

VOTE: 129 Financial Intelligence Authority (FIA)

V1: VOTE OVERVIEW

i) Vote Strategic Objectives

Enhancing the identification of proceeds of crime
 Increasing compliance with the AML/CFT laws
 Improving collection and dissemination of information to competent Authorities
 Strengthening the capacity of FIA to conduct financial due diligence

ii) Snapshot of Medium Term Budget Allocations

Table V1.1 Overview of Vote Medium Term Allocations (Ush Billion)

<i>Billion Uganda Shillings</i>		FY2022/23 Proposed Budget	MTEF Budget Projections			
			2023/24	2024/25	2025/26	2026/27
Recurrent	Wage	4.574	4.574	4.574	4.574	4.574
	Non Wage	11.923	11.923	11.923	11.923	11.923
Devt.	GoU	0.215	0.215	0.215	0.215	0.215
	ExtFin	0.000	0.000	0.000	0.000	0.000
GoU Total		16.712	16.712	16.712	16.712	16.712
Total GoU+Ext Fin (MTEF)		16.712	16.712	16.712	16.712	16.712
<i>A.I.A Total</i>		0	0.000	0.000	0.000	0.000
Grand Total		16.712	16.712	16.712	16.712	16.712

Table V1.2: Medium Term Projections by Programme and Sub-Subprogramme

<i>Billion Uganda Shillings</i>	2022/23	MTEF Budget Projection			
	Proposed Budget	2023/24	2024/25	2025/26	2026/27
16 GOVERNANCE AND SECURITY					
01 Directorate of Finance and Administration	9.341	9.341	9.341	9.341	9.341
02 Directorate of Internal Audit	0.076	0.076	0.076	0.076	0.076
03 Directorate of Systems Administration and Security	0.833	0.833	0.833	0.833	0.833
04 Directorate of Analysis and Monitoring	5.241	5.241	5.241	5.241	5.241
05 Directorate of Compliance and Training	0.995	0.995	0.995	0.995	0.995
06 Directorate of Legal, Corporate Services and International Relations	0.227	0.227	0.227	0.227	0.227
Total for the Programme	16.712	16.712	16.712	16.712	16.712

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Total for the Vote: 129	16.712	16.712	16.712	16.712	16.712
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V2: MEDIUM TERM BUDGET ALLOCATIONS BY DEPARTMENT AND PROJECT

Table V2.1: Medium Term Projections by Department and Project

<i>Billion Uganda Shillings</i>	2022/23	MTEF Budget Projection			
	Proposed Budget	2023/24	2024/25	2025/26	2026/27
Programme: 16 GOVERNANCE AND SECURITY					
Sub-SubProgramme: 01 Directorate of Finance and Administration					
<i>Recurrent</i>					
001 Accounts	2.641	2.569	2.569	2.569	2.569
002 Human resource registry and security	6.484	6.557	6.557	6.557	6.557
<i>Development</i>					
1623 Retooling of Financial Intelligence Authority	0.215	0.215	0.215	0.215	0.215
Total for the Sub-SubProgramme	9.341	9.341	9.341	9.341	9.341
Sub-SubProgramme: 02 Directorate of Internal Audit					
<i>Recurrent</i>					
001 Internal Audit	0.076	0.076	0.076	0.076	0.076
<i>Development</i>					
N / A					
Total for the Sub-SubProgramme	0.076	0.076	0.076	0.076	0.076
Sub-SubProgramme: 03 Directorate of Systems Administration and Security					
<i>Recurrent</i>					
001 Systems Administration and Security	0.833	0.833	0.833	0.833	0.833
<i>Development</i>					
N / A					
Total for the Sub-SubProgramme	0.833	0.833	0.833	0.833	0.833
Sub-SubProgramme: 04 Directorate of Analysis and Monitoring					
<i>Recurrent</i>					
001 Strategic Analysis and Statistics	0.858	0.858	0.858	0.858	0.858
002 Operational analysis	4.383	4.383	4.383	4.383	4.383
<i>Development</i>					
N / A					

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Total for the Sub-SubProgramme	5.241	5.241	5.241	5.241	5.241
Sub-SubProgramme: 05 Directorate of Compliance and Training					
<i>Recurrent</i>					
001 Compliance and Inspection	0.995	0.995	0.995	0.995	0.995
<i>Development</i>					
N / A					
Total for the Sub-SubProgramme	0.995	0.995	0.995	0.995	0.995
Sub-SubProgramme: 06 Directorate of Legal, Corporate Services and International Relations					
<i>Recurrent</i>					
001 Legal and Corporate Affairs	0.227	0.227	0.227	0.227	0.227
<i>Development</i>					
N / A					
Total for the Sub-SubProgramme	0.227	0.227	0.227	0.227	0.227
Total for the Programme	16.712	16.712	16.712	16.712	16.712
Total for the Vote: 129	16.712	16.712	16.712	16.712	16.712

