

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

V1: Summary of Issues in Budget Execution**Table V1.1: Overview of Vote Expenditures (US\$ Billion)**

	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% Budget Released	% Budget Spent	% Releases Spent	
Recurrent	Wage	9.594	9.594	7.196	6.383	75.0 %	67.0 %	88.7 %
	Non-Wage	20.870	20.870	15.633	12.383	75.0 %	59.3 %	79.2 %
Dev.	GoU	0.729	0.729	0.365	0.012	50.1 %	1.6 %	3.3 %
	Ext Fin.	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
GoU Total		31.193	31.193	23.194	18.778	74.4 %	60.2 %	81.0 %
Total GoU+Ext Fin (MTEF)		31.193	31.193	23.194	18.778	74.4 %	60.2 %	81.0 %
Arrears		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Total Budget		31.193	31.193	23.194	18.778	74.4 %	60.2 %	81.0 %
<i>A.I.A Total</i>		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Grand Total		31.193	31.193	23.194	18.778	74.4 %	60.2 %	81.0 %
Total Vote Budget Excluding Arrears		31.193	31.193	23.194	18.778	74.4 %	60.2 %	81.0 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% Budget Released	% Budget Spent	%Releases Spent
Programme:16 Governance And Security	31.193	31.193	23.193	18.778	74.4 %	60.2 %	81.0%
Sub SubProgramme:01 Directorate of Finance and Administration	20.373	20.373	14.390	11.133	70.6 %	54.6 %	77.4%
Sub SubProgramme:02 Directorate of Internal Audit	0.242	0.242	0.192	0.087	79.2 %	36.0 %	45.4%
Sub SubProgramme:03 Directorate of Systems Administration and Security	2.097	2.097	1.588	0.949	75.7 %	45.3 %	59.8%
Sub SubProgramme:04 Directorate of Analysis and Monitoring	5.974	5.974	5.103	4.872	85.4 %	81.5 %	95.5%
Sub SubProgramme:05 Directorate of Compliance and Training	2.003	2.003	1.510	1.332	75.4 %	66.5 %	88.2%
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.504	0.504	0.411	0.406	81.6 %	80.6 %	98.8%
Total for the Vote	31.193	31.193	23.193	18.778	74.4 %	60.2 %	81.0 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Table V1.3: High Unspent Balances and Over-Expenditure in the Approved Budget (Ushs Bn)*(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:01 Directorate of Finance and Administration****Sub Programme: 01 Institutional Coordination****1.138** Bn Shs Department : 002 Human resource registry and security

Reason: The high unspent is largely attributed to services consumed and payments yet to be made pending processing of invoices, activities that were still being undertaken, delays in recruitment and employee gratuity which is due in Q4 FY23/24.

*Items***0.878** UShs 211104 Employee Gratuity

Reason: Planned employee gratuity payment is due for early Q4 FY2023/24.

0.043 UShs 221008 Information and Communication Technology Supplies.

Reason: The corresponding activity was still being undertaken by the end of the quarter and is expected to be completed early Q4 FY 2023/24

0.054 UShs 212102 Medical expenses (Employees)

Reason: The unspent balance relates to the delays in procurement of a service provider for medical insurance.

Sub Programme: 05 Anti-Corruption and Accountability**0.955** Bn Shs Department : 001 Accounts

Reason: The unspent balance majorly relates to invoices that were yet to be received by the end of the Quarter, and low expenditure on staff related welfare items due to delayed appointment of new staff.

*Items***0.049** UShs 211106 Allowances (Incl. Casuals, Temporary, sitting allowances)

Reason: The unspent balance on allowances relates to several activities that were still being undertaken by the end of the Quarter.

0.643 UShs 223003 Rent-Produced Assets-to private entities

Reason: Invoices relating to rent were yet to be received by end of Quarter

Sub SubProgramme:03 Directorate of Systems Administration and Security**Sub Programme: 02 Security****0.638** Bn Shs Department : 001 Systems Administration and Security

Reason: The unspent balance mainly relates to LPOs that had been issued and providers had not finalized delivering the service by end of quarter to form a basis for payment and those whose invoices were yet to be received by end of quarter.

Items

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

*(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:03 Directorate of Systems Administration and Security****Sub Programme: 02 Security****0.100** UShs 225101 Consultancy Services

Reason: Invoices were yet to be received by end of Quarter

0.190 UShs 221008 Information and Communication Technology Supplies.

Reason: LPO was issued to the vendor that had not yet completed delivering the service by the end of the Quarter to enable the Authority process the payment on time.

0.279 UShs 226002 Licenses

Reason: LPO was issued for the renew of licences to the vendor that had not yet delivered the service by the end of the Quarter to enable the Authority process the payment on time.

Sub SubProgramme:04 Directorate of Analysis and Monitoring**Sub Programme: 05 Anti-Corruption and Accountability****0.098** Bn Shs Department : 002 Operational analysis

Reason: Unspent funds mainly relate to activities (Concluding pending due diligence requests on companies that intend to partner with GOU) that were still being undertaken by end of Q3, and those whose invoices were yet to be received by end of Q3 FY 2023/24

*Items***0.021** UShs 221017 Membership dues and Subscription fees.

Reason: Some of the Membership dues and Subscription fees are due early Q4 FY 2023/24

0.030 UShs 228002 Maintenance-Transport Equipment

Reason: Invoices relating to maintenance of motor vehicles were yet to be received by end of the quarter

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

V2: Performance Highlights**Table V2.1: PIAP outputs and output Indicators**

Programme:16 Governance And Security			
SubProgramme:01 Institutional Coordination			
Sub SubProgramme:01 Directorate of Finance and Administration			
Department:002 Human resource registry and security			
Budget Output: 000005 Human Resource Management			
PIAP Output: 16060201 Human Resources Management Services provided			
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 3
No of staff trained	Number	80	73
No. of staff receiving salary by the 28th day of each month	Number	85	84
SubProgramme:02 Security			
Sub SubProgramme:03 Directorate of Systems Administration and Security			
Department:001 Systems Administration and Security			
Budget Output: 120007 Support services			
PIAP Output: 16070516 Enhanced Technical capability			
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 3
Value of security equipment acquired (bn)	Value	0.73	0.012
SubProgramme:03 Policy and Legislation Processes			
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations			
Department:001 Legal and Corporate Affairs			
Budget Output: 460103 Legal Representation and Litigation services			
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 3
No of policies developed and/or reviewed	Number	1	1
PIAP Output: 16060305 AML/CFT International standards implemented			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2023/24	Actuals By END Q 3
Number of FATF Technical Compliance recommendations re-rated	Number	6	2

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Programme:16 Governance And Security				
SubProgramme:05 Anti-Corruption and Accountability				
Sub SubProgramme:01 Directorate of Finance and Administration				
Department:001 Accounts				
Budget Output: 000014 Administrative and Support Services				
PIAP Output: 16070519 Security personnel trained				
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.				
PIAP Output Indicators		Indicator Measure	Planned 2023/24	Actuals By END Q 3
Number or percentage (%) of personnel recruited and trained	Number	100%	100	
Project:1623 Retooling of Financial Intelligence Authority				
Budget Output: 000003 Facilities and Equipment Management				
PIAP Output: 16070520 Enhanced Technical capability				
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes				
PIAP Output Indicators		Indicator Measure	Planned 2023/24	Actuals By END Q 3
Value of security equipment acquired (bn)	Value	0.73	0.012	
Sub SubProgramme:02 Directorate of Internal Audit				
Department:001 Internal Audit				
Budget Output: 000001 Audit and Risk Management				
PIAP Output: 16070519 Security personnel trained				
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.				
PIAP Output Indicators		Indicator Measure	Planned 2023/24	Actuals By END Q 3
Number or percentage (%) of personnel recruited and trained	Number	100%	100	
Sub SubProgramme:04 Directorate of Analysis and Monitoring				
Department:001 Strategic Analysis and Statistics				
Budget Output: 000001 Audit and Risk Management				
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing				
Programme Intervention: 160715 Strengthen research and development to address emerging security threats				
PIAP Output Indicators		Indicator Measure	Planned 2023/24	Actuals By END Q 3
Number of studies under taken per year and results disseminated to stakeholders	Number	4	5	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Programme:16 Governance And Security				
SubProgramme:05 Anti-Corruption and Accountability				
Sub SubProgramme:04 Directorate of Analysis and Monitoring				
Department:001 Strategic Analysis and Statistics				
Budget Output: 000001 Audit and Risk Management				
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes				
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery				
PIAP Output Indicators		Indicator Measure	Planned 2023/24	Actuals By END Q 3
Number of engagements with the public on matters related to ML/TF/PF	Number	8	5	
PIAP Output: 16080813 Financial due diligence undertaken on investors				
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption				
PIAP Output Indicators		Indicator Measure	Planned 2023/24	Actuals By END Q 3
No . of financial due diligence reports produced	Number	40	17	
Department:002 Operational analysis				
Budget Output: 560019 Data Management and Dissemination				
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.				
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption				
PIAP Output Indicators		Indicator Measure	Planned 2023/24	Actuals By END Q 3
No. of reports disseminated	Number	80	73	
Sub SubProgramme:05 Directorate of Compliance and Training				
Department:001 Compliance and Inspection				
Budget Output: 000023 Inspection and Monitoring				
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws				
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption				
PIAP Output Indicators		Indicator Measure	Planned 2023/24	Actuals By END Q 3
Number of sanctions issued for non compliance with the AML/CFT/CPF standard	Number	15	0	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Performance highlights for the Quarter

