

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Highlights of Vote Performance

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (US\$ Billion)

	Approved Budget	Released by End Q 2	Spent by End Q2	% Budget Released	% Budget Spent	% Releases Spent
Recurrent Wage	4.574	2.287	2.215	50.0%	48.4%	96.9%
Non Wage	12.343	7.726	6.403	62.6%	51.9%	82.9%
Devt. GoU	0.215	0.108	0.032	50.2%	14.9%	30.0%
Ext. Fin.	0.000	0.000	0.000	0.0%	0.0%	0.0%
GoU Total	17.133	10.121	8.650	59.1%	50.5%	85.5%
Total GoU+Ext Fin (MTEF)	17.133	10.121	8.650	59.1%	50.5%	85.5%
Arrears	0.000	0.000	0.000	0.0%	0.0%	0.0%
Total Budget	17.133	10.121	8.650	59.1%	50.5%	85.5%
<i>A.I.A Total</i>	0.000	0.000	0.000	0.0%	0.0%	0.0%
Grand Total	17.133	10.121	8.650	59.1%	50.5%	85.5%
Total Vote Budget Excluding Arrears	17.133	10.121	8.650	59.1%	50.5%	85.5%

Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
Programme: Governance and Security	17.13	10.12	8.65	59.1%	50.5%	85.5%
Sub-SubProgramme: 12 General Administration and Support Services	9.42	5.40	4.44	57.4%	47.1%	82.2%
Sub-SubProgramme: 21 Prevention of ML/TF and Financial Intelligence Information Management	7.71	4.72	4.21	61.2%	54.6%	89.2%
Sub-SubProgramme: 58 Prevention of ML/CFT, Prosecution and confiscation of proceeds of crime	0.00	0.00	0.00	0.0%	0.0%	0.0%
Sub-SubProgramme: 59 Policy, International Cooperation and Mutual Legal Assistance	0.00	0.00	0.00	0.0%	0.0%	0.0%
Total for Vote	17.13	10.12	8.65	59.1%	50.5%	85.5%

Matters to note in budget execution

The approved budget for the FY 2021/22 was 17.133 billion that included 4.574 billion for wage, 12.343 billion for non-wage and 0.215 billion for development. By the end of Q2, UGX 10.121 billion was released representing 59.1% and of that, UGX 8.65 billion was spent representing 85.5% of the total releases.

Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)

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(i) Major unspent balances

Departments , Projects

Sub-SubProgramme 12 General Administration and Support Services

0.737 Bn Shs Department/Project :07 Finance and Administration

Reason: Invoices were received late. Payments are going to be effected in Q3

Items

682,600,000.000 UShs 213004 Gratuity Expenses

Reason: Gratuity for some staff shall be due for payment in the next quarter

20,077,000.000 UShs 221006 Commissions and related charges

Reason: To be paid during Q3

18,416,690.000 UShs 223005 Electricity

Reason: Invoices received late. They will be paid in Q3

0.075 Bn Shs Department/Project :1623 Retooling of Financial Intelligence Authority

Reason: Procurement process was still ongoing

Items

75,217,800.000 UShs 312213 ICT Equipment

Reason: Procurement process was still ongoing

Sub-SubProgramme 21 Prevention of ML/TF and Financial Intelligence Information Management

0.008 Bn Shs Department/Project :02 Legal, Inspection and Compliance

Reason: Procurement process was still ongoing

Items

6,415,307.000 UShs 221012 Small Office Equipment

Reason: Procurement process was still ongoing

2,000,000.000 UShs 222001 Telecommunications

Reason: Procurement process was still ongoing

0.132 Bn Shs Department/Project :07 Operational Analysis

Reason: Balances To be paid during Q3

Items

93,510,000.000 UShs 225001 Consultancy Services- Short term

Reason: Procurement process is ongoing

11,392,485.000 UShs 228002 Maintenance - Vehicles

Reason: To be paid during Q3

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0.322 Bn Shs	<i>Department/Project :08 AML Systems and ICT Management</i>
Reason: Procurement process is yet to be completed	
<i>Items</i>	
322,305,000.000 US\$	226002 Licenses
Reason: Procurement process is yet to be completed	
<i>(ii) Expenditures in excess of the original approved budget</i>	

V2: Performance Highlights

Table V2.1: Sub-SubProgramme Outcome and Outcome Indicators*

Table V2.2: Budget Output Indicators*

Sub-SubProgramme : 12 General Administration and Support Services			
Department : 06 Internal Audit			
Budget OutPut : 21 Development of Internal Audit Controls and Risk Management			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
Percentage of audit recommendations implemented	Percentage	40%	20%
Percentage of the strategic actions in the Strategic Plan delivered	Percentage	40%	20%
No. of risk management assessments conducted	Number	4	2
Department : 07 Finance and Administration			
Budget OutPut : 01 FIA Support Services and Administration			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
No of Financial Statements produced	Number	2	0
Percentage of the Strategic actions in the Strategic Plan delivered	Percentage	40%	20%
Budget OutPut : 19 Human Resource Management Services			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
Percentage of approved FIA structure filled by gender and PWDs	Percentage	10%	49%
Number of staff trained in relevant capacity building by gender	Number	10	8
Department : 09 Human Resource Management Services			

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Budget OutPut : 19 Human Resource Management Services			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
Percentage of approved FIA structure filled by gender and PWDs	Percentage	65%	49%
Number of staff trained in relevant capacity building by gender	Number	20	8
Sub-SubProgramme : 21 Prevention of ML/TF and Financial Intelligence Information Management			
Department : 02 Legal, Inspection and Compliance			
Budget OutPut : 03 Compliance with AML and CFT laws and Regulations			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
Percentage of accountable persons issued with certificates of registration	Percentage	65%	19%
Number of inspection reports from regulatory bodies reviewed	Number	3	4
Number of sanctions applied and disaggregated by Accountable Persons	Number	1	0
Budget OutPut : 04 Legal Representation and Litigation			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
Percentage of cases concluded and forwarded for prosecution	Percentage	100%	100%
Department : 05 International Relations and Strategic Analysis			
Budget OutPut : 05 Coordination of the implementation of AML/CFT NRA and MER recommendations			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
Number of AML/CFT Awareness campaigns conducted by region	Number	5	4
Proportion of Accountable persons and supervisory bodies trained on AML/CFT	Percentage	60%	30%
Number of recommendations from AML/CFT coordination forum implemented	Number	16	8
Budget OutPut : 06 Financial Intelligence Research and Strategic Development			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
Number of studies on ML/TF trends and methods concluded with disaggregated data	Number	2	2
Proportion of recommendations from MER and NRA	Number	16	8
Department : 07 Operational Analysis			

