

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

***V1: Summary of Issues in Budget Execution*****Table V1.1: Overview of Vote Expenditures (US\$ Billion)**

	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% Budget Released	% Budget Spent	% Releases Spent	
Recurrent	Wage	9.594	9.594	2.399	2.173	25.0 %	23.0 %	90.6 %
	Non-Wage	23.453	23.453	5.596	4.387	24.0 %	18.7 %	78.4 %
Dev.	GoU	0.656	0.656	0.000	0.000	0.0 %	0.0 %	0.0 %
	Ext Fin.	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
<b>GoU Total</b>		<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.560</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.1 %</b>
<b>Total GoU+Ext Fin (MTEF)</b>		<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.560</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.1 %</b>
Arrears		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
<b>Total Budget</b>		<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.560</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.1 %</b>
<i>A.I.A Total</i>		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
<b>Grand Total</b>		<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.560</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.1 %</b>
<b>Total Vote Budget Excluding Arrears</b>		<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.560</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.1 %</b>

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**Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme\***

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% Budget Released	% Budget Spent	%Releases Spent
<b>Programme:16 Governance And Security</b>	<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.559</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.0%</b>
Sub SubProgramme:01 Directorate of Finance and Administration	23.653	23.653	4.974	3.886	21.0 %	16.4 %	78.1%
Sub SubProgramme:02 Directorate of Internal Audit	0.170	0.170	0.023	0.023	13.5 %	13.5 %	100.0%
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.504	1.504	0.508	0.180	33.8 %	12.0 %	35.4%
Sub SubProgramme:04 Directorate of Analysis and Monitoring	5.234	5.234	1.624	1.622	31.0 %	31.0 %	99.9%
Sub SubProgramme:05 Directorate of Compliance and Training	1.082	1.082	0.135	0.134	12.5 %	12.4 %	99.3%
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	2.061	2.061	0.731	0.714	35.5 %	34.6 %	97.7%
<b>Total for the Vote</b>	<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.559</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.0 %</b>

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**Table V1.3: High Unspent Balances and Over-Expenditure in the Approved Budget (Ushs Bn)***(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:01 Directorate of Finance and Administration****Sub Programme: 01 Institutional Coordination****0.745** Bn Shs Department : 002 Human resource registry and security

Reason: The unspent balance is mainly attributed to payment of rent whose invoice had not yet been submitted by the landlord. The rest related to welfare activities that were still ongoing by the end of the Quarter and services consumed waiting for invoices that included guard and security services, electricity and maintenance of motor vehicles.

*Items***0.429** UShs 223003 Rent-Produced Assets-to private entities

Reason: This was waiting for the landlord to submit invoices to process payment

**0.158** UShs 221009 Welfare and Entertainment

Reason: Activities were ongoing by the end of the Quarter

**0.026** UShs 228002 Maintenance-Transport Equipment

Reason: Services consumed waiting for invoices to process payments

**0.026** UShs 223005 Electricity

Reason: Services consumed waiting for invoices to process payments

**0.019** UShs 223004 Guard and Security services

Reason: Services consumed waiting for invoices to process payments

**Sub Programme: 05 Anti-Corruption and Accountability****0.117** Bn Shs Department : 001 Accounts

Reason: 0

The unspent relates to staff trainings that were still being undertaken by the end of the Quarter, and services like welfare and printing that were waiting to be invoiced

*Items***0.077** UShs 221003 Staff Training

Reason: The staff trainings were crossing over to Q2

**0.015** UShs 221011 Printing, Stationery, Photocopying and Binding

Reason: This relates to printing services consumed that were waiting for invoices by the end of the Quarter

**0.015** UShs 221009 Welfare and Entertainment

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*(i) Major unspent balances***Departments , Projects****Programme:16 Governance And Security****Sub SubProgramme:01 Directorate of Finance and Administration****Sub Programme: 05 Anti-Corruption and Accountability**

Reason: This relates to welfare services consumed that were waiting for invoices by the end of the Quarter

**Sub SubProgramme:03 Directorate of Systems Administration and Security****Sub Programme: 02 Security****0.328** Bn Shs Department : 001 Systems Administration and Security

Reason: The unspent mainly relates to services consumed whose invoices had not yet been received for processing that include licenses and maintenance. However, the consultancy for the development of the Application Programming Interface (API) was still at the evaluation stage of the procurement process.

*Items***0.168** UShs 226002 Licenses

Reason: Services consumed waiting for invoices to process payments

**0.143** UShs 225101 Consultancy Services

Reason: Procurement delays. The consultancy for the development of the Application Programming Interface (API) was still at the evaluation stage of the procurement process.

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***V2: Performance Highlights*****Table V2.1: PIAP outputs and output Indicators**

<b>Programme:16 Governance And Security</b>				
SubProgramme:01 Institutional Coordination				
Sub SubProgramme:01 Directorate of Finance and Administration				
<b>Department:002 Human resource registry and security</b>				
Budget Output: 000005 Human Resource Management				
<b>PIAP Output: 16060201 Human Resources Management Services provided</b>				
<b>Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2024/25</b>	<b>Actuals By END Q 1</b>
No of staff trained	Number	75	30	
No. of staff receiving salary by the 28th day of each month	Number	88	84	
SubProgramme:02 Security				
Sub SubProgramme:03 Directorate of Systems Administration and Security				
<b>Department:001 Systems Administration and Security</b>				
Budget Output: 120007 Support services				
<b>PIAP Output: 16070516 Enhanced Technical capability</b>				
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2024/25</b>	<b>Actuals By END Q 1</b>
Value of security equipment acquired (bn)	Value	0.656	0	
SubProgramme:03 Policy and Legislation Processes				
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations				
<b>Department:001 Legal and Corporate Affairs</b>				
Budget Output: 460103 Legal Representation and Litigation services				
<b>PIAP Output: 16060305 AML/CFT International standards implemented</b>				
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2024/25</b>	<b>Actuals By END Q 1</b>
Number of FATF Technical Compliance recommendations re-rated	Number	6	4	

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<b>Programme:16 Governance And Security</b>				
SubProgramme:05 Anti-Corruption and Accountability				
Sub SubProgramme:01 Directorate of Finance and Administration				
<b>Project:1623 Retooling of Financial Intelligence Authority</b>				
Budget Output: 000003 Facilities and Equipment Management				
<b>PIAP Output: 16070520 Enhanced Technical capability</b>				
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2024/25</b>	<b>Actuals By END Q 1</b>
Value of security equipment acquired (bn)	Value	0.656	0	
Sub SubProgramme:04 Directorate of Analysis and Monitoring				
<b>Department:001 Strategic Analysis and Statistics</b>				
Budget Output: 000001 Audit and Risk Management				
<b>PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing</b>				
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2024/25</b>	<b>Actuals By END Q 1</b>
Number of studies under taken per year and results disseminated to stakeholders	Number	4	0	
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>				
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2024/25</b>	<b>Actuals By END Q 1</b>
Number of engagements with the public on matters related to ML/TF/PF	Number	12	4	
<b>PIAP Output: 16080813 Financial due diligence undertaken on investors</b>				
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2024/25</b>	<b>Actuals By END Q 1</b>
No . of financial due diligence reports produced	Number	40	5	
<b>Department:002 Operational analysis</b>				
Budget Output: 560019 Data Management and Dissemination				
<b>PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.</b>				
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>				
<b>PIAP Output Indicators</b>		<b>Indicator Measure</b>	<b>Planned 2024/25</b>	<b>Actuals By END Q 1</b>
No. of reports disseminated	Number	80	28	

