

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (US\$ Billion)

		Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% Budget Released	% Budget Spent	% Releases Spent
Recurrent	Wage	9.594	9.594	2.399	1.436	25.0 %	15.0 %	59.9 %
	Non-Wage	20.870	20.870	4.042	2.798	19.0 %	13.4 %	69.2 %
Dev.	GoU	0.729	0.729	0.000	0.000	0.0 %	0.0 %	0.0 %
	Ext Fin.	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
GoU Total		31.193	31.193	6.441	4.234	20.6 %	13.6 %	65.7 %
Total GoU+Ext Fin (MTEF)		31.193	31.193	6.441	4.234	20.6 %	13.6 %	65.7 %
Arrears		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Total Budget		31.193	31.193	6.441	4.234	20.6 %	13.6 %	65.7 %
A.I.A Total		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Grand Total		31.193	31.193	6.441	4.234	20.6 %	13.6 %	65.7 %
Total Vote Budget Excluding Arrears		31.193	31.193	6.441	4.234	20.6 %	13.6 %	65.7 %

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Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme\*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% Budget Released	% Budget Spent	%Releases Spent
Programme:16 Governance And Security	31.193	31.193	6.439	4.233	20.6 %	13.6 %	65.7%
Sub SubProgramme:01 Directorate of Finance and Administration	20.373	20.373	4.549	3.058	22.3 %	15.0 %	67.2%
Sub SubProgramme:02 Directorate of Internal Audit	0.242	0.242	0.022	0.009	9.1 %	3.7 %	40.9%
Sub SubProgramme:03 Directorate of Systems Administration and Security	2.097	2.097	0.362	0.027	17.3 %	1.3 %	7.5%
Sub SubProgramme:04 Directorate of Analysis and Monitoring	5.974	5.974	0.966	0.720	16.2 %	12.1 %	74.5%
Sub SubProgramme:05 Directorate of Compliance and Training	2.003	2.003	0.411	0.321	20.5 %	16.0 %	78.1%
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.504	0.504	0.129	0.098	25.6 %	19.5 %	76.0%
Total for the Vote	31.193	31.193	6.439	4.233	20.6 %	13.6 %	65.7 %

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Table V1.3: High Unspent Balances and Over-Expenditure in the Approved Budget (Ushs Bn)

<i>(i) Major unspent balances</i>		
Departments , Projects		
Programme:16 Governance And Security		
Sub SubProgramme:01 Directorate of Finance and Administration		
Sub Programme: 01 Institutional Coordination		
0.249	Bn Shs	Department : 002 Human resource registry and security
Reason: The high unspent is majorly due to low expenditure on staff related costs due to delayed appointment of new staff who reported effective September 1st 2023.		
<i>Items</i>		
0.158	UShs	211104 Employee Gratuity
Reason:		
0.032	UShs	221003 Staff Training
Reason: The training was conducted towards the end of September and invoices were still being processed for payment by the end of the quarter		
0.018	UShs	212101 Social Security Contributions
Reason: The high unspent is due to low expenditure on staff social security contributions due to delayed appointment of new staff who reported effective September 1st 2023.		
0.017	UShs	212103 Incapacity benefits (Employees)
Reason: No incident was registered		
0.013	UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Invoices were received late and were yet to be paid by end of Quarter		
0.006	UShs	228002 Maintenance-Transport Equipment
Reason: Invoices were received late and were yet to be paid by end of Quarter		
0.005	UShs	225101 Consultancy Services
Reason:		
0.001	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)
Reason:		
0.000	UShs	222002 Postage and Courier
Reason:		
0.000	UShs	221008 Information and Communication Technology Supplies.
Reason:		
0.000	UShs	221002 Workshops, Meetings and Seminars
Reason:		

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<i>(i) Major unspent balances</i>		
Departments , Projects		
Programme:16 Governance And Security		
Sub SubProgramme:01 Directorate of Finance and Administration		
Sub Programme: 01 Institutional Coordination		
0.000	UShs	227004 Fuel, Lubricants and Oils
Reason:		
Sub Programme: 05 Anti-Corruption and Accountability		
0.279	Bn Shs	Department : 001 Accounts
Reason: The high unspent relates majorly to staff welfare as a result of late assumption of duty of new staff		
<i>Items</i>		
0.085	UShs	221009 Welfare and Entertainment
Reason: The high unspent is on account of late assumption of duty of new staff		
0.043	UShs	211107 Boards, Committees and Council Allowances
Reason: The planned Board activity was postponed		
0.038	UShs	223004 Guard and Security services
Reason: The new office space is not yet completed		
0.024	UShs	221002 Workshops, Meetings and Seminars
Reason: Invoices were received late		
0.023	UShs	221003 Staff Training
Reason: New staff reported midway through the Quarter		
0.015	UShs	221001 Advertising and Public Relations
Reason:		
0.015	UShs	221017 Membership dues and Subscription fees.
Reason:		
0.012	UShs	223001 Property Management Expenses
Reason:		
0.009	UShs	228002 Maintenance-Transport Equipment
Reason:		
0.008	UShs	221011 Printing, Stationery, Photocopying and Binding
Reason:		
0.007	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)
Reason:		
0.000	UShs	223003 Rent-Produced Assets-to private entities

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(i) Major unspent balances

Departments , Projects		
Programme:16 Governance And Security		
Sub SubProgramme:01 Directorate of Finance and Administration		
Sub Programme: 05 Anti-Corruption and Accountability		
Reason:		
0.000	UShs	223005 Electricity
Reason:		
0.000	UShs	224009 Classified Expenditure
Reason:		
0.000	UShs	227004 Fuel, Lubricants and Oils
Reason:		
Sub SubProgramme:02 Directorate of Internal Audit		
Sub Programme: 05 Anti-Corruption and Accountability		
0.013	Bn Shs	Department : 001 Internal Audit
Reason: New staff reported midway through the Quarter		
Items		
0.010	UShs	221003 Staff Training
Reason: New staff reported midway through the Quarter		
0.002	UShs	221002 Workshops, Meetings and Seminars
Reason: Invoices were received late		
0.001	UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: Invoices were received late		
0.000	UShs	227004 Fuel, Lubricants and Oils
Reason:		
0.000	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)
Reason:		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
Sub Programme: 02 Security		
0.336	Bn Shs	Department : 001 Systems Administration and Security
Reason: The high unspent relates to procurement for consultancy services, ICT supplies and licenses for which the process was still ongoing by end of the quarter.		
Items		
0.138	UShs	225101 Consultancy Services

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(i) Major unspent balances

Departments , Projects		
Programme:16 Governance And Security		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
Sub Programme: 02 Security		
		Reason: The procurement process was still ongoing as at end quarter
0.098	UShs	221008 Information and Communication Technology Supplies.
		Reason: The high unspent relates to acquisition of ICT supplies for which procurement process was still ongoing by end of quarter
0.078	UShs	226002 Licenses
		Reason: The procurement process was still ongoing as at end quarter
0.010	UShs	221003 Staff Training
		Reason: New staff reported midway through the Quarter
0.006	UShs	227001 Travel inland
		Reason: Activities were postponed to Q2
0.004	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)
		Reason:
0.002	UShs	222001 Information and Communication Technology Services.
		Reason:
0.000	UShs	228002 Maintenance-Transport Equipment
		Reason:
0.000	UShs	224009 Classified Expenditure
		Reason:
0.000	UShs	227004 Fuel, Lubricants and Oils
		Reason:
0.000	UShs	221002 Workshops, Meetings and Seminars
		Reason:
0.000	UShs	221017 Membership dues and Subscription fees.
		Reason:
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
Sub Programme: 05 Anti-Corruption and Accountability		
0.154	Bn Shs	Department : 001 Strategic Analysis and Statistics
		Reason: The high unspent funds majorly relate to the dissemination costs of the Tax crimes ML/TF assessment report that was still pending approval by both URA and FIA Management by end of quarter.

