

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

***V1: Summary of Issues in Budget Execution*****Table V1.1: Overview of Vote Expenditures (US\$ Billion)**

	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% Budget Released	% Budget Spent	% Releases Spent	
Recurrent	Wage	9.594	9.594	4.797	3.822	50.0 %	40.0 %	79.7 %
	Non-Wage	20.870	20.870	10.395	7.940	50.0 %	38.0 %	76.4 %
Dev.	GoU	0.729	0.729	0.365	0.012	50.1 %	1.6 %	3.3 %
	Ext Fin.	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
<b>GoU Total</b>		<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.774</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>
<b>Total GoU+Ext Fin (MTEF)</b>		<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.774</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>
Arrears		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
<b>Total Budget</b>		<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.774</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>
<i>A.I.A Total</i>		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
<b>Grand Total</b>		<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.774</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>
<b>Total Vote Budget Excluding Arrears</b>		<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.774</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

**Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme\***

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% Budget Released	% Budget Spent	%Releases Spent
<b>Programme:16 Governance And Security</b>	<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.775</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7%</b>
Sub SubProgramme:01 Directorate of Finance and Administration	20.373	20.373	9.735	7.089	47.8 %	34.8 %	72.8%
Sub SubProgramme:02 Directorate of Internal Audit	0.242	0.242	0.072	0.044	29.7 %	18.2 %	61.3%
Sub SubProgramme:03 Directorate of Systems Administration and Security	2.097	2.097	1.112	0.360	53.0 %	17.2 %	32.4%
Sub SubProgramme:04 Directorate of Analysis and Monitoring	5.974	5.974	3.354	3.110	56.1 %	52.1 %	92.7%
Sub SubProgramme:05 Directorate of Compliance and Training	2.003	2.003	1.010	0.943	50.4 %	47.1 %	93.4%
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.504	0.504	0.275	0.229	54.5 %	45.4 %	83.2%
<b>Total for the Vote</b>	<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.775</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

**Table V1.3: High Unspent Balances and Over-Expenditure in the Approved Budget (Ushs Bn)***(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:01 Directorate of Finance and Administration****Sub Programme: 01 Institutional Coordination****0.446** Bn Shs Department : 002 Human resource registry and security

Reason: The high unspent is largely attributed to services consumed and payments yet to be made pending processing of invoices, and employee gratuity and social security contributions which are due in Q3 FY23/24.

**Items****0.195** UShs 211104 Employee Gratuity

Reason: Planned employee gratuity payment is due for early Q3 FY2023/24.

**0.147** UShs 212101 Social Security Contributions

Reason: High unspent is attributable to the social security contributions relating to the last month of the quarter (December 2023) which will be remitted by 15th day of the subsequent month (15th January 2023) as required.

**0.017** UShs 212103 Incapacity benefits (Employees)

Reason: No incidences were registered through out the Quarter

**0.007** UShs 228002 Maintenance-Transport Equipment

Reason: Unspent balance is attributed to Invoices related to maintenance of field vehicles which were yet to be received by end of Quarter

**0.070** UShs 221008 Information and Communication Technology Supplies.

Reason: The high unspent relates to acquisition of a human capital management system to automate and operationalise human resource systems. An engagement between FIA and MoPS was conducted to complete a readiness checklist on the system and FIA was awaiting feedback from MoPS on the next steps by end of Quarter.

**Sub Programme: 05 Anti-Corruption and Accountability****0.873** Bn Shs Department : 001 Accounts

Reason: The unspent balance majorly relates to invoices that were yet to be received by the end of the Quarter.

**Items****0.103** UShs 221009 Welfare and Entertainment

Reason: The high unspent is majorly due to low expenditure on staff related welfare items like provision of food due to delayed appointment of new staff who reported effective September 1st 2023 and a second group in December 2023.

**0.063** UShs 221002 Workshops, Meetings and Seminars

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

*(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:01 Directorate of Finance and Administration****Sub Programme: 05 Anti-Corruption and Accountability**

Reason: Invoices related to several workshops were yet to be received by end of Quarter

**0.029** UShs 221001 Advertising and Public Relations

Reason: Invoices relating to undertaking public awareness campaigns to promote understanding of ML/TF/PF matters were yet to be received by end of Quarter

**0.418** UShs 223003 Rent-Produced Assets-to private entities

Reason: Invoices relating to rent were yet to be received by end of Quarter

**0.047** UShs 223005 Electricity

Reason: Invoices related to payment of electricity were yet to be received by end of Quarter

**0.352** Bn Shs Project : 1623 Retooling of Financial Intelligence Authority

Reason: The unspent funds relate to office partitioning whose delay was as a result of delays in procurement process as a result of over pricing by service providers.

**Items****0.352** UShs 312235 Furniture and Fittings - Acquisition

Reason: The unspent funds relate to office partitioning whose delay was as a result of delays in procurement process.

**Sub SubProgramme:02 Directorate of Internal Audit****Sub Programme: 05 Anti-Corruption and Accountability****0.028** Bn Shs Department : 001 Internal Audit

Reason: The unspent balance relates to activities such as staff training that was still undergoing a structural change by close of quarter, and updating a risk register that was deferred to Q3 as a result of late recruitment of a key staff (Senior Internal Auditor) as far as this process is concerned.

**Items****0.014** UShs 221003 Staff Training

Reason: The Authority was in a phase of operationalising the training committee to oversee the planned trainings that will kick start in Q3

**0.005** UShs 221002 Workshops, Meetings and Seminars

Reason: The unspent funds relate to the review and update of the Risk register, and development of the Internal Audit Work plan that were carried forward due to delayed recruitment of a Senior Internal Auditor whose appointment took effect on September 1, 2013.

**0.002** UShs 221011 Printing, Stationery, Photocopying and Binding

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

*(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:02 Directorate of Internal Audit****Sub Programme: 05 Anti-Corruption and Accountability**

Reason: The unspent funds relate to the review and update of the Risk register, and development of the Internal Audit Work plan that were carried forward due to delayed recruitment of a Senior Internal Auditor whose appointment took effect on September 1, 2013.

**0.004** UShs 227004 Fuel, Lubricants and Oils

Reason: The high unspent balance on fuel relates to a fuel entitled staff (senior Internal Auditor) whose recruitment finalization was delayed, and appointment took effect September 1st, 2023..

**0.002** UShs 211106 Allowances (Incl. Casuals, Temporary, sitting allowances)

Reason: The unspent funds relate to the review and update of the Risk register, and development of the Internal Audit Work plan that were carried forward due to delayed recruitment of a Senior Internal Auditor whose appointment took effect on September 1, 2013.

**Sub SubProgramme:03 Directorate of Systems Administration and Security****Sub Programme: 02 Security**

**0.751** Bn Shs Department : 001 Systems Administration and Security

Reason: The unspent funds mainly relate to LPOs that were issued to the respective vendors that had not yet finished delivery of the services (license renewal, 2 consultancy services) by the end of the Quarter to enable the Authority process the payment on time.

**Items**

**0.179** UShs 225101 Consultancy Services

Reason: The Authority was still undertaking 2 consultancies that include the Pen Test and the Vulnerability Assessment by close of the quarter. To that effect, the Authority had not yet received invoices by the end of the Quarter because the service delivery had not yet been completed.

**0.213** UShs 221008 Information and Communication Technology Supplies.

Reason: LPO was issued to the vendor that had not yet delivered the service by the end of the Quarter to enable the Authority process the payment on time.

**0.312** UShs 226002 Licenses

Reason: LPO was issued for the renew of licences to the vendor that had not yet delivered the service by the end of the Quarter to enable the Authority process the payment on time.

**0.020** UShs 221003 Staff Training

Reason: The Authority was in the process of operationalising the training committee in Q2 that delayed the execution of staff trainings as planned.

**0.005** UShs 211106 Allowances (Incl. Casuals, Temporary, sitting allowances)

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

*(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:03 Directorate of Systems Administration and Security****Sub Programme: 02 Security**

Reason: The Activity ( Review and Update of ICT Governance documents) was still ongoing by the end of the Quarter.

**Sub SubProgramme:04 Directorate of Analysis and Monitoring****Sub Programme: 05 Anti-Corruption and Accountability****0.131** Bn Shs Department : 001 Strategic Analysis and Statistics

Reason: The unspent funds mainly relate to risk assessments/typology studies; Tax crimes ML/TF risk assessment that was awaiting feedback from URA, and ML/TF Typology study on Human trafficking that was deferred to Q3.

*Items***0.043** UShs 211106 Allowances (Incl. Casuals, Temporary, sitting allowances)

Reason: The high unspent relates to dissemination of the Tax crimes ML/TF assessment report that was still pending approval by both URA and FIA Management by end of quarter.

**0.027** UShs 221011 Printing, Stationery, Photocopying and Binding

Reason: The high unspent relates to dissemination of the Tax crimes ML/TF assessment report that was still pending approval by both URA and FIA Management by end of quarter.

**0.040** UShs 225101 Consultancy Services

Reason: The unspent relates to ML/TF Typology Study on Human Trafficking that was deferred to Q3. The Authority developed terms of reference in Q2 and intends to fully undertake the activity in Q3.

**0.004** UShs 227004 Fuel, Lubricants and Oils

Reason: High unspent is due to delays in the recruitment process for staff that are entitled to fuel.

**0.003** UShs 227001 Travel inland

Reason:

**0.114** Bn Shs Department : 002 Operational analysis

Reason: The high unspent balances are majorly due to invoices yet to be received for maintenance services, stationary, and from professional bodies on staff subscription. Deferment of finalization of 3 due diligence reports owing to delays in receipt of information and delays in recruitment process also affected related budget lines on planned allowances, and fuel.

*Items***0.034** UShs 221017 Membership dues and Subscription fees.

Reason: Invoices from professional bodies were yet to be received for payment.

**0.015** UShs 228002 Maintenance-Transport Equipment

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

*(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:04 Directorate of Analysis and Monitoring****Sub Programme: 05 Anti-Corruption and Accountability**

Reason: Invoices relating to motor vehicle maintenance were yet to be received by end of Quarter

**0.033** UShs 211106 Allowances (Incl. Casuals, Temporary, sitting allowances)

Reason: High unspent relates to some activities like outstanding reports relating to due diligence on entities that intend to partner with GoU on projects.