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Budget OutPut : 01 Analysis and Reporting Financial Operations in the different Sectors			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
Number of (STR)/LCTR/CBR received and analysed with disaggregated data	Number	480	1489
Proportion of STRs analysed and disseminated for investigations to the relevant LEAs	Percentage	10%	62%
Number of due diligence requests on companies handled classified from each requesting MDA	Number	10	6
Department : 08 AML Systems and ICT Management			
Budget OutPut : 02 Ensure safety and integrity of FIA information			
Budget Output Indicators	Indicator Measure	Planned 2021/22	Actuals By END Q2
Number of reporting entities using goAML system disaggregated by Accountable persons	Number	35	2
Number of statistical databases on STR/LCTR/CBR established and maintained to reflect national character	Number	1	1

Performance highlights for the Quarter

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Financial Intelligence Authority executed activities during Q2 in line with the annual work plan and the Strategic Plan FY 2020/21-2024/25. This report highlights a number of achievements that were recorded throughout the Quarter.

In an effort to enhance the identification of proceeds of crime, the performance of the goAML electronic reporting system was enhanced. As a result, 3,342 reports were received via the goAML platform from various accountable persons relating to different transaction reports. FIA also undertook several risk assessments with an objective of understanding money laundering and terrorist financing related risks, emerging vulnerabilities and trends, and methods used by criminals to launder proceeds of crime. During Quarter 2, the National ML/TF Risk assessment Draft Report was completed and shared with FIA Top Management, working group Heads, Note Takers and the World Bank for their comments. During the same period FIA in collaboration with URA conducted several preparatory engagements with the World Bank and other stakeholders in preparation for the NRA Tax Crimes Assessment.

In an effort to increase compliance with the AML/CFT laws, 2 onsite inspections and 10 compliance spot checks were carried out on accountable persons to assess their compliance. In the same period, 6 follow-up and thematic inspection reports were prepared and shared with the respective accountable persons. 180 accountable persons were also registered, 214 certificates issued and 92 accountable persons requested for certification of their certificates during the period under review.

Collection and dissemination of information to competent Authorities. During the period under review, FIA received 675 suspicious transaction reports, 78 suspicious activity reports and 1,169 large cash transaction reports. The reports were analyzed and as a result materialized into 15 intelligence reports that were disseminated to law enforcement agencies and competent authorities for further management. FIA also received 20 requests for information from different LEAs and competent authorities, and responded to 19 by the end of the quarter.

Strengthen international cooperation. During the period under review, Uganda submitted its 4th ICRG progress report following its identification for monitoring by the ICRG of the FATF. This report will be discussed at the face-to-face meeting scheduled to take place on 21st January, 2022.

In order to increase public awareness and understanding of ML/TF matters, FIA participated in the following activities;

- Participation in the Banking and Financial Services Awareness Month.
- Participation in the Citizen Baraza Interactive Meetings.
- Participation in the Anti-Corruption Week Activities.
- FIA produced an article on the Role of Banks in the fight against Money Laundering and Terrorism Financing.
- FIA participated in the production of Independence Day messages celebrated on 9th October 2021.
- FIA published an article in the end of year new vision magazine on 31st December 2021 under the theme “Closing porous border points will reduce illicit finance in the country”.

Conducting financial due diligence. During the period under review, FIA received 4 requests for conducting financial due diligence (background and credibility checks) from the Ministry of Finance, Planning and Economic Development on companies that wished to partner with Government of Uganda on certain projects. Of the four (4) requests received, two were conducted and the respective reports were being finalized by the end of the quarter while the other 2 were awaiting supporting documents from various sources and will be completed in the next quarter.

Enhancing the efficiency and effectiveness of FIA to execute its mandate. During Q2, 4 new FIA Board Members were appointed by the Honourable Minister of Finance Planning and Economic Development. In the same period FIA recruited 2 new staff that include the Planning and Budgeting Officer (FIA 6) and the Procurement Officer (FIA 6) whose appointments commenced on 1st October, 2021. This increased the staff numbers from 39 to 41. The number of staff who have successfully completed the CAMS certification also increased by 5 i.e. from 16 to 21 out of 28 registered. The FIA also submitted the Budget Framework Paper for the FY 2022/23 to MoFPED.

V3: Details of Releases and Expenditure

Table V3.1: Releases and Expenditure by Budget Output*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Sub-SubProgramme 12 General Administration and Support Services	9.42	5.40	4.44	57.4%	47.1%	82.2%
<i>Class: Outputs Provided</i>	9.21	5.30	4.41	57.5%	47.9%	83.2%
141201 FIA Support Services and Administration	2.57	1.46	1.34	56.9%	52.3%	92.0%

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<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
141219 Human Resource Management Services	6.56	3.78	3.01	57.7%	45.9%	79.6%
141221 Development of Internal Audit Controls and Risk Management	0.08	0.05	0.05	65.9%	65.7%	99.7%
Class: Capital Purchases	0.22	0.11	0.03	50.0%	15.0%	30.0%
141276 Purchase of Office and ICT Equipment, including Software	0.22	0.11	0.03	50.0%	15.0%	30.0%
Sub-SubProgramme 21 Prevention of ML/TF and Financial Intelligence Information Management	7.71	4.72	4.21	61.2%	54.6%	89.2%
Class: Outputs Provided	7.71	4.72	4.21	61.2%	54.6%	89.2%
142101 Analysis and Reporting Financial Operations in the different Sectors	5.14	3.30	3.17	64.3%	61.7%	96.0%
142102 Ensure safety and integrity of FIA information	0.88	0.51	0.18	57.7%	20.4%	35.4%
142103 Compliance with AML and CFT laws and Regulations	1.05	0.58	0.57	54.8%	54.0%	98.5%
142104 Legal Representation and Litigation	0.24	0.08	0.07	32.7%	29.3%	89.6%
142105 Coordination of the implementation of AML/CFT NRA and MER recommendations	0.05	0.04	0.04	74.4%	74.4%	100.0%
142106 Financial Intelligence Research and Strategic Development	0.35	0.21	0.18	60.7%	52.0%	85.6%
Total for Vote	17.13	10.12	8.65	59.1%	50.5%	85.5%