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<b>Programme:16 Governance And Security</b>			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:05 Directorate of Compliance and Training			
<b>Department:001 Compliance and Inspection</b>			
Budget Output: 000023 Inspection and Monitoring			
<b>PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws</b>			
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>			
<b>PIAP Output Indicators</b>	<b>Indicator Measure</b>	<b>Planned 2024/25</b>	<b>Actuals By END Q 1</b>
Number of sanctions issued for non compliance with the AML/CFT/CPF standard	Number	10	10

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## Performance highlights for the Quarter

- 1) Received and analyzed 10,233 reports. Analysis of these reports received realized 76 intelligence reports (28 proactive and 48 reactive) that were disseminated to Law Enforcement Agencies and Competent Authorities for further management. Of these 26 were relating to suspicion of terrorism financing.
- 2) Undertook 2 risk based onsite inspections on Accountable Persons in the insurance sector to identify potential risks and vulnerabilities in the sector and inform corrective action.
- 3) Conducted 15 trainings for Accountable Persons that enhanced capacity of 1,366 participants (803 male and 563 female) on ML/TF/PF crimes and their obligations in the fight against the same.
- 4) Carried out goAML training and on boarding for 30 Insurance companies and 50 Insurance brokers licensed by the Insurance Regulatory Authority (IRA). This contributed to 229 new goAML users registered on goAML in Q1.
- 5) Responded to 48 of the 71 requests for information from different LEAs and Competent Authorities.
- 6) Upgrade of 4 FATF recommendations, following Uganda's 14th Follow Up Report (FUR) to the ESAAMLG. As a result, Uganda is now rated Compliant on 17 recommendations, Largely compliant on 12 Partially compliant on 7 and Non-compliant on 4 recommendations.
- 7) Prepared and submitted Uganda's 7th Request for Re-rating Report to the ESAAMLG Secretariat.
- 8) Published 10 positive articles and 2 expert opinions in various national newspapers
- 9) Undertook a media dialogue aimed at increasing public understanding of AML/CFT/CPF, which attracted 62 journalists from various media outlets
- 10) Finalized 62.5% (5 out of 8) Financial Due diligence (background and credibility checks) requests from various MDAs. In totality, the requests handled involved proposed projects worth over USD 41 billion.

## Variations and Challenges

- 1) Insufficient budgetary allocations to execute the mandate
- 2) Existing gaps in the legal framework makes it hard to implement several AML/CFT/CPF initiatives.
- 3) Existence of a large informal sector/ cash-based economy. This makes it hard to trace the financial transactions.
- 4) Existence of a long porous border that poses significant challenges in the declaration of cash and bearer negotiable instruments.
- 5) Non-release of development funds, resulting in non-acquisition of planned fixed operational assets.



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***V3: Details of Releases and Expenditure*****Table V3.1: GoU Releases and Expenditure by Budget Output\***

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
<b>Programme:16 Governance And Security</b>	<b>33.678</b>	<b>33.678</b>	<b>7.995</b>	<b>6.559</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.0 %</b>
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>	<b>23.628</b>	<b>23.628</b>	<b>4.974</b>	<b>3.886</b>	<b>21.1 %</b>	<b>16.4 %</b>	<b>78.1 %</b>
000003 Facilities and Equipment Management	0.656	0.656	0.000	0.000	0.0 %	0.0 %	
000005 Human Resource Management	18.467	18.467	4.030	3.059	21.8 %	16.6 %	75.9 %
000014 Administrative and Support Services	4.505	4.505	0.944	0.827	21.0 %	18.4 %	87.6 %
<b>Sub SubProgramme:02 Directorate of Internal Audit</b>	<b>0.170</b>	<b>0.170</b>	<b>0.023</b>	<b>0.023</b>	<b>13.5 %</b>	<b>13.5 %</b>	<b>100.0 %</b>
000001 Audit and Risk Management	0.170	0.170	0.023	0.023	13.5 %	13.5 %	100.0 %
<b>Sub SubProgramme:03 Directorate of Systems Administration and Security</b>	<b>1.504</b>	<b>1.504</b>	<b>0.508</b>	<b>0.180</b>	<b>33.8 %</b>	<b>12.0 %</b>	<b>35.4 %</b>
120007 Support services	1.504	1.504	0.508	0.180	33.8 %	12.0 %	35.4 %
<b>Sub SubProgramme:04 Directorate of Analysis and Monitoring</b>	<b>5.234</b>	<b>5.234</b>	<b>1.624</b>	<b>1.622</b>	<b>31.0 %</b>	<b>31.0 %</b>	<b>99.9 %</b>
000001 Audit and Risk Management	0.873	0.873	0.142	0.141	16.3 %	16.1 %	99.3 %
560019 Data Management and Dissemination	4.361	4.361	1.482	1.481	34.0 %	34.0 %	99.9 %
<b>Sub SubProgramme:05 Directorate of Compliance and Training</b>	<b>1.082</b>	<b>1.082</b>	<b>0.135</b>	<b>0.134</b>	<b>12.5 %</b>	<b>12.4 %</b>	<b>99.3 %</b>
000023 Inspection and Monitoring	1.082	1.082	0.135	0.134	12.5 %	12.4 %	99.3 %
<b>Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations</b>	<b>2.061</b>	<b>2.061</b>	<b>0.731</b>	<b>0.714</b>	<b>35.5 %</b>	<b>34.6 %</b>	<b>97.7 %</b>
460103 Legal Representation and Litigation services	2.061	2.061	0.731	0.714	35.5 %	34.6 %	97.7 %
<b>Total for the Vote</b>	<b>33.678</b>	<b>33.703</b>	<b>7.995</b>	<b>6.559</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.0 %</b>