**0.003** UShs 221011 Printing, Stationery, Photocopying and Binding

Reason: Invoices relating to stationery were yet to be received by end of Quarter

**0.008** UShs 227004 Fuel, Lubricants and Oils

Reason: High unspent is due to delays in the recruitment process staff entitled to fuel.

**Sub SubProgramme:05 Directorate of Compliance and Training****Sub Programme: 05 Anti-Corruption and Accountability****0.067** Bn Shs Department : 001 Compliance and Inspection

Reason: The unspent funds relate to expenditure lines for the planned onsite inspection on MTN mobile money operations which was deferred to Q3 FY 2023/24 following consensus for a joint onsite inspection with BoU, and invoices yet to be received for maintenance and periodicals/newspapers consumed during the quarter.

*Items***0.006** UShs 221001 Advertising and Public Relations

Reason: The unspent funds relate to a planned onsite inspection by the department with MTN mobile money operations, was deferred to Q3 FY 2023/24 following consensus for a joint onsite inspection with BoU.

**0.008** UShs 221011 Printing, Stationery, Photocopying and Binding

Reason: The unspent funds relate to a planned onsite inspection by the department with MTN mobile money operations, was deferred to Q3 FY 2023/24 following consensus for a joint onsite inspection with BoU.

**0.008** UShs 228002 Maintenance-Transport Equipment

Reason: Invoices for the maintenance services consumed were yet to be received by the end of the Quarter

**0.003** UShs 221007 Books, Periodicals & Newspapers

Reason: Invoices for the newspapers consumed were yet to be received by the end of the Quarter

**0.014** UShs 227004 Fuel, Lubricants and Oils

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

*(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:05 Directorate of Compliance and Training****Sub Programme: 05 Anti-Corruption and Accountability**

Reason: The unspent funds relate to a planned onsite inspection by the department with MTN mobile money operations, was deferred to Q3 FY 2023/24 following consensus for a joint onsite inspection with BoU.

**Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations****Sub Programme: 03 Policy and Legislation Processes****0.046** Bn Shs | Department : 001 Legal and Corporate Affairs

Reason: The unspent funds relate to stakeholder meetings for the comprehensive review of the AMLA and data collection for the ICRG progress report that were deferred to Q3 as a result of disruptions caused by Uganda hosting the Africa/Middle East Joint Group (JG) of the International Cooperation Review Group (ICRG) FATF. Invoices for stationery were yet to be received by end of quarter.

*Items***0.036** UShs | 221002 Workshops, Meetings and Seminars

Reason:

**0.009** UShs | 211106 Allowances (Incl. Casuals, Temporary, sitting allowances)

Reason: The Activities (Organize stakeholder meetings for the comprehensive review of the Anti-Money Laundering Act 2013 to identify areas of amendment and ensure it is fully inline with evolving international standards, data collection) were deferred to Q3 as a result of disruptions caused by Uganda hosting the Africa/Middle East Joint Group (JG) of the International Cooperation Review Group (ICRG) FATF.

**0.001** UShs | 221011 Printing, Stationery, Photocopying and Binding

Reason: The unspent funds relate to stationery whose invoices were yet to be received by end of quarter.



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

**V2: Performance Highlights****Table V2.1: PIAP outputs and output Indicators**

<b>Programme:16 Governance And Security</b>				
SubProgramme:01 Institutional Coordination				
Sub SubProgramme:01 Directorate of Finance and Administration				
<b>Department:002 Human resource registry and security</b>				
Budget Output: 000005 Human Resource Management				
<b>PIAP Output: 16060201 Human Resources Management Services provided</b>				
<b>Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
No of staff trained	Number	80	44	
No. of staff receiving salary by the 28th day of each month	Number	85	84	
SubProgramme:02 Security				
Sub SubProgramme:03 Directorate of Systems Administration and Security				
<b>Department:001 Systems Administration and Security</b>				
Budget Output: 120007 Support services				
<b>PIAP Output: 16070516 Enhanced Technical capability</b>				
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
Value of security equipment acquired (bn)	Value	0.73	0.012	
SubProgramme:03 Policy and Legislation Processes				
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations				
<b>Department:001 Legal and Corporate Affairs</b>				
Budget Output: 460103 Legal Representation and Litigation services				
<b>PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.</b>				
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
No of policies developed and/or reviewed	Number	1	1	
<b>PIAP Output: 16060305 AML/CFT International standards implemented</b>				
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
Number of FATF Technical Compliance recommendations re-rated	Number	6	2	

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

<b>Programme:16 Governance And Security</b>				
SubProgramme:05 Anti-Corruption and Accountability				
Sub SubProgramme:01 Directorate of Finance and Administration				
<b>Department:001 Accounts</b>				
Budget Output: 000014 Administrative and Support Services				
<b>PIAP Output: 16070519 Security personnel trained</b>				
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
Number or percentage (%) of personnel recruited and trained	Number	100%	100	
<b>Project:1623 Retooling of Financial Intelligence Authority</b>				
Budget Output: 000003 Facilities and Equipment Management				
<b>PIAP Output: 16070520 Enhanced Technical capability</b>				
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
Value of security equipment acquired (bn)	Value	0.73	0.012	
Sub SubProgramme:02 Directorate of Internal Audit				
<b>Department:001 Internal Audit</b>				
Budget Output: 000001 Audit and Risk Management				
<b>PIAP Output: 16070519 Security personnel trained</b>				
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
Number or percentage (%) of personnel recruited and trained	Number	100%	100	
Sub SubProgramme:04 Directorate of Analysis and Monitoring				
<b>Department:001 Strategic Analysis and Statistics</b>				
Budget Output: 000001 Audit and Risk Management				
<b>PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing</b>				
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
Number of studies under taken per year and results disseminated to stakeholders	Number	4	2	

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

<b>Programme:16 Governance And Security</b>				
SubProgramme:05 Anti-Corruption and Accountability				
Sub SubProgramme:04 Directorate of Analysis and Monitoring				
<b>Department:001 Strategic Analysis and Statistics</b>				
Budget Output: 000001 Audit and Risk Management				
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>				
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
Number of engagements with the public on matters related to ML/TF/PF	Number	8	5	
<b>PIAP Output: 16080813 Financial due diligence undertaken on investors</b>				
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
No . of financial due diligence reports produced	Number	40	11	
<b>Department:002 Operational analysis</b>				
Budget Output: 560019 Data Management and Dissemination				
<b>PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.</b>				
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
No. of reports disseminated	Number	80	34	
Sub SubProgramme:05 Directorate of Compliance and Training				
<b>Department:001 Compliance and Inspection</b>				
Budget Output: 000023 Inspection and Monitoring				
<b>PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws</b>				
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2023/24</b>	<b>Actuals By END Q 2</b>
Number of sanctions issued for non compliance with the AML/CFT/CPF standard	Number	15	0	

# VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

## Performance highlights for the Quarter

During the Quarter, the Authority was able to perform as highlighted below;

- 1) 269 Accountable Persons were registered onto the goAML system and e-certificates of registration issued.
- 2) Undertook a joint onsite inspection (with the NGO Bureau) on Give Directly Uganda with a view to assess compliance and legitimacy of its operations.
- 3) Conducted 12 trainings. 7 of these trainings to Accountable Persons about their AML/CTF/CPF compliance obligations and 5 trainings to LEAs and other implementing partners about their AML/CTF roles and responsibilities.
- 4) Received 31 requests for information from different Law Enforcement Agencies (LEAs) and competent authorities and responded to 20 of them by end of the quarter.
- 5) Received 3,622 reports from accountable persons through goAML. These included among others 483 suspicious transaction reports and 336 suspicious activity reports.
- 6) Analysis of these reports received in (5) resulted in 30 reports materializing into the generation of 30 intelligence reports that were disseminated to LEAs and Competent Authorities.
- 7) Received a total of 8 financial due diligence requests of which five (05) were completed and reports shared with respective MDAs and three (03) were outstanding by end of Quarter.
- 8) Coordinated several activities with the FATF and ESAAMLG to effectively implement its action plan in order to enable FIA to fulfill its mandate and for Uganda to demonstrate progress towards implementation of its action plan and exit the FATF/ICRG grey list.
- 9) 4 articles about AML/CFT/CPF were published in print media authored by the Authority staff that majorly included expert opinions. The Authority further participated in 2 radio talk shows and 1 radio talk shows where AML/CFT/CPF issues were discussed.
- 10) Coordinated an onsite visit by the Africa/Middle East Joint Group (JG) of the International Cooperation Review Group (ICRG) FATF hosted by Uganda.
- 11) Completed its Budget Framework Paper for the FY 2024/25.

## Variations and Challenges

The major challenge the Authority faces is Inadequate resource allocation, and releases for the retooling project which is curtailing acquisition of critical operational fixed assets.