Table V3.2: 2021/22 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Class: Outputs Provided	16.92	10.01	8.62	59.2%	50.9%	86.1%
211102 Contract Staff Salaries	4.57	2.29	2.22	50.0%	48.4%	96.9%
211103 Allowances (Inc. Casuals, Temporary)	0.29	0.16	0.15	54.0%	53.8%	99.5%
212101 Social Security Contributions	0.23	0.11	0.11	50.0%	48.4%	96.9%
212201 Social Security Contributions	0.46	0.23	0.22	50.0%	48.4%	96.9%
213004 Gratuity Expenses	1.14	1.14	0.46	100.0%	40.4%	40.4%
221001 Advertising and Public Relations	0.01	0.01	0.00	100.0%	85.6%	85.6%
221002 Workshops and Seminars	0.23	0.08	0.08	33.6%	33.6%	100.0%
221003 Staff Training	0.12	0.06	0.06	50.0%	50.0%	100.0%
221006 Commissions and related charges	0.20	0.10	0.08	49.4%	39.2%	79.4%
221007 Books, Periodicals & Newspapers	0.02	0.01	0.01	50.0%	50.0%	100.0%
221008 Computer supplies and Information Technology (IT)	0.07	0.04	0.04	57.0%	56.7%	99.5%
221009 Welfare and Entertainment	0.26	0.18	0.15	69.5%	58.2%	83.7%
221011 Printing, Stationery, Photocopying and Binding	0.05	0.05	0.04	100.0%	79.9%	79.9%
221012 Small Office Equipment	0.03	0.03	0.01	83.3%	31.5%	37.8%
221017 Subscriptions	0.37	0.37	0.34	98.9%	89.7%	90.7%

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222001 Telecommunications	0.03	0.02	0.02	92.4%	77.4%	83.7%
223003 Rent – (Produced Assets) to private entities	0.89	0.44	0.44	50.0%	49.3%	98.7%
223004 Guard and Security services	0.19	0.10	0.09	50.0%	47.3%	94.6%
223005 Electricity	0.08	0.04	0.02	50.0%	28.1%	56.2%
224003 Classified Expenditure	5.74	3.55	3.55	61.9%	61.9%	100.0%
224004 Cleaning and Sanitation	0.08	0.04	0.03	50.0%	38.9%	77.8%
225001 Consultancy Services- Short term	0.48	0.42	0.29	87.5%	61.1%	69.8%
226001 Insurances	0.23	0.01	0.00	3.6%	1.0%	26.7%
226002 Licenses	0.64	0.32	0.00	50.0%	0.0%	0.0%
227001 Travel inland	0.09	0.08	0.08	86.0%	85.9%	99.8%
227002 Travel abroad	0.19	0.00	0.00	0.0%	0.0%	0.0%
227004 Fuel, Lubricants and Oils	0.21	0.13	0.12	61.5%	56.2%	91.5%
228002 Maintenance - Vehicles	0.02	0.02	0.01	100.0%	43.0%	43.0%
Class: Capital Purchases	0.22	0.11	0.03	50.0%	15.0%	30.0%
312213 ICT Equipment	0.22	0.11	0.03	50.0%	15.0%	30.0%
Total for Vote	17.13	10.12	8.65	59.1%	50.5%	85.5%

Table V3.3: Releases and Expenditure by Department and Project*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
Sub-SubProgramme 1412 General Administration and Support Services	9.42	5.40	4.44	57.4%	47.1%	82.2%
<i>Departments</i>						
06 Internal Audit	0.08	0.05	0.05	65.9%	65.7%	99.7%
07 Finance and Administration	4.55	2.96	2.14	65.0%	47.0%	72.4%
09 Human Resource Management Services	4.57	2.29	2.22	50.0%	48.4%	96.9%
<i>Development Projects</i>						
1623 Retooling of Financial Intelligence Authority	0.22	0.11	0.03	50.0%	15.0%	30.0%
Sub-SubProgramme 1421 Prevention of ML/TF and Financial Intelligence Information Management	7.71	4.72	4.21	61.2%	54.6%	89.2%
<i>Departments</i>						
02 Legal, Inspection and Compliance	1.29	0.66	0.64	50.7%	49.4%	97.4%
05 International Relations and Strategic Analysis	0.40	0.25	0.22	62.4%	54.8%	87.7%
07 Operational Analysis	5.14	3.30	3.17	64.3%	61.7%	96.0%
08 AML Systems and ICT Management	0.88	0.51	0.18	57.7%	20.4%	35.4%
Total for Vote	17.13	10.12	8.65	59.1%	50.5%	85.5%

Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
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QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Sub-SubProgramme: 12 General Administration and Support Services

Departments

Department: 06 Internal Audit

Outputs Provided

Budget Output: 21 Development of Internal Audit Controls and Risk Management

		Item	Spent
Risks identified and a risk matrix developed	1) 2 risk audits were conducted in order to identify and control the risks of the Authority.	211103 Allowances (Inc. Casuals, Temporary)	10,480
Internal Audit Charter developed	2) Review of the internal audit charter was being undertaken by the end of the Quarter.	227001 Travel inland	39,573
Internal Audit Quality and assurance		227004 Fuel, Lubricants and Oils	2,500
Strengthened Internal Controls			
: Strengthen FIA governance structures to promote checks and balances	The following policies and reports were approved by the Board as at half year:-		
Quarterly Board reports produced on the status of the Authority	i) FIA Work from Home Policy ii) FIA Succession Plan Policy		
	iii) FIA HIV Policy		
	iv) Performance Rewards and Sanctions Policy		
	v) Annual Report for FY 2020/ 2021		
	vi) Q1 FIA performance report		

Reasons for Variation in performance

Board reports: No variation

Internal Audit; The internal audit quality and assurance programme is awaiting feedback from the office of the internal auditor general

Total	52,553
Wage Recurrent	0
Non Wage Recurrent	52,553
Arrears	0
AIA	0
Total For Department	52,553
Wage Recurrent	0
Non Wage Recurrent	52,553
Arrears	0
AIA	0

