

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Highlights of Vote Performance

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (UShs Billion)

		Approved Budget	Released by End Q 3	Spent by End Q3	% Budget Released	% Budget Spent	% Releases Spent
Recurrent	Wage	3.477	2.608	2.556	75.0%	73.5%	98.0%
	Non Wage	9.324	6.153	5.480	66.0%	58.8%	89.1%
Dev't.	GoU	0.215	0.172	0.172	80.0%	80.0%	100.0%
	Ext. Fin.	0.000	0.000	0.000	0.0%	0.0%	0.0%
GoU Total		13.017	8.934	8.208	68.6%	63.1%	91.9%
Total GoU+Ext Fin (MTEF)		13.017	8.934	8.208	68.6%	63.1%	91.9%
Arrears		0.000	0.000	0.000	0.0%	0.0%	0.0%
Total Budget		13.017	8.934	8.208	68.6%	63.1%	91.9%
<i>A.I.A Total</i>		0.000	0.000	0.000	0.0%	0.0%	0.0%
Grand Total		13.017	8.934	8.208	68.6%	63.1%	91.9%
Total Vote Budget Excluding Arrears		13.017	8.934	8.208	68.6%	63.1%	91.9%

Table V1.2: Releases and Expenditure by Program*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
Program: 1412 General Administration and Support Services	8.71	6.31	5.90	72.5%	67.7%	93.5%
Program: 1421 Prevention of ML/TF and Financial Intelligence Information Management	4.31	2.62	2.31	60.9%	53.6%	88.1%
Total for Vote	13.02	8.93	8.21	68.6%	63.1%	91.9%

Matters to note in budget execution

Less funds were released for the Quarter under review compared to amounts expected as per the cash flow plan and this negatively affected various operational activities during the quarter.

Funds not spent are already committed and activities are to be conducted in the next quarter.

Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)

<i>(i) Major unspent balances</i>	
Programs , Projects	
Program 1412 General Administration and Support Services	
0.007 Bn Shs	<i>SubProgram/Project :07 Finance and Administration</i>

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Reason: Supplier had not delivered invoice for payment to be effected	
<i>Items</i>	
0.248 Bn Shs	<i>SubProgram/Project :09 Human Resource Management Services</i>
Reason: Suppliers had not delivered their invoices for payments to be made	
<i>Items</i>	
248,460,000.000 US\$	213004 Gratuity Expenses
Reason: Gratuity to be paid in Q4	
Program 1421 Prevention of ML/TF and Financial Intelligence Information Management	
0.007 Bn Shs	<i>SubProgram/Project :02 Legal, Inspection and Compliance</i>
Reason: Funds were not enough to cater for the activity. Activity to be conducted next quarter	
<i>Items</i>	
7,330,000.000 US\$	221007 Books, Periodicals & Newspapers
Reason: Supplier had not delivered invoice for payments to be effected	
0.046 Bn Shs	<i>SubProgram/Project :05 International Relations and Strategic Analysis</i>
Reason: Service provider had not delivered invoice for payment to be processed	
<i>Items</i>	
31,780,544.000 US\$	221001 Advertising and Public Relations
Reason: Service provider had not delivered invoice for payment to be processed	
13,800,000.000 US\$	221007 Books, Periodicals & Newspapers
Reason:	
0.251 Bn Shs	<i>SubProgram/Project :07 Operational Analysis</i>
Reason: The consultant was yet to complete the assignment for payment to be effected	
<i>Items</i>	
200,000,000.000 US\$	225001 Consultancy Services- Short term
Reason: The consultant was yet to complete the assignment	
30,000,000.000 US\$	221011 Printing, Stationery, Photocopying and Binding
Reason: Supplier had not delivered invoice for payment to be effected	
5,000,000.000 US\$	221012 Small Office Equipment
Reason:	
5,000,000.000 US\$	226001 Insurances
Reason:	
5,000,000.000 US\$	221001 Advertising and Public Relations
Reason: Supplier had not delivered invoice for payment to be effected	
0.008 Bn Shs	<i>SubProgram/Project :08 AML Systems and ICT Management</i>

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Reason: Funds were not enough to cater for the activity. Activity to be conducted next quarter	
Items	
4,280,000.000 UShs	228002 Maintenance - Vehicles
Reason:	
2,500,000.000 UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Supplier had not delivered invoice for payments to be effected	
1,000,000.000 UShs	221007 Books, Periodicals & Newspapers
Reason: Supplier had not delivered invoice for payments to be effected	
(ii) Expenditures in excess of the original approved budget	

V2: Performance Highlights

Table V2.1: Programme Outcome and Outcome Indicators*

Programme : 12 General Administration and Support Services			
Responsible Officer: Sydney Asubo			
Programme Outcome: An Efficient and effective Financial Intelligence Authority (FIA)			
Sector Outcomes contributed to by the Programme Outcome			
1 .Sustainable Macroeconomic Stability			
Programme Outcome Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Level of Compliance of the Authority's planning and Budgeting instruments to NDPII	Percentage	70%	50%
Level of compliance of the Authority to Gender and Equity budgeting	Percentage	80%	45%
Annual External Auditor General Rating of the Authority	Percentage	100%	95%
Programme : 21 Prevention of ML/TF and Financial Intelligence Information Management			
Responsible Officer: Wandera Were Samuel			
Programme Outcome: Reduced level of ML and TF cases in all the regions of the country			
Sector Outcomes contributed to by the Programme Outcome			
1 .Sustainable Macroeconomic Stability			
Programme Outcome Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Proportion of ML/TF cases disseminated to LEDs with disaggregated data	Percentage	100%	60%
Proportion of STRs analyzed and indicating disaggregated data in terms of age, sex and nationality	Percentage	100%	70%