- 1) Uganda was removed from the list of Jurisdictions under increased monitoring (FATF Grey-List) and the European Union blacklist.
- 2) Developed a National AML/CTF/CPF Post FATF/ICRG Strategic Action Plan to ensure sustainability of AML/CFT/CPF reforms as advised by the FATF.
- 3) Received and analysed 6,703 reports from accountable persons through goAML.
- 4) Analysis of reports in (3 above) resulted into 39 financial intelligence reports that were disseminated to Law Enforcement Agencies (LEAs) and Competent Authorities for further management.
- 5) Responded to 35 of the 55 requests for information from different LEAs and Competent Authorities, to facilitate quality investigations of financial crime, 20 requests were pending conclusion of correlative analysis as of end of Q3.
- 6) Finalized 67% requests (6 of the 9 requests received) for Financial Due Diligence on entities intending to partner with the government to undertake key investment projects, with the remaining 3 pending receipt of responses to FIA information requests from other FIUs.
- 7) Finalized the tax crimes and proceeds ML/TF/PF risk assessment and Completed 2 typologies concerning motor vehicle dealers and sports betting companies, providing evidence-based recommendations for robust AML/CFT/CPF framework.
- 8) 8 joint risk-based onsite inspections were carried out on Accountable Persons to assess their compliance with AMLA, 2013 and inform timely corrective action.
- 9) Enhanced capacity of 599 Accountable Persons and LEAs through 15 targeted trainings on their obligations and ML/TF/PF crimes.
- 10) Conducted an ICT infrastructure penetration test & vulnerability assessment to identify, assess and address any existing vulnerabilities.
- 11) Enrolled 340 Accountable Persons on the goAML to facilitate automated convenient, easy and secure reporting
- 12) Employed 8 High-level stakeholder engagements, 3 articles in print media, up-to-date website and social media to advance its public awareness on ML/TF/PF matters

Variations and Challenges

The major challenge the Authority faces is Inadequate resource allocation, and releases for the retooling project which is curtailing acquisition of critical operational fixed assets.

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

V3: Details of Releases and Expenditure**Table V3.1: GoU Releases and Expenditure by Budget Output***

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	31.193	31.193	23.193	18.778	74.4 %	60.2 %	81.0 %
Sub SubProgramme:01 Directorate of Finance and Administration	20.373	20.373	14.390	11.133	70.6 %	54.6 %	77.4 %
000003 Facilities and Equipment Management	0.729	0.729	0.365	0.012	50.0 %	1.7 %	3.3 %
000005 Human Resource Management	14.425	14.425	10.162	8.211	70.4 %	56.9 %	80.8 %
000014 Administrative and Support Services	5.220	5.220	3.864	2.909	74.0 %	55.7 %	75.3 %
Sub SubProgramme:02 Directorate of Internal Audit	0.242	0.242	0.192	0.087	79.2 %	36.0 %	45.4 %
000001 Audit and Risk Management	0.242	0.242	0.192	0.087	79.2 %	36.0 %	45.3 %
Sub SubProgramme:03 Directorate of Systems Administration and Security	2.097	2.097	1.588	0.949	75.7 %	45.3 %	59.8 %
120007 Support services	2.097	2.097	1.588	0.949	75.7 %	45.3 %	59.8 %
Sub SubProgramme:04 Directorate of Analysis and Monitoring	5.974	5.974	5.103	4.872	85.4 %	81.5 %	95.5 %
000001 Audit and Risk Management	1.222	1.222	0.921	0.788	75.4 %	64.5 %	85.6 %
560019 Data Management and Dissemination	4.752	4.752	4.182	4.083	88.0 %	85.9 %	97.6 %
Sub SubProgramme:05 Directorate of Compliance and Training	2.003	2.003	1.510	1.332	75.4 %	66.5 %	88.2 %
000023 Inspection and Monitoring	2.003	2.003	1.510	1.332	75.4 %	66.5 %	88.2 %
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.504	0.504	0.411	0.406	81.6 %	80.6 %	98.8 %
460103 Legal Representation and Litigation services	0.504	0.504	0.411	0.406	81.6 %	80.6 %	98.8 %
Total for the Vote	31.193	31.193	23.193	18.778	74.4 %	60.2 %	81.0 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Table V3.2: GoU Expenditure by Item 2023/24 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
211102 Contract Staff Salaries	9.594	9.594	7.196	6.383	75.0 %	66.5 %	88.7 %
211104 Employee Gratuity	2.399	2.399	1.643	0.765	68.5 %	31.9 %	46.6 %
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	0.943	0.943	0.729	0.597	77.3 %	63.3 %	82.0 %
211107 Boards, Committees and Council Allowances	0.469	0.469	0.385	0.370	82.0 %	78.8 %	96.1 %
212101 Social Security Contributions	1.439	1.439	0.784	0.653	54.5 %	45.4 %	83.3 %
212102 Medical expenses (Employees)	0.409	0.409	0.100	0.046	24.4 %	11.2 %	45.8 %
212103 Incapacity benefits (Employees)	0.030	0.030	0.017	0.010	56.7 %	34.5 %	60.9 %
221001 Advertising and Public Relations	0.250	0.250	0.188	0.150	75.0 %	59.8 %	79.8 %
221002 Workshops, Meetings and Seminars	0.925	0.925	0.779	0.704	84.2 %	76.1 %	90.4 %
221003 Staff Training	0.709	0.709	0.596	0.513	84.1 %	72.3 %	86.0 %
221007 Books, Periodicals & Newspapers	0.016	0.016	0.012	0.006	75.0 %	34.4 %	45.8 %
221008 Information and Communication Technology Supplies.	0.630	0.630	0.498	0.264	79.0 %	42.0 %	53.1 %
221009 Welfare and Entertainment	0.769	0.769	0.576	0.524	75.0 %	68.2 %	90.9 %
221011 Printing, Stationery, Photocopying and Binding	0.197	0.197	0.152	0.073	76.9 %	37.2 %	48.3 %
221017 Membership dues and Subscription fees.	0.485	0.485	0.485	0.434	100.0 %	89.4 %	89.4 %
222001 Information and Communication Technology Services.	0.025	0.025	0.019	0.014	75.0 %	57.0 %	76.0 %
222002 Postage and Courier	0.001	0.001	0.001	0.000	85.7 %	0.0 %	0.0 %
223001 Property Management Expenses	0.072	0.072	0.054	0.045	75.0 %	62.2 %	83.0 %
223003 Rent-Produced Assets-to private entities	1.682	1.682	1.066	0.423	63.4 %	25.1 %	39.7 %
223004 Guard and Security services	0.336	0.336	0.252	0.237	75.0 %	70.6 %	94.1 %
223005 Electricity	0.144	0.144	0.108	0.037	75.0 %	25.9 %	34.5 %
224009 Classified Expenditure	5.954	5.954	5.087	5.087	85.4 %	85.4 %	100.0 %
225101 Consultancy Services	0.710	0.710	0.500	0.324	70.4 %	45.7 %	64.8 %
226001 Insurances	0.100	0.100	0.000	0.000	0.0 %	0.0 %	0.0 %
226002 Licenses	0.750	0.750	0.528	0.249	70.4 %	33.2 %	47.2 %
227001 Travel inland	0.452	0.452	0.342	0.287	75.6 %	63.5 %	84.1 %
227004 Fuel, Lubricants and Oils	0.788	0.788	0.591	0.512	75.0 %	65.0 %	86.7 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
228002 Maintenance-Transport Equipment	0.185	0.185	0.144	0.059	77.7 %	31.6 %	40.7 %
312221 Light ICT hardware - Acquisition	0.320	0.320	0.000	0.000	0.0 %	0.0 %	0.0 %
312235 Furniture and Fittings - Acquisition	0.409	0.409	0.365	0.012	89.1 %	3.0 %	3.3 %
Total for the Vote	31.193	31.193	23.193	18.778	74.4 %	60.2 %	81.0 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Table V3.3: Releases and Expenditure by Department and Project*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	31.193	31.193	23.193	18.778	74.35 %	60.20 %	80.96 %
Sub SubProgramme:01 Directorate of Finance and Administration	20.373	20.373	14.390	11.133	70.63 %	54.64 %	77.4 %
Departments							
001 Accounts	5.220	5.220	3.864	2.909	74.0 %	55.7 %	75.3 %
002 Human resource registry and security	14.425	14.425	10.162	8.211	70.4 %	56.9 %	80.8 %
Development Projects							
1623 Retooling of Financial Intelligence Authority	0.729	0.729	0.365	0.012	50.1 %	1.6 %	3.3 %
Sub SubProgramme:02 Directorate of Internal Audit	0.242	0.242	0.192	0.087	79.18 %	35.95 %	45.4 %
Departments							
001 Internal Audit	0.242	0.242	0.192	0.087	79.2 %	35.9 %	45.3 %
Development Projects							
N/A							
Sub SubProgramme:03 Directorate of Systems Administration and Security	2.097	2.097	1.588	0.949	75.72 %	45.27 %	59.8 %
Departments							
001 Systems Administration and Security	2.097	2.097	1.588	0.949	75.7 %	45.3 %	59.8 %
Development Projects							
N/A							
Sub SubProgramme:04 Directorate of Analysis and Monitoring	5.974	5.974	5.103	4.872	85.41 %	81.55 %	95.5 %
Departments							
001 Strategic Analysis and Statistics	1.222	1.222	0.921	0.788	75.4 %	64.5 %	85.6 %
002 Operational analysis	4.752	4.752	4.182	4.083	88.0 %	85.9 %	97.6 %
Development Projects							
N/A							
Sub SubProgramme:05 Directorate of Compliance and Training	2.003	2.003	1.510	1.332	75.36 %	66.47 %	88.2 %
Departments							
001 Compliance and Inspection	2.003	2.003	1.510	1.332	75.4 %	66.5 %	88.2 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	31.193	31.193	23.193	18.778	74.35 %	60.20 %	80.96 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.504	0.504	0.411	0.406	81.55 %	80.60 %	98.8 %
<i>Departments</i>							
001 Legal and Corporate Affairs	0.504	0.504	0.411	0.406	81.6 %	80.6 %	98.8 %
<i>Development Projects</i>							
N/A							
Total for the Vote	31.193	31.193	23.193	18.778	74.4 %	60.2 %	81.0 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Quarter 3: Outputs and Expenditure in the Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Programme:16 Governance And Security		
SubProgramme:01 Institutional Coordination		
Sub SubProgramme:01 Directorate of Finance and Administration		
<i>Departments</i>		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
1) Conducting 5 awareness sessions to sensitize staff on HIV/AIDS (Ugx 10 million) 2) Refiling protective gears dispensers done 3) sessions / fumigations done 4) 10 staff trained that include 5 men and 5 women (Ugx 5 million) 5) 100% staff accessing staff welfare on equal term basis 6) 100% of staff paid by the 28th day of every month. 7) 100% of approved FIA structure filled 8) 1 security initiatives put in place 9) Eligible staff provided with security 10) Team building retreat conducted 11) Provision of COVID-19 /contagious viruses supplies	1) 3 awareness sessions to sensitize staff on HIV/AIDS were carried out 2) Protective gear dispensers were refilled 3) All staff were provided with equal welfare services (lunch, bereavement support, medical and group life insurances) 4) Covid 19 supplies were refilled and are accessible to all staff 5) Security was provided to all FIA premises and all eligible FIA employees. 6) 29 staff were trained in pertinent technical areas informed by the Training Needs Analysis, and resulting Training plan including among others Financial Investigations, Financial Due Diligence, Enterprise Risk Management, strategic analysis, advanced excel analytics and leadership. 7) FIA offices at Rwenzori Towers and Courts were consistently fumigated on a monthly basis (3 times this quarter) 8) 35 staff whose appointments took effect on 1st Sep 2023 upon their were confirmed in service.	Outputs on track as planned.