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

***V3: Details of Releases and Expenditure*****Table V3.1: GoU Releases and Expenditure by Budget Output\***

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
<b>Programme:16 Governance And Security</b>	<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.774</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>	<b>20.373</b>	<b>20.373</b>	<b>9.735</b>	<b>7.088</b>	<b>47.8 %</b>	<b>34.8 %</b>	<b>72.8 %</b>
000003 Facilities and Equipment Management	0.729	0.729	0.365	0.012	50.0 %	1.6 %	3.3 %
000005 Human Resource Management	14.425	14.425	6.513	5.092	45.2 %	35.3 %	78.2 %
000014 Administrative and Support Services	5.220	5.220	2.857	1.984	54.7 %	38.0 %	69.4 %
<b>Sub SubProgramme:02 Directorate of Internal Audit</b>	<b>0.242</b>	<b>0.242</b>	<b>0.072</b>	<b>0.044</b>	<b>29.7 %</b>	<b>18.2 %</b>	<b>61.2 %</b>
000001 Audit and Risk Management	0.242	0.242	0.072	0.044	29.7 %	18.2 %	61.1 %
<b>Sub SubProgramme:03 Directorate of Systems Administration and Security</b>	<b>2.097</b>	<b>2.097</b>	<b>1.112</b>	<b>0.360</b>	<b>53.0 %</b>	<b>17.2 %</b>	<b>32.4 %</b>
120007 Support services	2.097	2.097	1.112	0.360	53.0 %	17.2 %	32.4 %
<b>Sub SubProgramme:04 Directorate of Analysis and Monitoring</b>	<b>5.974</b>	<b>5.974</b>	<b>3.354</b>	<b>3.110</b>	<b>56.1 %</b>	<b>52.1 %</b>	<b>92.7 %</b>
000001 Audit and Risk Management	1.222	1.222	0.672	0.541	55.0 %	44.3 %	80.5 %
560019 Data Management and Dissemination	4.752	4.752	2.683	2.569	56.5 %	54.1 %	95.8 %
<b>Sub SubProgramme:05 Directorate of Compliance and Training</b>	<b>2.003</b>	<b>2.003</b>	<b>1.010</b>	<b>0.943</b>	<b>50.4 %</b>	<b>47.1 %</b>	<b>93.4 %</b>
000023 Inspection and Monitoring	2.003	2.003	1.010	0.943	50.4 %	47.1 %	93.4 %
<b>Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations</b>	<b>0.504</b>	<b>0.504</b>	<b>0.275</b>	<b>0.229</b>	<b>54.5 %</b>	<b>45.5 %</b>	<b>83.4 %</b>
460103 Legal Representation and Litigation services	0.504	0.504	0.275	0.229	54.5 %	45.5 %	83.3 %
<b>Total for the Vote</b>	<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.774</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Table V3.2: GoU Expenditure by Item 2023/24 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
211102 Contract Staff Salaries	9.594	9.594	4.797	3.822	50.0 %	39.8 %	79.7 %
211104 Employee Gratuity	2.399	2.399	0.887	0.693	37.0 %	28.9 %	78.1 %
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	0.943	0.943	0.487	0.352	51.6 %	37.3 %	72.3 %
211107 Boards, Committees and Council Allowances	0.469	0.469	0.267	0.265	57.0 %	56.5 %	99.2 %
212101 Social Security Contributions	1.439	1.439	0.424	0.277	29.5 %	19.2 %	65.3 %
212102 Medical expenses (Employees)	0.409	0.409	0.000	0.000	0.0 %	0.0 %	0.0 %
212103 Incapacity benefits (Employees)	0.030	0.030	0.017	0.000	56.7 %	0.0 %	0.0 %
221001 Advertising and Public Relations	0.250	0.250	0.125	0.090	50.0 %	36.0 %	72.0 %
221002 Workshops, Meetings and Seminars	0.925	0.925	0.594	0.477	64.2 %	51.6 %	80.3 %
221003 Staff Training	0.709	0.709	0.442	0.355	62.3 %	50.1 %	80.3 %
221007 Books, Periodicals & Newspapers	0.016	0.016	0.008	0.004	50.0 %	23.5 %	47.0 %
221008 Information and Communication Technology Supplies.	0.630	0.630	0.365	0.082	57.9 %	13.0 %	22.5 %
221009 Welfare and Entertainment	0.769	0.769	0.384	0.281	50.0 %	36.6 %	73.1 %
221011 Printing, Stationery, Photocopying and Binding	0.197	0.197	0.105	0.063	53.0 %	32.2 %	60.6 %
221017 Membership dues and Subscription fees.	0.485	0.485	0.454	0.341	93.6 %	70.2 %	75.1 %
222001 Information and Communication Technology Services.	0.025	0.025	0.013	0.008	50.0 %	32.0 %	64.0 %
222002 Postage and Courier	0.001	0.001	0.001	0.000	71.4 %	0.0 %	0.0 %
223001 Property Management Expenses	0.072	0.072	0.036	0.032	50.0 %	44.4 %	88.9 %
223003 Rent-Produced Assets-to private entities	1.682	1.682	0.841	0.423	50.0 %	25.1 %	50.3 %
223004 Guard and Security services	0.336	0.336	0.168	0.137	50.0 %	40.8 %	81.7 %
223005 Electricity	0.144	0.144	0.072	0.025	50.0 %	17.2 %	34.4 %
224009 Classified Expenditure	5.954	5.954	3.275	3.275	55.0 %	55.0 %	100.0 %
225101 Consultancy Services	0.710	0.710	0.340	0.121	47.9 %	17.0 %	35.4 %
226001 Insurances	0.100	0.100	0.000	0.000	0.0 %	0.0 %	0.0 %
226002 Licenses	0.750	0.750	0.378	0.066	50.4 %	8.8 %	17.5 %
227001 Travel inland	0.452	0.452	0.226	0.217	50.0 %	48.0 %	96.0 %
227004 Fuel, Lubricants and Oils	0.788	0.788	0.394	0.320	50.0 %	40.5 %	81.1 %

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
228002 Maintenance-Transport Equipment	0.185	0.185	0.093	0.037	50.0 %	20.1 %	40.2 %
312221 Light ICT hardware - Acquisition	0.320	0.320	0.000	0.000	0.0 %	0.0 %	0.0 %
312235 Furniture and Fittings - Acquisition	0.409	0.409	0.365	0.012	89.1 %	3.0 %	3.3 %
<b>Total for the Vote</b>	<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.775</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Table V3.3: Releases and Expenditure by Department and Project\*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
<b>Programme:16 Governance And Security</b>	<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.775</b>	<b>49.87 %</b>	<b>37.75 %</b>	<b>75.69 %</b>
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>	<b>20.373</b>	<b>20.373</b>	<b>9.735</b>	<b>7.089</b>	<b>47.78 %</b>	<b>34.80 %</b>	<b>72.8 %</b>
<b>Departments</b>							
001 Accounts	5.220	5.220	2.857	1.984	54.7 %	38.0 %	69.4 %
002 Human resource registry and security	14.425	14.425	6.513	5.092	45.2 %	35.3 %	78.2 %
<b>Development Projects</b>							
1623 Retooling of Financial Intelligence Authority	0.729	0.729	0.365	0.012	50.1 %	1.6 %	3.3 %
<b>Sub SubProgramme:02 Directorate of Internal Audit</b>	<b>0.242</b>	<b>0.242</b>	<b>0.072</b>	<b>0.044</b>	<b>29.67 %</b>	<b>18.19 %</b>	<b>61.3 %</b>
<b>Departments</b>							
001 Internal Audit	0.242	0.242	0.072	0.044	29.7 %	18.2 %	61.1 %
<b>Development Projects</b>							
N/A							
<b>Sub SubProgramme:03 Directorate of Systems Administration and Security</b>	<b>2.097</b>	<b>2.097</b>	<b>1.112</b>	<b>0.360</b>	<b>53.01 %</b>	<b>17.19 %</b>	<b>32.4 %</b>
<b>Departments</b>							
001 Systems Administration and Security	2.097	2.097	1.112	0.360	53.0 %	17.2 %	32.4 %
<b>Development Projects</b>							
N/A							
<b>Sub SubProgramme:04 Directorate of Analysis and Monitoring</b>	<b>5.974</b>	<b>5.974</b>	<b>3.354</b>	<b>3.110</b>	<b>56.15 %</b>	<b>52.06 %</b>	<b>92.7 %</b>
<b>Departments</b>							
001 Strategic Analysis and Statistics	1.222	1.222	0.672	0.541	55.0 %	44.3 %	80.5 %
002 Operational analysis	4.752	4.752	2.683	2.569	56.5 %	54.1 %	95.8 %
<b>Development Projects</b>							
N/A							
<b>Sub SubProgramme:05 Directorate of Compliance and Training</b>	<b>2.003</b>	<b>2.003</b>	<b>1.010</b>	<b>0.943</b>	<b>50.40 %</b>	<b>47.08 %</b>	<b>93.4 %</b>
<b>Departments</b>							
001 Compliance and Inspection	2.003	2.003	1.010	0.943	50.4 %	47.1 %	93.4 %



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
<b>Programme:16 Governance And Security</b>	<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.775</b>	<b>49.87 %</b>	<b>37.75 %</b>	<b>75.69 %</b>
<i>Development Projects</i>							
N/A							
<b>Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations</b>	<b>0.504</b>	<b>0.504</b>	<b>0.275</b>	<b>0.229</b>	<b>54.52 %</b>	<b>45.36 %</b>	<b>83.2 %</b>
<i>Departments</i>							
001 Legal and Corporate Affairs	0.504	0.504	0.275	0.229	54.6 %	45.5 %	83.3 %
<i>Development Projects</i>							
N/A							
<b>Total for the Vote</b>	<b>31.193</b>	<b>31.193</b>	<b>15.557</b>	<b>11.775</b>	<b>49.9 %</b>	<b>37.7 %</b>	<b>75.7 %</b>

**VOTE:** 129 Financial Intelligence Authority (FIA)

Quarter 2

Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

**Quarter 2: Outputs and Expenditure in the Quarter**

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Programme:16 Governance And Security</b>		
<b>SubProgramme:01 Institutional Coordination</b>		
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>		
<i>Departments</i>		
<b>Department:002 Human resource registry and security</b>		
<b>Budget Output:000005 Human Resource Management</b>		
<b>PIAP Output: 16060201 Human Resources Management Services provided</b>		
<b>Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff</b>		
<p>1) Governance documents updated ; HR Policy to include staff attachment policy to gain 15 experiential learning from developed FIUs; develop Gender and Equity Policy (Ugx 10 million) 2) Refiling protective gears dispensers done 3) sessions / fumigations done 4) 10 staff trained that include 5 men and 5 women (Ugx 5 million) 5) 100% staff accessing staff welfare on equal term basis 6) 100% of staff paid by the 28th day of every month. 7) 100% of approved FIA structure filled 8) 1 security initiative put in place 9) Eligible staff provided with security 10) Provision of COVID-19 /contagious viruses supplies and first AID box</p>	<p>1. Management completed the review of the HR policies and procedures manual and subsequently forwarded to the Board for final approval 2. FIA offices at Rwenzori Towers and Courts were consistently fumigated on a monthly basis (3 times this quarter) 3. All staff have been provided with equal welfare services (lunch, bereavement support, medical and group life insurances) 4. All staff salaries were paid on a monthly basis 5. The Board at its 15th meeting held in November 2023 approved the appointment of nine (9) additional new staff bringing the staffing strength to 84 staff (95%) against an approved structure of 88 staff. 6. FIA together with Uganda Police conducted an onsite overall security assessment of the Authority purposed to guide the Authority on security management measures to be implemented. 7. Security was provided to all eligible FIA employees 8. Covid 19 supplies were procured and dispatched to all staff</p>	Outputs on track as planned.