Table V2.2: Key Vote Output Indicators*

Programme : 12 General Administration and Support Services

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Sub Programme : 07 Finance and Administration			
KeyOutputPut : 01 FIA Support Services and Administration			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
No of Financial Statements produced	Number	2	0
Percentage of the Strategic actions in the Strategic Plan delivered	Percentage	80%	50%
KeyOutputPut : 21 Development of Internal Audit Controls and Risk Management			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Percentage of audit recommendations implemented	Percentage	100%	75%
Percentage of the strategic actions in the Strategic Plan delivered	Percentage	60%	50%
No. of risk management assessments conducted	Number	4	1
Sub Programme : 09 Human Resource Management Services			
KeyOutputPut : 19 Human Resource Management Services			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Percentage of approved FIA structure filled by gender and PWDs	Percentage	70%	60%
Number of staff trained in relevant capacity building by gender	Number	20	2
Programme : 21 Prevention of ML/TF and Financial Intelligence Information Management			
Sub Programme : 02 Legal, Inspection and Compliance			
KeyOutputPut : 03 Compliance with AML and CFT laws and Regulations			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Percentage of accountable persons issued with certificates of registration	Percentage	60%	20%
Number of inspection reports from regulatory bodies reviewed	Number	3	1
Number of sanctions applied and disaggregated by Accountable Persons	Number	1	0
KeyOutputPut : 04 Legal Representation and Litigation			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Percentage of cases concluded and forwarded for prosecution	Percentage	100%	
Sub Programme : 05 International Relations and Strategic Analysis			

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KeyOutputPut : 05 Coordination of the implementation of AML/CFT NRA and MER recommendations			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Number of AML/CFT Awareness campaigns conducted by region	Number	5	3
Proportion of Accountable persons and supervisory bodies trained on AML/CFT	Percentage	50%	30%
Number of recommendations from AML/CFT coordination forum implemented	Number	14	3
KeyOutputPut : 06 Financial Intelligence Research and Strategic Development			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Number of studies on ML/TF trends and methods concluded with disaggregated data	Number	2	0
Proportion of recommendations from MER and NRA	Number	14	3
Sub Programme : 07 Operational Analysis			
KeyOutputPut : 01 Analysis and Reporting Financial Operations in the different Sectors			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Number of (STR)/LCTR/CBR received and analysed with disaggregated data	Number	480	578
Proportion of STRs analysed and disseminated for investigations to the relevant LEAs	Percentage	100%	40%
Number of due diligence requests on companies handled classified from each requesting MDA	Number	10	3
Sub Programme : 08 AML Systems and ICT Management			
KeyOutputPut : 02 Ensure safety and integrity of FIA information			
Key Output Indicators	Indicator Measure	Planned 2019/20	Actuals By END Q3
Number of reporting entities using goAML system disaggregated by Accountable persons	Number	20	5
Number of statistical databases on STR/LCTR/CBR established and maintained to reflect national character	Number	1	1

Performance highlights for the Quarter

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During this quarter, 578 Suspicious Transaction Reports (STRs) were received and analysed. Intelligence information was gathered from various sources to assist in collaborating the financial analysis process. Out of the 578 STRs received and analysed, 20 intelligence reports were generated and disseminated to various Law Enforcement Agencies (LEAs) for further management and investigation. All databases were regularly updated with new information. 03 financial due diligence request were received from MoFPED, 2 cases have been concluded and submitted and 1 case is still ongoing. Disseminated 20 STRs; and Closed 412 STR files, while 146 STRs files remained pending receipt of additional information to enable further analysis.

154 onsite inspections were conducted during the quarter and these include; 133 insurance agencies and 21 Insurance companies. This was through joint onsite inspection exercise conducted by FIA and the Insurance Regulatory Authority in Western and Southern, Eastern and Northern districts with insurance presence from 20th – 29th January 2020 and from 3rd to 12th February 2020 respectively. The AML/CFT issues identified were shared with the management of the respective insurance agencies and companies for their consideration.

2 Legal gaps are still being fast tracked; The AML Regulations amendment to incorporate enforcement of administrative sanctions on reporting entities that fail to comply with AMLA are under review by First Parliamentary Council (FPC). And the amendment of the 2nd schedule of AMLA, 2013, to incorporate Virtual Assets Service Providers as accountable persons. This is currently before parliament for their consideration and approval.

3 Litigation cases were handled; i. Amanyire Rogers v FIA Misc. Cause No. 003 of 2020 - the Applicant filed an application seeking an order of court to unfreeze his funds worth UGX 122,265,000 (One Hundred Twenty Two Million, Two Hundred Sixty Five Thousand Shillings) which was frozen by the Authority. The matter came up for hearing on 28th February 2020 before Hon. Justice Gadenya Wolimbwa Paul. The Application was dismissed for want of prosecution under Order 17, Rule 5 of the Civil Procedure Rules; ii. Alice Battiibwe & 45 others v Dunamiscoins Resources Limited & FIA Civil Suit No. 199 of 2020 - the Plaintiff's claim against FIA is for an order lifting the freeze on the 1st Defendant's Account and that the funds are paid to the Plaintiffs, general damages and costs of the suit. FIA filed its Affidavit in Reply on 20th March 2020 and are awaiting a hearing date from court; iii. Smart Protus Magara v Mwesigwa & 24 others HCCS NO.132 of 2018 - the verification exercise for the claimants is ongoing at URSB. FIA is part of the verification committee and attended the verification committee meetings as guided by court to the committee. The same matter came up for hearing again on 29th March 2020. Court directed that whoever had appeared in court as a result of the issued notice to show cause should go ahead and appear before the verification committee. The matter was adjourned to the 20th of April, 2020 at 2:30 pm.

Conducted 5 Public awareness campaigns 30/1/20, 70 Money Laundering Control Officers (MLCO) of all Commercial Banks, Credit Institutions as well as MDIs were engaged at Grand Imperial Hotel, on ways of improving the quality of STRs submitted to FIA; 30th-31st/1/20, 50 members of various Civil Society Organisations (CSOs) with operations in Eastern Uganda were engaged on Anti-Money Laundering obligations for Accountable persons focusing on NGOs. It took place at Two Seasons Hotel – Mbale. This was organised by Defenders Protection Initiative (DPI) in conjunction with Bugisu Civil Society Forum (BCSF); 6th -7th/2/20, 30 members of various Civil Society Organisations (CSOs) with operations in Greater Masaka Region were engaged on Anti-Money Laundering obligations for Accountable persons focusing on NGOs. This was organised by Defenders Protection Initiative (DPI) in conjunction with FIA and took place at Maria Flo hotel – Masaka; various visits were made to Accountable persons to ascertain the status of submission of reports via GoAML and report on any challenges faced by the banks. The banks were given necessary skills and support to enhance the usage of the goAML system; 21/2/20, 35 members of the Uganda Police Force were engaged by FIA at Grand Imperial Hotel on ways of enhancing the effectiveness of ML/TF investigations; 13th -27th January 2020, 20 members of the Uganda Police Force were engaged by FIA at Unik hotel in the development of AML/CFT/CPF curriculum and training manual for the Uganda Police Force (UPF) that shall be used in the various Police training schools to enhance AML/CFT/CPF awareness in the police force.