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(i) Major unspent balances

Departments , Projects		
Programme:16 Governance And Security		
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
Sub Programme: 05 Anti-Corruption and Accountability		
Items		
0.058	UShs	221002 Workshops, Meetings and Seminars
		Reason: The high unspent relates to dissemination of the Tax crimes ML/TF assessment report that was still pending approval by both URA and FIA Management by end of quarter.
0.043	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)
		Reason: To be paid early in Q2
0.030	UShs	221003 Staff Training
		Reason: New staff reported mid way through the Quarter. This will be done in Q2
0.020	UShs	221011 Printing, Stationery, Photocopying and Binding
		Reason: To be paid early in Q2
0.003	UShs	228002 Maintenance-Transport Equipment
		Reason: To be paid early in Q2
0.000	UShs	221007 Books, Periodicals & Newspapers
		Reason:
0.000	UShs	222002 Postage and Courier
		Reason:
0.000	UShs	224009 Classified Expenditure
		Reason:
0.000	UShs	225101 Consultancy Services
		Reason:
0.000	UShs	227001 Travel inland
		Reason:
0.000	UShs	227004 Fuel, Lubricants and Oils
		Reason:
0.000	UShs	221017 Membership dues and Subscription fees.
		Reason:
0.092	Bn Shs	Department : 002 Operational analysis
		Reason: The high unspent majorly relates to motor vehicle maintenance and staff subscriptions for which invoices were yet to be received by end of the quarter

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(i) Major unspent balances

Departments , Projects

Programme:16 Governance And Security

Sub SubProgramme:04 Directorate of Analysis and Monitoring

Sub Programme: 05 Anti-Corruption and Accountability

Items

0.037	UShs	221003 Staff Training	Reason: Planned training was deferred to quarter 2 due to late assumption of duty of new staff
0.020	UShs	221017 Membership dues and Subscription fees.	Reason: Invoices from professional bodies were yet to be received for payment
0.020	UShs	228002 Maintenance-Transport Equipment	Reason: Invoices for the service were yet to be received as at end of quarter
0.009	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	Reason: To be paid in early Q2
0.005	UShs	221011 Printing, Stationery, Photocopying and Binding	Reason: To be paid in early Q2
0.001	UShs	227001 Travel inland	Reason:
0.000	UShs	221007 Books, Periodicals & Newspapers	Reason:
0.000	UShs	222002 Postage and Courier	Reason:
0.000	UShs	224009 Classified Expenditure	Reason:
0.000	UShs	227004 Fuel, Lubricants and Oils	Reason:
0.000	UShs	221002 Workshops, Meetings and Seminars	Reason:
Sub SubProgramme:05 Directorate of Compliance and Training			
Sub Programme: 05 Anti-Corruption and Accountability			
0.090	Bn Shs	Department : 001 Compliance and Inspection	Reason: To be paid in early Q2
Items			
0.038	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	

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(i) Major unspent balances

Departments , Projects		
Programme:16 Governance And Security		
Sub SubProgramme:05 Directorate of Compliance and Training		
Sub Programme: 05 Anti-Corruption and Accountability		
Reason: To be paid in early Q2		
0.013	UShs	221001 Advertising and Public Relations
Reason: To be paid in early Q2		
0.011	UShs	221002 Workshops, Meetings and Seminars
Reason: To be paid in early Q2		
0.008	UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: To be paid in early Q2		
0.008	UShs	221003 Staff Training
Reason: To be paid in early Q2		
0.008	UShs	228002 Maintenance-Transport Equipment
Reason:		
0.003	UShs	221017 Membership dues and Subscription fees.
Reason:		
0.002	UShs	221007 Books, Periodicals & Newspapers
Reason:		
0.001	UShs	227004 Fuel, Lubricants and Oils
Reason:		
0.000	UShs	224009 Classified Expenditure
Reason:		
0.000	UShs	227001 Travel inland
Reason:		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
Sub Programme: 03 Policy and Legislation Processes		
0.032	Bn Shs	Department : 001 Legal and Corporate Affairs
Reason: To be paid in early Q2		
Items		
0.028	UShs	221002 Workshops, Meetings and Seminars
Reason: To be paid in early Q2		
0.002	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)

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(i) Major unspent balances

Departments , Projects		
Programme:16 Governance And Security		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
Sub Programme: 03 Policy and Legislation Processes		
Reason:		
0.001	UShs	221011 Printing, Stationery, Photocopying and Binding
Reason: To be paid in early Q2		
0.000	UShs	224009 Classified Expenditure
Reason:		
0.000	UShs	227004 Fuel, Lubricants and Oils
Reason:		

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V2: Performance Highlights

Table V2.1: PIAP outputs and output Indicators

Programme:16 Governance And Security			
SubProgramme:01 Institutional Coordination			
Sub SubProgramme:01 Directorate of Finance and Administration			
Department:002 Human resource registry and security			
Budget Output: 000005 Human Resource Management			
PIAP Output: 16060201 Human Resources Management Services provided			
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
No of staff trained	Number	80	11
No. of staff receiving salary by the 28th day of each month	Number	85	75
SubProgramme:02 Security			
Sub SubProgramme:03 Directorate of Systems Administration and Security			
Department:001 Systems Administration and Security			
Budget Output: 120007 Support services			
PIAP Output: 16070516 Enhanced Technical capability			
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
Value of security equipment acquired (bn)	Value	0.73	0
SubProgramme:03 Policy and Legislation Processes			
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations			
Department:001 Legal and Corporate Affairs			
Budget Output: 460103 Legal Representation and Litigation services			
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
No of policies developed and/or reviewed	Number	1	1
PIAP Output: 16060305 AML/CFT International standards implemented			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
Number of FATF Technical Compliance recommendations re-rated	Number	6	2

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Programme:16 Governance And Security			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:01 Directorate of Finance and Administration			
Department:001 Accounts			
Budget Output: 000014 Administrative and Support Services			
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
Number or percentage (%) of personnel recruited and trained	Number	100%	75
Project:1623 Retooling of Financial Intelligence Authority			
Budget Output: 000003 Facilities and Equipment Management			
PIAP Output: 16070520 Enhanced Technical capability			
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
Value of security equipment acquired (bn)	Value	0.73	0
Sub SubProgramme:02 Directorate of Internal Audit			
Department:001 Internal Audit			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
Number or percentage (%) of personnel recruited and trained	Number	100%	100
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Department:001 Strategic Analysis and Statistics			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
Number of studies under taken per year and results disseminated to stakeholders	Number	4	2

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Programme:16 Governance And Security			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Department:001 Strategic Analysis and Statistics			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes			
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
Number of engagements with the public on matters related to ML/TF/PF	Number	8	2
PIAP Output: 16080813 Financial due diligence undertaken on investors			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
No . of financial due diligence reports produced	Number	40	6
Department:002 Operational analysis			
Budget Output: 560019 Data Management and Dissemination			
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
No. of reports disseminated	Number	80	4
Sub SubProgramme:05 Directorate of Compliance and Training			
Department:001 Compliance and Inspection			
Budget Output: 000023 Inspection and Monitoring			
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 1
Number of sanctions issued for non compliance with the AML/CFT/CPF standard	Number	15	0

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## Performance highlights for the Quarter

- 1) The goAML electronic platform continued to be enhanced and as a result, 3,961 reports were received via the platform from various accountable persons relating to different transaction reports. Compared with 3,421 reports that were received during the previous Quarter, the above represents an increase of 15.7%.
- 2) FIA in collaboration with URA has been undertaking a tax crime and proceeds risk assessment. During the quarter, the draft report was finalized for review by both FIA and URA management before dissemination.
- 3) The 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports were officially launched by the Honorable Minister of Finance, Planning and Economic Development.
- 4) Trained 9 distinct Accountable Persons on compliance obligations
- 5) 279 accountable persons were registered onto the goAML system and e-certificates of registration issued.
- 6) Received 589 suspicious transaction reports and 177 suspicious activity reports of which 4 materialized into generation of 4 intelligence reports that were disseminated to law enforcement agencies.
- 7) Received 26 requests for information from different LEAs and competent authorities and responded to 23 of them by the end of the quarter.
- 8) Coordinated Uganda's participation at the International Co-operation Review Group (ICRG) Africa/Middle East Joint Group (JG) face to face meetings.
- 9) Prepared Uganda's delegation to the 46th ESAAMLG Meeting to enable Uganda to put up a strong defense regarding Uganda's 5th Request for re-rating report. This resulted in re-rating of Recommendation 1 from Partially Compliant (PC) to Largely Compliant (LC), Recommendations 7, 8 and 24 from Non-Compliant (NC) to Partially Compliant (PC), Recommendation 27 from Partially Compliant (PC) to Compliant (C).
- 10) Produced 6 financial due diligence (background and credibility checks) reports arising from requests from the MoFPED on companies that wished to partner with the GOU on implementing certain projects.

## Variances and Challenges

1. Non release of Development funds (Retooling funds) . The cash limit that was provided for Q1 did not include the retooling budget that was planned for purchase of operational fixed items and office partitioning on account of new staff. This is affected effective implementation.
2. Inadequate funds for Conducting Financial Due Diligence. The Authority conducts FDD on companies that wish to partner with GOU on development projects. The recent trend indicates an increase in FDD requests submitted to FIA. Conducting FDD is very costly and therefore needs sufficient budget to avoid accumulation of backlog.