<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>UShs Thousand</i>
<b>Item</b>		<b>Spent</b>
211102 Contract Staff Salaries		2,386,721.695
211104 Employee Gratuity		10,920.000
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		6,000.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
212101 Social Security Contributions		231,020.000
221002 Workshops, Meetings and Seminars		100,000.000
221003 Staff Training		21,500.000
221008 Information and Communication Technology Supplies.		30,000.000
221011 Printing, Stationery, Photocopying and Binding		13,000.000
225101 Consultancy Services		5,000.000
227004 Fuel, Lubricants and Oils		18,200.000
228002 Maintenance-Transport Equipment		6,098.986
	<b>Total For Budget Output</b>	<b>2,828,460.681</b>
	Wage Recurrent	2,386,721.695
	Non Wage Recurrent	441,738.986
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>2,828,460.681</b>
	Wage Recurrent	2,386,721.695
	Non Wage Recurrent	441,738.986
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
<b>SubProgramme:02 Security</b>		
<b>Sub SubProgramme:03 Directorate of Systems Administration and Security</b>		
<i>Departments</i>		
<b>Department:001 Systems Administration and Security</b>		
<b>Budget Output:120007 Support services</b>		

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
1) Onboarding of 20 Reporting Entities from all regions of Uganda on goAML 2) Attend goAML, Egmont, ISACA, NISAG & ESAAMLG technical working groups 3) Software license and Support renewals of 5 softwares 4) Review and Update 1 ICT Governance document in line with the National IT Standards 5) Manage and continually update the FIA website and the Social Media Platforms using Content Management Systems	1. 269 accountable persons were onboarded onto goAML during the quarter 2. FIA was represented at 5 technical working group meetings namely Egmont committee meeting online, FATF-ICRG in person and 3 ESAAMLG technical working group meetings. 3. The Authority renewed 2 software (CISCO WIFI and Knowbe4) 4. The FIA website and social media was kept up to date	The process of license renewal was still ongoing with LPOs issued awaiting renewal.
<b>PIAP Output: 16070516 Enhanced Technical capability</b>		
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>		
.	269 accountable persons onboarded onto goAML	Outputs have been achieved as planned
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>	<b>Spent</b>	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	9,203.000	
221002 Workshops, Meetings and Seminars	11,600.000	
221008 Information and Communication Technology Supplies.	49,568.996	
221017 Membership dues and Subscription fees.	3,221.500	
222001 Information and Communication Technology Services.	3,600.000	
224009 Classified Expenditure	72,100.000	
225101 Consultancy Services	95,525.100	
226002 Licenses	66,199.883	
227001 Travel inland	8,950.000	
227004 Fuel, Lubricants and Oils	13,200.000	
228002 Maintenance-Transport Equipment	211.134	
<b>Total For Budget Output</b>		<b>333,379.613</b>
Wage Recurrent		0.000
Non Wage Recurrent		333,379.613
Arrears		0.000
<i>AIA</i>		0.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	<b>Total For Department</b>	<b>333,379.613</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	333,379.613
	Arrears	0.000
	<i>AIA</i>	0.000

*Development Projects*

N/A

**SubProgramme:03 Policy and Legislation Processes****Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations***Departments***Department:001 Legal and Corporate Affairs****Budget Output:460103 Legal Representation and Litigation services****PIAP Output: 16060305 AML/CFT International standards implemented****Programme Intervention: 160603 Review and enact appropriate legislation**

1) Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant. 2) 1 ICRG progress reports submitted 3) 1 Quarterly reports on the activities of the AML/CFT/CPF taskforce submitted 4) ICRG face to face meeting reports submitted 5) ESAAMLG Follow-up report prepared and submitted. 6) Submit reports on the ESAAMLG meetings 7) FATF Follow-up reports submitted.	1. International Co-operation Review Group (ICRG) Onsite Report for Uganda was prepared. 2.A quarterly final report on activities for the AML/CFT taskforce was submitted. 3. The FIA participated in ESAAMLG and Egmont ML/TF studies 4. An Onsite visit by the Africa/Middle East Joint Group (AME JG) to Uganda was coordinated by FIA	The outputs are on schedule as planned
--	--	--

**Expenditures incurred in the Quarter to deliver outputs***US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	4,000.000
221002 Workshops, Meetings and Seminars	41,748.184
221011 Printing, Stationery, Photocopying and Binding	1,700.000
224009 Classified Expenditure	70,000.000
227004 Fuel, Lubricants and Oils	13,200.000
<b>Total For Budget Output</b>	<b>130,648.184</b>
Wage Recurrent	0.000
Non Wage Recurrent	130,648.184

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>130,648.184</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	130,648.184
	Arrears	0.000
	<i>AIA</i>	0.000

*Development Projects*

N/A

**SubProgramme:05 Anti-Corruption and Accountability****Sub SubProgramme:01 Directorate of Finance and Administration***Departments***Department:001 Accounts****Budget Output:000014 Administrative and Support Services****PIAP Output: 16070502 General administration and support services enhanced****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

1) Budget Framework Paper prepared 2) Q1 budget performance report prepared 3) Q1 FIA physical performance report prepared. 4) Meetings (Finance Committee, Audit committee meetings) facilitated 5) Budget Framework paper prepared 6) 1 report produced i.e. Financial Statements, Annual Board of survey reports and Audit reports 7) 2 Board meetings facilitated 8) 5 contract committee meetings held	1. Budget Framework Paper was prepared. 2. Q1 Budget Performance report was prepared 3. Q1 Physical Performance report was prepared and shared with board 4. 1 audit report was prepared 5. 2 board meetings were carried out 6. 9 CC meetings were carried out in the quarter 7. 12 Evaluation Committee meetings were carried out in Q2	Outputs achieved as planned.
---	---	------------------------------

**PIAP Output: 16070519 Security personnel trained****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

	3 staff trained in conducting Financial Due Diligence and Report Writing which is a core deliverable for FIA	Objectives achieved as planned.
--	--	---------------------------------

**Expenditures incurred in the Quarter to deliver outputs***US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	37,180.000
211107 Boards, Committees and Council Allowances	158,050.160

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
221001 Advertising and Public Relations		36,600.000
221002 Workshops, Meetings and Seminars		60,577.980
221003 Staff Training		19,310.000
221009 Welfare and Entertainment		173,822.360
221011 Printing, Stationery, Photocopying and Binding		7,500.000
221017 Membership dues and Subscription fees.		2,888.320
223001 Property Management Expenses		25,600.000
223003 Rent-Produced Assets-to private entities		422,810.590
223004 Guard and Security services		90,795.181
223005 Electricity		24,750.980
224009 Classified Expenditure		48,819.000
227004 Fuel, Lubricants and Oils		78,825.000
228002 Maintenance-Transport Equipment		2,744.898
	<b>Total For Budget Output</b>	<b>1,190,274.469</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	1,190,274.469
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>1,190,274.469</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	1,190,274.469
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
<b>Project:1623 Retooling of Financial Intelligence Authority</b>		
<b>Budget Output:000003 Facilities and Equipment Management</b>		



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
----------------------------	------------------------------------	--------------------------------------

Project:1623 Retooling of Financial Intelligence Authority

PIAP Output: 16070503 Retooling of Financial Intelligence Authority

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

.	Laptop Computers procured	The funds release of the retooling budget was inadequate.
---	---------------------------	---

Expenditures incurred in the Quarter to deliver outputs *US\$ Thousand*

Item	Spent
312235 Furniture and Fittings - Acquisition	12,200.000
<b>Total For Budget Output</b>	<b>12,200.000</b>
GoU Development	12,200.000
External Financing	0.000
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Project</b>	<b>12,200.000</b>
GoU Development	12,200.000
External Financing	0.000
Arrears	0.000
<i>AIA</i>	0.000

Sub SubProgramme:02 Directorate of Internal Audit

*Departments*

Department:001 Internal Audit

Budget Output:000001 Audit and Risk Management

PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls

Programme Intervention: 160715 Strengthen research and development to address emerging security threats

1) Approved workplan by the Board. 2) Based Report Produced	1 Risk	1 risk based report produced	Workplan was still being prepared by end of Q2 targeting a deadline of March
---	--------	------------------------------	--

PIAP Output: 16070519 Security personnel trained

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

1) CPD hours obtained through attending (ICPAU,ACCA,IIA) seminars	1. 2 staff attended a training at the Institute of Internal Auditors(IIA)	Outputs on target as planned
---	---	------------------------------

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		2,000.000
221002 Workshops, Meetings and Seminars		2,150.000
221003 Staff Training		30,619.628
	<b>Total For Budget Output</b>	<b>34,769.628</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	34,769.628
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>34,769.628</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	34,769.628
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
<b>Sub SubProgramme:04 Directorate of Analysis and Monitoring</b>		
<i>Departments</i>		
<b>Department:001 Strategic Analysis and Statistics</b>		
<b>Budget Output:000001 Audit and Risk Management</b>		

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
1) 1 statistical report produced 2) FIA databases updated on a regular basis 3) Conduct 1 ML/TF typology studies 4) Develop the NRA Action and monitoring plan.	1. The tax crimes and proceeds statistical report was produced. 2. FIA databases were kept up to date 3. The Authority developed terms of reference for the typology study on the predicate offence of Human Trafficking 4. A National Risk Assessment (NRA) monitoring plan was developed 5. Compilation of AML/CFT data from all stakeholders is still ongoing	1. The National Risk Assessment (NRA) Action plan development was still in progress as at end of Q2. 2. The Authority was still awaiting some information from various stakeholders on AML/CFT data
<b>PIAP Output: 16080813 Financial due diligence undertaken on investors</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
1) 10 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.	5 Financial due diligence reports were produced on various entities that wanted to partner with government	Financial due diligence is being carried out as and when it is requested.
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>		
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>		
1) 1 statistical report produced 2) FIA databases updated on a regular basis 3) Conduct 1 ML/TF typology studies 4) NRA Action and monitoring plan developed 5) Relevant ML/TF/PF statistics compiled. 6) compile AML/CFT historical data from all AML/CFT stakeholders	1. FIA databases were up to date as at end Q2 2. The Authority developed terms of reference for the typology study on the predicate offence of Human Trafficking 3. A National Risk Assessment (NRA) monitoring plan was developed 4. Compilation of AML/CFT data from all stakeholders still ongoing	1. NRA Action plan development was still in progress at end of Q2. 2. The Authority is awaiting AML/CFT data from external stakeholders

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>		
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>		
1) 1 article published on AML/CFT/CPF in different media houses 2) General public enlightened on administrative sanctions regime through media (electronic and print) 3) 2 public awareness campaigns to promote understanding of ML/TF undertaken	1. 3 articles were published in Q2 (2 in New Vision and 1 in The Daily Monitor) 2. 2 public notices were published namely the declaration of persons-and organisations-designated by the united-nations-terrorists, suspected terrorists and terrorist organisations under UNSCR 1718) Notice, 2023 and the e-registration notice that appeared in both the New Vision and the Daily monitor 3. 12 awareness campaigns were conducted 4. FIA had 1 Radio appearance on Radio one 5)The authority had 2 TV appearances on UBC and NTV	The outputs were achieved as planned.