Departments

Department: 07 Finance and Administration

Outputs Provided

Budget Output: 01 FIA Support Services and Administration

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QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
1..Salaries and other payments processed	1) Salaries and other payments were processed	Item	Spent
2. Financial statements prepared and submitted on time	2) Accountabilities for funds advanced were promptly processed	221006 Commissions and related charges	77,173
3. accountabilities for funds advanced processed	3) Security at office premises was provided	221009 Welfare and Entertainment	148,948
4. Enhanced security at office premises	4) 2 staff were recruited.	221017 Subscriptions	309,087
5.Qualified staff recruited, trained, adequately compensated	5) 8 staff completed the CAMS training	223003 Rent – (Produced Assets) to private entities	438,208
		223004 Guard and Security services	90,850
		223005 Electricity	23,583
		224003 Classified Expenditure	223,916
		224004 Cleaning and Sanitation	32,681

Reasons for Variation in performance

No variation

Total	1,344,447
Wage Recurrent	0
Non Wage Recurrent	1,344,447
Arrears	0
AIA	0

Budget Output: 19 Human Resource Management Services

1. Human Resource policies produced for Board approval	1) Completed end of year staff performance appraisal process for the FY 2020/21	Item	Spent
2. staff appraisal reports produced on time	2) Performance Management and Appraisal Training for all staff was conducted.	212101 Social Security Contributions	110,760
3. Capacity building of staff	3) Capacity building; 8 staff were trained and acquired CAMS certification. Total number of staff with CAMS certification is 21	212201 Social Security Contributions	221,520
4. HIV/AIDs issues mainstreamed	4) Staff welfare was well maintained and monitored	213004 Gratuity Expenses	462,000
5. Staff welfare maintained and monitored	5) Staff contractual obligations were well maintained	226001 Insurances	2,241
6. staff contractual obligations maintained	6) 4 HR policies were prepared and approved by the board.		
Approved structure of the organization filled	7) Implementation of the new Approved structure came into effect 1st July ,2021 with an approved staff establishment of 83. Staff capacity is at 49% of approved structure. Redeployment of staff was conducted in order to realign with the new organizational structure.		
recruited staff retained in the organization during the contract period	8) 2 staff were recruited		

Reasons for Variation in performance

No variation

Total	796,521
Wage Recurrent	0

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QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		Non Wage Recurrent	796,521
		Arrears	0
		AIA	0
		Total For Department	2,140,969
		Wage Recurrent	0
		Non Wage Recurrent	2,140,969
		Arrears	0
		AIA	0

Departments

Department: 09 Human Resource Management Services

Outputs Provided

Budget Output: 19 Human Resource Management Services

Salaries of staff paid on time	Staff salaries were promptly paid	Item	Spent
		211102 Contract Staff Salaries	2,215,200

Reasons for Variation in performance

No variation

Total	2,215,200
Wage Recurrent	2,215,200
Non Wage Recurrent	0
Arrears	0
AIA	0
Total For Department	2,215,200
Wage Recurrent	2,215,200
Non Wage Recurrent	0
Arrears	0
AIA	0

Development Projects

Project: 1623 Retooling of Financial Intelligence Authority

Capital Purchases

Budget Output: 76 Purchase of Office and ICT Equipment, including Software

ICT equipment procured to support office operations	ICT equipment procured to support office operations.	Item	Spent
Office furniture procured	Furniture procured for new staff.	312213 ICT Equipment	32,282

motor vehicles procured
Office partitioned to accommodate staff

Reasons for Variation in performance

No variation

Total	32,282
GoU Development	32,282

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Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		External Financing	0
		Arrears	0
		AIA	0
		Total For Project	32,282
		GoU Development	32,282
		External Financing	0
		Arrears	0
		AIA	0

Sub-SubProgramme: 21 Prevention of ML/TF and Financial Intelligence Information Management

Departments

Department: 02 Legal, Inspection and Compliance

Outputs Provided

Budget Output: 03 Compliance with AML and CFT laws and Regulations

		Item	Spent
1. AML/CFT Regulation and Supervision Framework developed	1) Conducted onsite inspections of 4 companies.	211103 Allowances (Inc. Casuals, Temporary)	49,737
2. Administrative sanctions enforced for non compliance.	2) 321 accountable persons were registered	221001 Advertising and Public Relations	4,280
3. AML/CFT inspections conducted.	3) AML/CFT Regulation and Supervision Framework in place	221002 Workshops and Seminars	40,000
4. AML/CFT/CPF guidelines developed for DNFPB	4) Draft AML/CFT/CPF guidelines developed for DNFPB	221003 Staff Training	30,000
5. Registration of Accountable persons		221007 Books, Periodicals & Newspapers	1,000
		221008 Computer supplies and Information Technology (IT)	12,500
		221011 Printing, Stationery, Photocopying and Binding	16,570
		224003 Classified Expenditure	281,500
		225001 Consultancy Services- Short term	57,104
		227001 Travel inland	30,000
		227004 Fuel, Lubricants and Oils	45,000

Reasons for Variation in performance

No variation

Total	567,691
Wage Recurrent	0
Non Wage Recurrent	567,691
Arrears	0
AIA	0

Budget Output: 04 Legal Representation and Litigation

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
AML/CFT/CPF legal framework strengthened in Uganda	1) Participated in the 42nd ESAAMLG Task Force of Senior Officials and 21st Council of Ministers Virtual Meetings: The 42nd ESAAMLG meetings of senior officials took place virtually from Thursday 26th August 2021 to Monday 6th September 2021. 2) Uganda's 7th Follow-Up Report (FUR); Uganda submitted its FUR for discussion at this meeting. 3) Uganda's 3rd ICRG Progress Report: This was Uganda's third progress report following its identification for monitoring by the ICRG of the FATF. It was discussed at the F2F meeting which took place on 8th September, 2021. 4) Litigation issues for FIA were handled. 5) Uganda's 4th ICRG Progress Report. On 1st December 2021, Uganda submitted its 4th ICRG progress report following its identification for monitoring by the ICRG of the FATF.	Item 211103 Allowances (Inc. Casuals, Temporary) 221007 Books, Periodicals & Newspapers 221011 Printing, Stationery, Photocopying and Binding 221012 Small Office Equipment 221017 Subscriptions 227001 Travel inland 227004 Fuel, Lubricants and Oils	Spent 29,940 2,500 2,821 3,585 7,000 3,750 20,800