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V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Budget Output\*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	31.193	31.193	6.439	4.234	20.6 %	13.6 %	65.8 %
Sub SubProgramme:01 Directorate of Finance and Administration	20.373	20.373	4.549	3.058	22.3 %	15.0 %	67.2 %
000003 Facilities and Equipment Management	0.729	0.729	0.000	0.000	0.0 %	0.0 %	0.0 %
000005 Human Resource Management	14.425	14.425	3.476	2.264	24.1 %	15.7 %	65.1 %
000014 Administrative and Support Services	5.220	5.220	1.073	0.794	20.6 %	15.2 %	74.0 %
Sub SubProgramme:02 Directorate of Internal Audit	0.242	0.242	0.022	0.009	9.1 %	3.7 %	40.9 %
000001 Audit and Risk Management	0.242	0.242	0.022	0.009	9.1 %	3.7 %	40.9 %
Sub SubProgramme:03 Directorate of Systems Administration and Security	2.097	2.097	0.362	0.027	17.3 %	1.3 %	7.5 %
120007 Support services	2.097	2.097	0.362	0.027	17.3 %	1.3 %	7.5 %
Sub SubProgramme:04 Directorate of Analysis and Monitoring	5.974	5.974	0.966	0.721	16.2 %	12.1 %	74.6 %
000001 Audit and Risk Management	1.222	1.222	0.327	0.174	26.8 %	14.2 %	53.2 %
560019 Data Management and Dissemination	4.752	4.752	0.639	0.547	13.4 %	11.5 %	85.6 %
Sub SubProgramme:05 Directorate of Compliance and Training	2.003	2.003	0.411	0.321	20.5 %	16.0 %	78.1 %
000023 Inspection and Monitoring	2.003	2.003	0.411	0.321	20.5 %	16.0 %	78.1 %
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.504	0.504	0.129	0.098	25.6 %	19.5 %	76.0 %
460103 Legal Representation and Litigation services	0.504	0.504	0.129	0.098	25.6 %	19.5 %	76.0 %
Total for the Vote	31.193	31.193	6.439	4.234	20.6 %	13.6 %	65.8 %

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Table V3.2: GoU Expenditure by Item 2023/24 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
211102 Contract Staff Salaries	9.594	9.594	2.399	1.436	25.0 %	15.0 %	59.9 %
211104 Employee Gratuity	2.399	2.399	0.840	0.682	35.0 %	28.4 %	81.2 %
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	0.943	0.943	0.237	0.132	25.1 %	14.0 %	55.7 %
211107 Boards, Committees and Council Allowances	0.469	0.469	0.150	0.107	32.0 %	22.8 %	71.3 %
212101 Social Security Contributions	1.439	1.439	0.064	0.046	4.4 %	3.2 %	71.9 %
212102 Medical expenses (Employees)	0.409	0.409	0.000	0.000	0.0 %	0.0 %	0.0 %
212103 Incapacity benefits (Employees)	0.030	0.030	0.017	0.000	56.7 %	0.0 %	0.0 %
221001 Advertising and Public Relations	0.250	0.250	0.063	0.035	25.2 %	14.0 %	55.6 %
221002 Workshops, Meetings and Seminars	0.925	0.925	0.195	0.073	21.1 %	7.9 %	37.4 %
221003 Staff Training	0.709	0.709	0.238	0.089	33.6 %	12.6 %	37.4 %
221007 Books, Periodicals & Newspapers	0.016	0.016	0.004	0.002	24.6 %	12.3 %	50.0 %
221008 Information and Communication Technology Supplies.	0.630	0.630	0.100	0.002	15.9 %	0.3 %	2.0 %
221009 Welfare and Entertainment	0.769	0.769	0.192	0.107	25.0 %	13.9 %	55.7 %
221011 Printing, Stationery, Photocopying and Binding	0.197	0.197	0.059	0.003	29.9 %	1.5 %	5.1 %
221017 Membership dues and Subscription fees.	0.485	0.485	0.343	0.305	70.7 %	62.9 %	88.9 %
222001 Information and Communication Technology Services.	0.025	0.025	0.006	0.004	24.0 %	16.0 %	66.7 %
222002 Postage and Courier	0.001	0.001	0.000	0.000	0.0 %	0.0 %	0.0 %
223001 Property Management Expenses	0.072	0.072	0.018	0.006	25.0 %	8.3 %	33.3 %
223003 Rent-Produced Assets-to private entities	1.682	1.682	0.000	0.000	0.0 %	0.0 %	0.0 %
223004 Guard and Security services	0.336	0.336	0.084	0.046	25.0 %	13.7 %	54.8 %
223005 Electricity	0.144	0.144	0.000	0.000	0.0 %	0.0 %	0.0 %
224009 Classified Expenditure	5.954	5.954	0.895	0.895	15.0 %	15.0 %	100.0 %
225101 Consultancy Services	0.710	0.710	0.143	0.000	20.1 %	0.0 %	0.0 %
226001 Insurances	0.100	0.100	0.000	0.000	0.0 %	0.0 %	0.0 %
226002 Licenses	0.750	0.750	0.078	0.000	10.4 %	0.0 %	0.0 %
227001 Travel inland	0.452	0.452	0.068	0.061	15.0 %	13.5 %	89.7 %
227004 Fuel, Lubricants and Oils	0.788	0.788	0.197	0.196	25.0 %	24.9 %	99.5 %

VOTE: 129 Financial Intelligence Authority (FIA)

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
228002 Maintenance-Transport Equipment	0.185	0.185	0.051	0.006	27.6 %	3.2 %	11.8 %
312221 Light ICT hardware - Acquisition	0.320	0.320	0.000	0.000	0.0 %	0.0 %	0.0 %
312235 Furniture and Fittings - Acquisition	0.409	0.409	0.000	0.000	0.0 %	0.0 %	0.0 %
Total for the Vote	31.193	31.193	6.441	4.233	20.6 %	13.6 %	65.7 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Table V3.3: Releases and Expenditure by Department and Project\*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	31.193	31.193	6.439	4.233	20.64 %	13.57 %	65.74 %
Sub SubProgramme:01 Directorate of Finance and Administration	20.373	20.373	4.549	3.058	22.33 %	15.01 %	67.2 %
<i>Departments</i>							
001 Accounts	5.220	5.220	1.073	0.794	20.6 %	15.2 %	74.0 %
002 Human resource registry and security	14.425	14.425	3.476	2.264	24.1 %	15.7 %	65.1 %
<i>Development Projects</i>							
1623 Retooling of Financial Intelligence Authority	0.729	0.729	0.000	0.000	0.0 %	0.0 %	0.0 %
Sub SubProgramme:02 Directorate of Internal Audit	0.242	0.242	0.022	0.009	9.08 %	3.71 %	40.9 %
<i>Departments</i>							
001 Internal Audit	0.242	0.242	0.022	0.009	9.1 %	3.7 %	40.9 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:03 Directorate of Systems Administration and Security	2.097	2.097	0.362	0.027	17.27 %	1.29 %	7.5 %
<i>Departments</i>							
001 Systems Administration and Security	2.097	2.097	0.362	0.027	17.3 %	1.3 %	7.5 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:04 Directorate of Analysis and Monitoring	5.974	5.974	0.966	0.720	16.17 %	12.05 %	74.5 %
<i>Departments</i>							
001 Strategic Analysis and Statistics	1.222	1.222	0.327	0.174	26.8 %	14.2 %	53.2 %
002 Operational analysis	4.752	4.752	0.639	0.547	13.4 %	11.5 %	85.6 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:05 Directorate of Compliance and Training	2.003	2.003	0.411	0.321	20.52 %	16.02 %	78.1 %
<i>Departments</i>							
001 Compliance and Inspection	2.003	2.003	0.411	0.321	20.5 %	16.0 %	78.1 %

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	31.193	31.193	6.439	4.233	20.64 %	13.57 %	65.74 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.504	0.504	0.129	0.098	25.61 %	19.45 %	76.0 %
<i>Departments</i>							
001 Legal and Corporate Affairs	0.504	0.504	0.129	0.098	25.6 %	19.5 %	76.0 %
<i>Development Projects</i>							
N/A							
Total for the Vote	31.193	31.193	6.439	4.233	20.6 %	13.6 %	65.7 %

**VOTE:** 129 Financial Intelligence Authority (FIA)

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Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

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Quarter 1: Outputs and Expenditure in the Quarter

Outputs Planned in Quarter		Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Programme:16 Governance And Security			
SubProgramme:01 Institutional Coordination			
Sub SubProgramme:01 Directorate of Finance and Administration			
Departments			
Department:002 Human resource registry and security			
Budget Output:000005 Human Resource Management			
PIAP Output: 16060201 Human Resources Management Services provided			
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff			
1) Refiling protective gears dispensers done 2) Sessions / fumigations done 3) 10 staff trained that include 5 men and 5 women (Ugx 5 million) 4) 100% staff accessing staff welfare on equal term basis 5) 100% of staff paid by the 28th day of every month. 6) 100% of approved FIA structure filled 7) 1 security initiative put in place 8) Eligible staff provided with security 9) Provision of COVID-19 /contagious viruses supplies	1) Fumigations of office premises was done 2) 11 Staff were trained in technical subjects like Financial Analysis, Financial investigations, Visualizing cases and Flows of Money. 3) 100% staff accessed staff welfare on equal term basis 4) 100% of staff were paid by the 28th day of every month. 5) Approved staff structure filled at 75%. 6) 8 additional guards were recruited to provide security to FIA Office 24/7 7) Security has been provided to eligible staff in line with the Human Resource Manual 8) Covid-19 supplies were procured and dispatched to all staff	The staff structure was not filled at 100% as planned because Some candidates didn't meet the recruitment criteria. This will be concluded during Q2.	
Expenditures incurred in the Quarter to deliver outputs			UShs Thousand
Item			Spent
211102 Contract Staff Salaries			1,435,658.047
211104 Employee Gratuity			682,000.000
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			23,660.000
212101 Social Security Contributions			45,920.000
221003 Staff Training			53,500.000
221011 Printing, Stationery, Photocopying and Binding			3,000.000
227004 Fuel, Lubricants and Oils			18,200.000
228002 Maintenance-Transport Equipment			1,940.228