**Expenditures incurred in the Quarter to deliver outputs** *US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	64,504.000
221002 Workshops, Meetings and Seminars	70,741.005
221003 Staff Training	60,000.000
221007 Books, Periodicals & Newspapers	550.000
221011 Printing, Stationery, Photocopying and Binding	23,311.500
224009 Classified Expenditure	126,250.000
225101 Consultancy Services	20,000.000
227001 Travel inland	2,000.000
<b>Total For Budget Output</b>	<b>367,356.505</b>
Wage Recurrent	0.000
Non Wage Recurrent	367,356.505
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>367,356.505</b>
Wage Recurrent	0.000
Non Wage Recurrent	367,356.505
Arrears	0.000
<i>AIA</i>	0.000

**Department:002 Operational analysis**

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
----------------------------	------------------------------------	--------------------------------------

**Budget Output:560019 Data Management and Dissemination****PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.****Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption**

1) 100 percent analysis of all reports filed by accountable persons 2) Dissemination of 20 financial intelligence reports to LEAs coordinated 3) Intelligence gathering and investigations coordinated 4) Requests for information from other FIUs responded to	1. 72% analysis of all reports filed by accountable persons 2. 30 intelligence reports disseminated to Law Enforcement Agencies (LEAs) 4. 8 intelligence-led operations coordinated with various domestic law enforcement and intelligence agencies. 5. 1 request for information from other FIUs completed.	FIA is on track to achieve the planned outputs.
--	---	---

**Expenditures incurred in the Quarter to deliver outputs***US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	17,047.500
221002 Workshops, Meetings and Seminars	12,530.000
221003 Staff Training	81,300.000
221007 Books, Periodicals & Newspapers	1,290.000
221011 Printing, Stationery, Photocopying and Binding	6,585.200
221017 Membership dues and Subscription fees.	30,000.000
224009 Classified Expenditure	1,849,003.014
227001 Travel inland	9,430.000
228002 Maintenance-Transport Equipment	15,203.851
<b>Total For Budget Output</b>	<b>2,022,389.565</b>
Wage Recurrent	0.000
Non Wage Recurrent	2,022,389.565
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>2,022,389.565</b>
Wage Recurrent	0.000
Non Wage Recurrent	2,022,389.565
Arrears	0.000
<i>AIA</i>	0.000

*Development Projects*

N/A

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Sub SubProgramme:05 Directorate of Compliance and Training</b>		
<i>Departments</i>		
<b>Department:001 Compliance and Inspection</b>		
<b>Budget Output:000023 Inspection and Monitoring</b>		
<b>PIAP Output: 16080504 AML/CFT compliance enforced</b>		
<b>Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations</b>		
1) Conduct 4 Risk Based Onsite inspections 2) Review of annual compliance reports, risk assessments and independent AML/CFT audits 3) Identify 4 categories of accountable persons across the country	1. 1 risk based onsite conducted 2. Reviewed 26 Risk Assessment reports ,65 independent audits, 5 Annual Compliance Reports 3. Identified UMRA licensees, Accountants, Advocates and dealers in precious metals	Joint risk -based onsite inspections scheduled for Q3 to enable both FIA and BOU to participate.
<b>PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
1) 250 accountable persons from across the country registered and trained on the usage of the goAML system in collaboration with ICT. 2) Develop 1 AML/CFT guidelines for Dealers in Precious Metals & Stones, Real Estates & Virtual Assets Service Providers to support FIA to enforce compliance 3) 1 Sector specific manual prepared and developed 4) guidance given to 250 accountable persons from across the country on AML/CFT 5) 1 registration drives conducted across the country 6) 2 engagements held with supervisory bodies 7) 2 AML/CFT/CPF public awareness campaigns undertaken in Western Uganda 8) 1 article developed and prepared on AML/CFT. 9) 1 TV/Radio awareness program coordinated	1.269 accountable persons on-boarded onto the goAML system 2. 30 capital markets authority licensees trained on goAML 3. FIA developed 1 AML/CFT guidelines for Dealers in Precious Metals & Stones but not yet issued to them 4. 1 manual on AML/CFT/CPF compliance reporting was developed 5. Guidance given to various accountable persons through emails, phone calls, physical meetings and letters. 6. Engagement with 1 supervisory body (CMA) 7. 3 newspaper articles prepared on AML/CFT 8. 2 radio programs and 3 TV programs coordinated	Outputs achieved as planned
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>UShs Thousand</i>
<b>Item</b>		<b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		80,021.468
221001 Advertising and Public Relations		18,860.001
221002 Workshops, Meetings and Seminars		104,681.923
221003 Staff Training		53,828.640
221011 Printing, Stationery, Photocopying and Binding		8,250.000
224009 Classified Expenditure		213,500.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
227001 Travel inland		136,000.000
228002 Maintenance-Transport Equipment		6,587.227
	<b>Total For Budget Output</b>	<b>621,729.259</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	621,729.259
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>621,729.259</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	621,729.259
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
	<b>GRAND TOTAL</b>	<b>7,541,207.904</b>
	Wage Recurrent	2,386,721.695
	Non Wage Recurrent	5,142,286.209
	GoU Development	12,200.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

**Quarter 2: Cumulative Outputs and Expenditure by End of Quarter**

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>Programme:16 Governance And Security</b>	
<b>SubProgramme:01 Institutional Coordination</b>	
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>	
<i>Departments</i>	
<b>Department:002 Human resource registry and security</b>	
<b>Budget Output:000005 Human Resource Management</b>	
<b>PIAP Output: 16060201 Human Resources Management Services provided</b>	
<b>Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff</b>	
1. Strengthened capacity for staff to fulfil FIA's mandate through training. 2. A staff team building retreat conducted 3. Incentive for the rewards and policy implemented. 4. Governance documents updated	1. Fumigations of office premises was done 2. 14 Staff were trained in technical subjects like Financial Analysis, Financial investigations, Visualizing cases and Flows of Money. 3. 100% staff accessed staff welfare on equal term basis 4. 100% of staff were paid by the 28th day of every month. 5. FIA's Approved staff structure filled at 95%. 6. 8 additional guards were recruited to provide security to FIA Office 24/7 7. Security has been provided to eligible staff in line with the Human Resource Manual 8. Covid-19 supplies were procured and dispatched to all staff 9. FIA together with Uganda Police conducted an onsite overall security assessment of the Authority purposed to guide the Authority on security management measures to be implemented.

<b>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</b>	<i>US\$ Thousand</i>
---	----------------------

Item	Spent
211102 Contract Staff Salaries	3,822,379.742
211104 Employee Gratuity	692,920.000
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	29,660.000
212101 Social Security Contributions	276,940.000
221002 Workshops, Meetings and Seminars	100,000.000
221003 Staff Training	75,000.000
221008 Information and Communication Technology Supplies.	30,000.000
221011 Printing, Stationery, Photocopying and Binding	16,000.000
225101 Consultancy Services	5,000.000
227004 Fuel, Lubricants and Oils	36,400.000



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
228002 Maintenance-Transport Equipment	8,039.214
<b>Total For Budget Output</b>	<b>5,092,338.956</b>
Wage Recurrent	3,822,379.742
Non Wage Recurrent	1,269,959.214
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>5,092,338.956</b>
Wage Recurrent	3,822,379.742
Non Wage Recurrent	1,269,959.214
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
N/A	
<b>SubProgramme:02 Security</b>	
<b>Sub SubProgramme:03 Directorate of Systems Administration and Security</b>	
<i>Departments</i>	
<b>Department:001 Systems Administration and Security</b>	
<b>Budget Output:120007 Support services</b>	
<b>PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities</b>	
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>	
1. Operational FIA Integrated Data Platform with functionality on Business intelligence, Statistical reports, data warehouse and mining.	1. 548 Accountable Persons were onboarded onto goAML 2. 3 licenses were renewed namely Commvault Solution, Cisco Wifi and Knowbe4) 3. The FIA was represented at 5 technical working group meetings namely Egmont committee meeting online, FATF-ICRG in person and 3 ESAAMLG technical working group meetings. 4. The Website and social media Pages of FIA were regularly updated

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
------------------------	---

**PIAP Output: 16070516 Enhanced Technical capability**

**Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes**

<p>1. An Application Programming Interface to allow for automated Information exchange with relevant MDA's</p> <p>2. 40 reporting entities on boarded on goAML</p> <p>3. Installed IP phone solution with advanced call management technology</p> <p>Renewed Software Server Licenses</p>	<p>1. 548 Accountable persons onboarded onto goAML</p>
---	--

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
--	----------------------

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	14,931.000
221002 Workshops, Meetings and Seminars	11,600.000
221008 Information and Communication Technology Supplies.	52,046.996
221017 Membership dues and Subscription fees.	3,221.500
222001 Information and Communication Technology Services.	7,995.000
224009 Classified Expenditure	72,100.000
225101 Consultancy Services	95,525.100
226002 Licenses	66,199.883
227001 Travel inland	8,950.000
227004 Fuel, Lubricants and Oils	26,400.000
228002 Maintenance-Transport Equipment	1,351.475
<b>Total For Budget Output</b>	<b>360,320.954</b>
Wage Recurrent	0.000
Non Wage Recurrent	360,320.954
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>360,320.954</b>
Wage Recurrent	0.000
Non Wage Recurrent	360,320.954
Arrears	0.000
<i>AIA</i>	0.000

*Development Projects*

N/A

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

**Annual Planned Outputs** **Cumulative Outputs Achieved by End of Quarter****SubProgramme:03 Policy and Legislation Processes****Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations***Departments***Department:001 Legal and Corporate Affairs****Budget Output:460103 Legal Representation and Litigation services****PIAP Output: 16060305 AML/CFT International standards implemented****Programme Intervention: 160603 Review and enact appropriate legislation**

1. Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant.