Reasons for Variation in performance

No variation

Total	70,396
Wage Recurrent	0
Non Wage Recurrent	70,396
Arrears	0
AIA	0
Total For Department	638,087
Wage Recurrent	0
Non Wage Recurrent	638,087
Arrears	0
AIA	0

Departments

Department: 05 International Relations and Strategic Analysis

Outputs Provided

Budget Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
Mutual Evaluation Report and National Risk Assessment recommendations implemented to strengthen Uganda's AML/CFT framework	Completed the action plan which was submitted to FATF ICRG for consideration. This risk assessment and action plan for high risk NPOs, is one of the key action items on Uganda's action plan agreed with FATF under the FATF-ICRG process and a key reference document for the regulation of the NPO sector. The NRA was updated and draft report was shared with team heads.	Item 221002 Workshops and Seminars	Spent 37,184

Reasons for Variation in performance

No variation

Total	37,184
Wage Recurrent	0
Non Wage Recurrent	37,184
Arrears	0
AIA	0

Budget Output: 06 Financial Intelligence Research and Strategic Development

typology studies to identify ML/TF risks methods and trends conducted	1) Disseminated the final report for the ML/TF risk assessment of legal persons and arrangements in Uganda.	Item 211103 Allowances (Inc. Casuals, Temporary)	Spent 19,830
strategic analysis on ML/TF conducted	2) Completion of the NPO TF Risk Assessment Action Plan	221007 Books, Periodicals & Newspapers	2,000
and findings disseminated to stakeholders	3) Completion of the National ML/TF Risk assessment Draft Report and shared with stakeholders for comments	224003 Classified Expenditure	12,500
	4) FIA in collaboration with URA conducted several preparatory engagements with the World Bank and other stakeholders involved in the NRA Tax Crimes Assessment in preparation for the NRA Tax Crimes Assessment	225001 Consultancy Services- Short term	129,543
		227004 Fuel, Lubricants and Oils	18,000

Reasons for Variation in performance

No variation

Total	181,873
Wage Recurrent	0
Non Wage Recurrent	181,873
Arrears	0
AIA	0
Total For Department	219,058
Wage Recurrent	0
Non Wage Recurrent	219,058
Arrears	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
			AIA 0

Departments

Department: 07 Operational Analysis

Outputs Provided

Budget Output: 01 Analysis and Reporting Financial Operations in the different Sectors

		Item	Spent
1. STRS, LCTRs and cross border declaration of cash and bearer negotiable instruments analysed	1) Received a total of 1,489 reports from Accountable Persons. 1,266 were Suspicious Transaction Reports (STRs) while 182 were Suspicious Activity Reports (SARs).	211103 Allowances (Inc. Casuals, Temporary)	24,925
2. Intelligence reports disseminated to LEAs	2) 929 STR/SARs reports from accountable persons were analysed and closed.	221003 Staff Training	28,000
3. Financial due diligence conducted on investors	3) 33 intelligence reports were therefore spontaneously disseminated to Law Enforcement Agencies (LEA)	221007 Books, Periodicals & Newspapers	1,650
4. ML/TF information exchanged with stakeholders	4) Received 2,040 LCTRs from 49 Accountable Persons through the goAML system	221008 Computer supplies and Information Technology (IT)	9,781
	5) FIA received 6 request for background and credibility checks from the Ministry of Finance Planning and Economic Development.	221011 Printing, Stationery, Photocopying and Binding	16,551
		221012 Small Office Equipment	5,858
		221017 Subscriptions	19,551
		224003 Classified Expenditure	3,033,430
		225001 Consultancy Services- Short term	6,490
		227001 Travel inland	3,643
		227004 Fuel, Lubricants and Oils	14,020
		228002 Maintenance - Vehicles	8,608

Reasons for Variation in performance

No variation

Total	3,172,506
Wage Recurrent	0
Non Wage Recurrent	3,172,506
Arrears	0
AIA	0
Total For Department	3,172,506
Wage Recurrent	0
Non Wage Recurrent	3,172,506
Arrears	0
AIA	0

Departments

Department: 08 AML Systems and ICT Management

Outputs Provided

Budget Output: 02 Ensure safety and integrity of FIA information

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
a. a safe and secure working environment established	1) Provided coordination, technical support and issue diagnosis for the Egmont Secure Web (ESW) system.	Item	Spent
b. ICT infrastructure safe guarded against evolving threats	2) EDMS system was launched.	211103 Allowances (Inc. Casuals, Temporary)	19,690
c. Modernize ICT platforms and Business systems established	3) Desktop Central Proof of Concept (POC) for the Patch Management Solution commenced in October	221007 Books, Periodicals & Newspapers	1,000
d. business tools to facilitate Authority operations installed	4) Weekly security awareness email alerts were generated	221008 Computer supplies and Information Technology (IT)	18,000
	5) FIA Alarm System was successfully overhauled	222001 Telecommunications	20,424
	6) FIA Website was Maintained	225001 Consultancy Services- Short term	100,000
	7) Continued support, troubleshooting and capacity building of Users	227004 Fuel, Lubricants and Oils	20,320
	8) Complete servicing and maintenance of all ICT equipment		
	9) The Barracuda WAF appliance was successfully installed in the FIA Data Centre and the system configured as per the requirements.		
	10) FIA domain renewal. The FIA domain, fia.go.ug expired on 30th June 2021 and was renewed effective 1st July 2021		
	11) Successfully applied firmware updates to the 2 (two) Cisco Wireless Access Points		

Reasons for Variation in performance

No variation

	Total	179,434
	Wage Recurrent	0
	Non Wage Recurrent	179,434
	Arrears	0
	AIA	0
	Total For Department	179,434
	Wage Recurrent	0
	Non Wage Recurrent	179,434
	Arrears	0
	AIA	0
	GRAND TOTAL	8,650,089
	Wage Recurrent	2,215,200
	Non Wage Recurrent	6,402,607
	GoU Development	32,282
	External Financing	0
	Arrears	0
	AIA	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
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Sub-SubProgramme: 12 General Administration and Support Services