Expenditures incurred in the Quarter to deliver outputs*UShs Thousand*

Item	Spent
211102 Contract Staff Salaries	2,560,808.470
211104 Employee Gratuity	72,000.000
212101 Social Security Contributions	375,990.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
212102 Medical expenses (Employees)		45,769.956
212103 Incapacity benefits (Employees)		10,350.000
221003 Staff Training		7,400.000
221008 Information and Communication Technology Supplies.		27,172.980
227004 Fuel, Lubricants and Oils		18,200.000
228002 Maintenance-Transport Equipment		1,113.908
	Total For Budget Output	3,118,805.314
	Wage Recurrent	2,560,808.470
	Non Wage Recurrent	557,996.844
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	3,118,805.314
	Wage Recurrent	2,560,808.470
	Non Wage Recurrent	557,996.844
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
SubProgramme:02 Security		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
<i>Departments</i>		
Department:001 Systems Administration and Security		
Budget Output:120007 Support services		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
----------------------------	------------------------------------	--------------------------------------

PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities**Programme Intervention: 160715 Strengthen research and development to address emerging security threats**

1) Software license and Support renewals of 4 softwares 2) Review and Update 1 ICT Governance document in line with the National IT Standards 3) Manage and continually update the FIA website and the Social Media Platforms using Content Management Systems 4) Carry out a data restoration and recovery simulation of the FIA Data center	1. The Authority renewed 2 software licenses (Web application file, and Microsoft Office 365) 2. The FIA website and social media pages were kept up to date for effective e-governance and information access 3. Conducted an ICT infrastructure penetration test & vulnerability assessment to identify, assess and address any existing vulnerabilities. 4. Configured FIA NTR solution to facilitate payment of fines and foster enhanced compliance with the AMLA. 5. Developed and deployed a customized online Public Reporting Portal to permit secure and anonymous reporting of suspected crimes. 6. Operationalized a physical access control & staff time attendance solution. 7. Upgraded the Kaspersky Security Centre. 8. Maintained robust databases for effective data governance and data-enabled decision making.	Outputs on track as planned
--	---	-----------------------------

PIAP Output: 16070516 Enhanced Technical capability**Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes**

.	1) 340 Accountable persons onboarded onto goAML	Outputs On track as planned
---	---	-----------------------------

Expenditures incurred in the Quarter to deliver outputs*US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	14,506.300
221008 Information and Communication Technology Supplies.	155,119.247
221017 Membership dues and Subscription fees.	6,600.000
222001 Information and Communication Technology Services.	6,250.000
224009 Classified Expenditure	29,100.000
225101 Consultancy Services	179,474.900
226002 Licenses	182,916.824
227004 Fuel, Lubricants and Oils	13,200.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
228002 Maintenance-Transport Equipment		1,600.000
	Total For Budget Output	588,767.271
	Wage Recurrent	0.000
	Non Wage Recurrent	588,767.271
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	588,767.271
	Wage Recurrent	0.000
	Non Wage Recurrent	588,767.271
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
SubProgramme:03 Policy and Legislation Processes		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
<i>Departments</i>		
Department:001 Legal and Corporate Affairs		
Budget Output:460103 Legal Representation and Litigation services		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
----------------------------	------------------------------------	--------------------------------------

PIAP Output: 16060305 AML/CFT International standards implemented**Programme Intervention: 160603 Review and enact appropriate legislation**

1) Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant. 2) 1 ICRG progress reports submitted 3) 1 Quarterly reports on the activities of the AML/CFT/CPF taskforce submitted 4) ICRG face to face meeting reports submitted 5) ESAAMLG Follow-up report prepared and submitted. 6) Submit reports on the ESAAMLG meetings 7) FATF Follow-up reports submitted	1) Uganda was exited from the FATF list of Jurisdictions under increased monitoring (Grey List), and subsequently from the European Blacklist. 2) National AML/CTF/CPF Post FATF/ICRG Strategic Action Plan was developed. - The plan provides a consolidated implementation framework for addressing all the remaining deficiencies in Uganda's AML/CFT/CPF regime and ensure sustainability of AML/CFT/CPF reforms as advised by the FATF. 3) The Authority coordinated the preparation and submission (to ESAAMLG) of Uganda's: a) 6th Request for Re-rating Report. - seeks for upgrade on eight (8) FATF Recommendations including, Recommendations 7, 19, 24, 26, 30, 33, 34 and 40, and is to be discussed at the ESAAMLG Task Force meeting in August/September 2024. b) 13th ME Follow-Up Report. The report indicates progress made by Uganda to address deficiencies on 15 FATF recommendations rated PC or NC, and is to be discussed at the ESAAMLG Taskforce meeting scheduled to take place from 5th – 12th April, 2024.	Outputs on track as planned
---	---	-----------------------------

Expenditures incurred in the Quarter to deliver outputs *US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	18,390.000
221002 Workshops, Meetings and Seminars	85,710.000
221017 Membership dues and Subscription fees.	10,260.250
224009 Classified Expenditure	50,000.000
227004 Fuel, Lubricants and Oils	13,200.000
Total For Budget Output	177,560.250
Wage Recurrent	0.000
Non Wage Recurrent	177,560.250
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	177,560.250

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Wage Recurrent	0.000
	Non Wage Recurrent	177,560.250
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
SubProgramme:05 Anti-Corruption and Accountability		
Sub SubProgramme:01 Directorate of Finance and Administration		
<i>Departments</i>		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		
PIAP Output: 16070502 General administration and support services enhanced		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
<p>1) Ministerial policy statement prepared 2) Q2 budget performance report prepared 3) Q2 FIA physical performance report prepared. 4) Meetings (Finance Committee, Audit committee meetings) facilitated 5) 1 report produced i.e. Financial Statements, Annual Board of survey reports and Audit reports 6) 2 Board meetings facilitated 7) 5 contract committee meetings held</p>	<p>1) In line with AMLA and PFMA (Statutory Compliance), the Authority: a) Finalized the Q2 FY 2023/24 Performance reports for Board and MoFPED. b) Presented the Budget Framework Paper for FY 2024/24 to Parliament. c) Prepared and submitted the Half Year Financial Statements for FY 2023/24 and submitted to MoFPED and OAG. d) Prepared and submitted the Monthly (Dec 23 - Feb 24) Procurement and Disposal Reports to PDDA. e) Contributed to the Half-Year Government Performance Review, through the Governance and Security Program. f) Prepared and submitted the Ministerial Statement (MPS) FY 2024/25, in line with the guidance and timelines by the Secretary to Treasury as communicated in the Second Budget Call Circular for FY 2024/25. – The MPS was subsequently presented to the Parliament Committee on Finance, Planning and Economic Development. 2) The Board was effectively supported in the conduct of its Board business. In effect, 1 Board meeting and 4 Committee Meetings were held.</p>	<p>Outputs on track as planned</p>