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Table V3.2: GoU Expenditure by Item 2024/25 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
211102 Contract Staff Salaries	9.594	9.594	2.399	2.173	25.0 %	22.6 %	90.6 %
211104 Employee Gratuity	2.399	2.399	0.357	0.357	14.9 %	14.9 %	100.0 %
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	1.031	1.031	0.185	0.184	17.9 %	17.8 %	99.5 %
211107 Boards, Committees and Council Allowances	0.469	0.469	0.117	0.117	24.9 %	24.9 %	100.0 %
212101 Social Security Contributions	1.439	1.439	0.360	0.294	25.0 %	20.4 %	81.7 %
212102 Medical expenses (Employees)	0.432	0.432	0.000	0.000	0.0 %	0.0 %	0.0 %
212103 Incapacity benefits (Employees)	0.035	0.035	0.009	0.006	25.7 %	17.1 %	66.7 %
221001 Advertising and Public Relations	0.289	0.289	0.017	0.016	5.9 %	5.5 %	94.1 %
221002 Workshops, Meetings and Seminars	1.254	1.254	0.163	0.160	13.0 %	12.8 %	98.2 %
221003 Staff Training	1.322	1.322	0.247	0.167	18.7 %	12.6 %	67.6 %
221004 Recruitment Expenses	0.050	0.050	0.013	0.006	26.0 %	12.0 %	46.2 %
221006 Commissions and related charges	0.280	0.280	0.070	0.067	25.0 %	23.9 %	95.7 %
221007 Books, Periodicals & Newspapers	0.012	0.012	0.002	0.002	17.2 %	17.2 %	100.0 %
221008 Information and Communication Technology Supplies.	0.070	0.070	0.010	0.000	14.3 %	0.0 %	0.0 %
221009 Welfare and Entertainment	1.409	1.409	0.218	0.045	15.5 %	3.2 %	20.6 %
221011 Printing, Stationery, Photocopying and Binding	0.227	0.227	0.058	0.038	25.6 %	16.8 %	65.5 %
221012 Small Office Equipment	0.019	0.019	0.002	0.000	10.5 %	0.0 %	0.0 %
221017 Membership dues and Subscription fees.	0.637	0.637	0.438	0.436	68.7 %	68.4 %	99.5 %
221020 Litigation and related expenses	0.020	0.020	0.005	0.003	25.0 %	15.0 %	60.0 %
222001 Information and Communication Technology Services.	0.016	0.016	0.004	0.004	25.0 %	25.0 %	100.0 %
222002 Postage and Courier	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
223001 Property Management Expenses	0.084	0.084	0.021	0.018	25.0 %	21.4 %	85.7 %
223003 Rent-Produced Assets-to private entities	1.716	1.716	0.429	0.000	25.0 %	0.0 %	0.0 %
223004 Guard and Security services	0.318	0.318	0.080	0.060	25.1 %	18.8 %	75.0 %
223005 Electricity	0.144	0.144	0.036	0.010	25.0 %	6.9 %	27.8 %
224004 Beddings, Clothing, Footwear and related Services	0.014	0.014	0.000	0.000	0.0 %	0.0 %	0.0 %

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
224009 Classified Expenditure	6.639	6.639	1.897	1.897	28.6 %	28.6 %	100.0 %
224011 Research Expenses	0.010	0.010	0.003	0.000	30.0 %	0.0 %	0.0 %
225101 Consultancy Services	0.565	0.565	0.300	0.151	53.1 %	26.7 %	50.3 %
226001 Insurances	0.311	0.311	0.000	0.000	0.0 %	0.0 %	0.0 %
226002 Licenses	0.900	0.900	0.225	0.057	25.0 %	6.3 %	25.3 %
227001 Travel inland	0.406	0.406	0.097	0.090	23.9 %	22.2 %	92.8 %
227004 Fuel, Lubricants and Oils	0.760	0.760	0.190	0.190	25.0 %	25.0 %	100.0 %
228002 Maintenance-Transport Equipment	0.135	0.135	0.034	0.008	25.2 %	5.9 %	23.5 %
228003 Maintenance-Machinery & Equipment Other than Transport Equipment	0.040	0.040	0.010	0.004	25.0 %	10.0 %	40.0 %
312221 Light ICT hardware - Acquisition	0.111	0.111	0.000	0.000	0.0 %	0.0 %	0.0 %
312235 Furniture and Fittings - Acquisition	0.199	0.199	0.000	0.000	0.0 %	0.0 %	0.0 %
312423 Computer Software - Acquisition	0.346	0.346	0.000	0.000	0.0 %	0.0 %	0.0 %
<b>Total for the Vote</b>	<b>33.703</b>	<b>33.703</b>	<b>7.996</b>	<b>6.560</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.0 %</b>

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Table V3.3: Releases and Expenditure by Department and Project\*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
<b>Programme:16 Governance And Security</b>	<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.559</b>	<b>23.72 %</b>	<b>19.46 %</b>	<b>82.04 %</b>
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>	<b>23.653</b>	<b>23.653</b>	<b>4.974</b>	<b>3.886</b>	<b>21.03 %</b>	<b>16.43 %</b>	<b>78.1 %</b>
<b>Departments</b>							
001 Accounts	4.505	4.505	0.944	0.827	21.0 %	18.4 %	87.6 %
002 Human resource registry and security	18.492	18.492	4.030	3.059	21.8 %	16.5 %	75.9 %
<b>Development Projects</b>							
1623 Retooling of Financial Intelligence Authority	0.656	0.656	0.000	0.000	0.0 %	0.0 %	0.0 %
<b>Sub SubProgramme:02 Directorate of Internal Audit</b>	<b>0.170</b>	<b>0.170</b>	<b>0.023</b>	<b>0.023</b>	<b>13.53 %</b>	<b>13.53 %</b>	<b>100.0 %</b>
<b>Departments</b>							
001 Internal Audit	0.170	0.170	0.023	0.023	13.5 %	13.5 %	100.0 %
<b>Development Projects</b>							
N/A							
<b>Sub SubProgramme:03 Directorate of Systems Administration and Security</b>	<b>1.504</b>	<b>1.504</b>	<b>0.508</b>	<b>0.180</b>	<b>33.77 %</b>	<b>11.97 %</b>	<b>35.4 %</b>
<b>Departments</b>							
001 Systems Administration and Security	1.504	1.504	0.508	0.180	33.8 %	12.0 %	35.4 %
<b>Development Projects</b>							
N/A							
<b>Sub SubProgramme:04 Directorate of Analysis and Monitoring</b>	<b>5.234</b>	<b>5.234</b>	<b>1.624</b>	<b>1.622</b>	<b>31.03 %</b>	<b>30.99 %</b>	<b>99.9 %</b>
<b>Departments</b>							
001 Strategic Analysis and Statistics	0.873	0.873	0.142	0.141	16.3 %	16.1 %	99.3 %
002 Operational analysis	4.361	4.361	1.482	1.481	34.0 %	34.0 %	99.9 %
<b>Development Projects</b>							
N/A							
<b>Sub SubProgramme:05 Directorate of Compliance and Training</b>	<b>1.082</b>	<b>1.082</b>	<b>0.135</b>	<b>0.134</b>	<b>12.48 %</b>	<b>12.39 %</b>	<b>99.3 %</b>
<b>Departments</b>							
001 Compliance and Inspection	1.082	1.082	0.135	0.134	12.5 %	12.4 %	99.3 %