Departments

Department: 06 Internal Audit

Outputs Provided

Budget Output: 21 Development of Internal Audit Controls and Risk Management

		Item	Spent
Risks identified and a risk matrix developed	1) One risk audit was conducted in order to identify and control the risks of the Authority.	211103 Allowances (Inc. Casuals, Temporary)	5,180
Internal Audit Charter developed	2) Review of the internal audit charter was being undertaken by the end of the Quarter.	227001 Travel inland	19,823
Internal Audit Quality and assurance Strengthened Internal Controls	Q1 FIA performance report was produced	227004 Fuel, Lubricants and Oils	2,500
: Strengthen FIA governance structures to promote checks and balances Quarterly Board reports produced on the status of the Authority			

Reasons for Variation in performance

Board reports: No variation

Internal Audit; The internal audit quality and assurance programme is awaiting feedback from the office of the internal auditor general

Total	27,503
Wage Recurrent	0
Non Wage Recurrent	27,503
AIA	0
Total For Department	27,503
Wage Recurrent	0
Non Wage Recurrent	27,503
AIA	0

Departments

Department: 07 Finance and Administration

Outputs Provided

Budget Output: 01 FIA Support Services and Administration

		Item	Spent
1. Salaries and other payments processed	1) Salaries and other payments were processed	221006 Commissions and related charges	30,723
2. Financial statements prepared and submitted on time	2) Accountabilities for funds advanced were promptly processed	221009 Welfare and Entertainment	77,559
3. Accountabilities for funds advanced processed	3) Security at office premises was provided	221017 Subscriptions	2,485
4. Enhanced security at office premises	4) 2 staff were recruited.	223003 Rent – (Produced Assets) to private entities	219,104
5. Qualified staff recruited, trained, adequately compensated	5) 5 staff completed the CAMS training	223004 Guard and Security services	45,425
		223005 Electricity	12,046
		224003 Classified Expenditure	111,958
		224004 Cleaning and Sanitation	19,080

Reasons for Variation in performance

No variation

Total	518,381
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Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Wage Recurrent	0
		Non Wage Recurrent	518,381
		AIA	0

Budget Output: 19 Human Resource Management Services

		Item	Spent
1. Human Resource policies produced for Board approval	1) Performance Management and Appraisal Training for all staff was conducted.	212101 Social Security Contributions	56,280
2. staff appraisal reports produced on time	2) Capacity building; 5 staff were trained and received CAMS certification, Total number of staff with CAMS certification stands at 21.	212201 Social Security Contributions	112,560
3. Capacity building of staff	3) Staff welfare was well maintained.	226001 Insurances	2,241
4. HIV/AIDs issues mainstreamed	4) Staff contractual obligations were well maintained		
5. Staff welfare maintained and monitored	5) 2 staff were recruited		
6. staff contractual obligations maintained			
Approved structure of the organization filled			
recruited staff retained in the organization during the contract period			

Reasons for Variation in performance

No variation

Total	171,081
Wage Recurrent	0
Non Wage Recurrent	171,081
AIA	0
Total For Department	689,462
Wage Recurrent	0
Non Wage Recurrent	689,462
AIA	0

Departments

Department: 09 Human Resource Management Services

Outputs Provided

Budget Output: 19 Human Resource Management Services

		Item	Spent
Salaries of staff paid on time	Staff salaries were promptly paid	211102 Contract Staff Salaries	1,125,600

Reasons for Variation in performance

No variation

Total	1,125,600
Wage Recurrent	1,125,600
Non Wage Recurrent	0
AIA	0
Total For Department	1,125,600
Wage Recurrent	1,125,600
Non Wage Recurrent	0
AIA	0

Development Projects

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
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Project: 1623 Retooling of Financial Intelligence Authority

Capital Purchases

Budget Output: 76 Purchase of Office and ICT Equipment, including Software

CT equipment procured to support office operations	ICT equipment procured to support office operations (2 laptops were procured).	Item	Spent
Office furniture procured	Furniture procured for new staff.	312213 ICT Equipment	32,282

Reasons for Variation in performance

No variation

Total	32,282
GoU Development	32,282
External Financing	0
AIA	0
Total For Project	32,282
GoU Development	32,282
External Financing	0
AIA	0

Sub-SubProgramme: 21 Prevention of ML/TF and Financial Intelligence Information Management

Departments

Department: 02 Legal, Inspection and Compliance

Outputs Provided

Budget Output: 03 Compliance with AML and CFT laws and Regulations

AML/CFT Regulation and Supervision Framework developed	1) Conducted onsite inspections of 2 companies.	Item	Spent
2. Administrative sanctions enforced for non compliance.	2) 180 accountable persons were registered, 214 certificates were issued and 92 certified	211103 Allowances (Inc. Casuals, Temporary)	24,737
3. AML/CFT inspections conducted.	3) 8 spot checks were conducted	221002 Workshops and Seminars	40,000
4. AML/CFT/CPF guidelines developed for DNFPB	4) 6 follow-up and thematic inspection reports for entities were prepared	221007 Books, Periodicals & Newspapers	899
5. Registration of Accountable persons	6) AML/CFT Regulation and Supervision Framework developed for DNFPB.	221008 Computer supplies and Information Technology (IT)	8,045
	7) Draft AML/CFT/CPF guidelines developed for DNFPB	221011 Printing, Stationery, Photocopying and Binding	5,770
		224003 Classified Expenditure	140,750
		225001 Consultancy Services- Short term	47,104
		227001 Travel inland	20,589

Reasons for Variation in performance

No variation

Total	287,894
Wage Recurrent	0
Non Wage Recurrent	287,894
AIA	0

Budget Output: 04 Legal Representation and Litigation

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
AML/CFT/CPF legal framework strengthened in Uganda Litigation issues for FIA handled	1) Litigation issues for FIA were handled. 2) Uganda's 4th ICRG Progress Report. On 1st December 2021, Uganda submitted its 4th ICRG progress report following its identification for monitoring by the ICRG of the FATF.	Item 211103 Allowances (Inc. Casuals, Temporary) 221007 Books, Periodicals & Newspapers 221011 Printing, Stationery, Photocopying and Binding 221012 Small Office Equipment 221017 Subscriptions 227001 Travel inland 227004 Fuel, Lubricants and Oils	Spent 14,940 1,250 320 3,585 1,743 3,750 5,800

Reasons for Variation in performance

No variation

Total	31,388
Wage Recurrent	0
Non Wage Recurrent	31,388
AIA	0
Total For Department	319,282
Wage Recurrent	0
Non Wage Recurrent	319,282
AIA	0