V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Output*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1412 General Administration and Support Services	8.71	6.31	5.90	72.5%	67.7%	93.5%
<i>Class: Outputs Provided</i>	<i>8.50</i>	<i>6.14</i>	<i>5.73</i>	<i>72.3%</i>	<i>67.4%</i>	<i>93.3%</i>
141201 FIA Support Services and Administration	1.98	1.30	1.29	65.8%	64.9%	98.7%
141219 Human Resource Management Services	6.36	4.74	4.35	74.4%	68.3%	91.8%
141221 Development of Internal Audit Controls and Risk Management	0.15	0.10	0.09	66.3%	61.7%	93.0%
<i>Class: Capital Purchases</i>	<i>0.22</i>	<i>0.17</i>	<i>0.17</i>	<i>80.0%</i>	<i>80.0%</i>	<i>100.0%</i>
141276 Purchase of Office and ICT Equipment, including Software	0.22	0.17	0.17	80.0%	80.0%	100.0%

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<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1421 Prevention of ML/TF and Financial Intelligence Information Management	4.31	2.62	2.31	60.9%	53.6%	88.1%
<i>Class: Outputs Provided</i>	4.31	2.62	2.31	60.9%	53.6%	88.1%
142101 Analysis and Reporting Financial Operations in the different Sectors	2.13	1.47	1.23	69.3%	58.0%	83.7%
142102 Ensure safety and integrity of FIA information	0.50	0.17	0.16	34.8%	32.2%	92.4%
142103 Compliance with AML and CFT laws and Regulations	0.40	0.20	0.20	49.4%	49.3%	99.9%
142104 Legal Representation and Litigation	0.20	0.14	0.13	69.7%	63.1%	90.5%
142105 Coordination of the implementation of AML/CFT NRA and MER recommendations	0.68	0.51	0.48	74.8%	70.0%	93.6%
142106 Financial Intelligence Research and Strategic Development	0.40	0.13	0.11	32.0%	28.6%	89.2%
Total for Vote	13.02	8.93	8.21	68.6%	63.1%	91.9%

Table V3.2: 2019/20 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<i>Class: Outputs Provided</i>	12.80	8.76	8.04	68.4%	62.8%	91.7%
211102 Contract Staff Salaries	3.48	2.61	2.56	75.0%	73.5%	98.0%
211103 Allowances (Inc. Casuals, Temporary)	0.51	0.32	0.32	63.1%	63.1%	100.0%
212101 Social Security Contributions	0.35	0.26	0.25	75.0%	72.7%	96.9%
213001 Medical expenses (To employees)	0.20	0.20	0.20	100.0%	100.0%	100.0%
213004 Gratuity Expenses	0.70	0.52	0.27	75.0%	39.4%	52.5%
221001 Advertising and Public Relations	0.11	0.09	0.05	82.8%	49.4%	59.6%
221002 Workshops and Seminars	0.10	0.14	0.14	140.0%	140.0%	100.0%
221003 Staff Training	0.97	0.27	0.27	27.7%	27.6%	99.9%
221006 Commissions and related charges	0.96	0.56	0.50	58.1%	52.0%	89.6%
221007 Books, Periodicals & Newspapers	0.09	0.04	0.02	47.4%	24.1%	50.8%
221008 Computer supplies and Information Technology (IT)	0.05	0.05	0.05	100.0%	97.2%	97.2%
221009 Welfare and Entertainment	0.26	0.25	0.22	96.5%	85.3%	88.3%
221011 Printing, Stationery, Photocopying and Binding	0.22	0.17	0.13	78.4%	59.7%	76.1%
221012 Small Office Equipment	0.02	0.02	0.01	100.0%	69.3%	69.3%
221017 Subscriptions	0.51	0.37	0.37	73.4%	72.9%	99.3%
222001 Telecommunications	0.07	0.04	0.03	50.5%	42.9%	84.9%
223003 Rent – (Produced Assets) to private entities	0.92	0.65	0.64	70.7%	69.5%	98.4%
223004 Guard and Security services	0.10	0.10	0.10	100.0%	98.8%	98.8%
223005 Electricity	0.07	0.04	0.03	59.3%	49.8%	84.1%
224003 Classified Expenditure	1.76	1.19	1.20	67.5%	68.2%	101.1%
224004 Cleaning and Sanitation	0.05	0.04	0.04	93.1%	93.1%	100.0%
225001 Consultancy Services- Short term	0.48	0.21	0.01	43.8%	2.1%	4.8%
226001 Insurances	0.02	0.01	0.01	50.8%	25.0%	49.2%

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227001 Travel inland	0.20	0.11	0.11	56.1%	57.4%	102.4%
227002 Travel abroad	0.20	0.18	0.18	90.1%	90.1%	100.0%
227004 Fuel, Lubricants and Oils	0.34	0.25	0.25	75.0%	75.0%	100.0%
228002 Maintenance - Vehicles	0.06	0.05	0.04	75.0%	62.0%	82.7%
Class: Capital Purchases	0.22	0.17	0.17	80.0%	80.0%	100.0%
312213 ICT Equipment	0.22	0.17	0.17	80.0%	80.0%	100.0%
Total for Vote	13.02	8.93	8.21	68.6%	63.1%	91.9%