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Total For Budget Output	2,263,878.275
	Wage Recurrent	1,435,658.047
	Non Wage Recurrent	828,220.228
	Arrears	0.000
	AIA	0.000
	Total For Department	2,263,878.275
	Wage Recurrent	1,435,658.047
	Non Wage Recurrent	828,220.228
	Arrears	0.000
	AIA	0.000

Development Projects

N/A

SubProgramme:02 Security

Sub SubProgramme:03 Directorate of Systems Administration and Security

Departments

Department:001 Systems Administration and Security

Budget Output:120007 Support services

PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities

Programme Intervention: 160715 Strengthen research and development to address emerging security threats

1) Implementation of the Application Programming Interface (API) 2) Onboarding of 20 Reporting Entities from all regions of Uganda on goAML 3) Software license and Support renewals of 5 softwares 4) Review and Update 1 ICT Governance document in line with the National IT Standards 5) Manage and continually update the FIA website and the Social Media Platforms using Content Management Systems 6) Installation & configuration of an IP phone solution for all Staff	1) 279 Accountable Persons onboarded onto goAML 2) 1 license was renewed (Commvault Solution Licence) 3) Website and Social Media Pages of FIA were regularly updated	Release shortfall during Q1 hindered the implementation of planned outputs
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PIAP Output: 16070516 Enhanced Technical capability

Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes

.	1) 279 Accountable persons onboarded onto goAML	None
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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		US\$ Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		5,728.000
221008 Information and Communication Technology Supplies.		2,478.000
222001 Information and Communication Technology Services.		4,395.000
227004 Fuel, Lubricants and Oils		13,200.000
228002 Maintenance-Transport Equipment		1,140.341
	Total For Budget Output	26,941.341
	Wage Recurrent	0.000
	Non Wage Recurrent	26,941.341
	Arrears	0.000
	AIA	0.000
	Total For Department	26,941.341
	Wage Recurrent	0.000
	Non Wage Recurrent	26,941.341
	Arrears	0.000
	AIA	0.000
Develoment Projects		
N/A		
SubProgramme:03 Policy and Legislation Processes		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
Departments		
Department:001 Legal and Corporate Affairs		
Budget Output:460103 Legal Representation and Litigation services		

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16060305 AML/CFT International standards implemented		
Programme Intervention: 160603 Review and enact appropriate legislation		
1) Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant. 2) Enacting the Proceeds of Crime Law. 3) Issuance of regulations and guidance in respect of confiscation, seizing and restraint of proceeds of crime, and Guidelines for Virtual Asset Service Providers 4) 1 ICRG progress reports submitted 5) 1 Quarterly reports on the activities of the AML/CFT/CPF taskforce submitted 6) ICRG face to face meeting reports submitted 7) ESAAMLG Follow-up report prepared and submitted. 8) Submit reports on the ESAAMLG meetings 9) FATF Follow-up reports submitted.	1) 2 Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant 2) Eighth ICRG prepared and submitted to the Africa/Middle East Joint Group 3) Quarterly reports on activities of AML/CFT/CPF prepared and submitted 4) ICRG face to face meeting report was prepared and submitted 5) Prepared a follow-up report and submitted to ESAAMLG 6) Uganda’s 5th Request for re-rating report was prepared and discussed at the 46th ESAAMLG Task Force of Senior Officials meeting 7) Prepared a follow-up report and submitted to FATF	1) Enacting the Proceeds of Crime Law was still work in progress. It was returned to the Directorate of Ethics and Integrity by Cabinet for further justification for need of this law.
Expenditures incurred in the Quarter to deliver outputs		US\$ Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		12,589.000
221002 Workshops, Meetings and Seminars		22,068.183
224009 Classified Expenditure		50,000.000
227004 Fuel, Lubricants and Oils		13,200.000
	Total For Budget Output	97,857.183
	Wage Recurrent	0.000
	Non Wage Recurrent	97,857.183
	Arrears	0.000
	AIA	0.000
	Total For Department	97,857.183
	Wage Recurrent	0.000
	Non Wage Recurrent	97,857.183
	Arrears	0.000
	AIA	0.000

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Outputs Planned in Quarter		Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Development Projects			
N/A			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:01 Directorate of Finance and Administration			
Departments			
Department:001 Accounts			
Budget Output:000014 Administrative and Support Services			
PIAP Output: 16070502 General administration and support services enhanced			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
1) Annual report prepared. 2) Q4 budget performance report prepared 3) Q4 FIA physical performance report prepared. 4) Assets engraved and Board of survey recommendations in stores implemented. 5) Meetings (Finance Committee, Audit committee meetings) facilitated 6) 2 reports produced i.e. Financial Statements, Annual Board of survey reports and Audit reports 7) 2 Board meetings facilitated 8) 5 contract committee meetings held	1) Annual Report FY 2022/23 prepared and printed for dissemination to stakeholders. 2) Q4 Budget Performance report was prepared 3) Q4 Physical Performance report was prepared and shared with board 4) 1 board Audit Committee meeting was facilitated, 1 finance committee facilitated 5) Completed preparing the Audit report and the board of Survey reports 6) 2 board meetings were carried out in the quarter 7) 6 contract committee meetings in Q1 and 8 Evaluation Committee meetings were held in Q1	Retooling cash limit was not provided to acquire Assets that had planned to be engraved.	
Expenditures incurred in the Quarter to deliver outputs			US\$hs Thousand
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			7,890.000
211107 Boards, Committees and Council Allowances			107,006.310
221001 Advertising and Public Relations			34,569.600
221002 Workshops, Meetings and Seminars			6,200.000
221009 Welfare and Entertainment			107,220.020
221017 Membership dues and Subscription fees.			304,672.113
223001 Property Management Expenses			6,400.000
223004 Guard and Security services			46,463.410
224009 Classified Expenditure			48,000.000
227004 Fuel, Lubricants and Oils			122,500.000
228002 Maintenance-Transport Equipment			3,247.943

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Total For Budget Output	794,169.396
	Wage Recurrent	0.000
	Non Wage Recurrent	794,169.396
	Arrears	0.000
	AIA	0.000
	Total For Department	794,169.396
	Wage Recurrent	0.000
	Non Wage Recurrent	794,169.396
	Arrears	0.000
	AIA	0.000

Development Projects

Project:1623 Retooling of Financial Intelligence Authority

Budget Output:000003 Facilities and Equipment Management

PIAP Output: 16070503 Retooling of Financial Intelligence Authority

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

1) Installed IP phone solution with advanced call management technology. 2) Laptop computers procured. 3) Procurement of furniture	Outputs not achieved	The retooling cashlimit was not released.
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Expenditures incurred in the Quarter to deliver outputs US\$hs Thousand

Item	Spent
Total For Budget Output	0.000
GoU Development	0.000
External Financing	0.000
Arrears	0.000
AIA	0.000
Total For Project	0.000
GoU Development	0.000
External Financing	0.000
Arrears	0.000
AIA	0.000

Sub SubProgramme:02 Directorate of Internal Audit

Departments

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Quarter 1

Outputs Planned in Quarter		Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Department:001 Internal Audit			
Budget Output:000001 Audit and Risk Management			
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
1)	1 Risk Based Report Produced	1) A draft Risk Based Report was produced	None
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
NA		1) 2 Audit staff attended the 28th Annual Seminar organized by the Institute of Certified Public Accountants (ICPAU) and obtained CPD hours	None
Expenditures incurred in the Quarter to deliver outputs			
			US\$ <i>Thousand</i>
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			2,000.000
221002 Workshops, Meetings and Seminars			3,100.000
227004 Fuel, Lubricants and Oils			4,200.000
Total For Budget Output			9,300.000
Wage Recurrent			0.000
Non Wage Recurrent			9,300.000
Arrears			0.000
AIA			0.000
Total For Department			9,300.000
Wage Recurrent			0.000
Non Wage Recurrent			9,300.000
Arrears			0.000
AIA			0.000
Develoment Projects			
N/A			
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Departments			
Department:001 Strategic Analysis and Statistics			
Budget Output:000001 Audit and Risk Management			