Departments

Department: 05 International Relations and Strategic Analysis

Outputs Provided

Budget Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

Mutual Evaluation Report and National Risk Assessment recommendations implemented to strengthen Uganda's AML/CFT framework	The NRA was updated and draft report was shared with team heads.	Item 221002 Workshops and Seminars	Spent 37,184
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Reasons for Variation in performance

No variation

Total	37,184
Wage Recurrent	0
Non Wage Recurrent	37,184
AIA	0

Budget Output: 06 Financial Intelligence Research and Strategic Development

typology studies to identify ML/TF risks methods and trends conducted strategic analysis on ML/TF conducted and findings disseminated to stakeholders	1) Completion of the National ML/TF Risk assessment Draft Report and shared with stakeholders for comments 2) FIA in collaboration with URA conducted several preparatory engagements with the World Bank and other stakeholders involved in the NRA Tax Crimes Assessment in preparation for the NRA Tax Crimes Assessment	Item 211103 Allowances (Inc. Casuals, Temporary) 221007 Books, Periodicals & Newspapers 225001 Consultancy Services- Short term 227004 Fuel, Lubricants and Oils	Spent 9,830 1,000 23,412 18,000
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Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
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Reasons for Variation in performance

No variation

Total	52,242
Wage Recurrent	0
Non Wage Recurrent	52,242
AIA	0
Total For Department	89,426
Wage Recurrent	0
Non Wage Recurrent	89,426
AIA	0

Departments

Department: 07 Operational Analysis

Outputs Provided

Budget Output: 01 Analysis and Reporting Financial Operations in the different Sectors

	Item	Spent
Strategic analysis to establish ML/TF risks, trends and methods conducted financial due diligence on investors conducted STRS and LCTRS analyzed ML/TF Information exchanged with stakeholders Statistics on ML/TF compiled and maintained intelligence reports disseminated to LEAs	1) Received a total of 753 reports from Accountable Persons. 675 were Suspicious Transaction Reports (STRs) while 78 were Suspicious Activity Reports (SARs).	
	2) 470 STR/SARs reports from accountable persons were analysed and closed.	
	3) 15 intelligence reports were therefore spontaneously disseminated to Law Enforcement Agencies (LEA)	
	4) Received 1,169 LCTRs from 49 Accountable Persons through the goAML system	
	5) FIA received 5 request for background and credibility checks from the Ministry of Finance Planning and Economic Development.	
	211103 Allowances (Inc. Casuals, Temporary)	12,889
	221007 Books, Periodicals & Newspapers	825
	221008 Computer supplies and Information Technology (IT)	6,636
	221011 Printing, Stationery, Photocopying and Binding	13,247
	221012 Small Office Equipment	2,850
	224003 Classified Expenditure	1,392,375
	225001 Consultancy Services- Short term	6,490
	227001 Travel inland	2,408
	227004 Fuel, Lubricants and Oils	14,020
	228002 Maintenance - Vehicles	3,989

Reasons for Variation in performance

No variation

Total	1,455,729
Wage Recurrent	0
Non Wage Recurrent	1,455,729
AIA	0
Total For Department	1,455,729
Wage Recurrent	0
Non Wage Recurrent	1,455,729
AIA	0

Departments

Department: 08 AML Systems and ICT Management

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 2: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
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Outputs Provided

Budget Output: 02 Ensure safety and integrity of FIA information

		Item	Spent
a safe and secure working environment established	1) Provided coordination, technical support and issue diagnosis for the Egmont Secure Web (ESW) system.	211103 Allowances (Inc. Casuals, Temporary)	6,700
b. ICT infrastructure safe guarded against evolving threats	2) EDMS system was launched.	221007 Books, Periodicals & Newspapers	500
c. Modernize ICT platforms and Business systems established	3) Desktop Central Proof of Concept (POC) for the Patch Management Solution commenced in October	221008 Computer supplies and Information Technology (IT)	9,000
d. business tools to facilitate Authority operations installed	4) Weekly security awareness email alerts were generated	222001 Telecommunications	6,010
	5) FIA Alarm System was successfully overhauled	227004 Fuel, Lubricants and Oils	20,320
	6) FIA Website was Maintained		
	7) Continued support, troubleshooting and capacity building of Users		
	8) Complete servicing and maintenance of all ICT equipment		

Reasons for Variation in performance

No variation

	Total	42,530
	Wage Recurrent	0
	Non Wage Recurrent	42,530
	AIA	0
	Total For Department	42,530
	Wage Recurrent	0
	Non Wage Recurrent	42,530
	AIA	0
	GRAND TOTAL	3,781,814
	Wage Recurrent	1,125,600
	Non Wage Recurrent	2,623,932
	GoU Development	32,282
	External Financing	0
	AIA	0

Vote:129 Financial Intelligence Authority (FIA)

QUARTER 3: Revised Workplan

<i>US\$ Thousands</i>	Planned Outputs for the Quarter	Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)
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Sub-SubProgramme: 12 General Administration and Support Services

Departments

Department: 06 Internal Audit

Outputs Provided

Budget Output: 21 Development of Internal Audit Controls and Risk Management

	Item	Balance b/f	New Funds	Total
Risks identified and a risk matrix developed.				
Internal Audit Charter developed	211103 Allowances (Inc. Casuals, Temporary)	120	0	120
Strengthened Internal Controls.	227001 Travel inland	27	0	27
	Total	147	0	147
Quarterly Board reports produced on the status of the Authority	Wage Recurrent	0	0	0
	Non Wage Recurrent	147	0	147
	AIA	0	0	0

Department: 07 Finance and Administration

Outputs Provided

Budget Output: 01 FIA Support Services and Administration

	Item	Balance b/f	New Funds	Total
1. Salaries and other payments processed				
2. Financial statements prepared and submitted on time	221006 Commissions and related charges	20,077	0	20,077
3. accountabilities for funds advanced processed	221009 Welfare and Entertainment	28,972	0	28,972
4. Enhanced security at office premises	221017 Subscriptions	28,913	0	28,913
5. Qualified staff recruited, trained, adequately compensated	223003 Rent – (Produced Assets) to private entities	5,792	0	5,792
	223004 Guard and Security services	5,150	0	5,150
	223005 Electricity	18,417	0	18,417
	224004 Cleaning and Sanitation	9,319	0	9,319
	Total	116,639	0	116,639
	Wage Recurrent	0	0	0
	Non Wage Recurrent	116,639	0	116,639
	AIA	0	0	0