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
----------------------------	------------------------------------	--------------------------------------

PIAP Output: 16070519 Security personnel trained

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

	1) 29 staff trained in technical areas related to Terrorism Financing and Wildlife crime.	On track according to the annual training plan
--	---	--

Expenditures incurred in the Quarter to deliver outputs *US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	21,338.000
211107 Boards, Committees and Council Allowances	104,616.530
221001 Advertising and Public Relations	54,985.200
221002 Workshops, Meetings and Seminars	79,519.000
221003 Staff Training	36,746.400
221009 Welfare and Entertainment	242,966.938
221011 Printing, Stationery, Photocopying and Binding	1,246.080
221017 Membership dues and Subscription fees.	57,543.054
223001 Property Management Expenses	12,800.000
223004 Guard and Security services	99,946.885
223005 Electricity	12,558.420
224009 Classified Expenditure	48,818.710
227004 Fuel, Lubricants and Oils	148,187.500
228002 Maintenance-Transport Equipment	3,500.000
Total For Budget Output	924,772.717
Wage Recurrent	0.000
Non Wage Recurrent	924,772.717
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	924,772.717
Wage Recurrent	0.000
Non Wage Recurrent	924,772.717
Arrears	0.000
<i>AIA</i>	0.000

Development Projects

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Project:1623 Retooling of Financial Intelligence Authority		
Budget Output:000003 Facilities and Equipment Management		
PIAP Output: 16070503 Retooling of Financial Intelligence Authority		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
.	1) 9 Laptops were procured for new staff	Outputs on track as planned
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
	Total For Budget Output	0.000
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Project	0.000
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
Sub SubProgramme:02 Directorate of Internal Audit		
<i>Departments</i>		
Department:001 Internal Audit		
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1) 1 Risk Based Report Produced 2) 1 joint inspection conducted	1) The Q3 risk based internal audit report was produced and submitted.	Outputs on track as planned
PIAP Output: 16070519 Security personnel trained		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
1) Payment of annual subscription to professional bodies (ICPAU,ACCA,CIA,IIA,ACAMS) 2) CPD hours obtained through attending (ICPAU,ACCA,IIA) seminars	1) 6 staff attended the PFM Seminar that was organised by ICPAU	Outputs achieved as planned

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		3,500.000
221002 Workshops, Meetings and Seminars		19,600.000
221003 Staff Training		16,066.013
221017 Membership dues and Subscription fees.		3,894.399
	Total For Budget Output	43,060.412
	Wage Recurrent	0.000
	Non Wage Recurrent	43,060.412
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	43,060.412
	Wage Recurrent	0.000
	Non Wage Recurrent	43,060.412
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
<i>Departments</i>		
Department:001 Strategic Analysis and Statistics		
Budget Output:000001 Audit and Risk Management		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
<p>1) 1 Risk assessment report produced 2) 1 statistical report produced 3) FIA databases updated on a regular basis 4) Disseminate 1 report with findings of the risk assessment. 5) Participate in all ESAAMLG typologies studies.</p>	<p>1) Finalized the tax crimes and proceeds risk assessment. - Provided evidence-based recommendations to address identified deficiencies and boost tax revenue, reduce tax evasion and associated ML crime. 2) Finalized typologies on motor vehicle dealers and sports betting companies. - The resulting recommendation was to amend the second schedule of the Anti-Money Laundering Act (AMLA) to include motor vehicle dealers and sports betting companies entities as Accountable Persons owing to the respective findings of acceptance of cash, and use of digital wallets and online platforms in sports betting allows for a degree of anonymity. 3) 1 statistical report was produced 4) FIA databases were updated on a regular basis 5) Participated in 4 ESAAMLG typologies studies.</p>	<p>1) Dissemination of the A tax crime and proceeds risk assessment report planned for Q4 2) Compilation of AML/CFT data from all stakeholders is still ongoing</p>
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
<p>1) 10 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.</p>	<p>1) Finalized 67% requests (6 of the 9 requests received) for Financial Due Diligence (background and credibility checks).</p>	<p>The remaining 3 pending receipt of responses to FIA information requests from other FIUs.</p>

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
<p>1) 1 article published on AML/CFT/CPF in different media houses 2) General public enlightened on administrative sanctions regime through media (electronic and print) 3) 2 public awareness campaigns to promote understanding of ML/TF undertaken</p>	<p>In order to increase public awareness and understanding of ML/TF matters, the Authority employed both mainstream and social media to advance its public awareness campaign on its mandate, and on ML/TF/PF matters. During the quarter, FIA:</p> <p>1) Employed both mainstream and social media to advance its public awareness campaign on its mandate, and on ML/TF/PF matters. – This was in addition to maintaining an updated and user-friendly website, active social media presence.</p> <p>2) Published 3 articles (with insightful expert opinions). – Focused on promoting publicity following Uganda’s exit from the FATF grey list and the EU Blacklist highlighting the positive implications and what is required to sustain the reforms.</p> <p>3) Developed and disseminated (through New Vision and the Monitor) promotional and informative material in commemoration of the 38th NRM Victory.</p> <p>4) Undertook High-Level Stakeholder engagements to foster enhanced collaboration and synergies.</p>	<p>Outputs achieved as planned</p>

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
----------------------------	------------------------------------	--------------------------------------

PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes

Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery

1) 1 ML/TF/PF Risk assessment report produced as informed by the NRA report 2) 1 statistical report produced 3) FIA databases updated on a regular basis 4) Disseminate 1 report with findings of the risk assessment to stakeholders. 5) Participate in all ESAAMLG typologies studies. 6) Relevant ML/TF/PF statistics compiled. 7) compile AML/CFT historical data from all AML/CFT stakeholders	1) Finalized the tax crimes and proceeds risk assessment. - Provided evidence-based recommendations to address identified deficiencies and boost tax revenue, reduce tax evasion and associated ML crime 2) Finalized typologies on motor vehicle dealers and sports betting companies. - The resulting recommendation was to amend the second schedule of the Anti-Money Laundering Act (AMLA) to include motor vehicle dealers and sports betting companies entities as Accountable Persons owing to the respective findings of acceptance of cash, and use of digital wallets and online platforms in sports betting allows for a degree of anonymity 3) 1 statistical report was produced 4) FIA databases were updated on a regular basis 5) Participated in 4 ESAAMLG typologies studies	1) Dissemination of the A tax crime and proceeds risk assessment report planned for Q4 2) Compilation of AML/CFT data from all stakeholders is still ongoing
---	--	---

Expenditures incurred in the Quarter to deliver outputs *US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	66,150.800
221003 Staff Training	21,419.500
221007 Books, Periodicals & Newspapers	1,138.000
221011 Printing, Stationery, Photocopying and Binding	3,835.000
224009 Classified Expenditure	126,250.000
225101 Consultancy Services	24,116.251
227001 Travel inland	1,830.000
228002 Maintenance-Transport Equipment	2,649.683
Total For Budget Output	247,389.234
Wage Recurrent	0.000
Non Wage Recurrent	247,389.234
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	247,389.234
Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Non Wage Recurrent	247,389.234
	Arrears	0.000
	<i>AIA</i>	0.000

Department:002 Operational analysis

Budget Output:560019 Data Management and Dissemination

PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.

Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption

1) 100 percent analysis of all reports filed by accountable persons 2) Dissemination of 20 financial intelligence reports to LEAs coordinated 3) Intelligence gathering and investigations coordinated 4) Requests for information from other FIUs responded to	1) The Authority received and analysed; a. 912 Suspicious Transaction Reports (STR) b. 239 Suspicious Activity Reports (SAR) c. 368 additional information files d. 2149 aggregated large cash transfer reports e. 897 international wire transfer reports f. 2138 large cash transfer reports 2) 39 intelligence reports were disseminated to Law Enforcement Agencies (LEAs) and Competent Authorities for further management. 3) Responded to 55 of the 35 requests for information from different LEAs and Competent Authorities. These facilitated different processes in the AML/CFT/CPF value chain (investigation and prosecution).	No Requests for information from other FIUs came during the quarter
--	---	---

Expenditures incurred in the Quarter to deliver outputs US\$ Thousand

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	72,630.027
221002 Workshops, Meetings and Seminars	6,785.905
221003 Staff Training	71,036.000
221017 Membership dues and Subscription fees.	12,682.199
224009 Classified Expenditure	1,344,282.976
227001 Travel inland	1,966.400
228002 Maintenance-Transport Equipment	4,720.000
Total For Budget Output	1,514,103.507
Wage Recurrent	0.000
Non Wage Recurrent	1,514,103.507
Arrears	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	<i>AIA</i>	0.000
	Total For Department	1,514,103.507
	Wage Recurrent	0.000
	Non Wage Recurrent	1,514,103.507
	Arrears	0.000
	<i>AIA</i>	0.000

Development Projects

N/A

Sub SubProgramme:05 Directorate of Compliance and Training*Departments***Department:001 Compliance and Inspection****Budget Output:000023 Inspection and Monitoring****PIAP Output: 16080504 AML/CFT compliance enforced****Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations**