V3: VOTE MEDIUM TERM PLANS

Planned Outputs for FY2022/23 and Medium Term Plans

Plan FY2022/23	MEDIUM TERM PLANS
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff	
Provision of general administration and support services to facilitate the implementation of the FIA mandate	Phased recruitment of staff to fill the approved staff structure.
Programme Intervention: 160604 Review, and develop appropriate policies for effective governance and security	
Apply for re-rating of 9 FATF recommendations to improve ratings from non-compliant/partial compliant to Largely compliant/compliant. Implementation of all the 11 ICRG action items. Enacting the PF law.	Improve the ratings on all FATF recommendations to compliant.
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.	
Train 20 FIA staff to effectively and efficiently address emerging ML/TF/PF trends, techniques and methods.	Training of all FIA staff to effectively and efficiently address emerging ML/TF/PF trends, techniques and methods.
Programme Intervention: 160715 Strengthen research and development to address emerging security threats	
Undertake 2 typology studies/risk assessments to identify trends and methods of money laundering and terrorism financing. Conduct 2 ML/TF risk assessment of sectors. Implement 7 recommendations from ML/TF typology studies/risk assessments	Undertake typology studies and trends analysis to inform policy and decision making on matters related to AML/CFT. Conduct ML/TF risk assessment of all sectors. Implementation of all recommendations from ML/TF typology studies/risk assessments
Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations	

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Registration of 553 accountable persons. Review of 160 compliance risk based reports. Onboard 80 accountable persons on goAML. Issue of sanctions for non compliance with the AML/CFT/CPF laws. Conducting 6 risk based inspections.	Registration of all accountable persons. Onboard all accountable persons on goAML
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption	
Produce at least 30 due diligence reports on investors . Disseminate at least 80 intelligence reports to LEAs. Conduct 8 engagements with the public on matters related to ML/TF/PF	Increased coverage of AML/CFT public awareness across the country. Analyze 100% of all financial reports received from accountable persons annually.

V4: Highlights of Vote Projected Performance

Table V4.1: Budget Outputs and Indicators

Sub SubProgramme:	01 Directorate of Finance and Administration			
Department:	002 Human resource registry and security			
Budget Output:	000005 Human Resource Management			
PIAP Output:	Human Resources Management Services provided			
Indicator Name	Indicator Measure	Base Year	Base Level	2022-2023
				Target
No of Staff receiving their salaries by 28th of each month	Number	2017/18	22	41
Sub SubProgramme:	04 Directorate of Analysis and Monitoring			
Department:	001 Strategic Analysis and Statistics			
Budget Output:	000001 Audit and Risk Management			
PIAP Output:	Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing			
Indicator Name	Indicator Measure	Base Year	Base Level	2022-2023
				Target
Number of studies under taken per year and results disseminated to stakeholders	Number	2017/18	1	2
Department:	002 Operational analysis			
Budget Output:	560019 Data Management and Dissemination			
PIAP Output:	Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.			
Indicator Name	Indicator Measure	Base Year	Base Level	2022-2023
				Target
No. of reports disseminated	Number	2017/18	75	80
Sub SubProgramme:	06 Directorate of Legal, Corporate Services and International Relations			
Department:	001 Legal and Corporate Affairs			
Budget Output:	460103 Legal Representation and Litigation services			

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PIAP Output:	Appropriate international and regional laws harmonized and domesticated.			
Indicator Name	Indicator Measure	Base Year	Base Level	2022-2023
				Target
No of policies developed and/or reviewed	Number	2017/18	0	1

V5: VOTE CROSS CUTTING ISSUES

i) Gender and Equity

OBJECTIVE	Gender and equity mainstreaming			
Issue of Concern	Gender and equity issues not efficiently mainstreamed in operations			
Planned Interventions	Mainstreaming gender and equity issues in planning and budgeting instruments. Provide appropriate facilities for PWDs. mainstream gender and equity issues in departmental reporting.			
Budget Allocation (Billion)	0.01			
Performance Indicators	Level of compliance of the authority to gender and equity budgeting			

ii) HIV/AIDS

OBJECTIVE	HIV/AIDS awareness			
Issue of Concern	Prevention of HIV/AIDS among staff and provide support to staff living with HIV/AIDS			
Planned Interventions	Roll out and implementation of the approved HIV/AIDS policy. HIV/AIDS Sensitization session conducted for staff. Staff provided with protective gear such as condoms (male/female).			
Budget Allocation (Billion)	0.02			
Performance Indicators	No of HIV/AIDS awareness sessions to staff conducted			

iii) Environment

OBJECTIVE	Mainstreaming Environmental concerns			
Issue of Concern	Environmental concerns not effectively mainstreamed in operations			
Planned Interventions	Advocate for digital transformation and innovation intended to minimize the use of hard paper printing. Artificial air conditioning systems by opening office windows. Encourage staff to use natural light in offices during day.			
Budget Allocation (Billion)	0.01			
Performance Indicators	Number of sensitization sessions to staff conducted			

iv) Covid

OBJECTIVE	Prevent the spread of COVID 19 among staff			
Issue of Concern	New variants of COVID 19 are emerging			
Planned Interventions	Develop and update Standard Operating Procedures to safe guard staff against the spread of COVID-19. Ensure a safe work environment by initiating the procurement of COVID-19 essentials such as office sanitizers, disinfectants, masks for guards.			

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Budget Allocation (Billion)	0.01
Performance Indicators	Number of COVID 19 sensitization sessions held