1.2 Outstanding FATF recommendations ratings were improved from non-compliant/partial compliant to Largely compliant/compliant.

2. Eighth ICRG was prepared and submitted to the Africa/Middle East Joint Group

3. Quarterly reports on activities of AML/CFT/CPF were prepared and submitted

4 The .ICRG face to face meeting report was prepared and submitted.

5.FIAPrepared a follow-up report and submitted to ESAAMLG

6.Uganda's 5th Request for re-rating report was prepared and discussed at the 46th ESAAMLG Task Force of Senior Officials meeting

7.Prepared a follow-up report and submitted to FATF

8. Onsite visit by the Africa/Middle East Joint Group (AME JG) was coordinated by FIA

9. The authority participated in ESAAMLG and Egmont ML/TF studies

**Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs***US\$ Thousand*

<b>Item</b>	<b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	16,589.000
221002 Workshops, Meetings and Seminars	63,816.367
221011 Printing, Stationery, Photocopying and Binding	1,700.000
224009 Classified Expenditure	120,000.000
227004 Fuel, Lubricants and Oils	26,400.000
<b>Total For Budget Output</b>	<b>228,505.367</b>
Wage Recurrent	0.000
Non Wage Recurrent	228,505.367
Arrears	0.000
<i>AIA</i>	0.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	<b>Total For Department</b>	<b>228,505.367</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	228,505.367
	Arrears	0.000
	<i>AIA</i>	0.000

*Development Projects*

N/A

**SubProgramme:05 Anti-Corruption and Accountability****Sub SubProgramme:01 Directorate of Finance and Administration***Departments***Department:001 Accounts****Budget Output:000014 Administrative and Support Services****PIAP Output: 16070502 General administration and support services enhanced****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

1. Assets engraved and Board of survey recommendations in stores implemented.  
 2. Meetings (Finance Committee, Audit committee meetings) facilitated  
 3. Budget Framework Paper prepared  
 4. Ministerial policy statement prepared  
 5. Annual report prepared

1. The FIA Budget Framework Paper was prepared.  
 2. The Authority's Q4 and Q1 Budget Performance reports were prepared  
 3. FIA's Q4 and Q1 Physical Performance report were prepared and shared with board.  
 4. 2 audit report were prepared  
 5. 4 board meetings were carried out  
 6. 15 CC meetings were carried out in the quarter  
 7. 20 Evaluation Committee meetings were carried out in Q2  
 8. Annual report FY 2022/23 was prepared and printed for dissemination

**PIAP Output: 16070519 Security personnel trained****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

2. Staff trained

3 staff trained in conducting Financial Due Diligence

**Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs***US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	45,070.000
211107 Boards, Committees and Council Allowances	265,056.470
221001 Advertising and Public Relations	71,169.600
221002 Workshops, Meetings and Seminars	66,777.980
221003 Staff Training	19,310.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>UShs Thousand</i>
Item	Spent
221009 Welfare and Entertainment	281,042.380
221011 Printing, Stationery, Photocopying and Binding	7,500.000
221017 Membership dues and Subscription fees.	307,560.433
223001 Property Management Expenses	32,000.000
223003 Rent-Produced Assets-to private entities	422,810.590
223004 Guard and Security services	137,258.591
223005 Electricity	24,750.980
224009 Classified Expenditure	96,819.000
227004 Fuel, Lubricants and Oils	201,325.000
228002 Maintenance-Transport Equipment	5,992.841
<b>Total For Budget Output</b>	<b>1,984,443.865</b>
Wage Recurrent	0.000
Non Wage Recurrent	1,984,443.865
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>1,984,443.865</b>
Wage Recurrent	0.000
Non Wage Recurrent	1,984,443.865
Arrears	0.000
<i>AIA</i>	0.000

*Development Projects***Project:1623 Retooling of Financial Intelligence Authority****Budget Output:000003 Facilities and Equipment Management****PIAP Output: 16070503 Retooling of Financial Intelligence Authority****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

- |  |   |
|--|---|
| <ol style="list-style-type: none"> <li>1. Installed IP phone solution with advanced call management technology.</li> <li>2. Laptop computers procured.</li> <li>3. Procurement of furniture</li> </ol> | Laptops were procured for all new staff |
|--|---|

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>Project:1623 Retooling of Financial Intelligence Authority</b>	
<b>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</b> <span style="float: right;"><i>US\$ Thousand</i></span>	
<b>Item</b>	<b>Spent</b>
312235 Furniture and Fittings - Acquisition	12,200.000
<b>Total For Budget Output</b>	<b>12,200.000</b>
GoU Development	12,200.000
External Financing	0.000
Arrears	0.000
AIA	0.000
<b>Total For Project</b>	<b>12,200.000</b>
GoU Development	12,200.000
External Financing	0.000
Arrears	0.000
AIA	0.000
<b>Sub SubProgramme:02 Directorate of Internal Audit</b>	
<i>Departments</i>	
<b>Department:001 Internal Audit</b>	
<b>Budget Output:000001 Audit and Risk Management</b>	
<b>PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls</b>	
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>	
1. Approved workplan by the Board 2. 4 Risk Based Reports Produced 3. Updated Risk Register 4. 2 joint inspections conducted	1) 2 Risk Based Reports were produced
<b>PIAP Output: 16070519 Security personnel trained</b>	
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>	
1. Strengthened capacity for staff to fulfil FIA's mandate through training. 2. CPD certificates obtained	1) 2 Audit staff attended the 28th Annual Seminar organized by the Institute of Certified Public Accountants (ICPAU) and obtained CPD hours 2) 2 staff attended a training at the Institute of Internal Audit (IIA)
<b>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</b> <span style="float: right;"><i>US\$ Thousand</i></span>	
<b>Item</b>	<b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	4,000.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>UShs Thousand</i>
Item	Spent
221002 Workshops, Meetings and Seminars	5,250.000
221003 Staff Training	30,619.628
227004 Fuel, Lubricants and Oils	4,200.000
<b>Total For Budget Output</b>	<b>44,069.628</b>
Wage Recurrent	0.000
Non Wage Recurrent	44,069.628
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>44,069.628</b>
Wage Recurrent	0.000
Non Wage Recurrent	44,069.628
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
N/A	
<b>Sub SubProgramme:04 Directorate of Analysis and Monitoring</b>	
<i>Departments</i>	
<b>Department:001 Strategic Analysis and Statistics</b>	
<b>Budget Output:000001 Audit and Risk Management</b>	

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing</b>	
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>	
<ol style="list-style-type: none"> <li>1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources.</li> <li>2. 2 report with findings of the risk assessment disseminated.</li> <li>3. ML/TF typology studies conducted</li> <li>4. NRA Action and monitoring plan developed.</li> </ol>	<ol style="list-style-type: none"> <li>1. 1 Risk Assessment Report was produced (A tax crime and proceeds risk assessment report).</li> <li>2. 1 statistical report on tax crimes and proceeds was produced.</li> <li>3. All FIA databases were regularly updated</li> <li>4. The Authority disseminated the 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports at a report dissemination workshop.</li> <li>5. The Authority developed terms of reference for the typology study on the predicate offence of Human Trafficking</li> <li>6. A National Risk Assessment (NRA) monitoring plan was developed</li> </ol>
<b>PIAP Output: 16080813 Financial due diligence undertaken on investors</b>	
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>	
<ol style="list-style-type: none"> <li>1. 40 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.</li> </ol>	<ol style="list-style-type: none"> <li>1) 11 financial due diligence reports were produced on entities</li> </ol>
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>	
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>	
<ol style="list-style-type: none"> <li>1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources.</li> <li>2. 2 report with findings of the risk assessment disseminated.</li> <li>3. ML/TF typology studies conducted</li> <li>4. NRA Action and monitoring plan developed.</li> </ol>	<ol style="list-style-type: none"> <li>1. 1 Risk Assessment Report was produced (A tax crime and proceeds risk assessment report).</li> <li>2. 1 statistical report was produced.</li> <li>3. All FIA databases were regularly updated</li> <li>4. The Authority Disseminated the 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports at a report dissemination workshop by the Minister of Finance Planning and Economic Development</li> </ol>



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>	
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>	
1. 4 articles published on AML/CFT/CPF in different media houses 2. General public enlightened on administrative sanctions regime through media (electronic and print) 3. 8 public awareness campaigns to promote understanding of ML/TF undertaken	1. A Media report was issued on the change of leadership of management of FIA. 2. The Authority prepared a press release titled “clarification on the certificate of registration issued by the Financial Intelligence Authority” that appeared in the New Vision and The Monitor newspapers. 3. FIA Website and social media pages were updated regularly to enlighten the public. 4. The Authority held an exhibition aimed at creating awareness about the role of the Institute of certified Public Accountants (ICPAU) as a supervisory/regulatory body and the accountants in fighting ML/TF/PF and their obligations in detecting and preventing financial crimes. 5. The Authority Disseminated the 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports 6. 12 awareness campaigns conducted 7. 1 Radio appearance on Radio one 8. 2 TV appearances on UBC and NTV 9. 2 public notices published
<b>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</b>	
<b>Item</b>	<i>US\$ Thousand</i> <b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	84,964.000
221002 Workshops, Meetings and Seminars	93,129.189
221003 Staff Training	60,000.000
221007 Books, Periodicals & Newspapers	1,109.000
221011 Printing, Stationery, Photocopying and Binding	23,311.500
224009 Classified Expenditure	252,250.000
225101 Consultancy Services	20,000.000
227001 Travel inland	2,000.000
227004 Fuel, Lubricants and Oils	4,200.000
<b>Total For Budget Output</b>	<b>540,963.689</b>
Wage Recurrent	0.000
Non Wage Recurrent	540,963.689
Arrears	0.000
<i>AIA</i>	0.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	<b>Total For Department</b>	<b>540,963.689</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	540,963.689
	Arrears	0.000
	<i>AIA</i>	0.000