Table V3.3: GoU Releases and Expenditure by Project and Programme*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Program 1412 General Administration and Support Services	8.71	6.31	5.90	72.5%	67.7%	93.5%
<i>Recurrent SubProgrammes</i>						
07 Finance and Administration	2.13	1.40	1.38	65.8%	64.7%	98.3%
09 Human Resource Management Services	6.36	4.74	4.35	74.4%	68.3%	91.8%
<i>Development Projects</i>						
1423 Support to Financial Intelligence Authority	0.22	0.17	0.17	80.0%	80.0%	100.0%
Program 1421 Prevention of ML/TF and Financial Intelligence Information Management	4.31	2.62	2.31	60.9%	53.6%	88.1%
<i>Recurrent SubProgrammes</i>						
02 Legal, Inspection and Compliance	0.60	0.34	0.32	56.2%	53.9%	96.0%
05 International Relations and Strategic Analysis	1.08	0.64	0.59	58.9%	54.6%	92.7%
07 Operational Analysis	2.13	1.47	1.23	69.3%	58.0%	83.7%
08 AML Systems and ICT Management	0.50	0.17	0.16	34.8%	32.2%	92.4%
Total for Vote	13.02	8.93	8.21	68.6%	63.1%	91.9%

Table V3.4: External Financing Releases and Expenditure by Sub Programme

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
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QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Program: 12 General Administration and Support Services

Recurrent Programmes

Subprogram: 07 Finance and Administration

Outputs Provided

Output: 01 FIA Support Services and Administration

		Item	Spent
Office supplies for staff procured	Various office supplies for staff were	211103 Allowances (Inc. Casuals, Temporary)	90,000
Financial management and accountability	procured to ensure that staff had all the	221003 Staff Training	129,637
Policies and operation procedure	appropriate business tools to enable them	221017 Subscriptions	720
developed and enforced	perform their roles effectively and	223003 Rent – (Produced Assets) to private	640,919
Routine operations facilitated to ensure	efficiently. Financial management and	entities	
efficiency and effectiveness	Standard Operating Procedures were	223004 Guard and Security services	103,429
	developed and reviewed respectively to	223005 Electricity	34,881
	foster accountability and achieve	224003 Classified Expenditure	135,200
	operational efficiency All routine	224004 Cleaning and Sanitation	25,000
	operations were promptly facilitated to	226001 Insurances	75
	ensure efficiency and effectiveness.	227001 Travel inland	22,500
		227002 Travel abroad	40,800
		227004 Fuel, Lubricants and Oils	63,900

Reasons for Variation in performance

Achievement was as per target thus no variation

Total	1,287,061
Wage Recurrent	0
Non Wage Recurrent	1,287,061
AIA	0

Output: 21 Development of Internal Audit Controls and Risk Management

		Item	Spent
Number of Risk based audits conducted	One Risk based audit was conducted and	211103 Allowances (Inc. Casuals, Temporary)	9,000
Number of times FIA Risk register is	findings assisted the organisation in	221007 Books, Periodicals & Newspapers	675
updated	focusing its resources on the high risk	221011 Printing, Stationery, Photocopying and	15,430
	areas as first priority. The FIA risk	Binding	
	register was updated once during quarter	221012 Small Office Equipment	7,477
	and it aided departments in proactively	221017 Subscriptions	5,000
	mitigating the identified risks	227001 Travel inland	7,500
		227002 Travel abroad	36,600
		227004 Fuel, Lubricants and Oils	10,800

Reasons for Variation in performance

Achievement was as per target thus no variation

Total	92,482
Wage Recurrent	0
Non Wage Recurrent	92,482

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QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		AIA	0
		Total For SubProgramme	1,379,543
		Wage Recurrent	0
		Non Wage Recurrent	1,379,543
		AIA	0

Recurrent Programmes

Subprogram: 09 Human Resource Management Services

Outputs Provided

Output: 19 Human Resource Management Services

Management of human capital	The available Human capital was effectively managed through retooling, effective deployment and appropriate support provided to all staff to enable them perform their duties	Item	Spent
		211102 Contract Staff Salaries	2,556,030
		212101 Social Security Contributions	253,610
		213001 Medical expenses (To employees)	198,900
		213004 Gratuity Expenses	274,800
		221006 Commissions and related charges	499,081
		221007 Books, Periodicals & Newspapers	14,217
		221009 Welfare and Entertainment	224,268
		221017 Subscriptions	327,263

Reasons for Variation in performance

Performance was as per target

Total	4,348,169
Wage Recurrent	2,556,030
Non Wage Recurrent	1,792,139
AIA	0
Total For SubProgramme	4,348,169
Wage Recurrent	2,556,030
Non Wage Recurrent	1,792,139
AIA	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 76 Purchase of Office and ICT Equipment, including Software

ICT software for goAML procured and installed	Cumulatively by end of Q3, 12 new desk top computers and heavy duty shared office printers were procured.	Item	Spent
		312213 ICT Equipment	172,046

Reasons for Variation in performance

Performance was as per target

Total	172,046
GoU Development	172,046
External Financing	0
AIA	0

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QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		Total For SubProgramme	172,046
		GoU Development	172,046
		External Financing	0
		AIA	0

Program: 21 Prevention of ML/TF and Financial Intelligence Information Management

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 03 Compliance with AML and CFT laws and Regulations

Increased inspection reports	Cumulatively, 159 onsite inspections	Item	Spent
Accountable persons registered	were conducted by end of Q3.	211103 Allowances (Inc. Casuals, Temporary)	70,000
Guidelines issued		221003 Staff Training	22,741
Reports reviewed		221011 Printing, Stationery, Photocopying and Binding	30,000
Sanctions enforced		227001 Travel inland	36,770
		227004 Fuel, Lubricants and Oils	37,800

Reasons for Variation in performance

The over performance is attributed to the adoption of stakeholder joint inspections for example like the ones conducted by FIA and IRA

	Total	197,311
	Wage Recurrent	0
	Non Wage Recurrent	197,311
	AIA	0

Output: 04 Legal Representation and Litigation

Legal gaps identified and address	Cumulatively, 3 legal gaps were	Item	Spent
Litigation cases handled	identified and being addressed. And 10	211103 Allowances (Inc. Casuals, Temporary)	35,000
Stakeholder MOUs signed	litigation issues have been handled	221003 Staff Training	36,000
		221007 Books, Periodicals & Newspapers	270
		221011 Printing, Stationery, Photocopying and Binding	16,500
		221017 Subscriptions	12,244
		227001 Travel inland	1,935
		227004 Fuel, Lubricants and Oils	24,300

Reasons for Variation in performance

Performance was as per target

	Total	126,249
	Wage Recurrent	0
	Non Wage Recurrent	126,249
	AIA	0
	Total For SubProgramme	323,560
	Wage Recurrent	0
	Non Wage Recurrent	323,560