**VOTE: 129 Financial Intelligence Authority (FIA)**

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
<b>Programme:16 Governance And Security</b>	<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.559</b>	<b>23.72 %</b>	<b>19.46 %</b>	<b>82.04 %</b>
<i>Development Projects</i>							
N/A							
<b>Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations</b>	<b>2.061</b>	<b>2.061</b>	<b>0.731</b>	<b>0.714</b>	<b>35.47 %</b>	<b>34.64 %</b>	<b>97.7 %</b>
<i>Departments</i>							
001 Legal and Corporate Affairs	2.061	2.061	0.731	0.714	35.5 %	34.6 %	97.7 %
<i>Development Projects</i>							
N/A							
<b>Total for the Vote</b>	<b>33.703</b>	<b>33.703</b>	<b>7.995</b>	<b>6.559</b>	<b>23.7 %</b>	<b>19.5 %</b>	<b>82.0 %</b>

**VOTE:** 129 Financial Intelligence Authority (FIA)

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Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

**VOTE: 129 Financial Intelligence Authority (FIA)**

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**Quarter 1: Outputs and Expenditure in the Quarter**

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Programme:16 Governance And Security</b>		
<b>SubProgramme:01 Institutional Coordination</b>		
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>		
<i>Departments</i>		
<b>Department:002 Human resource registry and security</b>		
<b>Budget Output:000005 Human Resource Management</b>		
<b>PIAP Output: 16060201 Human Resources Management Services provided</b>		
<b>Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff</b>		
<p>1) Undertake the FY2023/24 Annual staff performance assessment. 2) Recruit staff as per the FY 2024/25 recruitment plan. 3) Implement the FY 2024/25 annual training plan. 4) Maintain membership in professional bodies. 5) Annual performance assessment report for FY 2023/24 produced and presented to Management and Board. 6) Competent staff recruited as per the FY 2024/25 approved recruitment plan. 7) Staff trained in pertinent technical areas informed from the Training Needs 8) Analysis. 9) Annual staff and institutional membership/subscription/participation in professional bodies maintained.</p>	<ul style="list-style-type: none"> <li>• All staff completed their annual appraisals for the FY 2023/24</li> <li>• Three (3) vacant positions within the FIA structure namely; Director Analysis and Monitoring, Director Compliance and Training and Manager Compliance and Inspection were filled through internal recruitment.</li> <li>• FIA also carried out recruitment of 2 support staff that further strengthened staff capacity.</li> <li>• 30 staff were trained in technical areas like Strategic Analysis, Counter Terrorism, Blockchain Analytics, and Tactical Analysis</li> <li>• The Annual performance assessment report for FY 2023/24 produced and presented to Management and Board.</li> <li>• Staff membership in professional bodies was maintained.</li> </ul>	Outputs achieved as planned
<p>1) Maintain a safe and conducive work environment. 2) Full time office and entitled staff security services maintained. 3) Authority premises maintained in clean and good condition.</p>	<ul style="list-style-type: none"> <li>• Armed Police officers were deployed during day and night shift to provide security to the FIA offices</li> <li>• The Authority contracted a service provider to provide cleaning services to the Authority's offices. The Offices have been maintained in a clean and habitable state at all times</li> </ul>	Outputs achieved as planned

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>PIAP Output: 16060201 Human Resources Management Services provided</b>		
<b>Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff</b>		
1) Maintain Authority machinery, equipment, and furniture. 2) Conduct staff team building, and health and awareness campaigns. 3) Well maintained Authority Vehicles, and up-to-date vehicle utilisation report. 4) Staff engaged in health & wellness, and Team building activities.	<ul style="list-style-type: none"> <li>• Authority machinery, equipment, and furniture were maintained in good condition</li> <li>• 10 vehicles in good mechanical conditions to support business operations.</li> <li>• One health awareness campaign was held for all FIA female staff.</li> <li>• The Authority provides weekly wellness sessions for staff.</li> </ul>	The staff team building retreat is scheduled for Q2
1) Provision of COVID-19 /contagious viruses /supplies and first AID box	<ul style="list-style-type: none"> <li>• Various First aid boxes supplied at FIA premises</li> <li>• Sanitizer to prevent the spread of COVID 19 was supplied to all FIA staff through regular refiling of dispensers'</li> </ul>	Output achieved as planned

<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
211102 Contract Staff Salaries		2,172,549.685
211104 Employee Gratuity		357,000.000
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		9,913.333
212101 Social Security Contributions		293,540.000
212103 Incapacity benefits (Employees)		5,560.000
221004 Recruitment Expenses		6,030.000
221009 Welfare and Entertainment		44,691.164
221017 Membership dues and Subscription fees.		250.893
223001 Property Management Expenses		18,209.889
223004 Guard and Security services		60,487.685
223005 Electricity		10,000.000
227004 Fuel, Lubricants and Oils		73,350.000
228002 Maintenance-Transport Equipment		7,511.190
	<b>Total For Budget Output</b>	<b>3,059,093.839</b>
	Wage Recurrent	2,172,549.685
	Non Wage Recurrent	886,544.154
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>3,059,093.839</b>



**VOTE: 129 Financial Intelligence Authority (FIA)**

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Wage Recurrent	2,172,549.685
	Non Wage Recurrent	886,544.154
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
<b>SubProgramme:02 Security</b>		
<b>Sub SubProgramme:03 Directorate of Systems Administration and Security</b>		
<i>Departments</i>		
<b>Department:001 Systems Administration and Security</b>		
<b>Budget Output:120007 Support services</b>		
<b>PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
(i) Develop and deploy the Application Programming Interface (API) to permit automated Information exchange with relevant MDA's	None	Procurement of a consultant to develop the API was at evaluation stage by the end of the Quarter.
(i) Up-to-date Software Server Licenses to maintain functionalities and support of all FIA software	• Atlassian software licenses were renewed	Outputs achieved as planned
<b>PIAP Output: 16070516 Enhanced Technical capability</b>		
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>		
(i) 20 Reporting Entities trained and onboarded on goAML (ii) 4 new stake holders on boarded on goAML i.e ODPP, UWA, Counter Terrorism etc	• Compliance training and goAML on boarding for 80 Reporting Entities (30 Insurance companies and 50 Insurance brokers) licensed by the Insurance Regulatory Authority (IRA) was undertaken	• No stakeholder was onboarded onto goAML. Engagements with stakeholders were still ongoing by end of Quarter

**VOTE: 129 Financial Intelligence Authority (FIA)**

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
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PIAP Output: 16070516 Enhanced Technical capability

Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes

(i) 1 Technical Working Group paper with pertinent FIA input (ii) 1 Functional Data restoration and recovery solution developed and approved by the Board (iii) Operational customised Human Capital Management System from the Ministry of Public Service	None	<ul style="list-style-type: none"> <li>•Engagements with the Ministry of Public service to customize the HRMS were still ongoing by end of the quarter.</li> <li>•A Technical Working Group paper with pertinent FIA input was rescheduled to Q2 due to factors beyond the Authority's control.</li> <li>•The Data restoration and recovery solution development was postponed to Q2</li> </ul>
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**Expenditures incurred in the Quarter to deliver outputs** *US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	12,280.000
221002 Workshops, Meetings and Seminars	25,000.000
222001 Information and Communication Technology Services.	2,500.000
225101 Consultancy Services	51,713.000
226002 Licenses	57,219.978
227001 Travel inland	5,726.250
227004 Fuel, Lubricants and Oils	21,600.000
228003 Maintenance-Machinery & Equipment Other than Transport Equipment	4,150.000
<b>Total For Budget Output</b>	<b>180,189.228</b>
Wage Recurrent	0.000
Non Wage Recurrent	180,189.228
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>180,189.228</b>
Wage Recurrent	0.000
Non Wage Recurrent	180,189.228

**VOTE: 129 Financial Intelligence Authority (FIA)**

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Arrears	0.000
	AIA	0.000
<i>Development Projects</i>		
N/A		
<b>SubProgramme:03 Policy and Legislation Processes</b>		
<b>Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations</b>		
<i>Departments</i>		
<b>Department:001 Legal and Corporate Affairs</b>		
<b>Budget Output:460103 Legal Representation and Litigation services</b>		
<b>PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.</b>		
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>		
(i) 4 FATF progress reports prepared on implementation of FATF – ICRG recommendations on Uganda activities to avoid future grey listing (ii) 2 Working group reports on implementation of all outstanding FATF technical and effectiveness compliance issues prepared	<ul style="list-style-type: none"> <li>•The 7th Request for Re-rating Report and the quarterly FATF report were prepared and submitted.</li> <li>•FIA conducted three AML/CFT/CPF Taskforce meetings and workshops</li> <li>•The Authority hosted a team of Experts from ESAAMLG for the AML/CFT In-Country Assessors Training.</li> <li>•The post FATF/ICRG strategic action plan was developed and shared with MOFPED</li> </ul>	Outputs achieved as planned
(i) 2 ICRG recommendations/proposals implemented on each respective Immediate Outcome (IO)	•The Authority coordinated efforts on implementation of FATF’s post ICRG recommendation on NPOs through development of notice for removal of non-profit organisations (NPOs) for publication in the Uganda gazette as part of the delisting process.	Output achieved as planned

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.</b>		
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>		
(i) Provide Secretarial Services and Support the effective conduct of the FY2024/25 Board business. (ii) FY 2024/25 Board Calendar prepared to facilitate adequate planning by Board and Management. (iii) Q1 FY2024/25 timely quality Board Papers prepared to facilitate Board decision making. (iv) Q1 FY2024/25 Board meetings held, quality minutes prepared and filed. (v) Board members trained in Board Capacity Development Programs (governance & strategic leadership)	<ul style="list-style-type: none"> <li>• Secretarial services were provided to Four Board meetings held during the quarter, in addition to the two Committee Meetings; the Board Audit, and Finance and Administration Committees.</li> <li>• One Board member was trained on the new Corporate Governance trends, including ESG issues, Artificial Intelligence, and International Standards of Board Performance Evaluation practices.</li> <li>• Six Sets of Committee and Board Minutes were prepared and approved for filing.</li> <li>• The FY 2024/25 Board calendar was developed.</li> <li>• Board papers were prepared to facilitate Board decision making.</li> </ul>	Output achieved as planned
<b>PIAP Output: 16060305 AML/CFT International standards implemented</b>		
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>		
(i) 1 MOU signed with key stakeholders to permit effective collaboration, including access to key databases and information sharing	None	Two MOUs were drafted for FIU Nigeria and FIU Egypt. These were submitted for the respective MOUs and are currently under review.
(i) 3 Legal Briefs/Opinions provided to Accountable Persons on the application of the provisions of AML/CTF/CPF laws and regulations. (ii) 2 AML/CFT/CPF Laws translated and transcribed to other languages and issue interpretation to various accountable persons.	•11 opinions prepared and submitted	Discussions on the feasibility of translating AML/CFT/CPF laws with the Uganda Law Reform commission are still ongoing
(i) 1 Regulatory impact assessment conducted in relation to application of AML/CFT laws and the standards in order to identify gaps, assess Legal and regulatory risks and increase compliance to AML/CFT laws.	• FIA participated in the Regulatory Impact Assessment for the proposed law on Mutual Legal Assistance spearheaded by the ODPP.	Outputs achieved as planned
(i) 1 Report on court documents prepared and filed in court (ii) FIA effectively represented in all litigation matters	<ul style="list-style-type: none"> <li>• One FIA Litigation Status Report was prepared on ongoing and concluded litigation.</li> <li>• FIA was effectively represented in all litigation matters</li> </ul>	Outputs achieved as planned

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Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
211107 Boards, Committees and Council Allowances		117,300.000
221002 Workshops, Meetings and Seminars		58,500.000
221003 Staff Training		7,912.500
221006 Commissions and related charges		66,500.000
221017 Membership dues and Subscription fees.		433,200.000
221020 Litigation and related expenses		3,000.000
225101 Consultancy Services		4,000.000
227004 Fuel, Lubricants and Oils		24,000.000
	<b>Total For Budget Output</b>	<b>714,412.500</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	714,412.500
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>714,412.500</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	714,412.500
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
<b>SubProgramme:05 Anti-Corruption and Accountability</b>		
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>		
<i>Departments</i>		
<b>Department:001 Accounts</b>		
<b>Budget Output:000014 Administrative and Support Services</b>		

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Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>PIAP Output: 16070502 General administration and support services enhanced</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
(i) Engrave, record, and capture various Authority assets into the Asset Register, and maintain an UpToDate assets register. (ii) Up-to-date FIA Asset Register of engraved assets maintained.	<ul style="list-style-type: none"> <li>•Authority assets engraved and recorded</li> <li>•FIA Asset Register of engraved assets was updated</li> </ul>	Outputs achieved as planned
(i) Collect NTR relating to the enforcement of AML and established regulations (ii) 100% of NTR relating to the enforcement of AML and established regulations collected and remitted to the Consolidated Fund.	None	The Authority issued 10 fines regarding non compliance with the Anti money laundering Act as provided for by the Act. The Authority was waiting for the sanctioned entities to remit NTR to the consolidated fund by the end of the Quarter
(i) Coordinate the Contracts and Evaluation Committee meetings for FY2025/26. (ii) Prepare periodic statutory procurement performance reports. (iii) Q1 FY2024/25 Contracts & Evaluation Committee meetings coordinated, and minutes and reports prepared. (iv) Statutory procurement reports (June-Aug 2024) prepared and submitted to PPDA & MoFPED	<ul style="list-style-type: none"> <li>•9 Contracts and Evaluation Committee meetings for FY2025/26 were held.</li> <li>•Q1 FY2024/25 Contracts &amp; Evaluation Committee meetings coordinated, and minutes and reports prepared.</li> <li>•3 Statutory procurement reports (June-Aug 2024) were prepared and submitted to PPDA &amp; MoFPED</li> </ul>	Outputs achieved as planned
(i) Monitor Implementation of the FIA Strategic Plan & annual approved workplan (ii) Q4 FY 2023/24 FIA Performance report prepared and submitted to MoFPED through the PBS. (iii) Q4 FY 2023/24 FIA Institutional Performance report prepared and presented to the Board. (iv) FY2023/24 FIA Institutional performance report prepared and presented to the Board.	<ul style="list-style-type: none"> <li>•The Authority monitored implementation of the FIA Strategic Plan &amp; annual approved workplan through a terminal review of the strategic plan that was conducted in Q1, and the monitoring and evaluation that was conducted in Q1.</li> <li>•Q4 FY 2023/24 FIA Performance report was prepared and submitted to MoFPED through the PBS.</li> <li>•Q4 FY 2023/24 FIA Institutional Performance report prepared and presented to the Board.</li> <li>•FY2023/24 FIA Institutional performance report prepared and presented to the Board.</li> </ul>	Outputs achieved as planned