**Department:002 Operational analysis****Budget Output:560019 Data Management and Dissemination****PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.****Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption**

<ol style="list-style-type: none"> <li>1. 100 percent analysis of all reports filed by accountable persons</li> <li>2. Dissemination of financial intelligence reports to LEAs coordinated</li> <li>3. Intelligence gathering and investigations coordinated</li> <li>4. Requests for information from other FIUs responded to</li> </ol>	<ol style="list-style-type: none"> <li>1. 72% of all reports received were analyzed.</li> <li>2. 34 financial intelligence reports disseminated to LEAs,</li> <li>3. 23 responses to request for information from various LEAs</li> <li>4. 9 intelligence investigation were coordinated</li> <li>5. 1 request for information from other FIUs completed.</li> </ol>
---	--

**Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs***UShs Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	49,255.500
221002 Workshops, Meetings and Seminars	12,530.000
221003 Staff Training	104,200.000
221007 Books, Periodicals & Newspapers	2,708.000
221011 Printing, Stationery, Photocopying and Binding	6,585.200
221017 Membership dues and Subscription fees.	30,000.000
224009 Classified Expenditure	2,320,299.184
227001 Travel inland	20,040.000
227004 Fuel, Lubricants and Oils	8,400.000
228002 Maintenance-Transport Equipment	15,203.851
	<b>Total For Budget Output</b>
	<b>2,569,221.735</b>
	Wage Recurrent
	0.000
	Non Wage Recurrent
	2,569,221.735
	Arrears
	0.000
	<i>AIA</i>
	0.000
	<b>Total For Department</b>
	<b>2,569,221.735</b>

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Wage Recurrent	0.000
	Non Wage Recurrent	2,569,221.735
	Arrears	0.000
	<i>AIA</i>	0.000

*Development Projects*

N/A

**Sub SubProgramme:05 Directorate of Compliance and Training***Departments***Department:001 Compliance and Inspection****Budget Output:000023 Inspection and Monitoring****PIAP Output: 16080504 AML/CFT compliance enforced****Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations**

1. 14 Risk Based Onsite inspections conducted
2. 320 Annual Compliance reports, 80 risk assessments, 80 Independent AML/CFT audits and 4 reports from supervisory bodies reviewed.
3. All 16 categories of accountable persons identified.

- 1) 20 Annual compliance reports, 40 risk assessments and 71 independent AML audits were reviewed.
- 2) Identified 8 categories of accountable persons across the country.
- 3) Following the On-Site inspection of Stanbic Bank Uganda, Airtel mobile Commerce Uganda Ltd and World Vision Uganda in the previous Quarter, FIA conducted exit meetings with the three respective entities to mark the end of the inspections during the period under review.

**PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws****Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption**

1. 1,000 accountable persons registered and trained on the usage of the goAML system in collaboration with ICT.
2. A program to train 7 categories of accountable persons on AML/CFT developed.

- 1) 548 accountable persons were registered and trained on usage of goAML
- 2) Offered guidance to various accountable persons through emails, phone calls, physical meetings and letters on AML/CFT related matters.
- 3) Formed a Supervisory Body Forum (SBF) and also carried out training for all supervisory bodies on their powers and the powers of FIA to impose administrative sanctions
- 4) Prepared a press release titled "clarification on the certificate of registration issued by the Financial Intelligence Authority" that appeared in the New Vision and The Monitor newspapers on 27th July, 2023.
- 5) 3 newspaper articles prepared on AML/CFT
- 6) 2 radio programs and 3 TV programs coordinated

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	107,451.468
221001 Advertising and Public Relations	18,860.001
221002 Workshops, Meetings and Seminars	124,080.106
221003 Staff Training	66,032.000
221011 Printing, Stationery, Photocopying and Binding	8,250.000
224009 Classified Expenditure	413,500.000
227001 Travel inland	186,000.000
227004 Fuel, Lubricants and Oils	12,250.000
228002 Maintenance-Transport Equipment	6,587.227
<b>Total For Budget Output</b>	<b>943,010.802</b>
Wage Recurrent	0.000
Non Wage Recurrent	943,010.802
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>943,010.802</b>
Wage Recurrent	0.000
Non Wage Recurrent	943,010.802
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
N/A	
<b>GRAND TOTAL</b>	<b>11,775,074.996</b>
Wage Recurrent	3,822,379.742
Non Wage Recurrent	7,940,495.254
GoU Development	12,200.000
External Financing	0.000
Arrears	0.000
<i>AIA</i>	0.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

**Quarter 3: Revised Workplan**

Annual Plans	Quarter's Plan	Revised Plans
--------------	----------------	---------------

**Programme:16 Governance And Security****SubProgramme:01****Sub SubProgramme:01 Directorate of Finance and Administration***Departments***Department:002 Human resource registry and security****Budget Output:000005 Human Resource Management****PIAP Output: 16060201 Human Resources Management Services provided****Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff**

1. Strengthened capacity for staff to fulfil FIA's mandate through training.	1) Conducting 5 awareness sessions to sensitize staff on HIV/AIDS (Ugx 10 million)	1) Conducting 5 awareness sessions to sensitize staff on HIV/AIDS (Ugx 10 million)
2. A staff team building retreat conducted	2) Refiling protective gears dispensers done 3) sessions / fumigations done	2) Refiling protective gears dispensers done 3) sessions / fumigations done
3. Incentive for the rewards and policy implemented.	4) 10 staff trained that include 5 men and 5 women (Ugx 5 million) 5) 100% staff accessing staff welfare on equal term basis	4) 10 staff trained that include 5 men and 5 women (Ugx 5 million) 5) 100% staff accessing staff welfare on equal term basis
4. Governance documents updated	6) 100% of staff paid by the 28th day of every month. 7) 100% of approved FIA structure filled 8) 1 security initiatives put in place 9) Eligible staff provided with security 10) Team building retreat conducted 11) Provision of COVID-19 /contagious viruses supplies	6) 100% of staff paid by the 28th day of every month. 7) 100% of approved FIA structure filled 8) 1 security initiatives put in place 9) Eligible staff provided with security 10) Team building retreat conducted 11) Provision of COVID-19 /contagious viruses supplies

*Develoment Projects*

N/A

**SubProgramme:02****Sub SubProgramme:03 Directorate of Systems Administration and Security***Departments***Department:001 Systems Administration and Security**

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:120007 Support services</b>		
<b>PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
1. Operational FIA Integrated Data Platform with functionality on Business intelligence, Statistical reports, data warehouse and mining.	1) Software license and Support renewals of 4 softwares 2) Review and Update 1 ICT Governance document in line with the National IT Standards 3) Manage and continually update the FIA website and the Social Media Platforms using Content Management Systems 4) Carry out a data restoration and recovery simulation of the FIA Data center	1) Software license and Support renewals of 4 softwares 2) Review and Update 1 ICT Governance document in line with the National IT Standards 3) Manage and continually update the FIA website and the Social Media Platforms using Content Management Systems 4) Carry out a data restoration and recovery simulation of the FIA Data center
<b>PIAP Output: 16070516 Enhanced Technical capability</b>		
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>		
1. An Application Programming Interface to allow for automated Information exchange with relevant MDA's 2. 40 reporting entities on boarded on goAML 3. Installed IP phone solution with advanced call management technology Renewed Software Server Licenses	.	.
<i>Development Projects</i>		
N/A		
<b>SubProgramme:03</b>		
<b>Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations</b>		
<i>Departments</i>		
<b>Department:001 Legal and Corporate Affairs</b>		

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:460103 Legal Representation and Litigation services</b>		
<b>PIAP Output: 16060305 AML/CFT International standards implemented</b>		
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>		
1. Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant.	1) Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant. 2) 1 ICRG progress reports submitted 3) 1 Quarterly reports on the activities of the AML/CFT/CPF taskforce submitted 4) ICRG face to face meeting reports submitted 5) ESAAMLG Follow-up report prepared and submitted. 6) Submit reports on the ESAAMLG meetings 7) FATF Follow-up reports submitted	1) Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant. 2) 1 ICRG progress reports submitted 3) 1 Quarterly reports on the activities of the AML/CFT/CPF taskforce submitted 4) ICRG face to face meeting reports submitted 5) ESAAMLG Follow-up report prepared and submitted. 6) Submit reports on the ESAAMLG meetings 7) FATF Follow-up reports submitted
<i>Development Projects</i>		
N/A		
<b>SubProgramme:05</b>		
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>		
<i>Departments</i>		
<b>Department:001 Accounts</b>		
<b>Budget Output:000014 Administrative and Support Services</b>		
<b>PIAP Output: 16070502 General administration and support services enhanced</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
1. Assets engraved and Board of survey recommendations in stores implemented. 2. Meetings (Finance Committee, Audit committee meetings) facilitated 3. Budget Framework Paper prepared 4. Ministerial policy statement prepared 5. Annual report prepared	1) Ministerial policy statement prepared 2) Q2 budget performance report prepared 3) Q2 FIA physical performance report prepared. 4) Meetings (Finance Committee, Audit committee meetings) facilitated 5) 1 report produced i.e. Financial Statements, Annual Board of survey reports and Audit reports 6) 2 Board meetings facilitated 7) 5 contract committee meetings held	1) Ministerial policy statement prepared 2) Q2 budget performance report prepared 3) Q2 FIA physical performance report prepared. 4) Meetings (Finance Committee, Audit committee meetings) facilitated 5) 1 report produced i.e. Financial Statements, Annual Board of survey reports and Audit reports 6) 2 Board meetings facilitated 7) 5 contract committee meetings held
<b>PIAP Output: 16070519 Security personnel trained</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
2. Staff trained	NA	

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
--------------	----------------	---------------

*Development Projects***Project:1623 Retooling of Financial Intelligence Authority****Budget Output:000003 Facilities and Equipment Management****PIAP Output: 16070503 Retooling of Financial Intelligence Authority****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

1. Installed IP phone solution with advanced call management technology.  
 2. Laptop computers procured.  
 3. Procurement of furniture

**Sub SubProgramme:02 Directorate of Internal Audit***Departments***Department:001 Internal Audit****Budget Output:000001 Audit and Risk Management****PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls****Programme Intervention: 160715 Strengthen research and development to address emerging security threats**

1. Approved workplan by the Board  
 2. 4 Risk Based Reports Produced  
 3. Updated Risk Register  
 4. 2 joint inspections conducted

