,115</i>
	<i>Non Wage Recurrent</i>	<i>172,193</i>	<i>0</i>	<i>172,193</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 76 Purchase of Office and ICT Equipment, including Software

Maintenance and service	Item	Balance b/f	New Funds	Total
	312213 ICT Equipment	64,500	0	64,500
	Total	64,500	0	64,500
	<i>GoU Development</i>	<i>64,500</i>	<i>0</i>	<i>64,500</i>
	<i>External Financing</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Program: 21 Prevention of ML/TF and Financial Intelligence Information Management

Recurrent Programmes

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)
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Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 03 Compliance with AML and CFT laws and Regulations

	Item	Balance b/f	New Funds	Total
Review 50% of annual compliance reports from accountable persons	211103 Allowances (Inc. Casuals, Temporary)	10,915	0	10,915
Increased inspection reports	221003 Staff Training	25,000	0	25,000
Register new Accountable persons and update the database	221007 Books, Periodicals & Newspapers	500	0	500
Issue 1 Guideline for cross border declaration on movement of cash and BNIs	221011 Printing, Stationery, Photocopying and Binding	25,000	0	25,000
1 Sanction enforced for non compliance				
	Total	61,415	0	61,415
	Wage Recurrent	0	0	0
	Non Wage Recurrent	61,415	0	61,415
	AIA	0	0	0

Output: 04 Legal Representation and Litigation

	Item	Balance b/f	New Funds	Total
Legal gaps identified and addressed	221007 Books, Periodicals & Newspapers	3,330	0	3,330
Litigation cases handled	221011 Printing, Stationery, Photocopying and Binding	13,500	0	13,500
Stakeholder MOUs signed	221017 Subscriptions	10,300	0	10,300
	227001 Travel inland	1,595	0	1,595
	Total	28,725	0	28,725
	Wage Recurrent	0	0	0
	Non Wage Recurrent	28,725	0	28,725
	AIA	0	0	0

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

	Item	Balance b/f	New Funds	Total
Conduct 5 awareness campaigns across the country	221001 Advertising and Public Relations	18,781	0	18,781
Conduct AML/CFT training for 2 reporting entities	221002 Workshops and Seminars	6,404	0	6,404
Implement 100% recommendation of the UAMLC Task force recommendations	221003 Staff Training	4,871	0	4,871
	221011 Printing, Stationery, Photocopying and Binding	23,047	0	23,047
	227001 Travel inland	11,170	0	11,170
	Total	64,273	0	64,273
	Wage Recurrent	0	0	0
	Non Wage Recurrent	64,273	0	64,273
	AIA	0	0	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Output: 06 Financial Intelligence Research and Strategic Development

Conduct one study on ML/TF trends in Uganda to inform policy	Item	Balance b/f	New Funds	Total
	211103 Allowances (Inc. Casuals, Temporary)	147	0	147
	221007 Books, Periodicals & Newspapers	750	0	750
	Total	897	0	897
	Wage Recurrent	0	0	0
	Non Wage Recurrent	897	0	897
	AIA	0	0	0

Subprogram: 07 Operational Analysis

Outputs Provided

Output: 01 Analysis and Reporting Financial Operations in the different Sectors

Reports received and analysed Intelligence information gathered Intelligence reports disseminated Databases maintained Due diligence on investors intending to partner with government in infrastructural developments conducted	Item	Balance b/f	New Funds	Total
	221001 Advertising and Public Relations	10,000	0	10,000
	221003 Staff Training	14,406	0	14,406
	221007 Books, Periodicals & Newspapers	1,825	0	1,825
	221008 Computer supplies and Information Technology (IT)	16,768	0	16,768
	221011 Printing, Stationery, Photocopying and Binding	20,000	0	20,000
	221012 Small Office Equipment	5,000	0	5,000
	225001 Consultancy Services- Short term	100,000	0	100,000
	226001 Insurances	5,000	0	5,000
	227001 Travel inland	3,250	0	3,250
	Total	176,250	0	176,250
	Wage Recurrent	0	0	0
	Non Wage Recurrent	176,250	0	176,250
	AIA	0	0	0

Subprogram: 08 AML Systems and ICT Management

Outputs Provided

Output: 02 Ensure safety and integrity of FIA information

Phased roll out of goAML system to 100% Forex Bureaus and money remitters	Item	Balance b/f	New Funds	Total
	221003 Staff Training	23,380	0	23,380
	221007 Books, Periodicals & Newspapers	500	0	500
	221011 Printing, Stationery, Photocopying and Binding	2,500	0	2,500
	222001 Telecommunications	4,079	0	4,079
	227001 Travel inland	8,250	0	8,250
	Total	38,709	0	38,709
	Wage Recurrent	0	0	0
	Non Wage Recurrent	38,709	0	38,709
	AIA	0	0	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Revised Workplan

<i>UShs Thousand</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releaes)		
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Development Projects

GRAND TOTAL	698,452	0	698,452
<i>Wage Recurrent</i>	<i>22,115</i>	<i>0</i>	<i>22,115</i>
<i>Non Wage Recurrent</i>	<i>611,837</i>	<i>0</i>	<i>611,837</i>
<i>GoU Development</i>	<i>64,500</i>	<i>0</i>	<i>64,500</i>
<i>External Financing</i>	<i>0</i>	<i>0</i>	<i>0</i>
<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>