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
1) 1 Risk assessment report produced 2) 1 statistical report produced 3) FIA databases updated on a regular basis 4) Disseminate 1 report with findings of the risk assessment. 5) Conduct 1 ML/TF typology study 6) Participate in all ESAAMLG typologies studies.	1) 1 Risk Assessment Report was produced (A tax crime and proceeds risk assessment report). The Authority further started the process of collecting relevant data, that will aid the ML/TF Risk Assessment on Virtual Assets (VAs) and Virtual Asset Service Providers (VASPs) in Uganda that FIA is currently undertaking. 2) 1 statistical report was produced. 3) All FIA databases were regularly updated 4) The Authority Disseminated the 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports at a report dissemination workshop by the Minister of Finance Planning and Economic Development	The Authority was awaiting a response from ESAAMLG to participate in the ESAAMLG typologies studies
<b>PIAP Output: 16080813 Financial due diligence undertaken on investors</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
1) 10 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.	1) 6 financial due diligence reports produced on entities	The Authority has no control on how many due diligence reports are submitted by the MDAs.
1) 1 ML/TF/PF Risk assessment report produced as informed by the National Risk Assessment (NRA) report 2) Disseminate the NRA report findings and coordinate the implementation of NRA AML/CFT recommendations. 3) 1 statistical report produced 4) FIA databases updated on a regular basis 5) Disseminate 1 report with findings of the risk assessment to stakeholders. 6) Conduct 1 ML/TF typology study 7) Participate in all ESAAMLG typologies studies. 8) Relevant ML/TF/PF statistics compiled. 9) compile AML/CFT historical data from all AML/CFT stakeholders	1) 1 Risk Assessment Report was produced (A tax crime and proceeds risk assessment report). The Authority further started the process of collecting relevant data, that will aid the ML/TF Risk Assessment on Virtual Assets (VAs) and Virtual Asset Service Providers (VASPs) in Uganda that FIA is currently undertaking. 2) 1 statistical report was produced. 3) All FIA databases were regularly updated 4) The Authority Disseminated the 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports at a report dissemination workshop by the Minister of Finance Planning and Economic Development	1) The Authority was still waiting for a tool for compilation of Relevant ML/TF/PF statistics . 2) Compilation AML/CFT historical data from all AML/CFT stakeholders was still work in progress by the end of the Quarter. 3) The Authority was waiting for a response from ESAAMLG to participate in ESAAMLG typology studies

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Outputs Planned in Quarter		Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes			
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery			
1) 1 article published on AML/CFT/CPF in different media houses 2) General public enlightened on administrative sanctions regime through media (electronic and print) 3) 2 public awareness campaigns to promote understanding of ML/TF undertaken	1) A Media report was issued on the change of leadership of management of FIA. 2) The Authority prepared a press release in the reporting quarter titled “clarification on the certificate of registration issued by the Financial Intelligence Authority” that appeared in the New Vision and The Monitor newspapers 3) FIA Website and social media pages were updated regularly to enlighten the public on administrative sanctions regime 4) During the 28th Annual Seminar organised by the Institute of Certified Public Accountants (ICPAU), the Authority held an exhibition aimed at creating awareness about the role of ICPAU as a supervisory/regulatory body and the accountants in fighting ML/TF/PF and their obligations in detecting and preventing financial crimes. 5) The Authority Disseminated the 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports at a report dissemination workshop by the Minister of Finance Planning and Economic Development.	Release shortfall during Q1 hindered the implementation of some planned outputs	
Expenditures incurred in the Quarter to deliver outputs			US\$ Thousand
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			20,460.000
221002 Workshops, Meetings and Seminars			22,388.184
221007 Books, Periodicals & Newspapers			559.000
224009 Classified Expenditure			126,000.000
227004 Fuel, Lubricants and Oils			4,200.000
Total For Budget Output			173,607.184
Wage Recurrent			0.000
Non Wage Recurrent			173,607.184
Arrears			0.000
AIA			0.000
Total For Department			173,607.184

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Wage Recurrent	0.000
	Non Wage Recurrent	173,607.184
	Arrears	0.000
	AIA	0.000
Department:002 Operational analysis		
Budget Output:560019 Data Management and Dissemination		
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
1) 100 percent analysis of all reports filed by accountable persons 2) Dissemination of 20 financial intelligence reports to LEAs coordinated 3) Intelligence gathering and investigations coordinated 4) Requests for information from other FIUs responded to	1) 54% of all reports received were analyzed 2) 4 financial intelligence reports disseminated to LEAs, 23 responses to request for information from various LEAs 3) 1 intelligence investigation was coordinated	1) There was no request for information from other FIUs 2) The Authority recruited new staff effective September, 2023 and were still being oriented into the specific job requirements hence the failure to analyse 100% of the reports received.
Expenditures incurred in the Quarter to deliver outputs		US\$hs Thousand
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	32,208.000	
221003 Staff Training	22,900.000	
221007 Books, Periodicals & Newspapers	1,418.000	
224009 Classified Expenditure	471,296.170	
227001 Travel inland	10,610.000	
227004 Fuel, Lubricants and Oils	8,400.000	
	Total For Budget Output	546,832.170
	Wage Recurrent	0.000
	Non Wage Recurrent	546,832.170
	Arrears	0.000
	AIA	0.000
	Total For Department	546,832.170
	Wage Recurrent	0.000

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Non Wage Recurrent	546,832.170
	Arrears	0.000
	AIA	0.000

Development Projects

N/A

Sub SubProgramme:05 Directorate of Compliance and Training

Departments

Department:001 Compliance and Inspection

Budget Output:000023 Inspection and Monitoring

PIAP Output: 16080504 AML/CFT compliance enforced

Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations

1) Conduct 3 Risk Based Onsite inspections 2) Review of annual compliance reports, risk assessments and independent AML/CFT audits 3) Identify 4 categories of accountable persons across the country	1) 15 Annual compliance reports, 14 risk assessments and 6 independent AML audits were reviewed. 2) Identified 4 categories of accountable persons across the country. 3) Following the On-Site inspection of Stanbic Bank Uganda, Airtel mobile Commerce Uganda Ltd and World Vision Uganda in the previous Quarter, FIA conducted exit meetings with the three respective entities to mark the end of the inspections during the period under review.	Release shortfall in Q1 affected implementation of onsite inspections as planned.
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PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws

Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption

1) 250 accountable persons from across the country registered and trained on the usage of the goAML system in collaboration with ICT. 2) guidance given to 250 accountable persons from across the country on AML/CFT 3) 1 registration drives conducted across the country 4) 2 engagements held with supervisory bodies 5) 2 AML/CFT/CPF public awareness campaigns undertaken in Central Uganda 6) 1 article developed and prepared on AML/CFT. 7) 1 TV/Radio awareness program coordinated	1) 279 accountable persons were registered and trained on usage of goAML 2) Offered guidance to various accountable persons through emails, phone calls, physical meetings and letters on AML/CFT related matters. 3) Formed a Supervisory Body Forum (SBF) and also carried out training for all supervisory bodies on their powers and the powers of FIA to impose administrative sanctions 4) Prepared a press release titled “clarification on the certificate of registration issued by the Financial Intelligence Authority” that appeared in the New Vision and The Monitor newspapers on 27th July, 2023.	1) TV/Radio awareness program was not conducted due to a release shortfall in Q1. 2) ML/CFT/CPF public awareness campaigns were not undertaken due to a release shortfall in Q1.
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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		US\$ <i>Thousand</i>
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		27,430.000
221002 Workshops, Meetings and Seminars		19,398.183
221003 Staff Training		12,203.360
224009 Classified Expenditure		200,000.000
227001 Travel inland		50,000.000
227004 Fuel, Lubricants and Oils		12,250.000
	Total For Budget Output	321,281.543
	Wage Recurrent	0.000
	Non Wage Recurrent	321,281.543
	Arrears	0.000
	AIA	0.000
	Total For Department	321,281.543
	Wage Recurrent	0.000
	Non Wage Recurrent	321,281.543
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
	GRAND TOTAL	4,233,867.092
	Wage Recurrent	1,435,658.047
	Non Wage Recurrent	2,798,209.045
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	AIA	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Quarter 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Programme:16 Governance And Security		
SubProgramme:01 Institutional Coordination		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
1. Strengthened capacity for staff to fulfil FIA's mandate through training.	1)	Fumigations of office premises was done
2. A staff team building retreat conducted	2)	11 Staff were trained in technical subjects like Financial Analysis, Financial investigations, Visualizing cases and Flows of Money.
3. Incentive for the rewards and policy implemented.	3)	100% staff accessed staff welfare on equal term basis
4. Governance documents updated	4)	100% of staff were paid by the 28th day of every month.
	5)	Approved staff structure filled at 75%.
	6)	8 additional guards were recruited to provide security to FIA Office 24/7
	7)	Security has been provided to eligible staff in line with the Human Resource Manual
	8)	Covid-19 supplies were procured and dispatched to all staff
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
211102 Contract Staff Salaries		1,435,658.047
211104 Employee Gratuity		682,000.000
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		23,660.000
212101 Social Security Contributions		45,920.000
221003 Staff Training		53,500.000
221011 Printing, Stationery, Photocopying and Binding		3,000.000
227004 Fuel, Lubricants and Oils		18,200.000
228002 Maintenance-Transport Equipment		1,940.228
Total For Budget Output		2,263,878.275
Wage Recurrent		1,435,658.047
Non Wage Recurrent		828,220.228

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Arrears	0.000
	AIA	0.000
	Total For Department	2,263,878.275
	Wage Recurrent	1,435,658.047
	Non Wage Recurrent	828,220.228
	Arrears	0.000
	AIA	0.000