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
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**PIAP Output: 16070502 General administration and support services enhanced****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

(i) Coordinate the development of Authority workplan and Budget for the FY2025/26. (ii) Technical brief prepared and presented to Management, to facilitate compliance with statutory circulars in the 1st Budget Call Circular for FY 2025/26.	(i) Coordinated the development of Authority workplan and Budget for the FY2025/26, an activity that was still ongoing by end of Q1. (ii) 2 Technical briefs in relation to the Development of the strategic plan FY 2025/26-2029/30 and the Budget framework paper FY 2025/26 were prepared and presented to Management, to facilitate compliance with statutory circulars in the 1st Budget Call Circular for FY 2025/26 and Planning call circular.	Outputs achieved as planned
(i) Participate in pertinent stakeholder engagements (such as Governance and Security Program, NPA, OPM, MoFPED, etc) for information sharing, adoption of best practices, and quality and timely planning and reporting. (ii) FY 2023/34 FIA report on contribution to NDPIII prepared and submitted to the Governance and Security Program Secretariat. (iii) FIA represented at pertinent stakeholder engagements, and specific technical input and reports to pertinent stakeholders on planning and budgeting matters.	<ul style="list-style-type: none"> <li>Participated in pertinent stakeholder engagements to discuss technical programmatic issues related to developing the NDP IV, and the preparation of the Governance and Security Programme Annual report for the FY 2023/24</li> <li>The contribution of FIA to NDPIII prepared and submitted to the Governance and Security Program Secretariat through the annual GSP report.</li> </ul>	Outputs achieved as planned

**PIAP Output: 16070519 Security personnel trained****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

(i) Prepare FY2023/24 Financial Statements. (ii) FY 2023/24 Financial statements prepared and submitted to Management, Board, MoFPED and OAG.	<ul style="list-style-type: none"> <li>FY2023/24 Financial Statements prepared.</li> <li>FY 2023/24 Financial statements were prepared and submitted to Management, Board, MoFPED and OAG.</li> </ul>	Outputs achieved as planned
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**Expenditures incurred in the Quarter to deliver outputs**

US\$ Thousand

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	121,052.501
221001 Advertising and Public Relations	11,200.000
221002 Workshops, Meetings and Seminars	10,193.000
221003 Staff Training	67,801.667
221011 Printing, Stationery, Photocopying and Binding	37,678.503
224009 Classified Expenditure	475,202.333
225101 Consultancy Services	95,020.833

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
227001 Travel inland		8,465.500
	<b>Total For Budget Output</b>	<b>826,614.337</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	826,614.337
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>826,614.337</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	826,614.337
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
<b>Project:1623 Retooling of Financial Intelligence Authority</b>		
<b>Budget Output:000003 Facilities and Equipment Management</b>		
<b>PIAP Output: 16070503 Retooling of Financial Intelligence Authority</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
(i) A colored printer, scanner, laptop and one heavy duty binder acquired	None	There was no retooling budget release in Q1
<b>PIAP Output: 16070520 Enhanced Technical capability</b>		
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>		
(i) Functional Staff Address System for the Wing A 80 seater meeting room acquired	None	There was no retooling budget release in Q1
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
	<b>Total For Budget Output</b>	<b>0.000</b>
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Project</b>	<b>0.000</b>



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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
<b>Sub SubProgramme:02 Directorate of Internal Audit</b>		
<i>Departments</i>		
<b>Department:001 Internal Audit</b>		
<b>Budget Output:000001 Audit and Risk Management</b>		
<b>PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
(i) Implement the Internal Audit Plan for FY2024/25 (ii) Q4 FY2023/24 Annual Internal Audit report prepared and presented to the Board.	<ul style="list-style-type: none"> <li>•The planned Q1 Audits were conducted</li> <li>•The Annual Internal Audit report was prepared and discussed by the board</li> </ul>	Output achieved as planned
(i) Monitor the Authority's risk. (ii) Up-to-date Risk Register. (iii) Q4 FY 2023/24 Risk Management report prepared and presented to Management and the Board.	<ul style="list-style-type: none"> <li>•The risk registers were updated</li> <li>•ERM framework report was prepared and will be presented to the board in Q2</li> </ul>	ERM framework report was prepared and will be presented to the board in Q2
(i) Implement the Internal Audit training and capacity enhancement program for FY 2024/25. (ii) Internal Audit staff attendance of relevant conferences, and CPDs attained.	<ul style="list-style-type: none"> <li>•Attended the annual ICPAU conference and attained 42 CPD hours for the directorate</li> <li>•Quality Assurance and improvement report was finalised</li> </ul>	Output achieved as planned
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		1,825.001
221002 Workshops, Meetings and Seminars		3,124.999
227001 Travel inland		11,125.000
227004 Fuel, Lubricants and Oils		6,600.000
	<b>Total For Budget Output</b>	<b>22,675.000</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	22,675.000
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>22,675.000</b>

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Wage Recurrent	0.000
	Non Wage Recurrent	22,675.000
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
<b>Sub SubProgramme:04 Directorate of Analysis and Monitoring</b>		
<i>Departments</i>		
<b>Department:001 Strategic Analysis and Statistics</b>		
<b>Budget Output:000001 Audit and Risk Management</b>		
<b>PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
<b>PIAP Output: 16080813 Financial due diligence undertaken on investors</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
(i) 10 Financial Due Diligence reports concluded and submitted to the requesting Government MDA.	•5 Financial Due Diligence reports concluded and submitted to the requesting Government MDA.	The Authority received 8 Financial Due Diligence requests in Q1. Only 3 were outstanding by end of Q1 pending receipt on information requested from various sources.
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>		
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>		
(i) Engage stakeholders through social media platforms. (ii) Up-to-date website and Authority social media platforms with messages on the mandate & functions of the Authority, and AML/CTF/CPF matters.	• 2 positive articles on high profile event posts on the FIA website. • The Authority maintained an interactive social media presence, and periodically updated its handles (including the website) on a timely basis with current information and developments in the industry with articles and infographics.	Outputs achieved as planned