1) Conduct 4 Risk Based Onsite inspections 2) Review of annual compliance reports, risk assessments and independent AML/CFT audits 3) Identify 4 categories of accountable persons across the country	1) Conducted 8 joint onsite inspections in Q3 FY 2023/24 with 6 with IRA, and 1 with NGO Bureau and BoU respectively. The Authority Undertakes periodic Risk-Based onsite inspections on accountable persons to among others ascertain adequacy of internal controls and inform corrective action. 2) Furthered the goAML registrations to facilitate automated convenient, easy and secure reporting. - As a result, 340 accountable persons registered and obtained e-certificates off the system (Q2 FY2023/24: 269).	Outputs on track as planned
---	---	-----------------------------

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
----------------------------	------------------------------------	--------------------------------------

PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws

Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption

<p>1) 250 accountable persons from across the country registered and trained on the usage of the goAML system in collaboration with ICT. 2) Develop 1 AML/CFT guidelines for Dealers in Precious Metals & Stones, Real Estates & Virtual Assets Service Providers to support FIA to enforce compliance 3) guidance given to 250 accountable persons from across the country on AML/CFT 4) 1 registration drives conducted across the country 5) 2 engagements held with supervisory bodies 6) 2 AML/CFT/CPF public awareness campaigns undertaken in Eastern Uganda 7) 1 article developed and prepared on AML/CFT. 8) 1 TV/Radio awareness program coordinated</p>	<p>1) Furthered the goAML registrations to facilitate automated convenient, easy and secure reporting. - As a result, 341 accountable persons registered and obtained e-certificates off the system (Q2 FY2023/24: 269). 2) Enhanced capacity of 599 Accountable Persons and Law Enforcement Agencies (297 female and 302 males) through 15 targeted trainings on their obligations and ML/TF/PF crimes. – Envisaged to enhance appreciation of obligations, and identification, investigations and handling of ML/TF/PF crimes towards compliance improvements. 3) Guidance was given to various accountable persons from across the country on AML/CFT 4) 1 engagement was held with BOU 5) 1 AML/CFT/CPF public awareness campaign was undertaken in Kampala 6) 1 article developed and prepared on AML/CFT</p>	<p>Outputs on track as planned</p>
---	--	------------------------------------

Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
---	---------------

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	48,920.000
221001 Advertising and Public Relations	4,576.284
221002 Workshops, Meetings and Seminars	35,332.024
221003 Staff Training	4,700.000
221007 Books, Periodicals & Newspapers	628.000
221011 Printing, Stationery, Photocopying and Binding	4,775.000
221017 Membership dues and Subscription fees.	2,103.000
224009 Classified Expenditure	213,500.000
227001 Travel inland	66,303.000
228002 Maintenance-Transport Equipment	7,761.831
Total For Budget Output	388,599.139
Wage Recurrent	0.000
Non Wage Recurrent	388,599.139
Arrears	0.000
<i>AIA</i>	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Total For Department	388,599.139
	Wage Recurrent	0.000
	Non Wage Recurrent	388,599.139
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
	GRAND TOTAL	7,003,057.844
	Wage Recurrent	2,560,808.470
	Non Wage Recurrent	4,442,249.374
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Quarter 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Programme:16 Governance And Security	
SubProgramme:01 Institutional Coordination	
Sub SubProgramme:01 Directorate of Finance and Administration	
<i>Departments</i>	
Department:002 Human resource registry and security	
Budget Output:000005 Human Resource Management	
PIAP Output: 16060201 Human Resources Management Services provided	
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff	
1. Strengthened capacity for staff to fulfil FIA's mandate through training. 2. A staff team building retreat conducted 3. Incentive for the rewards and policy implemented. 4. Governance documents updated	1) The Board approved the revised Human Resource Manual. 2) FIA offices at Rwenzori Towers and Courts were consistently fumigated monthly (9 times). 3) All staff were provided with equal welfare services (lunch, bereavement support, medical and group life insurances). 4) All staff salaries were paid on time monthly. 5) 43 new staff were appointed into service bringing the staffing strength to 84 staff (95%) against an approved structure of 88 staff. 6) FIA together with Uganda Police conducted an onsite overall security assessment of the Authority purposed to guide the Authority on security management measures to be implemented. 7) Security was provided to all FIA premises and all eligible FIA employees. 8) Covid 19 supplies were procured and dispatched to all staff 9) 3 HIV awareness sessions were conducted. 10) 35 staff whose appointments took effect on 1st Sep 2023 upon their were confirmed in service. 11) 73 staff were trained in pertinent technical areas

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
211102 Contract Staff Salaries	6,383,188.212
211104 Employee Gratuity	764,920.000
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	29,660.000
212101 Social Security Contributions	652,930.000
212102 Medical expenses (Employees)	45,769.956
212103 Incapacity benefits (Employees)	10,350.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
221002 Workshops, Meetings and Seminars	100,000.000
221003 Staff Training	82,400.000
221008 Information and Communication Technology Supplies.	57,172.980
221011 Printing, Stationery, Photocopying and Binding	16,000.000
225101 Consultancy Services	5,000.000
227004 Fuel, Lubricants and Oils	54,600.000
228002 Maintenance-Transport Equipment	9,153.122
Total For Budget Output	8,211,144.270
Wage Recurrent	6,383,188.212
Non Wage Recurrent	1,827,956.058
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	8,211,144.270
Wage Recurrent	6,383,188.212
Non Wage Recurrent	1,827,956.058
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
N/A	
SubProgramme:02 Security	
Sub SubProgramme:03 Directorate of Systems Administration and Security	
<i>Departments</i>	
Department:001 Systems Administration and Security	
Budget Output:120007 Support services	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities	
Programme Intervention: 160715 Strengthen research and development to address emerging security threats	
1. Operational FIA Integrated Data Platform with functionality on Business intelligence, Statistical reports, data warehouse and mining.	1 The Authority renewed 4 software licenses (CISOO, Knowbe4, Web application file, and Microsoft Office 365). 2. The FIA website and social media pages were kept up to date for effective e-governance and information access. 3. FIA was represented at 5 technical working group meetings namely Egmont committee meeting online, FATF ICRG in person and 3 ESAAMLG technical working group meetings. 4. Conducted an ICT infrastructure penetration test & vulnerability assessment to identify, assess and address any existing vulnerabilities. 5. Configured FIA NTR solution to facilitate payment of fines and foster enhanced compliance with the AMLA. 6. Developed and deployed a customized online Public Reporting Portal to permit secure and anonymous reporting of suspected crimes. 7. Operationalized a physical access control & staff time attendance solution. 8. Upgraded the Kaspersky Security Centre. 9. maintained robust databases for effective data governance and data-enabled decision making
PIAP Output: 16070516 Enhanced Technical capability	
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes	
1. An Application Programming Interface to allow for automated Information exchange with relevant MDA's 2. 40 reporting entities on boarded on goAML 3. Installed IP phone solution with advanced call management technology Renewed Software Server Licenses	1) 889 Accountable persons onboarded onto goAML
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	
	<i>US\$ Thousand</i>
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	29,437.300
221002 Workshops, Meetings and Seminars	11,600.000
221008 Information and Communication Technology Supplies.	207,166.243
221017 Membership dues and Subscription fees.	9,821.500
222001 Information and Communication Technology Services.	14,245.000
224009 Classified Expenditure	101,200.000
225101 Consultancy Services	275,000.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
226002 Licenses	249,116.707
227001 Travel inland	8,950.000
227004 Fuel, Lubricants and Oils	39,600.000
228002 Maintenance-Transport Equipment	2,951.475
Total For Budget Output	949,088.225
Wage Recurrent	0.000
Non Wage Recurrent	949,088.225
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	949,088.225
Wage Recurrent	0.000
Non Wage Recurrent	949,088.225
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
N/A	
SubProgramme:03 Policy and Legislation Processes	
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	
<i>Departments</i>	
Department:001 Legal and Corporate Affairs	
Budget Output:460103 Legal Representation and Litigation services	
PIAP Output: 16060305 AML/CFT International standards implemented	
Programme Intervention: 160603 Review and enact appropriate legislation	
1. Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant.	1) Uganda was exited from the FATF list of Jurisdictions under increased monitoring (Grey List), and subsequently from the European Blacklist. 2) The 46th ESAAMLG Taskforce of Senior officials considered Uganda's request for re-rating. Recommendations 1 and 27 were upgraded to Largely compliant and Compliant (LC/C) respectively. 3) The National AML/CTF/CPF Post FATF/ICRG Strategic Action Plan was developed.