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
			AIA 0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

AML/CFT Awareness campaigns conducted across Uganda Accountable persons and supervisory bodies trained on AML/CFT Various recommendations from AML/CFT coordination forum implemented	Cumulatively, by end of Q3, 1005 members of staff and management of various reporting entities had been trained in AML/CFT	Item	Spent
		221001 Advertising and Public Relations	49,350
		221002 Workshops and Seminars	40,000
		221003 Staff Training	29,739
		221011 Printing, Stationery, Photocopying and Binding	59,350
		224003 Classified Expenditure	200,000
		227001 Travel inland	32,500
		227002 Travel abroad	50,000
		228002 Maintenance - Vehicles	15,000

Reasons for Variation in performance

Performance was as per target

Total	475,938
Wage Recurrent	0
Non Wage Recurrent	475,938
AIA	0

Output: 06 Financial Intelligence Research and Strategic Development

Studies on ML/TF trends and methods conducted	Cumulatively by end of Q3, 2 typology studies had been completed. That is ML/TF typology study on he NGO sector in Uganda and ML/TF typology study on the sports betting sector in Uganda. The findings and recommendations are to be used to inform policy	Item	Spent
		211103 Allowances (Inc. Casuals, Temporary)	75,000
		221007 Books, Periodicals & Newspapers	6,000
		227004 Fuel, Lubricants and Oils	33,200

Reasons for Variation in performance

The typology studies were scheduled to be conducted in Q4

Total	114,200
Wage Recurrent	0
Non Wage Recurrent	114,200
AIA	0
Total For SubProgramme	590,138
Wage Recurrent	0
Non Wage Recurrent	590,138
AIA	0

Recurrent Programmes

Subprogram: 07 Operational Analysis

Outputs Provided

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Output: 01 Analysis and Reporting Financial Operations in the different Sectors

Reports received and analysed	Cumulatively, by end of quarter 3, 1573	Item	Spent
Intelligence information gathered	Suspicious Transaction Reports (STRs) were received and analysed. Intelligence information was gathered from various sources to assist in collaborating the financial analysis process Out of the 1573 STRs received and analysed, 33	211103 Allowances (Inc. Casuals, Temporary)	22,000
Intelligence reports disseminated	intelligence reports were generated and disseminated to various Law Enforcement Agencies (LEAs) for further management and investigation All databases was regularly updated with new information. 09 financial due diligence request were received from MoFPED, 5 cases have been concluded and submitted and 4 cases are still ongoing. Disseminated 33 STRs; and Closed 1418STR files, while 322 STRs files remained pending receipt of additional information to enable further analysis.	221001 Advertising and Public Relations	5,000
Databases maintained		221002 Workshops and Seminars	100,000
		221003 Staff Training	26,250
		221008 Computer supplies and Information Technology (IT)	48,602
		221011 Printing, Stationery, Photocopying and Binding	10,000
		221012 Small Office Equipment	5,000
		221017 Subscriptions	26,401
		224003 Classified Expenditure	868,545
		224004 Cleaning and Sanitation	19,675
		226001 Insurances	5,000
		227001 Travel inland	4,000
		227002 Travel abroad	35,000
		227004 Fuel, Lubricants and Oils	46,800
		228002 Maintenance - Vehicles	11,476

Reasons for Variation in performance

The over performance is attributed to the increased use of the goAML electronic reporting system by the reporting entities

Total	1,233,749
Wage Recurrent	0
Non Wage Recurrent	1,233,749
<i>AIA</i>	0
Total For SubProgramme	1,233,749
Wage Recurrent	0
Non Wage Recurrent	1,233,749
<i>AIA</i>	0

Recurrent Programmes

Subprogram: 08 AML Systems and ICT Management

Outputs Provided

Output: 02 Ensure safety and integrity of FIA information

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
goAML System Managed	goAML electronic system was rolled out to 95% of the Financial Institutions during the quarter	Item 211103 Allowances (Inc. Casuals, Temporary) 221003 Staff Training 222001 Telecommunications 225001 Consultancy Services- Short term 227001 Travel inland 227002 Travel abroad 227004 Fuel, Lubricants and Oils 228002 Maintenance - Vehicles	Spent 20,250 25,000 30,576 10,000 8,250 20,000 36,000 10,720

Reasons for Variation in performance

The performance was per target

	Total	160,796
Wage Recurrent		0
Non Wage Recurrent		160,796
AIA		0
Total For SubProgramme		160,796
Wage Recurrent		0
Non Wage Recurrent		160,796
AIA		0
GRAND TOTAL		8,208,001
Wage Recurrent		2,556,030
Non Wage Recurrent		5,479,925
GoU Development		172,046
External Financing		0
AIA		0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
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Program: 12 General Administration and Support Services

Recurrent Programmes

Subprogram: 07 Finance and Administration

Outputs Provided

Output: 01 FIA Support Services and Administration

Office supplies for staff procured	Various office supplies for staff were	Item	Spent
Financial management and accountability	procured to ensure that staff had all the	211103 Allowances (Inc. Casuals, Temporary)	37,500
Policies and operation procedures enforced	appropriate business tools to enable them	221003 Staff Training	33,751
Routine operations facilitated to ensure efficiency and effectiveness	perform their roles effectively and efficiently. Financial management and Standard Operating Procedures were developed and reviewed respectively to foster accountability and achieve operational efficiency All routine operations were promptly facilitated to ensure efficiency and effectiveness.	221017 Subscriptions	360
		223003 Rent – (Produced Assets) to private entities	216,860
		223004 Guard and Security services	17,429
		223005 Electricity	14,781
		224003 Classified Expenditure	35,200
		224004 Cleaning and Sanitation	839
		227001 Travel inland	4,998
		227002 Travel abroad	12,878
		227004 Fuel, Lubricants and Oils	21,300

Reasons for Variation in performance

Achievement was as per target thus no variation

Total	395,896
Wage Recurrent	0
Non Wage Recurrent	395,896
AIA	0

Output: 21 Development of Internal Audit Controls and Risk Management

One risk based audit conducted	One Risk based audit was conducted and	Item	Spent
Risk register updated once per quarter	findings assisted the organisation in focusing its resources on the high risk areas as first priority. The FIA risk register was updated once during quarter and it aided departments in proactively mitigating the identified risks	211103 Allowances (Inc. Casuals, Temporary)	3,000
		221007 Books, Periodicals & Newspapers	675
		221011 Printing, Stationery, Photocopying and Binding	10,000
		221012 Small Office Equipment	7,477
		221017 Subscriptions	5,000
		227001 Travel inland	80
		227002 Travel abroad	21,600
		227004 Fuel, Lubricants and Oils	3,600