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>		
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>		
(i) Hold a quarterly press briefing to update media on sector developments. (ii) Mobilise media to cover FIA field events and public activities. (iii) Q1 FY2024/25 media press briefing on sector development held. (iv) 2 positive stories/articles on FIA events published.	<ul style="list-style-type: none"> <li>• Two dialogues with media on sector development held</li> <li>• FIA carried out a sensitization of over 500 lawyers on their compliance with the AMLA obligations</li> <li>• An engagement with the CEOs of Supervisory bodies of DNFBPs on the different AML/CFT challenges in their sector</li> <li>• 12 positive articles published in the media including 2 expert opinions</li> <li>• FIA participated in the Local Government Regional Budget Conservative Workshops to promote awareness among local governments about the importance of AML/CFT/CPF measures and enhance public awareness.</li> </ul>	Outputs achieved as planned
(i) Develop and disseminate FIA@10 communication magazine. (ii) 500 copies of FIA@10 Magazine produced and disseminated to stakeholders.	Developed content for the communication magazine.	Procurement process was initiated in Q1 and expected to acquire printed magazines in Q2.
(i) 100% response to requests from ESAAMLG; ESAAMLG typology studies with FIA technical input (ii) 3 statistical reports produced.. (iii) A Mechanism for data collection of ML/TF/PF statistics from relevant stakeholders Developed and maintained.	<ul style="list-style-type: none"> <li>• 02 AML/CFT statistical abstract reports have been finalized</li> <li>• 11 out of 11 ESAAMLG/FATF requests were responded to within the required timeframe.</li> <li>• The Authority is using an excel database to collect ML/TF/PF statistics from relevant stakeholders as the data collection framework is still in the approval process</li> </ul>	Data collection framework development is still in the approval process

<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		24,090.000
221002 Workshops, Meetings and Seminars		21,000.000
221003 Staff Training		42,000.000
221007 Books, Periodicals & Newspapers		661.000
227001 Travel inland		14,818.033
227004 Fuel, Lubricants and Oils		38,400.000
	<b>Total For Budget Output</b>	<b>140,969.033</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	140,969.033

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>140,969.033</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	140,969.033
	Arrears	0.000
	<i>AIA</i>	0.000

Department:002 Operational analysis

Budget Output:560019 Data Management and Dissemination

PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.

Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption

(i) 1 Up-to-date FIA database maintained with pertinent integrations (ii) 20 Accountable Persons' capacity enhancement on quality of AML/CFT reporting	<ul style="list-style-type: none"> <li>• 20 Accountable Persons were trained in AML/CFT reporting</li> <li>• 100% update of all FIA databases</li> </ul>	Outputs achieved as planned
(i) 1 (quarterly) report on vital information acquired from different sectors/institutions of the economy to support analysis, prepared and disseminated (ii) 1 LEAs enrolled on the goAML platform for secure, effective and efficient exchange of information	•01 report on vital information acquired from different sectors/institutions of the economy to support analysis disseminated to LEAs	Engagements with LEAs are still ongoing
(i) 100% of financial reports analyzed and disseminated to relevant stakeholders (ii) 20 Financial intelligence reports prepared and disseminated to Law Enforcement Agencies (LEAs)	<ul style="list-style-type: none"> <li>•67% of received financial reports had been analysed and closed as of end quarter.</li> <li>•76 intelligence reports were disseminated to Law Enforcement Agencies (LEAs) and other Competent Authorities of which 26 were related to terrorism financing</li> </ul>	The pending reports were ongoing analysis as at end quarter
(i) 12 Briefs on Classified operations undertaken to support analysis and information gathering (ii) 3 Stakeholder engagements with different Law Enforcement Agencies (LEAs) for enhanced collaboration (iii) 100% of Foreign FIUs requests responded to within 15 working days	<ul style="list-style-type: none"> <li>• 3 Stakeholder engagements with URA and CID were held</li> <li>• 3 requests from Foreign FIUs requests were responded to within 15 working days</li> <li>• Briefs on Classified operations were developed to support analysis and information gathering</li> </ul>	Outputs achieved as planned.

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
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**PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.**

**Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption**

(i) A guide for conducting Open Source Intelligence to facilitate intelligence collection developed (ii) 11 Actionable/Analytical Intelligence reports shared with pertinent Competent Authorities (iii) 100% of Requests for information/support/ intelligence from Competent Authorities acted on and responded to.	<ul style="list-style-type: none"> <li>• A guide for conducting Open-Source Intelligence to facilitate intelligence collection was developed</li> <li>• 76 intelligence reports were disseminated to Law Enforcement Agencies (LEAs) and other Competent Authorities.</li> <li>• 48 out of the 71 RFIs in Q1FY 2024/25 were responded to.</li> </ul>	23 RFIs were still ongoing, pending receipt of information from various sources such as financial institutions and analysis of the financial information
(i) 6 Adhoc engagements held with Law Enforcement Agencies (CID, IG, UWA and URA), National Intelligence Services (ISO, ESO, CMI) and Competent Authorities for enhanced collaboration and identification of proceeds of crime	• A total of 10 intelligence-led engagements with various domestic law enforcement and intelligence agencies eg Counter Terrorism Police, ESO etc.	Output achieved as planned

**Expenditures incurred in the Quarter to deliver outputs** *US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	10,000.000
221003 Staff Training	48,994.000
224009 Classified Expenditure	1,422,295.206
<b>Total For Budget Output</b>	<b>1,481,289.206</b>
Wage Recurrent	0.000
Non Wage Recurrent	1,481,289.206
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>1,481,289.206</b>
Wage Recurrent	0.000
Non Wage Recurrent	1,481,289.206
Arrears	0.000
<i>AIA</i>	0.000