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>UShs Thousand</i>
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	34,979.000
221002 Workshops, Meetings and Seminars	149,526.367
221011 Printing, Stationery, Photocopying and Binding	1,700.000
221017 Membership dues and Subscription fees.	10,260.250
224009 Classified Expenditure	170,000.000
227004 Fuel, Lubricants and Oils	39,600.000
Total For Budget Output	406,065.617
Wage Recurrent	0.000
Non Wage Recurrent	406,065.617
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	406,065.617
Wage Recurrent	0.000
Non Wage Recurrent	406,065.617
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
N/A	
SubProgramme:05 Anti-Corruption and Accountability	
Sub SubProgramme:01 Directorate of Finance and Administration	
<i>Departments</i>	
Department:001 Accounts	
Budget Output:000014 Administrative and Support Services	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs **Cumulative Outputs Achieved by End of Quarter****PIAP Output: 16070502 General administration and support services enhanced****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

<ol style="list-style-type: none"> 1. Assets engraved and Board of survey recommendations in stores implemented. 2. Meetings (Finance Committee, Audit committee meetings) facilitated 3. Budget Framework Paper prepared 4. Ministerial policy statement prepared 5. Annual report prepared 	<ol style="list-style-type: none"> 1) Annual Report FY 2022/23 was prepared and printed for dissemination to stakeholders. 2) Prepared and submitted the Budget Framework Paper for FY 2024/24 and subsequently presented it to Parliament. 3) Prepared and submitted the Half Year Financial Statements for FY 2023/24 and submitted to MoFPED and OAG. 4) Prepared and submitted the Monthly (Jul 23 - Feb 24) Procurement and Disposal Reports to PDDA. 5) Contributed to the Half-Year Government Performance Review, through the Governance and Security Program. 6) Prepared and submitted the Ministerial Statement (MPS) FY 2024/25 7) 3 Quarterly budget performance reports were prepared and submitted. 8) 3 physical performance reports were prepared and submitted. 9) The Board was effectively supported in the conduct of its Board business. In effect, 5 Board meetings were facilitated. 10) 26 contract committee meetings were held
---	---

PIAP Output: 16070519 Security personnel trained**Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

2. Staff trained	1) 73 staff trained in various technical areas
------------------	--

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs *US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	66,408.000
211107 Boards, Committees and Council Allowances	369,673.000
221001 Advertising and Public Relations	126,154.800
221002 Workshops, Meetings and Seminars	146,296.980
221003 Staff Training	56,056.400
221009 Welfare and Entertainment	524,009.318
221011 Printing, Stationery, Photocopying and Binding	8,746.080
221017 Membership dues and Subscription fees.	365,103.487
223001 Property Management Expenses	44,800.000
223003 Rent-Produced Assets-to private entities	422,810.590
223004 Guard and Security services	237,205.476
223005 Electricity	37,309.400

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<i>US\$ Thousand</i>	
Item	Spent
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	
224009 Classified Expenditure	145,637.710
227004 Fuel, Lubricants and Oils	349,512.500
228002 Maintenance-Transport Equipment	9,492.841
Total For Budget Output	2,909,216.582
Wage Recurrent	0.000
Non Wage Recurrent	2,909,216.582
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	2,909,216.582
Wage Recurrent	0.000
Non Wage Recurrent	2,909,216.582
Arrears	0.000
<i>AIA</i>	0.000

*Development Projects***Project:1623 Retooling of Financial Intelligence Authority****Budget Output:000003 Facilities and Equipment Management****PIAP Output: 16070503 Retooling of Financial Intelligence Authority****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

- | | |
|--|---|
| <ol style="list-style-type: none"> 1. Installed IP phone solution with advanced call management technology. 2. Laptop computers procured. 3. Procurement of furniture | <ol style="list-style-type: none"> 1) 43 Laptops were procured for new staff |
|--|---|

<i>US\$ Thousand</i>	
Item	Spent
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	
312235 Furniture and Fittings - Acquisition	12,200.000
Total For Budget Output	12,200.000
GoU Development	12,200.000
External Financing	0.000
Arrears	0.000
<i>AIA</i>	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Total For Project	12,200.000
	GoU Development	12,200.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
Sub SubProgramme:02 Directorate of Internal Audit		
<i>Departments</i>		
Department:001 Internal Audit		
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1. Approved workplan by the Board 2. 4 Risk Based Reports Produced 3. Updated Risk Register 4. 2 joint inspections conducted	1) 3 risk based internal audit reports were produced and submitted to the Board Audit and Risk Committee 2) The Internal Audit workplan was developed and approved by board	
PIAP Output: 16070519 Security personnel trained		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
1. Strengthened capacity for staff to fulfil FIA's mandate through training. 2. CPD certificates obtained	1) Payment of annual subscription to professional bodies (ICPAU,ACCA,CIA,IIA,ACAMS) 2) CPD hours obtained through attending (ICPAU,ACCA,IIA) seminars and annual conference	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		<i>US\$ Thousand</i>
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		7,500.000
221002 Workshops, Meetings and Seminars		24,850.000
221003 Staff Training		46,685.641
221017 Membership dues and Subscription fees.		3,894.399
227004 Fuel, Lubricants and Oils		4,200.000
	Total For Budget Output	87,130.040
	Wage Recurrent	0.000
	Non Wage Recurrent	87,130.040
	Arrears	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<i>AIA</i>	0.000
Total For Department	87,130.040
Wage Recurrent	0.000
Non Wage Recurrent	87,130.040
Arrears	0.000
<i>AIA</i>	0.000

Development Projects

N/A

Sub SubProgramme:04 Directorate of Analysis and Monitoring*Departments***Department:001 Strategic Analysis and Statistics****Budget Output:000001 Audit and Risk Management****PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing****Programme Intervention: 160715 Strengthen research and development to address emerging security threats**

1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources.
2. 2 report with findings of the risk assessment disseminated.
3. ML/TF typology studies conducted
4. NRA Action and monitoring plan developed.

- 1) Finalized the tax crimes and proceeds risk assessment. - Provided evidence-based recommendations to address identified deficiencies and boost tax revenue, reduce tax evasion and associated ML crime
- 2) Finalized typologies on motor vehicle dealers and sports betting companies. - The resulting recommendation was to amend the second schedule of the Anti-Money Laundering Act (AMLA) to include motor vehicle dealers and sports betting companies entities as Accountable Persons owing to the respective findings of acceptance of cash, and use of digital wallets and online platforms in sports betting allows for a degree of anonymity
- 3) 1 statistical report was produced
- 4) FIA databases were updated on a regular basis
- 5) Participated in 4 ESAAMLG typologies studies
- 6) The 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports were disseminated at a report dissemination workshop by the Minister of Finance Planning and Economic Development
- 7) NRA monitoring plan was developed

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16080813 Financial due diligence undertaken on investors	
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption	
1. 40 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.	1) Finalized 85% requests (17 of the 20 requests received) for Financial Due Diligence (background and credibility checks).
1. 4 articles published on AML/CFT/CPF in different media houses 2. General public enlightened on administrative sanctions regime through media (electronic and print) 3. 8 public awareness campaigns to promote understanding of ML/TF undertaken	To increase public awareness and understanding of ML/TF matters, the Authority; 1) Employed both mainstream and social media to advance its public awareness campaign on ML/TF/PF matters. 2) Published 7 articles and 4 notices in Print media. 3) Participated in 3 talk shows that included 2 TV talk shows and 1 radio talk show. 4) Developed and disseminated (through New Vision and the Monitor) promotional and informative material in commemoration of the 38th NRM Victory. 5) Undertook High-Level Stakeholder engagements to foster enhanced collaboration and synergies.
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes	
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery	
1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources. 2. 2 report with findings of the risk assessment disseminated. 3. ML/TF typology studies conducted 4. NRA Action and monitoring plan developed.	1) Finalized the tax crimes and proceeds risk assessment. - Provided evidence-based recommendations to address identified deficiencies and boost tax revenue, reduce tax evasion and associated ML crime 2) Finalized typologies on motor vehicle dealers and sports betting companies. - The resulting recommendation was to amend the second schedule of the Anti-Money Laundering Act (AMLA) to include motor vehicle dealers and sports betting companies entities as Accountable Persons owing to the respective findings of acceptance of cash, and use of digital wallets and online platforms in sports betting allows for a degree of anonymity 3) 1 statistical report was produced 4) FIA databases were updated on a regular basis 5) Participated in 4 ESAAMLG typologies studies 6) The 2023 National Risk Assessment and the 2023 NPO TF Risk Assessment Reports were disseminated at a report dissemination workshop by the Minister of Finance Planning and Economic Development 7) NRA monitoring plan was developed

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	151,114.800
221002 Workshops, Meetings and Seminars	93,129.189
221003 Staff Training	81,419.500
221007 Books, Periodicals & Newspapers	2,247.000
221011 Printing, Stationery, Photocopying and Binding	27,146.500
224009 Classified Expenditure	378,500.000
225101 Consultancy Services	44,116.251
227001 Travel inland	3,830.000
227004 Fuel, Lubricants and Oils	4,200.000
228002 Maintenance-Transport Equipment	2,649.683
Total For Budget Output	788,352.923
Wage Recurrent	0.000
Non Wage Recurrent	788,352.923
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	788,352.923
Wage Recurrent	0.000
Non Wage Recurrent	788,352.923
Arrears	0.000
<i>AIA</i>	0.000
Department:002 Operational analysis	
Budget Output:560019 Data Management and Dissemination	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
------------------------	---

PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.

Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption

<ol style="list-style-type: none"> 1. 100 percent analysis of all reports filed by accountable persons 2. Dissemination of financial intelligence reports to LEAs coordinated 3. Intelligence gathering and investigations coordinated 4. Requests for information from other FIUs responded to 	<ol style="list-style-type: none"> 1) The Authority received and analysed; <ol style="list-style-type: none"> a. 1,924 Suspicious Transaction Reports (STR) b. 752 Suspicious Activity Reports (SAR) c. 925 additional information files d. 3,536 aggregated large cash transfer reports e. 1,812 international wire transfer reports f. 5,337 large cash transfer reports 2) 73 intelligence reports were disseminated to Law Enforcement Agencies (LEAs) and Competent Authorities for further management. 3) Responded to 92 of the 112 requests for information from different LEAs and Competent Authorities. These facilitated different processes in the AML/CFT/CPF value chain (investigation and prosecution). 4) FIA was officially designated as the central agency responsible for collecting and maintaining statistics on ML/TF/PF 5) 9 intelligence investigation were coordinated 6) 4 requests for information from other FIUs completed.
---	--

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
--	----------------------

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	121,885.527
221002 Workshops, Meetings and Seminars	19,315.905
221003 Staff Training	175,236.000
221007 Books, Periodicals & Newspapers	2,708.000
221011 Printing, Stationery, Photocopying and Binding	6,585.200
221017 Membership dues and Subscription fees.	42,682.199
224009 Classified Expenditure	3,664,582.160
227001 Travel inland	22,006.400
227004 Fuel, Lubricants and Oils	8,400.000
228002 Maintenance-Transport Equipment	19,923.851
Total For Budget Output	4,083,325.242
Wage Recurrent	0.000
Non Wage Recurrent	4,083,325.242
Arrears	0.000
<i>AIA</i>	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Total For Department	4,083,325.242
	Wage Recurrent	0.000
	Non Wage Recurrent	4,083,325.242
	Arrears	0.000
	<i>AIA</i>	0.000

Development Projects

N/A

Sub SubProgramme:05 Directorate of Compliance and Training*Departments***Department:001 Compliance and Inspection****Budget Output:000023 Inspection and Monitoring****PIAP Output: 16080504 AML/CFT compliance enforced****Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations**

1. 14 Risk Based Onsite inspections conducted
2. 320 Annual Compliance reports, 80 risk assessments, 80 Independent AML/CFT audits and 4 reports from supervisory bodies reviewed.
3. All 16 categories of accountable persons identified.

- 1) Conducted 11 joint onsite inspections in Q3 FY 2023/24 with 6 with IRA, 2 with NGO Bureau and 3 with BoU. The Authority Undertakes periodic Risk-Based onsite inspections on accountable persons to among others ascertain adequacy of internal controls and inform corrective action.
- 2) Furthered the goAML registrations to facilitate automated convenient, easy and secure reporting. - As a result, 1,304 accountable persons registered and obtained e-certificates off the system.
- 3) 20 Annual compliance reports, 40 risk assessments and 71 independent AML audits were reviewed.
- 4) Identified 8 categories of accountable persons across the country.

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws	
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption	
<p>1. 1,000 accountable persons registered and trained on the usage of the goAML system in collaboration with ICT.</p> <p>2. A program to train 7 categories of accountable persons on AML/CFT developed.</p>	<p>1) Furthered the goAML registrations to facilitate automated convenient, easy and secure reporting. - As a result, 889 accountable persons registered and obtained e-certificates off the system</p> <p>2) Enhanced capacity of 1,147 Accountable Persons and Law Enforcement Agencies through 15 targeted trainings on their obligations and ML/TF/PF crimes.</p> <p>3) 1 engagement was held with BOU</p> <p>4) 1 AML/CFT/CPF public awareness campaign was undertaken in Kampala</p> <p>5) Offered guidance to various accountable persons through emails, phone calls, physical meetings and letters on AML/CFT related matters.</p> <p>6) Formed a Supervisory Body Forum (SBF) and also carried out training for all supervisory bodies on their powers and the powers of FIA to impose administrative sanctions</p> <p>7) Prepared a press release titled “clarification on the certificate of registration issued by the Financial Intelligence Authority”</p> <p>8) 6 newspaper articles prepared on AML/CFT/CPF</p> <p>9) 2 radio programs and 3 TV programs coordinated</p>

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	156,371.468
221001 Advertising and Public Relations	23,436.285
221002 Workshops, Meetings and Seminars	159,412.130
221003 Staff Training	70,732.000
221007 Books, Periodicals & Newspapers	628.000
221011 Printing, Stationery, Photocopying and Binding	13,025.000
221017 Membership dues and Subscription fees.	2,103.000
224009 Classified Expenditure	627,000.000
227001 Travel inland	252,303.000
227004 Fuel, Lubricants and Oils	12,250.000
228002 Maintenance-Transport Equipment	14,349.058
Total For Budget Output	1,331,609.941
Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Non Wage Recurrent	1,331,609.941
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	1,331,609.941
	Wage Recurrent	0.000
	Non Wage Recurrent	1,331,609.941
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
	GRAND TOTAL	18,778,132.840
	Wage Recurrent	6,383,188.212
	Non Wage Recurrent	12,382,744.628
	GoU Development	12,200.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Quarter 4: Revised Workplan

Annual Plans	Quarter's Plan	Revised Plans
Programme:16 Governance And Security		
SubProgramme:01		
Sub SubProgramme:01 Directorate of Finance and Administration		
<i>Departments</i>		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
1. Strengthened capacity for staff to fulfil FIA's mandate through training. 2. A staff team building retreat conducted 3. Incentive for the rewards and policy implemented. 4. Governance documents updated	1) Refiling protective gears dispensers done 2) sessions / fumigations done 3) 10 staff trained that include 5 men and 5 women (Ugx 5 million) 4) 100% staff accessing staff welfare on equal term basis 5) 100% of staff paid by the 28th day of every month. 6) 100% of approved FIA structure filled 7) 1 security initiatives put in place 8) Eligible staff provided with security 9) Sensitisation of staff on environmental protection measures 10) Provision of COVID-19 /contagious viruses supplies	1) Refiling protective gears dispensers done 2) sessions / fumigations done 3) 10 staff trained that include 5 men and 5 women (Ugx 5 million) 4) 100% staff accessing staff welfare on equal term basis 5) 100% of staff paid by the 28th day of every month. 6) 100% of approved FIA structure filled 7) 1 security initiatives put in place 8) Eligible staff provided with security 9) Sensitisation of staff on environmental protection measures 10) Provision of COVID-19 /contagious viruses supplies
<i>Development Projects</i>		
N/A		
SubProgramme:02		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
<i>Departments</i>		
Department:001 Systems Administration and Security		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:120007 Support services		
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1. Operational FIA Integrated Data Platform with functionality on Business intelligence, Statistical reports, data warehouse and mining.	1) Software license and Support renewals of 3 softwares 2) Review and Update 1 ICT Governance document in line with the National IT Standards 3) Manage and continually update the FIA website and the Social Media Platforms using Content Management Systems 4) Carry out a data restoration and recovery simulation of the FIA Data center	1) Software license and Support renewals of 3 softwares 2) Review and Update 1 ICT Governance document in line with the National IT Standards 3) Manage and continually update the FIA website and the Social Media Platforms using Content Management Systems 4) Carry out a data restoration and recovery simulation of the FIA Data center
PIAP Output: 16070516 Enhanced Technical capability		
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes		
1. An Application Programming Interface to allow for automated Information exchange with relevant MDA's 2. 40 reporting entities on boarded on goAML 3. Installed IP phone solution with advanced call management technology Renewed Software Server Licenses	Develop Data Warehouse, Data repository and Mining tools for Business Intelligence (BI) to conduct research and advanced analytics on information collected from reporting entities (GIS Tools) to support Big Data Analysis	Develop Data Warehouse, Data repository and Mining tools for Business Intelligence (BI) to conduct research and advanced analytics on information collected from reporting entities (GIS Tools) to support Big Data Analysis
<i>Development Projects</i>		
N/A		
SubProgramme:03		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
<i>Departments</i>		
Department:001 Legal and Corporate Affairs		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:460103 Legal Representation and Litigation services		
PIAP Output: 16060305 AML/CFT International standards implemented		
Programme Intervention: 160603 Review and enact appropriate legislation		
1. Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant.	1) Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant. 2) 1 ICRG progress reports submitted 3) 1 Quarterly reports on the activities of the AML/CFT/CPF taskforce submitted 4) ICRG face to face meeting reports submitted 5) ESAAMLG Follow-up report prepared and submitted. 6) Submit reports on the ESAAMLG meetings 7) FATF Follow-up reports submitted.	1) Outstanding FATF recommendations ratings improved from non-compliant/partial compliant to Largely compliant/compliant. 2) 1 ICRG progress reports submitted 3) 1 Quarterly reports on the activities of the AML/CFT/CPF taskforce submitted 4) ICRG face to face meeting reports submitted 5) ESAAMLG Follow-up report prepared and submitted. 6) Submit reports on the ESAAMLG meetings 7) FATF Follow-up reports submitted.
<i>Development Projects</i>		
N/A		
SubProgramme:05		
Sub SubProgramme:01 Directorate of Finance and Administration		
<i>Departments</i>		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		
PIAP Output: 16070502 General administration and support services enhanced		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
1. Assets engraved and Board of survey recommendations in stores implemented. 2. Meetings (Finance Committee, Audit committee meetings) facilitated 3. Budget Framework Paper prepared 4. Ministerial policy statement prepared 5. Annual report prepared	1) Q3 budget performance report prepared 2) Q3 FIA physical performance report prepared. 3) Final budget estimates prepared. 4) Meetings (Finance Committee, Audit committee meetings) facilitated 5) 2 reports produced i.e. Financial Statements, Annual Board of survey reports and Audit reports 6) 2 Board meetings facilitated 7) 5 contract committee meetings held	1) Q3 budget performance report prepared 2) Q3 FIA physical performance report prepared. 3) Final budget estimates prepared. 4) Meetings (Finance Committee, Audit committee meetings) facilitated 5) 2 reports produced i.e. Financial Statements, Annual Board of survey reports and Audit reports 6) 2 Board meetings facilitated 7) 5 contract committee meetings held
PIAP Output: 16070519 Security personnel trained		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
2. Staff trained	NA	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Plans	Quarter's Plan	Revised Plans
--------------	----------------	---------------