Development Projects

N/A

SubProgramme:02 Security

Sub SubProgramme:03 Directorate of Systems Administration and Security

Departments

Department:001 Systems Administration and Security

Budget Output:120007 Support services

PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities

Programme Intervention: 160715 Strengthen research and development to address emerging security threats

1. Operational FIA Integrated Data Platform with functionality on Business intelligence, Statistical reports, data warehouse and mining.	1) 279 Accountable Persons onboarded onto goAML
	2) 1 license was renewed (Commvault Solution Licence)
	3) Website and Social Media Pages of FIA were regularly updated

PIAP Output: 16070516 Enhanced Technical capability

Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes

1. An Application Programming Interface to allow for automated Information exchange with relevant MDA's	1) 279 Accountable persons onboarded onto goAML
2. 40 reporting entities on boarded on goAML	
3. Installed IP phone solution with advanced call management technology	
Renewed Software Server Licenses	

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	5,728.000
221008 Information and Communication Technology Supplies.	2,478.000
222001 Information and Communication Technology Services.	4,395.000
227004 Fuel, Lubricants and Oils	13,200.000

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
228002 Maintenance-Transport Equipment		1,140.341
	Total For Budget Output	26,941.341
	Wage Recurrent	0.000
	Non Wage Recurrent	26,941.341
	Arrears	0.000
	AIA	0.000
	Total For Department	26,941.341
	Wage Recurrent	0.000
	Non Wage Recurrent	26,941.341
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
SubProgramme:03 Policy and Legislation Processes		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
Departments		
Department:001 Legal and Corporate Affairs		
Budget Output:460103 Legal Representation and Litigation services		
PIAP Output: 16060305 AML/CFT International standards implemented		
Programme Intervention: 160603 Review and enact appropriate legislation		
1. Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant.	1) 2 Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant 2) Eighth ICRG prepared and submitted to the Africa/Middle East Joint Group 3) Quarterly reports on activities of AML/CFT/CPF prepared and submitted 4) ICRG face to face meeting report was prepared and submitted 5) Prepared a follow-up report and submitted to ESAAMLG 6) Uganda’s 5th Request for re-rating report was prepared and discussed at the 46th ESAAMLG Task Force of Senior Officials meeting 7) Prepared a follow-up report and submitted to FATF	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand	
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			12,589.000
221002 Workshops, Meetings and Seminars			22,068.183
224009 Classified Expenditure			50,000.000
227004 Fuel, Lubricants and Oils			13,200.000
	Total For Budget Output		97,857.183
	Wage Recurrent		0.000
	Non Wage Recurrent		97,857.183
	Arrears		0.000
	AIA		0.000
	Total For Department		97,857.183
	Wage Recurrent		0.000
	Non Wage Recurrent		97,857.183
	Arrears		0.000
	AIA		0.000
Development Projects			
N/A			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:01 Directorate of Finance and Administration			
Departments			
Department:001 Accounts			
Budget Output:000014 Administrative and Support Services			

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
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PIAP Output: 16070502 General administration and support services enhanced

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

1. Assets engraved and Board of survey recommendations in stores implemented. 2. Meetings (Finance Committee, Audit committee meetings) facilitated 3. Budget Framework Paper prepared 4. Ministerial policy statement prepared 5. Annual report prepared	1) Annual Report FY 2022/23 prepared and printed for dissemination to stakeholders. 2) Q4 Budget Performance report was prepared 3) Q4 Physical Performance report was prepared and shared with board 4) 1 board Audit Committee meeting was facilitated, 1 finance committee facilitated 5) Completed preparing the Audit report and the board of Survey reports 6) 2 board meetings were carried out in the quarter 7) 6 contract committee meetings in Q1 and 8 Evaluation Committee meetings were held in Q1
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Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	7,890.000
211107 Boards, Committees and Council Allowances	107,006.310
221001 Advertising and Public Relations	34,569.600
221002 Workshops, Meetings and Seminars	6,200.000
221009 Welfare and Entertainment	107,220.020
221017 Membership dues and Subscription fees.	304,672.113
223001 Property Management Expenses	6,400.000
223004 Guard and Security services	46,463.410
224009 Classified Expenditure	48,000.000
227004 Fuel, Lubricants and Oils	122,500.000
228002 Maintenance-Transport Equipment	3,247.943
Total For Budget Output	794,169.396
Wage Recurrent	0.000
Non Wage Recurrent	794,169.396
Arrears	0.000
AIA	0.000
Total For Department	794,169.396
Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Non Wage Recurrent	794,169.396
	Arrears	0.000
	AIA	0.000

Development Projects

Project:1623 Retooling of Financial Intelligence Authority

Budget Output:000003 Facilities and Equipment Management

PIAP Output: 16070503 Retooling of Financial Intelligence Authority

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

1. Installed IP phone solution with advanced call management technology.	Outputs not achieved
2. Laptop computers procured.	
3. Procurement of furniture	

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
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Item	Spent
Total For Budget Output	0.000
GoU Development	0.000
External Financing	0.000
Arrears	0.000
AIA	0.000
Total For Project	0.000
GoU Development	0.000
External Financing	0.000
Arrears	0.000
AIA	0.000

Sub SubProgramme:02 Directorate of Internal Audit

Departments

Department:001 Internal Audit

Budget Output:000001 Audit and Risk Management

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Quarter 1

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
1. Approved workplan by the Board 2. 4 Risk Based Reports Produced 3. Updated Risk Register 4. 2 joint inspections conducted		1) A draft Risk Based Report was produced	
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
1. Strengthened capacity for staff to fulfil FIA's mandate through training. 2. CPD certificates obtained		1) 2 Audit staff attended the 28th Annual Seminar organized by the Institute of Certified Public Accountants (ICPAU) and obtained CPD hours	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs			US\$ Thousand
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			2,000.000
221002 Workshops, Meetings and Seminars			3,100.000
227004 Fuel, Lubricants and Oils			4,200.000
Total For Budget Output			9,300.000
Wage Recurrent			0.000
Non Wage Recurrent			9,300.000
Arrears			0.000
AIA			0.000
Total For Department			9,300.000
Wage Recurrent			0.000
Non Wage Recurrent			9,300.000
Arrears			0.000
AIA			0.000
Development Projects			
N/A			
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Departments			
Department:001 Strategic Analysis and Statistics			
Budget Output:000001 Audit and Risk Management			

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Quarter 1

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources. 2. 2 report with findings of the risk assessment disseminated. 3. ML/TF typology studies conducted 4. NRA Action and monitoring plan developed.		1) 1 Risk Assessment Report was produced (A tax crime and proceeds risk assessment report). The Authority further started the process of collecting relevant data, that will aid the ML/TF Risk Assessment on Virtual Assets (VAs) and Virtual Asset Service Providers (VASPs) in Uganda that FIA is currently undertaking. 2) 1 statistical report was produced. 3) All FIA databases were regularly updated 4) The Authority Disseminated the 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports at a report dissemination workshop by the Minister of Finance Planning and Economic Development	
PIAP Output: 16080813 Financial due diligence undertaken on investors			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
1. 40 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.		1) 6 financial due diligence reports produced on entities	
1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources. 2. 2 report with findings of the risk assessment disseminated. 3. ML/TF typology studies conducted 4. NRA Action and monitoring plan developed.		1) 1 Risk Assessment Report was produced (A tax crime and proceeds risk assessment report). The Authority further started the process of collecting relevant data, that will aid the ML/TF Risk Assessment on Virtual Assets (VAs) and Virtual Asset Service Providers (VASPs) in Uganda that FIA is currently undertaking. 2) 1 statistical report was produced. 3) All FIA databases were regularly updated 4) The Authority Disseminated the 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports at a report dissemination workshop by the Minister of Finance Planning and Economic Development	

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Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
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PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes

Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery

1. 4 articles published on AML/CFT/CPF in different media houses 2. General public enlightened on administrative sanctions regime through media (electronic and print) 3. 8 public awareness campaigns to promote understanding of ML/TF undertaken	1) A Media report was issued on the change of leadership of management of FIA. 2) The Authority prepared a press release in the reporting quarter titled “clarification on the certificate of registration issued by the Financial Intelligence Authority” that appeared in the New Vision and The Monitor newspapers 3) FIA Website and social media pages were updated regularly to enlighten the public on administrative sanctions regime 4) During the 28th Annual Seminar organised by the Institute of Certified Public Accountants (ICPAU), the Authority held an exhibition aimed at creating awareness about the role of ICPAU as a supervisory/regulatory body and the accountants in fighting ML/TF/PF and their obligations in detecting and preventing financial crimes. 5) The Authority Disseminated the 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports at a report dissemination workshop by the Minister of Finance Planning and Economic Development.
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Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	20,460.000
221002 Workshops, Meetings and Seminars	22,388.184
221007 Books, Periodicals & Newspapers	559.000
224009 Classified Expenditure	126,000.000
227004 Fuel, Lubricants and Oils	4,200.000
<b>Total For Budget Output</b>	<b>173,607.184</b>
Wage Recurrent	0.000
Non Wage Recurrent	173,607.184
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>173,607.184</b>
Wage Recurrent	0.000
Non Wage Recurrent	173,607.184
Arrears	0.000