Reasons for Variation in performance

Achievement was as per target thus no variation

Total	51,432
Wage Recurrent	0
Non Wage Recurrent	51,432
AIA	0
Total For SubProgramme	447,328

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Wage Recurrent	0
		Non Wage Recurrent	447,328
		AIA	0

Recurrent Programmes

Subprogram: 09 Human Resource Management Services

Outputs Provided

Output: 19 Human Resource Management Services

Management of human capital	The available Human capital was effectively managed through retooling, effective deployment and appropriate support provided to all staff to enable them perform their duties	Item	Spent
		211102 Contract Staff Salaries	839,445
		212101 Social Security Contributions	84,690
		213001 Medical expenses (To employees)	198,900
		221006 Commissions and related charges	158,139
		221007 Books, Periodicals & Newspapers	6,967
		221009 Welfare and Entertainment	49,008
		221017 Subscriptions	5,263

Reasons for Variation in performance

Performance was as per target

Total	1,342,411
Wage Recurrent	839,445
Non Wage Recurrent	502,966
AIA	0
Total For SubProgramme	1,342,411
Wage Recurrent	839,445
Non Wage Recurrent	502,966
AIA	0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 76 Purchase of Office and ICT Equipment, including Software

Maintenance and service	12 new desk top computers and heavy duty shared office printers were procured	Item	Spent
		312213 ICT Equipment	64,546

Reasons for Variation in performance

Performance was as per target

Total	64,546
GoU Development	64,546
External Financing	0
AIA	0
Total For SubProgramme	64,546
GoU Development	64,546
External Financing	0
AIA	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
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Program: 21 Prevention of ML/TF and Financial Intelligence Information Management

Recurrent Programmes

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 03 Compliance with AML and CFT laws and Regulations

		Item	Spent
Review 50% of annual compliance reports from accountable persons	A total of 133 insurance agencies and 21 Insurance companies were inspected during Q3. This was through joint onsite	211103 Allowances (Inc. Casuals, Temporary)	40,915
Increased inspection reports	inspection exercise conducted by FIA and the Insurance Regulatory Authority in	221003 Staff Training	22,741
Register new Accountable persons and update the database	Western and Southern, Eastern and Northern districts with insurance presence	221011 Printing, Stationery, Photocopying and Binding	25,000
Issue 1 Guideline for cross border declaration on movement of cash and BNIs	from 20th – 29th January 2020 and from 3rd to 12th February 2020 respectively.	227001 Travel inland	14,870
1 Sanction enforced for non compliance		227004 Fuel, Lubricants and Oils	12,600

Reasons for Variation in performance

The over performance is attributed to the adoption of stakeholder joint inspections for example like the ones conducted by FIA and IRA

Total	116,125
Wage Recurrent	0
Non Wage Recurrent	116,125
<i>AIA</i>	0

Output: 04 Legal Representation and Litigation

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
Legal gaps identified and addressed	2 Legal gaps are still being fast tracked;	Item	Spent
Litigation cases handled	The AML Regulations amendment to incorporate enforcement of administrative sanctions on reporting entities that fail to comply with AMLA are under review by First Parliamentary Council (FPC). And the amendment of the 2nd schedule of AMLA, 2013, to incorporate Virtual Assets Service Providers as accountable persons. This is currently before parliament for their consideration and approval. 3 Litigation cases were handled;	211103 Allowances (Inc. Casuals, Temporary)	12,500
Stakeholder MOUs signed	i. Amanyire Rogers v FIA MISC. Cause No. 003 of 2020 - the Applicant filed an application seeking an order of court to unfreeze his funds worth UGX 122,265,000 (One Hundred Twenty Two Million, Two Hundred Sixty Five Thousand Shillings) which was frozen by the Authority. The matter came up for hearing on 28th February 2020 before Hon. Justice Gadenya Wolimbwa Paul. The Application was dismissed for want of prosecution under Order 17, Rule 5 of the Civil Procedure Rules; ii. Alice Battiibwe & 45 others v Dunamiscoins Resources Limited & FIA Civil Suit No. 199 of 2020 - the Plaintiff's claim against FIA is for an order lifting the freeze on the 1st Defendant's Account and that the funds are paid to the Plaintiffs, general damages and costs of the suit. FIA filed its Affidavit in Reply on 20th March 2020 and are awaiting a hearing date from court; iii. Smart Protus Magara v Mwesigwa & 24 others HCCS NO.132 of 2018 - the verification exercise for the claimants is ongoing at URSB. FIA is part of the verification committee and attended the verification committee meetings as guided by court to the committee. The same matter came up for hearing again on 29th March 2020. Court directed that whoever had appeared in court as a result of the issued notice to show cause should go ahead and appear before the verification committee. The matter was adjourned to the 20th of April, 2020 at 2:30 pm.	221003 Staff Training	18,000
		221011 Printing, Stationery, Photocopying and Binding	10,000
		221017 Subscriptions	7,244
		227001 Travel inland	1,280
		227004 Fuel, Lubricants and Oils	8,100

Reasons for Variation in performance

Performance was as per target

Total	57,124
Wage Recurrent	0
Non Wage Recurrent	57,124
A/A	0
Total For SubProgramme	173,249

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Wage Recurrent	0
		Non Wage Recurrent	173,249
		AIA	0