1) 1 Risk Based Report Produced 2)  
 1 joint inspection conducted

1) 1 Risk Based Report Produced 2)  
 1 joint inspection conducted

**PIAP Output: 16070519 Security personnel trained****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

1. Strengthened capacity for staff to fulfil FIA's mandate through training.  
 2. CPD certificates obtained

1) Payment of annual subscription to professional bodies (ICPAU,ACCA,CIA,IIA,ACAMS) 2) CPD hours obtained through attending (ICPAU,ACCA,IIA) seminars

1) Payment of annual subscription to professional bodies (ICPAU,ACCA,CIA,IIA,ACAMS) 2) CPD hours obtained through attending (ICPAU,ACCA,IIA) seminars

*Development Projects*

N/A

**Sub SubProgramme:04 Directorate of Analysis and Monitoring***Departments***Department:001 Strategic Analysis and Statistics**



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000001 Audit and Risk Management</b>		
<b>PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources. 2. 2 report with findings of the risk assessment disseminated. 3. ML/TF typology studies conducted 4. NRA Action and monitoring plan developed.	1) 1 Risk assessment report produced 2) 1 statistical report produced 3) FIA databases updated on a regular basis 4) Disseminate 1 report with findings of the risk assessment. 5) Participate in all ESAAMLG typologies studies.	1) 1 Risk assessment report produced 2) 1 statistical report produced 3) FIA databases updated on a regular basis 4) Disseminate 1 report with findings of the risk assessment. 5) Participate in all ESAAMLG typologies studies.
<b>PIAP Output: 16080813 Financial due diligence undertaken on investors</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
1. 40 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.	1) 10 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.	1) 10 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>		
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>		
1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources. 2. 2 report with findings of the risk assessment disseminated. 3. ML/TF typology studies conducted 4. NRA Action and monitoring plan developed.	1) 1 ML/TF/PF Risk assessment report produced as informed by the NRA report 2) 1 statistical report produced 3) FIA databases updated on a regular basis 4) Disseminate 1 report with findings of the risk assessment to stakeholders. 5) Participate in all ESAAMLG typologies studies. 6) Relevant ML/TF/PF statistics compiled. 7) compile AML/CFT historical data from all AML/CFT stakeholders	1) 1 ML/TF/PF Risk assessment report produced as informed by the NRA report 2) 1 statistical report produced 3) FIA databases updated on a regular basis 4) Disseminate 1 report with findings of the risk assessment to stakeholders. 5) Participate in all ESAAMLG typologies studies. 6) Relevant ML/TF/PF statistics compiled. 7) compile AML/CFT historical data from all AML/CFT stakeholders
1. 4 articles published on AML/CFT/CPF in different media houses 2. General public enlightened on administrative sanctions regime through media (electronic and print) 3. 8 public awareness campaigns to promote understanding of ML/TF undertaken	1) 1 article published on AML/CFT/CPF in different media houses 2) General public enlightened on administrative sanctions regime through media (electronic and print) 3) 2 public awareness campaigns to promote understanding of ML/TF undertaken	1) 1 article published on AML/CFT/CPF in different media houses 2) General public enlightened on administrative sanctions regime through media (electronic and print) 3) 2 public awareness campaigns to promote understanding of ML/TF undertaken
<b>Department:002 Operational analysis</b>		

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:560019 Data Management and Dissemination</b>		
<b>PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
1. 100 percent analysis of all reports filed by accountable persons 2. Dissemination of financial intelligence reports to LEAs coordinated 3. Intelligence gathering and investigations coordinated 4. Requests for information from other FIUs responded to	1) 100 percent analysis of all reports filed by accountable persons 2) Dissemination of 20 financial intelligence reports to LEAs coordinated 3) Intelligence gathering and investigations coordinated 4) Requests for information from other FIUs responded to	1) 100 percent analysis of all reports filed by accountable persons 2) Dissemination of 20 financial intelligence reports to LEAs coordinated 3) Intelligence gathering and investigations coordinated 4) Requests for information from other FIUs responded to
<i>Development Projects</i>		
N/A		
<b>Sub SubProgramme:05 Directorate of Compliance and Training</b>		
<i>Departments</i>		
<b>Department:001 Compliance and Inspection</b>		
<b>Budget Output:000023 Inspection and Monitoring</b>		
<b>PIAP Output: 16080504 AML/CFT compliance enforced</b>		
<b>Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations</b>		
1. 14 Risk Based Onsite inspections conducted 2. 320 Annual Compliance reports, 80 risk assessments, 80 Independent AML/CFT audits and 4 reports from supervisory bodies reviewed. 3. All 16 categories of accountable persons identified.	1) Conduct 4 Risk Based Onsite inspections 2) Review of annual compliance reports, risk assessments and independent AML/CFT audits 3) Identify 4 categories of accountable persons across the country	1) Conduct 4 Risk Based Onsite inspections 2) Review of annual compliance reports, risk assessments and independent AML/CFT audits 3) Identify 4 categories of accountable persons across the country

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000023 Inspection and Monitoring</b>		
<b>PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
<p>1. 1,000 accountable persons registered and trained on the usage of the goAML system in collaboration with ICT.</p> <p>2. A program to train 7 categories of accountable persons on AML/CFT developed.</p>	<p>1) 250 accountable persons from across the country registered and trained on the usage of the goAML system in collaboration with ICT.</p> <p>2) Develop 1 AML/CFT guidelines for Dealers in Precious Metals &amp; Stones, Real Estates &amp; Virtual Assets Service Providers to support FIA to enforce compliance 3) guidance given to 250 accountable persons from across the country on AML/CFT 4) 1 registration drives conducted across the country 5) 2 engagements held with supervisory bodies 6) 2 AML/CFT/CPF public awareness campaigns undertaken in Eastern Uganda 7) 1 article developed and prepared on AML/CFT. 8) 1 TV/Radio awareness program coordinated</p>	<p>1) 250 accountable persons from across the country registered and trained on the usage of the goAML system in collaboration with ICT.</p> <p>2) Develop 1 AML/CFT guidelines for Dealers in Precious Metals &amp; Stones, Real Estates &amp; Virtual Assets Service Providers to support FIA to enforce compliance 3) guidance given to 250 accountable persons from across the country on AML/CFT 4) 1 registration drives conducted across the country 5) 2 engagements held with supervisory bodies 6) 2 AML/CFT/CPF public awareness campaigns undertaken in Eastern Uganda 7) 1 article developed and prepared on AML/CFT. 8) 1 TV/Radio awareness program coordinated</p>
<i>Development Projects</i>		
N/A		

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

**V4: NTR Collections, Off Budget Expenditure and Vote Cross Cutting Issues****Table 4.1: NTR Collections (Billions)**

Revenue Code	Revenue Name	Planned Collection FY2023/24	Actuals By End Q2
143201	Other fines and Penalties – private	0.500	0.000
142119	Sale of bid documents-From Private Entities	0.300	0.000
<b>Total</b>		<b>0.800</b>	<b>0.000</b>

# **VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

**Table 4.2: Off-Budget Expenditure By Department and Project**

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

Table 4.3: Vote Crosscutting Issues

**i) Gender and Equity**

<b>Objective:</b>	Gender and equity mainstreaming in FIA operations
<b>Issue of Concern:</b>	Marginalization of vulnerable groups nationally
<b>Planned Interventions:</b>	1. Provide appropriate facilities for PWDs 2. Mainstream gender and equity issues in departmental reporting. 3. Conducting public awareness campaigns in all regions of Uganda 4. Mainstreaming gender and equity issues in planning and budgeting instruments.
<b>Budget Allocation (Billion):</b>	0.010
<b>Performance Indicators:</b>	Level of compliance of FIA to gender and equity budgeting
<b>Actual Expenditure By End Q2</b>	0
<b>Performance as of End of Q2</b>	Gender and equity issues incorporated in Budget framework paper FY 2024/25 and departmental reporting. Facilities provided for PWDs
<b>Reasons for Variations</b>	

**ii) HIV/AIDS**

<b>Objective:</b>	Reduce the spread of HIV/AIDS among FIA staff
<b>Issue of Concern:</b>	The Government target of eradicating HIV/AIDS in the population is not yet met
<b>Planned Interventions:</b>	Continue with the implementation of the HIV/AIDS policy. Conducting HIV/AIDS sensitization sessions for all staff. Provision of condoms to staff.
<b>Budget Allocation (Billion):</b>	0.010
<b>Performance Indicators:</b>	Number of HIV/AIDS awareness sessions conducted
<b>Actual Expenditure By End Q2</b>	0.001
<b>Performance as of End of Q2</b>	Continued Implementation of HIV/AIDS policy
<b>Reasons for Variations</b>	None

**iii) Environment**

<b>Objective:</b>	To mainstream environmental concerns during office operations
<b>Issue of Concern:</b>	Climate change is a threat to the future generation.
<b>Planned Interventions:</b>	1. Proper waste disposal in office 2. Promotion of energy use efficiency through use of renewable energy like natural lighting and air conditioning 3. Embracing the use of digital communication and workflows to reduce paper trail & paper waste management
<b>Budget Allocation (Billion):</b>	0.001
<b>Performance Indicators:</b>	Number of offices using renewable energy

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 2

<b>Actual Expenditure By End Q2</b>	0
<b>Performance as of End of Q2</b>	Proper waste disposal adhered to. Use of natural lighting to save energy during the day. Digital communication was embraced to reduce on paper trail.
<b>Reasons for Variations</b>	None

**iv) Covid**

<b>Objective:</b>	To reduce the spread of COVID 19 among staff
<b>Issue of Concern:</b>	The spread COVID 19 is still within the population. New variants are still a threat to mankind.
<b>Planned Interventions:</b>	1. Ensure a safe work environment by provision of COVID-19 essentials such as office sanitizers, disinfectants, masks for guards and visual information.
<b>Budget Allocation (Billion):</b>	0.015
<b>Performance Indicators:</b>	Number of COVID 19 supplies procured.
<b>Actual Expenditure By End Q2</b>	0.01
<b>Performance as of End of Q2</b>	Staff were continually sensitised on COVID 19. COVID 19 SOPs were enforced and adhered to. COVID19 supplies like sanitizers were provided to staff
<b>Reasons for Variations</b>	None