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
AIA		0.000	
Department:002 Operational analysis			
Budget Output:560019 Data Management and Dissemination			
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
1. 100 percent analysis of all reports filed by accountable persons	1)	54% of all reports received were analyzed	
2. Dissemination of financial intelligence reports to LEAs coordinated	2)	4 financial intelligence reports disseminated to LEAs, 23	
3. Intelligence gathering and investigations coordinated		responses to request for information from various LEAs	
4. Requests for information from other FIUs responded to	3)	1 intelligence investigation was coordinated	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand	
Item		Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		32,208.000	
221003 Staff Training		22,900.000	
221007 Books, Periodicals & Newspapers		1,418.000	
224009 Classified Expenditure		471,296.170	
227001 Travel inland		10,610.000	
227004 Fuel, Lubricants and Oils		8,400.000	
Total For Budget Output		546,832.170	
Wage Recurrent		0.000	
Non Wage Recurrent		546,832.170	
Arrears		0.000	
AIA		0.000	
Total For Department		546,832.170	
Wage Recurrent		0.000	
Non Wage Recurrent		546,832.170	
Arrears		0.000	
AIA		0.000	
Development Projects			
N/A			
Sub SubProgramme:05 Directorate of Compliance and Training			
Departments			
Department:001 Compliance and Inspection			

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
Budget Output:000023 Inspection and Monitoring			
PIAP Output: 16080504 AML/CFT compliance enforced			
Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations			
1. 14 Risk Based Onsite inspections conducted 2. 320 Annual Compliance reports, 80 risk assessments, 80 Independent AML/CFT audits and 4 reports from supervisory bodies reviewed. 3. All 16 categories of accountable persons identified.		1) 15 Annual compliance reports, 14 risk assessments and 6 independent AML audits were reviewed. 2) Identified 4 categories of accountable persons across the country. 3) Following the On-Site inspection of Stanbic Bank Uganda, Airtel mobile Commerce Uganda Ltd and World Vision Uganda in the previous Quarter, FIA conducted exit meetings with the three respective entities to mark the end of the inspections during the period under review.	
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
1. 1,000 accountable persons registered and trained on the usage of the goAML system in collaboration with ICT. 2. A program to train 7 categories of accountable persons on AML/CFT developed.		1) 279 accountable persons were registered and trained on usage of goAML 2) Offered guidance to various accountable persons through emails, phone calls, physical meetings and letters on AML/CFT related matters. 3) Formed a Supervisory Body Forum (SBF) and also carried out training for all supervisory bodies on their powers and the powers of FIA to impose administrative sanctions 4) Prepared a press release titled “clarification on the certificate of registration issued by the Financial Intelligence Authority” that appeared in the New Vision and The Monitor newspapers on 27th July, 2023.	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs			US\$ Thousand
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			27,430.000
221002 Workshops, Meetings and Seminars			19,398.183
221003 Staff Training			12,203.360
224009 Classified Expenditure			200,000.000
227001 Travel inland			50,000.000
227004 Fuel, Lubricants and Oils			12,250.000
Total For Budget Output			321,281.543
Wage Recurrent			0.000
Non Wage Recurrent			321,281.543
Arrears			0.000
AIA			0.000

VOTE: 129 Financial Intelligence Authority (FIA)

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
	Total For Department	321,281.543
	Wage Recurrent	0.000
	Non Wage Recurrent	321,281.543
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
	GRAND TOTAL	4,233,867.092
	Wage Recurrent	1,435,658.047
	Non Wage Recurrent	2,798,209.045
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	AIA	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Quarter 2: Revised Workplan

Annual Plans	Quarter's Plan	Revised Plans
Programme:16 Governance And Security		
SubProgramme:01		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
1. Strengthened capacity for staff to fulfil FIA's mandate through training. 2. A staff team building retreat conducted 3. Incentive for the rewards and policy implemented. 4. Governance documents updated	1) Governance documents updated ; HR Policy to include staff attachment policy to gain 15 experiential learning from developed FIUs; develop Gender and Equity Policy (Ugx 10 million) 2) Refiling protective gears dispensers done 3) sessions / fumigations done 4) 10 staff trained that include 5 men and 5 women (Ugx 5 million) 5) 100% staff accessing staff welfare on equal term basis 6) 100% of staff paid by the 28th day of every month. 7) 100% of approved FIA structure filled 8) 1 security initiative put in place 9) Eligible staff provided with security 10) Provision of COVID-19 /contagious viruses supplies and first AID box	1) Governance documents updated ; HR Policy to include staff attachment policy to gain 15 experiential learning from developed FIUs; develop Gender and Equity Policy (Ugx 10 million) 2) Refiling protective gears dispensers done 3) sessions / fumigations done 4) 10 staff trained that include 5 men and 5 women (Ugx 5 million) 5) 100% staff accessing staff welfare on equal term basis 6) 100% of staff paid by the 28th day of every month. 7) 100% of approved FIA structure filled 8) 1 security initiative put in place 9) Eligible staff provided with security 10) Provision of COVID-19 /contagious viruses supplies and first AID box
Develoment Projects		
N/A		
SubProgramme:02		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
Departments		
Department:001 Systems Administration and Security		

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Annual Plans	Quarter's Plan	Revised Plans
Budget Output:120007 Support services		
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1. Operational FIA Integrated Data Platform with functionality on Business intelligence, Statistical reports, data warehouse and mining.	1) Onboarding of 20 Reporting Entities from all regions of Uganda on goAML 2) Attend goAML, Egmont, ISACA, NISAG & ESAAMLG technical working groups 3) Software license and Support renewals of 5 softwares 4) Review and Update 1 ICT Governance document in line with the National IT Standards 5) Manage and continually update the FIA website and the Social Media Platforms using Content Management Systems	1) Onboarding of 20 Reporting Entities from all regions of Uganda on goAML 2) Attend goAML, Egmont, ISACA, NISAG & ESAAMLG technical working groups 3) Software license and Support renewals of 5 softwares 4) Review and Update 1 ICT Governance document in line with the National IT Standards 5) Manage and continually update the FIA website and the Social Media Platforms using Content Management Systems
PIAP Output: 16070516 Enhanced Technical capability		
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes		
1. An Application Programming Interface to allow for automated Information exchange with relevant MDA's 2. 40 reporting entities on boarded on goAML 3. Installed IP phone solution with advanced call management technology Renewed Software Server Licenses	.	.
Development Projects		
N/A		
SubProgramme:03		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
Departments		
Department:001 Legal and Corporate Affairs		

## Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:460103 Legal Representation and Litigation services</b>		
<b>PIAP Output: 16060305 AML/CFT International standards implemented</b>		
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>		
1. Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant.	1) Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant. 2) 1 ICRG progress reports submitted 3) 1 Quarterly reports on the activities of the AML/CFT/CPF taskforce submitted 4) ICRG face to face meeting reports submitted 5) ESAAMLG Follow-up report prepared and submitted. 6) Submit reports on the ESAAMLG meetings 7) FATF Follow-up reports submitted.	1) Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant. 2) 1 ICRG progress reports submitted 3) 1 Quarterly reports on the activities of the AML/CFT/CPF taskforce submitted 4) ICRG face to face meeting reports submitted 5) ESAAMLG Follow-up report prepared and submitted. 6) Submit reports on the ESAAMLG meetings 7) FATF Follow-up reports submitted.
<i>Development Projects</i>		
N/A		
<b>SubProgramme:05</b>		
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>		
<i>Departments</i>		
<b>Department:001 Accounts</b>		
<b>Budget Output:000014 Administrative and Support Services</b>		
<b>PIAP Output: 16070502 General administration and support services enhanced</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
1. Assets engraved and Board of survey recommendations in stores implemented. 2. Meetings (Finance Committee, Audit committee meetings) facilitated 3. Budget Framework Paper prepared 4. Ministerial policy statement prepared 5. Annual report prepared	1) Budget Framework Paper prepared 2) Q1 budget performance report prepared 3) Q1 FIA physical performance report prepared. 4) Meetings (Finance Committee, Audit committee meetings) facilitated 5) Budget Framework paper prepared 6) 1 report produced i.e. Financial Statements, Annual Board of survey reports and Audit reports 7) 2 Board meetings facilitated 8) 5 contract committee meetings held	1) Budget Framework Paper prepared 2) Q1 budget performance report prepared 3) Q1 FIA physical performance report prepared. 4) Meetings (Finance Committee, Audit committee meetings) facilitated 5) Budget Framework paper prepared 6) 1 report produced i.e. Financial Statements, Annual Board of survey reports and Audit reports 7) 2 Board meetings facilitated 8) 5 contract committee meetings held
<i>Development Projects</i>		