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

		Item	Spent
Conduct 5 awareness campaigns across the country	5 Public awareness campaigns were conducted during this quarter; 30/1/20, 70	221001 Advertising and Public Relations	9,500
Conduct AML/CFT training for 2 reporting entities	Money Laundering Control Officers (MLCO) of all Commercial Banks, Credit	221002 Workshops and Seminars	6,404
Implement 100% recommendation of the UAMLC Task force recommendations	Institutions as well as MDIs were engaged at Grand Imperial Hotel, on ways of improving the quality of STRs submitted to FIA; 30th-31st/1/20, 50 members of various Civil Society Organisations (CSOs) with operations in Eastern Uganda were engaged on Anti-Money Laundering obligations for Accountable persons focusing on NGOs. It took place at Two Seasons Hotel – Mbale. This was organised by Defenders Protection Initiative (DPI) in conjunction with Bugisu Civil Society Forum (BCSF); 6th - 7th/2/20, 30 members of various Civil Society Organisations (CSOs) with operations in Greater Masaka Region were engaged on Anti-Money Laundering obligations for Accountable persons focusing on NGOs This was organised by Defenders Protection Initiative (DPI) in conjunction with FIA and took place at Maria Flo hotel – Masaka; various visits were made to Accountable persons to ascertain the status of submission of reports via GoAML and report on any challenges faced by the banks. The banks were given necessary skills and support to enhance the usage of the goAML system; 21/2/20, 35 members of the Uganda Police Force were engaged by FIA at Grand Imperial Hotel on ways of enhancing the effectiveness of ML/TF investigations; 13th -27th January 2020, 20 members of the Uganda Police Force were engaged by FIA at Unik hotel in the development of AML/CFT/CPF curriculum and training manual for the Uganda Police Force (UPF) that shall be used in the various Police training schools to enhance AML/CFT/CPF awareness in the police force	221003 Staff Training	4,610
		221011 Printing, Stationery, Photocopying and Binding	22,397
		224003 Classified Expenditure	50,000
		227001 Travel inland	11,170
		227002 Travel abroad	10,000
		228002 Maintenance - Vehicles	5,000

Reasons for Variation in performance

Performance was as per target

Total 119,080

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Wage Recurrent	0
		Non Wage Recurrent	119,080
		AIA	0

Output: 06 Financial Intelligence Research and Strategic Development

Conduct one study on ML/TF trends in Uganda to inform policy	No typology study was conducted during this quarter	Item	Spent
		211103 Allowances (Inc. Casuals, Temporary)	30,147
		221007 Books, Periodicals & Newspapers	4,000
		227004 Fuel, Lubricants and Oils	11,100

Reasons for Variation in performance

The typology studies were scheduled to be conducted in Q4

Total	45,247
Wage Recurrent	0
Non Wage Recurrent	45,247
AIA	0
Total For SubProgramme	164,327
Wage Recurrent	0
Non Wage Recurrent	164,327
AIA	0

Recurrent Programmes

Subprogram: 07 Operational Analysis

Outputs Provided

Output: 01 Analysis and Reporting Financial Operations in the different Sectors

Reports received and analysed	During this quarter, 578 Suspicious	Item	Spent
Intelligence information gathered	Transaction Reports (STRs) were received	211103 Allowances (Inc. Casuals, Temporary)	7,500
Intelligence reports disseminated	and analysed. Intelligence information was	221001 Advertising and Public Relations	5,000
Databases maintained	gathered from various sources to assist in	221002 Workshops and Seminars	50,000
Due diligence on investors intending to	collaborating the financial analysis	221003 Staff Training	14,406
partner with government in infrastructural	process Out of the 578 STRs received and	221008 Computer supplies and Information	30,371
developments conducted	analysed, 20 intelligence reports were	Technology (IT)	
	generated and disseminated to various	221017 Subscriptions	1
	Law Enforcement Agencies (LEAs) for	224003 Classified Expenditure	268,545
	further management and investigation All	224004 Cleaning and Sanitation	11,675
	databases was regularly updated with new	227001 Travel inland	3,250
	information. 03 financial due diligence	227002 Travel abroad	10,000
	request were received from MoFPED, 2	227004 Fuel, Lubricants and Oils	15,600
	cases have been concluded and submitted	228002 Maintenance - Vehicles	1,476
	and 1 case is still ongoing. Disseminated		
	20 STRs; and Closed 412 STR files, while		
	146 STRs files remained pending receipt		
	of additional information to enable further		
	analysis.		

Reasons for Variation in performance

The over performance is attributed to the increased use of the goAML electronic reporting system by the reporting entities

Total	417,824
Wage Recurrent	0
Non Wage Recurrent	417,824

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		AIA	0
		Total For SubProgramme	417,824
		Wage Recurrent	0
		Non Wage Recurrent	417,824
		AIA	0

Recurrent Programmes

Subprogram: 08 AML Systems and ICT Management

Outputs Provided

Output: 02 Ensure safety and integrity of FIA information

Phased roll out of goAML system to 100% goAML electronic system was rolled out	Item	Spent
Forex Bureaus and money remitters to 95% of the Financial Institutions during the quarter	211103 Allowances (Inc. Casuals, Temporary)	6,750
	221003 Staff Training	23,380
	222001 Telecommunications	10,655
	225001 Consultancy Services- Short term	5,000
	227001 Travel inland	8,250
	227004 Fuel, Lubricants and Oils	12,000
	228002 Maintenance - Vehicles	720

Reasons for Variation in performance

The performance was per target

	Total	66,755
	Wage Recurrent	0
	Non Wage Recurrent	66,755
	AIA	0
	Total For SubProgramme	66,755
	Wage Recurrent	0
	Non Wage Recurrent	66,755
	AIA	0
	GRAND TOTAL	2,676,441
	Wage Recurrent	839,445
	Non Wage Recurrent	1,772,450
	GoU Development	64,546
	External Financing	0
	AIA	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)
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Program: 12 General Administration and Support Services

Recurrent Programmes

Subprogram: 07 Finance and Administration

Outputs Provided

Output: 01 FIA Support Services and Administration

	Item	Balance b/f	New Funds	Total
Office supplies for staff procured Financial management and accountability Policies and operation procedures enforced Routine operations facilitated to ensure efficiency and effectiveness	221003 Staff Training	(2,120)	0	(2,120)
	221007 Books, Periodicals & Newspapers	825	0	825
	221017 Subscriptions	(220)	0	(220)
	223003 Rent – (Produced Assets) to private entities	10,581	0	10,581
	223004 Guard and Security services	1,246	0	1,246
	223005 Electricity	6,619	0	6,619
	226001 Insurances	250	0	250
	Total	17,181	0	17,181
	Wage Recurrent	0	0	0
	Non Wage Recurrent	17,181	0	17,181
	AIA	0	0	0