*Development Projects***Project:1623 Retooling of Financial Intelligence Authority****Budget Output:000003 Facilities and Equipment Management****PIAP Output: 16070503 Retooling of Financial Intelligence Authority****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

1. Installed IP phone solution with advanced call management technology.
 2. Laptop computers procured.
 3. Procurement of furniture

Sub SubProgramme:02 Directorate of Internal Audit*Departments***Department:001 Internal Audit****Budget Output:000001 Audit and Risk Management****PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls****Programme Intervention: 160715 Strengthen research and development to address emerging security threats**

1. Approved workplan by the Board
 2. 4 Risk Based Reports Produced
 3. Updated Risk Register
 4. 2 joint inspections conducted

1) 1 Risk Based Report Produced 2) Update of Risk Register 3) 1 joint inspection conducted

1) 1 Risk Based Report Produced 2) Update of Risk Register 3) 1 joint inspection conducted

PIAP Output: 16070519 Security personnel trained**Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

1. Strengthened capacity for staff to fulfil FIA's mandate through training.
 2. CPD certificates obtained

Development Projects

N/A

Sub SubProgramme:04 Directorate of Analysis and Monitoring*Departments***Department:001 Strategic Analysis and Statistics**

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources. 2. 2 report with findings of the risk assessment disseminated. 3. ML/TF typology studies conducted 4. NRA Action and monitoring plan developed.	1) 1 statistical report produced 2) FIA databases updated on a regular basis	1) 1 statistical report produced 2) FIA databases updated on a regular basis
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
1. 40 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.	1) 10 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.	1) 10 financial due diligence reports produced on entities intending to partner with Government to undertake key investment projects.
1. 4 articles published on AML/CFT/CPF in different media houses 2. General public enlightened on administrative sanctions regime through media (electronic and print) 3. 8 public awareness campaigns to promote understanding of ML/TF undertaken	1) 1 article published on AML/CFT/CPF in different media houses 2) General public enlightened on administrative sanctions regime through media (electronic and print) 3) 2 public awareness campaigns to promote understanding of ML/TF undertaken	1) 1 article published on AML/CFT/CPF in different media houses 2) General public enlightened on administrative sanctions regime through media (electronic and print) 3) 2 public awareness campaigns to promote understanding of ML/TF undertaken
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes		
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery		
1. ML/TF/PF risk assessment of sectors conducted as informed by the NRA report and other sources. 2. 2 report with findings of the risk assessment disseminated. 3. ML/TF typology studies conducted 4. NRA Action and monitoring plan developed.	1) 1 statistical report produced 2) FIA databases updated on a regular basis 3) Relevant ML/TF/PF statistics compiled. 4) compile AML/CFT historical data from all AML/CFT stakeholders	1) 1 statistical report produced 2) FIA databases updated on a regular basis 3) Relevant ML/TF/PF statistics compiled. 4) compile AML/CFT historical data from all AML/CFT stakeholders
Department:002 Operational analysis		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:560019 Data Management and Dissemination		
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
1. 100 percent analysis of all reports filed by accountable persons 2. Dissemination of financial intelligence reports to LEAs coordinated 3. Intelligence gathering and investigations coordinated 4. Requests for information from other FIUs responded to	1) 100 percent analysis of all reports filed by accountable persons 2) Dissemination of 20 financial intelligence reports to LEAs coordinated 3) Intelligence gathering and investigations coordinated 4) Requests for information from other FIUs responded to	1) 100 percent analysis of all reports filed by accountable persons 2) Dissemination of 20 financial intelligence reports to LEAs coordinated 3) Intelligence gathering and investigations coordinated 4) Requests for information from other FIUs responded to
<i>Development Projects</i>		
N/A		
Sub SubProgramme:05 Directorate of Compliance and Training		
<i>Departments</i>		
Department:001 Compliance and Inspection		
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080504 AML/CFT compliance enforced		
Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations		
1. 14 Risk Based Onsite inspections conducted 2. 320 Annual Compliance reports, 80 risk assessments, 80 Independent AML/CFT audits and 4 reports from supervisory bodies reviewed. 3. All 16 categories of accountable persons identified.	1) Conduct 3 Risk Based Onsite inspections 2) Review of annual compliance reports, risk assessments and independent AML/CFT audits 3) Identify 4 categories of accountable persons across the country	1) Conduct 3 Risk Based Onsite inspections 2) Review of annual compliance reports, risk assessments and independent AML/CFT audits 3) Identify 4 categories of accountable persons across the country

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
<p>1. 1,000 accountable persons registered and trained on the usage of the goAML system in collaboration with ICT.</p> <p>2. A program to train 7 categories of accountable persons on AML/CFT developed.</p>	<p>1) 250 accountable persons from across the country registered and trained on the usage of the goAML system in collaboration with ICT.</p> <p>2) guidance given to 250 accountable persons from across the country on AML/CFT</p> <p>3) 2 engagements held with supervisory bodies</p> <p>4) 2 AML/CFT/CPF public awareness campaigns undertaken in Northern Uganda</p> <p>5) 1 article developed and prepared on AML/CFT.</p> <p>6) 1 TV/Radio awareness program coordinated</p>	<p>1) 250 accountable persons from across the country registered and trained on the usage of the goAML system in collaboration with ICT.</p> <p>2) guidance given to 250 accountable persons from across the country on AML/CFT</p> <p>3) 2 engagements held with supervisory bodies</p> <p>4) 2 AML/CFT/CPF public awareness campaigns undertaken in Northern Uganda</p> <p>5) 1 article developed and prepared on AML/CFT.</p> <p>6) 1 TV/Radio awareness program coordinated</p>
<i>Development Projects</i>		
N/A		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

V4: NTR Collections, Off Budget Expenditure and Vote Cross Cutting Issues**Table 4.1: NTR Collections (Billions)**

Revenue Code	Revenue Name	Planned Collection FY2023/24	Actuals By End Q3
143201	Other fines and Penalties – private	0.500	0.000
142119	Sale of bid documents-From Private Entities	0.300	0.002
Total		0.800	0.002

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Table 4.2: Off-Budget Expenditure By Department and Project

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Table 4.3: Vote Crosscutting Issues

i) Gender and Equity

Objective:	Gender and equity mainstreaming in FIA operations
Issue of Concern:	Marginalization of vulnerable groups nationally
Planned Interventions:	1. Provide appropriate facilities for PWDs 2. Mainstream gender and equity issues in departmental reporting. 3. Conducting public awareness campaigns in all regions of Uganda 4. Mainstreaming gender and equity issues in planning and budgeting instruments.
Budget Allocation (Billion):	0.010
Performance Indicators:	Level of compliance of FIA to gender and equity budgeting
Actual Expenditure By End Q3	0.01
Performance as of End of Q3	Gender and equity issues incorporated in Ministerial Policy Statement FY 2024/25 and departmental reporting. Facilities were provided for PWDs
Reasons for Variations	Outputs on track as planned

ii) HIV/AIDS

Objective:	Reduce the spread of HIV/AIDS among FIA staff
Issue of Concern:	The Government target of eradicating HIV/AIDS in the population is not yet met
Planned Interventions:	Continue with the implementation of the HIV/AIDS policy. Conducting HIV/AIDS sensitization sessions for all staff. Provision of condoms to staff.
Budget Allocation (Billion):	0.010
Performance Indicators:	Number of HIV/AIDS awareness sessions conducted
Actual Expenditure By End Q3	0.01
Performance as of End of Q3	3 awareness sessions to sensitize staff on HIV/AIDS carried out; Continued Implementation of HIV/AIDS policy
Reasons for Variations	Outputs on track as planned

iii) Environment

Objective:	To mainstream environmental concerns during office operations
Issue of Concern:	Climate change is a threat to the future generation.
Planned Interventions:	1. Proper waste disposal in office 2. Promotion of energy use efficiency through use of renewable energy like natural lighting and air conditioning 3. Embracing the use of digital communication and workflows to reduce paper trail & paper waste management
Budget Allocation (Billion):	0.001
Performance Indicators:	Number of offices using renewable energy

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Actual Expenditure By End Q3	0.001
Performance as of End of Q3	Proper waste disposal adhered to. Use of natural lighting to save energy during the day. Digital communication was embraced to reduce on paper trail.
Reasons for Variations	Outputs on track as planned

iv) Covid

Objective:	To reduce the spread of COVID 19 among staff
Issue of Concern:	The spread COVID 19 is still within the population. New variants are still a threat to mankind.
Planned Interventions:	1. Ensure a safe work environment by provision of COVID-19 essentials such as office sanitizers, disinfectants, masks for guards and visual information.
Budget Allocation (Billion):	0.015
Performance Indicators:	Number of COVID 19 supplies procured.
Actual Expenditure By End Q3	0.015
Performance as of End of Q3	Staff were continually sensitised on COVID 19, COVID 19 SOPs were enforced and adhered to, Covid 19 supplies were refilled and are accessible to all staff
Reasons for Variations	Outputs on track as planned