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Annual Plans	Quarter's Plan	Revised Plans
Project:1623 Retooling of Financial Intelligence Authority		
Budget Output:000003 Facilities and Equipment Management		
PIAP Output: 16070503 Retooling of Financial Intelligence Authority		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
1. Installed IP phone solution with advanced call management technology. 2. Laptop computers procured. 3. Procurement of furniture	.	.
Sub SubProgramme:02 Directorate of Internal Audit		
Departments		
Department:001 Internal Audit		
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1. Approved workplan by the Board 2. 4 Risk Based Reports Produced 3. Updated Risk Register 4. 2 joint inspections conducted	1) Approved workplan by the Board. 2) 1 Risk Based Report Produced	1) Approved workplan by the Board. 2) 1 Risk Based Report Produced
PIAP Output: 16070519 Security personnel trained		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
1. Strengthened capacity for staff to fulfil FIA's mandate through training. 2. CPD certificates obtained	1) CPD hours obtained through attending (ICPAU,ACCA,IIA) seminars	NA
Develoment Projects		
N/A		
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
Departments		
Department:001 Strategic Analysis and Statistics		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources. 2. 2 report with findings of the risk assessment disseminated. 3. ML/TF typology studies conducted 4. NRA Action and monitoring plan developed.	1) 1 statistical report produced 2) FIA databases updated on a regular basis 3) Conduct 1 ML/TF typology studies 4) Develop the NRA Action and monitoring plan.	1) 1 statistical report produced 2) FIA databases updated on a regular basis 3) Conduct 1 ML/TF typology studies 4) Develop the NRA Action and monitoring plan.
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
1. 40 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.	1) 10 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.	1) 10 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.
1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources. 2. 2 report with findings of the risk assessment disseminated. 3. ML/TF typology studies conducted 4. NRA Action and monitoring plan developed.	1) 1 statistical report produced 2) FIA databases updated on a regular basis 3) Conduct 1 ML/TF typology studies 4) NRA Action and monitoring plan developed 5) Relevant ML/TF/PF statistics compiled. 6) compile AML/CFT historical data from all AML/CFT stakeholders	1) 1 statistical report produced 2) FIA databases updated on a regular basis 3) Conduct 1 ML/TF typology studies 4) NRA Action and monitoring plan developed 5) Relevant ML/TF/PF statistics compiled. 6) compile AML/CFT historical data from all AML/CFT stakeholders
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes		
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery		
1. 4 articles published on AML/CFT/CPF in different media houses 2. General public enlightened on administrative sanctions regime through media (electronic and print) 3. 8 public awareness campaigns to promote understanding of ML/TF undertaken	1) 1 article published on AML/CFT/CPF in different media houses 2) General public enlightened on administrative sanctions regime through media (electronic and print) 3) 2 public awareness campaigns to promote understanding of ML/TF undertaken	1) 1 article published on AML/CFT/CPF in different media houses 2) General public enlightened on administrative sanctions regime through media (electronic and print) 3) 2 public awareness campaigns to promote understanding of ML/TF undertaken
Department:002 Operational analysis		

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Annual Plans			Quarter's Plan			Revised Plans		
Budget Output:560019 Data Management and Dissemination								
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.								
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption								
1. 100 percent analysis of all reports filed by accountable persons 2. Dissemination of financial intelligence reports to LEAs coordinated 3. Intelligence gathering and investigations coordinated 4. Requests for information from other FIUs responded to			1) 100 percent analysis of all reports filed by accountable persons 2) Dissemination of 20 financial intelligence reports to LEAs coordinated 3) Intelligence gathering and investigations coordinated 4) Requests for information from other FIUs responded to			1) 100 percent analysis of all reports filed by accountable persons 2) Dissemination of 20 financial intelligence reports to LEAs coordinated 3) Intelligence gathering and investigations coordinated 4) Requests for information from other FIUs responded to		
Development Projects								
N/A								
Sub SubProgramme:05 Directorate of Compliance and Training								
Departments								
Department:001 Compliance and Inspection								
Budget Output:000023 Inspection and Monitoring								
PIAP Output: 16080504 AML/CFT compliance enforced								
Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations								
1. 14 Risk Based Onsite inspections conducted 2. 320 Annual Compliance reports, 80 risk assessments, 80 Independent AML/CFT audits and 4 reports from supervisory bodies reviewed. 3. All 16 categories of accountable persons identified.			1) Conduct 4 Risk Based Onsite inspections 2) Review of annual compliance reports, risk assessments and independent AML/CFT audits 3) Identify 4 categories of accountable persons across the country			1) Conduct 4 Risk Based Onsite inspections 2) Review of annual compliance reports, risk assessments and independent AML/CFT audits 3) Identify 4 categories of accountable persons across the country		

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Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
1. 1,000 accountable persons registered and trained on the usage of the goAML system in collaboration with ICT. 2. A program to train 7 categories of accountable persons on AML/CFT developed.	1) 250 accountable persons from across the country registered and trained on the usage of the goAML system in collaboration with ICT. 2) Develop 1 AML/CFT guidelines for Dealers in Precious Metals & Stones, Real Estates & Virtual Assets Service Providers to support FIA to enforce compliance 3) 1 Sector specific manual prepared and developed 4) guidance given to 250 accountable persons from across the country on AML/CFT 5) 1 registration drives conducted across the country 6) 2 engagements held with supervisory bodies 7) 2 AML/CFT/CPF public awareness campaigns undertaken in Western Uganda 8) 1 article developed and prepared on AML/CFT. 9) 1 TV/Radio awareness program coordinated	1) 250 accountable persons from across the country registered and trained on the usage of the goAML system in collaboration with ICT. 2) Develop 1 AML/CFT guidelines for Dealers in Precious Metals & Stones, Real Estates & Virtual Assets Service Providers to support FIA to enforce compliance 3) 1 Sector specific manual prepared and developed 4) guidance given to 250 accountable persons from across the country on AML/CFT 5) 1 registration drives conducted across the country 6) 2 engagements held with supervisory bodies 7) 2 AML/CFT/CPF public awareness campaigns undertaken in Western Uganda 8) 1 article developed and prepared on AML/CFT. 9) 1 TV/Radio awareness program coordinated
Development Projects		
N/A		

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V4: NTR Collections, Off Budget Expenditure and Vote Cross Cutting Issues

Table 4.1: NTR Collections (Billions)

Revenue Code	Revenue Name	Planned Collection FY2023/24	Actuals By End Q1
143201	Other fines and Penalties – private	0.500	0.000
142119	Sale of bid documents-From Private Entities	0.300	0.000
Total		0.800	0.000

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Table 4.2: Off-Budget Expenditure By Department and Project

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Quarter 1

Table 4.3: Vote Crosscutting Issues

i) Gender and Equity

Objective:	Gender and equity mainstreaming in FIA operations
Issue of Concern:	Marginalization of vulnerable groups nationally
Planned Interventions:	1. Provide appropriate facilities for PWDs 2. Mainstream gender and equity issues in departmental reporting. 3. Conducting public awareness campaigns in all regions of Uganda 4. Mainstreaming gender and equity issues in planning and budgeting instruments.
Budget Allocation (Billion):	0.010
Performance Indicators:	Level of compliance of FIA to gender and equity budgeting
Actual Expenditure By End Q1	0
Performance as of End of Q1	Gender and equity issues incorporated in departmental reporting. Facilities provided for PWDs
Reasons for Variations	None

ii) HIV/AIDS

Objective:	Reduce the spread of HIV/AIDS among FIA staff
Issue of Concern:	The Government target of eradicating HIV/AIDS in the population is not yet met
Planned Interventions:	Continue with the implementation of the HIV/AIDS policy. Conducting HIV/AIDS sensitization sessions for all staff. Provision of condoms to staff.
Budget Allocation (Billion):	0.010
Performance Indicators:	Number of HIV/AIDS awareness sessions conducted
Actual Expenditure By End Q1	0.001
Performance as of End of Q1	Implementation of the HIV/AIDS policy
Reasons for Variations	Release shortfall during Q1

iii) Environment

Objective:	To mainstream environmental concerns during office operations
Issue of Concern:	Climate change is a threat to the future generation.
Planned Interventions:	1. Proper waste disposal in office 2. Promotion of energy use efficiency through use of renewable energy like natural lighting and air conditioning 3. Embracing the use of digital communication and workflows to reduce paper trail & paper waste management
Budget Allocation (Billion):	0.001
Performance Indicators:	Number of offices using renewable energy
Actual Expenditure By End Q1	0.002

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Performance as of End of Q1	Proper waste disposal adhered to. Use of natural lighting to save energy during the day. Digital communication was embraced to reduce on paper trail.
Reasons for Variations	None

iv) Covid

Objective:	To reduce the spread of COVID 19 among staff
Issue of Concern:	The spread COVID 19 is still within the population. New variants are still a threat to mankind.
Planned Interventions:	1. Ensure a safe work environment by provision of COVID-19 essentials such as office sanitizers, disinfectants, masks for guards and visual information.
Budget Allocation (Billion):	0.015
Performance Indicators:	Number of COVID 19 supplies procured.
Actual Expenditure By End Q1	0.002
Performance as of End of Q1	Staff were continually sensitised on COVID 19. COVID 19 SOPs were enforced and adhered to. COVID 19 supplies like sanitizers were provided to staff
Reasons for Variations	None