Budget Output: 19 Human Resource Management Services

	Item	Balance b/f	New Funds	Total
1. Staff appraisal reports produced on time				
2. Capacity building of staff	212101 Social Security Contributions	3,600	0	3,600
3. Staff welfare maintained and monitored	212201 Social Security Contributions	7,200	0	7,200
4. staff contractual obligations maintained	213004 Gratuity Expenses	682,600	0	682,600
Approved structure of the organization filled	226001 Insurances	6,159	0	6,159
recruited staff retained in the organization during the contract period	Total	699,559	0	699,559
	Wage Recurrent	0	0	0
	Non Wage Recurrent	699,559	0	699,559
	AIA	0	0	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Revised Workplan

Department: 09 Human Resource Management Services

Outputs Provided

Budget Output: 19 Human Resource Management Services

Salaries of staff paid on time	Item	Balance b/f	New Funds	Total
	211102 Contract Staff Salaries	72,000	0	72,000
	Total	72,000	0	72,000
	Wage Recurrent	72,000	0	72,000
	Non Wage Recurrent	0	0	0
	AIA	0	0	0

Development Projects

Project: 1623 Retooling of Financial Intelligence Authority

Capital Purchases

Budget Output: 76 Purchase of Office and ICT Equipment, including Software

CT equipment procured to support office operations Office furniture procured	Item	Balance b/f	New Funds	Total
	312213 ICT Equipment	75,218	0	75,218
	Total	75,218	0	75,218
	GoU Development	75,218	0	75,218
	External Financing	0	0	0
	AIA	0	0	0

Sub-SubProgramme: 21 Prevention of ML/TF and Financial Intelligence Information Management

Departments

Department: 02 Legal, Inspection and Compliance

Outputs Provided

Budget Output: 03 Compliance with AML and CFT laws and Regulations

1. AML/CFT Regulation and Supervision Framework developed 2. Administrative sanctions enforced for non compliance. 3. AML/CFT inspections conducted. 4. AML/CFT/CPF guidelines developed for DNFPB 5. Registration of Accountable persons	Item	Balance b/f	New Funds	Total
	211103 Allowances (Inc. Casuals, Temporary)	263	0	263
	221001 Advertising and Public Relations	720	0	720
	221011 Printing, Stationery, Photocopying and Binding	3,430	0	3,430
	222001 Telecommunications	1,500	0	1,500
	225001 Consultancy Services- Short term	2,896	0	2,896
	Total	8,809	0	8,809
	Wage Recurrent	0	0	0
	Non Wage Recurrent	8,809	0	8,809
	AIA	0	0	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Revised Workplan

Budget Output: 04 Legal Representation and Litigation

AML/CFT/CPF legal framework strengthened in Uganda	Item	Balance b/f	New Funds	Total
Litigation issues for FIA handled	211103 Allowances (Inc. Casuals, Temporary)	60	0	60
	221011 Printing, Stationery, Photocopying and Binding	1,179	0	1,179
	221012 Small Office Equipment	6,415	0	6,415
	222001 Telecommunications	500	0	500
	Total	8,154	0	8,154
	Wage Recurrent	0	0	0
	Non Wage Recurrent	8,154	0	8,154
	AIA	0	0	0

Department: 05 International Relations and Strategic Analysis

Outputs Provided

Budget Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

Mutual Evaluation Report and National Risk Assessment recommendations implemented to strengthen Uganda's AML/CFT framework

Budget Output: 06 Financial Intelligence Research and Strategic Development

typology studies to identify ML/TF risks methods and trends conducted	Item	Balance b/f	New Funds	Total
strategic analysis on ML/TF conducted and findings disseminated to stakeholders	211103 Allowances (Inc. Casuals, Temporary)	170	0	170
	225001 Consultancy Services- Short term	30,457	0	30,457
	Total	30,627	0	30,627
	Wage Recurrent	0	0	0
	Non Wage Recurrent	30,627	0	30,627
	AIA	0	0	0

Vote:129

Financial Intelligence Authority (FIA)

QUARTER 3: Revised Workplan

Department: 07 Operational Analysis

Outputs Provided

Budget Output: 01 Analysis and Reporting Financial Operations in the different Sectors

Receipt of STR/LCTR/SAR. Analysis of financial reports. Dissemination of intelligence reports to LEAs. Financial due diligence on investors conducted. ML/TF Information exchanged with stakeholders. Statistics on ML/TF compiled and maintained	Item	Balance b/f	New Funds	Total
	211103 Allowances (Inc. Casuals, Temporary)	75	0	75
	221008 Computer supplies and Information Technology (IT)	219	0	219
	221011 Printing, Stationery, Photocopying and Binding	4,449	0	4,449
	221012 Small Office Equipment	9,142	0	9,142
	221017 Subscriptions	5,449	0	5,449
	225001 Consultancy Services- Short term	93,510	0	93,510
	227001 Travel inland	107	0	107
	227004 Fuel, Lubricants and Oils	7,580	0	7,580
	228002 Maintenance - Vehicles	11,392	0	11,392
	Total	131,923	0	131,923
	Wage Recurrent	0	0	0
	Non Wage Recurrent	131,923	0	131,923
	AIA	0	0	0

Department: 08 AML Systems and ICT Management

Outputs Provided

Budget Output: 02 Ensure safety and integrity of FIA information

a safe and secure working environment established b. ICT infrastructure safe guarded against evolving threats c. Modernize ICT platforms and Business systems established d. business tools to facilitate Authority operations installed	Item	Balance b/f	New Funds	Total
	211103 Allowances (Inc. Casuals, Temporary)	60	0	60
	222001 Telecommunications	1,966	0	1,966
	226002 Licenses	322,305	0	322,305
	227004 Fuel, Lubricants and Oils	3,680	0	3,680
	Total	328,011	0	328,011
	Wage Recurrent	0	0	0
	Non Wage Recurrent	328,011	0	328,011
	AIA	0	0	0

Development Projects

GRAND TOTAL	1,471,087	0	1,471,087
Wage Recurrent	72,000	0	72,000
Non Wage Recurrent	1,323,869	0	1,323,869
GoU Development	75,218	0	75,218
External Financing	0	0	0
AIA	0	0	0