Output: 21 Development of Internal Audit Controls and Risk Management

	Item	Balance b/f	New Funds	Total
One risk based audit conducted Risk register updated once per quarter	221007 Books, Periodicals & Newspapers	1,825	0	1,825
	221011 Printing, Stationery, Photocopying and Binding	4,570	0	4,570
	221012 Small Office Equipment	523	0	523
	Total	6,918	0	6,918
	Wage Recurrent	0	0	0
	Non Wage Recurrent	6,918	0	6,918
	AIA	0	0	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Subprogram: 09 Human Resource Management Services

Outputs Provided

Output: 19 Human Resource Management Services

Management of human capital	Item	Balance b/f	New Funds	Total
	211102 Contract Staff Salaries	52,020	0	52,020
	212101 Social Security Contributions	8,020	0	8,020
	213004 Gratuity Expenses	248,460	0	248,460
	221006 Commissions and related charges	57,693	0	57,693
	221007 Books, Periodicals & Newspapers	(6,967)	0	(6,967)
	221009 Welfare and Entertainment	29,644	0	29,644
	221017 Subscriptions	(263)	0	(263)
	Total	388,608	0	388,608
	<i>Wage Recurrent</i>	<i>52,020</i>	<i>0</i>	<i>52,020</i>
	<i>Non Wage Recurrent</i>	<i>336,588</i>	<i>0</i>	<i>336,588</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 76 Purchase of Office and ICT Equipment, including Software

Maintenance and service	Item	Balance b/f	New Funds	Total
	312213 ICT Equipment	(46)	0	(46)
	Total	(46)	0	(46)
	<i>GoU Development</i>	<i>(46)</i>	<i>0</i>	<i>(46)</i>
	<i>External Financing</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Program: 21 Prevention of ML/TF and Financial Intelligence Information Management

Recurrent Programmes

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>UShs Thousand</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 03 Compliance with AML and CFT laws and Regulations

	Item	Balance b/f	New Funds	Total
Review 50% of compliance reports from accountable persons	221003 Staff Training	2,260	0	2,260
Increased inspection reports				
Register new Accountable persons and update the database	221007 Books, Periodicals & Newspapers	1,000	0	1,000
AML/CFT Reports reviewed				
1 Sanctions enforced for non compliance	227001 Travel inland	(2,970)	0	(2,970)
	Total	290	0	290
	Wage Recurrent	0	0	0
	Non Wage Recurrent	290	0	290
	AIA	0	0	0

Output: 04 Legal Representation and Litigation

	Item	Balance b/f	New Funds	Total
Legal gaps identified and addressed				
Litigation cases handled	221007 Books, Periodicals & Newspapers	6,330	0	6,330
Stakeholder MOUs signed				
	221011 Printing, Stationery, Photocopying and Binding	3,500	0	3,500
	221017 Subscriptions	3,056	0	3,056
	227001 Travel inland	315	0	315
	Total	13,201	0	13,201
	Wage Recurrent	0	0	0
	Non Wage Recurrent	13,201	0	13,201
	AIA	0	0	0

Subprogram: 05 International Relations and Strategic Analysis

Outputs Provided

Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

	Item	Balance b/f	New Funds	Total
Conduct 5 awareness campaigns across the country				
Conduct AML/CFT training for 2 reporting entities	221001 Advertising and Public Relations	31,781	0	31,781
Implement 100% recommendation of the UAMLC Task force recommendations	221003 Staff Training	261	0	261
	221011 Printing, Stationery, Photocopying and Binding	650	0	650
	Total	32,692	0	32,692
	Wage Recurrent	0	0	0
	Non Wage Recurrent	32,692	0	32,692
	AIA	0	0	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)		
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Output: 06 Financial Intelligence Research and Strategic Development

Disseminate findings of ML/TF trends and methods in Uganda	Item	Balance b/f	New Funds	Total
	221007 Books, Periodicals & Newspapers	13,800	0	13,800
	Total	13,800	0	13,800
	Wage Recurrent	0	0	0
	Non Wage Recurrent	13,800	0	13,800
	AIA	0	0	0

Subprogram: 07 Operational Analysis

Outputs Provided

Output: 01 Analysis and Reporting Financial Operations in the different Sectors

Reports received and analysed Intelligence information gathered Intelligence reports disseminated Databases maintained Due diligence on investors intending to partner with government in infrastructural developments conducted	Item	Balance b/f	New Funds	Total
	221001 Advertising and Public Relations	5,000	0	5,000
	221007 Books, Periodicals & Newspapers	2,650	0	2,650
	221008 Computer supplies and Information Technology (IT)	1,398	0	1,398
	221011 Printing, Stationery, Photocopying and Binding	30,000	0	30,000
	221012 Small Office Equipment	5,000	0	5,000
	221017 Subscriptions	(1)	0	(1)
	224003 Classified Expenditure	(12,890)	0	(12,890)
	225001 Consultancy Services- Short term	200,000	0	200,000
	226001 Insurances	5,000	0	5,000
	228002 Maintenance - Vehicles	3,524	0	3,524
	Total	239,681	0	239,681
	Wage Recurrent	0	0	0
	Non Wage Recurrent	239,681	0	239,681
	AIA	0	0	0

Subprogram: 08 AML Systems and ICT Management

Outputs Provided

Output: 02 Ensure safety and integrity of FIA information

Phased roll out of goAML system to 100% Insurance Companies	Item	Balance b/f	New Funds	Total
	221007 Books, Periodicals & Newspapers	1,000	0	1,000
	221011 Printing, Stationery, Photocopying and Binding	2,500	0	2,500
	222001 Telecommunications	5,424	0	5,424
	228002 Maintenance - Vehicles	4,280	0	4,280
	Total	13,204	0	13,204
	Wage Recurrent	0	0	0
	Non Wage Recurrent	13,204	0	13,204
	AIA	0	0	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

<i>UShs Thousand</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releaes)		
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Development Projects

	GRAND TOTAL	725,528	0	725,528
	<i>Wage Recurrent</i>	<i>52,020</i>	<i>0</i>	<i>52,020</i>
	<i>Non Wage Recurrent</i>	<i>673,554</i>	<i>0</i>	<i>673,554</i>
	<i>GoU Development</i>	<i>(46)</i>	<i>0</i>	<i>(46)</i>
	<i>External Financing</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>