*Development Projects*

N/A

**Sub SubProgramme:05 Directorate of Compliance and Training***Departments*

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Department:001 Compliance and Inspection</b>		
<b>Budget Output:000023 Inspection and Monitoring</b>		
<b>PIAP Output: 16080504 AML/CFT compliance enforced</b>		
<b>Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations</b>		
(i) 1 press releases held on administrative sanctions (ii) 250 accountable persons trained and enrolled onto the goAML platform (iii) 1 supervisory authority engaged on AML/CFTs matters in their sectors	<ul style="list-style-type: none"> <li>•218 accountable persons were enrolled on the goAML system and certificates of registration issued</li> <li>•5 Supervisory Bodies were engaged on AML/CFT matters in their sectors</li> <li>•A supervisory bodies forum for the DNFBPs sectors formed. Draft ToRs for the forum were circulated for comments from members.</li> </ul>	FIA received applications for registration on goAML but some accountable persons did not meet the minimum requirements for registration.
	2 onsite inspections conducted and reports highlighting deficiencies identified shared with the accountable persons	On track
(i) 3 onsite inspections conducted	<ul style="list-style-type: none"> <li>• 2 onsite inspections conducted and reports highlighting deficiencies identified shared with the accountable persons</li> </ul>	There is an ongoing inspection that was yet to be concluded at the close of Q1
(i) 7 Directives issued to non-compliant Accountable persons (ii) 12 administrative sanctions issued to non compliant accountable persons	<ul style="list-style-type: none"> <li>•41 administrative sanctions were issued to non-compliant accountable persons to enforce compliance with the registration requirement.</li> <li>•No directive was issued to non-compliant accountable persons</li> </ul>	FIA was working on petitions arising from the administrative sanctions issued to forex bureaus.
<b>PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
(i) Conduct training workshops for accountable persons on address the identified gaps identified during Onsite and Offsite inspections.	<ul style="list-style-type: none"> <li>• The Authority conducted 15 trainings for 6 categories of Accountable Persons where 1,366 participants (803 Male and 563 Female) were trained.</li> </ul>	Outputs achieved as planned
(i) 300 Accountable Persons trained to address the compliance gaps identified during Onsite and Offsite inspections	<ul style="list-style-type: none"> <li>• 1,366 Accountable Persons (803 Male and 563 Female) were trained on their obligations and ML/TF/PF crimes.</li> <li>• 62 media personnel (43 Male and 19 Female) that included business journalists, heads of government communication agencies, spokespersons of Law Enforcement Agencies and the emerging online media players were trained on most significant developments in the ML/TF/PF sphere and to consolidate working relationships with the writers, editors, media proprietors in order to promote collaborative relationships. .</li> </ul>	Output achieved as planned

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
<b>Expenditures incurred in the Quarter to deliver outputs</b>		<i>US\$ Thousand</i>
<b>Item</b>		<b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		4,980.000
221001 Advertising and Public Relations		5,000.000
221002 Workshops, Meetings and Seminars		42,498.275
221007 Books, Periodicals & Newspapers		1,289.000
221017 Membership dues and Subscription fees.		2,543.961
222001 Information and Communication Technology Services.		1,400.000
227001 Travel inland		49,995.400
227004 Fuel, Lubricants and Oils		26,100.000
	<b>Total For Budget Output</b>	<b>133,806.636</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	133,806.636
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>133,806.636</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	133,806.636
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
	<b>GRAND TOTAL</b>	<b>6,559,049.779</b>
	Wage Recurrent	2,172,549.685
	Non Wage Recurrent	4,386,500.094
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

**Quarter 1: Cumulative Outputs and Expenditure by End of Quarter**

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>Programme:16 Governance And Security</b>	
<b>SubProgramme:01 Institutional Coordination</b>	
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>	
<i>Departments</i>	
<b>Department:002 Human resource registry and security</b>	
<b>Budget Output:000005 Human Resource Management</b>	
<b>PIAP Output: 16060201 Human Resources Management Services provided</b>	
<b>Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff</b>	
<p>FY2023/24 Annual and FY2024/25 Half-Year staff performance assessment reports. Competent staff recruited as per the FY 2024/25 approved recruitment plan. Training Needs Analysis and Staff Training Plan. Staff trained in pertinent areas informed from TNA.</p>	<ul style="list-style-type: none"> <li>• All staff completed their annual appraisals for the FY 2023/24</li> <li>• Three (3) vacant positions within the FIA structure namely; Director Analysis and Monitoring, Director Compliance and Training and Manager Compliance and Inspection were filled through internal recruitment.</li> <li>• FIA also carried out recruitment of 2 support staff that further strengthened staff capacity.</li> <li>• 30 staff were trained in technical areas like Strategic Analysis, Counter Terrorism, Blockchain Analytics, and Tactical Analysis</li> <li>• The Annual performance assessment report for FY 2023/24 produced and presented to Management and Board.</li> <li>• Staff membership in professional bodies was maintained.</li> </ul>
<p>Authority premises maintained in clean and good condition. Full time office and entitled staff security services maintained. FIA staff insurances maintained.</p>	<ul style="list-style-type: none"> <li>• Armed Police officers were deployed during day and night shift to provide security to the FIA offices</li> <li>• The Authority contracted a service provider to provide cleaning services to the Authority's offices. The Offices have been maintained in a clean and habitable state at all times</li> </ul>
<p>Well maintained Authority Vehicles, and up-to-date vehicle utilization report. Technical proposals on re-engineering of HR processes, systems, and policies. Staff engaged in health &amp; wellness, and Team building activities.</p>	<ul style="list-style-type: none"> <li>• Authority machinery, equipment, and furniture were maintained in good condition</li> <li>• 10 vehicles in good mechanical conditions to support business operations.</li> <li>• One health awareness campaign was held for all FIA female staff.</li> <li>• The Authority provides weekly wellness sessions for staff.</li> </ul>
<p>Provision of COVID-19 /contagious viruses /supplies and first AID box.</p>	<ul style="list-style-type: none"> <li>• Various First aid boxes supplied at FIA premises</li> <li>• Sanitizer to prevent the spread of COVID 19 was supplied to all FIA staff through regular refiling of dispensers'</li> </ul>



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
211102 Contract Staff Salaries	2,172,549.685
211104 Employee Gratuity	357,000.000
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	9,913.333
212101 Social Security Contributions	293,540.000
212103 Incapacity benefits (Employees)	5,560.000
221004 Recruitment Expenses	6,030.000
221009 Welfare and Entertainment	44,691.164
221017 Membership dues and Subscription fees.	250.893
223001 Property Management Expenses	18,209.889
223004 Guard and Security services	60,487.685
223005 Electricity	10,000.000
227004 Fuel, Lubricants and Oils	73,350.000
228002 Maintenance-Transport Equipment	7,511.190
<b>Total For Budget Output</b>	<b>3,059,093.839</b>
Wage Recurrent	2,172,549.685
Non Wage Recurrent	886,544.154
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>3,059,093.839</b>
Wage Recurrent	2,172,549.685
Non Wage Recurrent	886,544.154
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
N/A	
<b>SubProgramme:02 Security</b>	
<b>Sub SubProgramme:03 Directorate of Systems Administration and Security</b>	
<i>Departments</i>	
<b>Department:001 Systems Administration and Security</b>	

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>Budget Output: 120007 Support services</b>	
<b>PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities</b>	
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>	
An Application Programming Interface (API) to allow for automated Information exchange with relevant MDA's.	None
Renewed Software Server Licenses to maintain functionalities and support of all FIA software.	• Atlassian software licenses were renewed
<b>PIAP Output: 16070516 Enhanced Technical capability</b>	
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>	
40 (forty) reporting entities trained and onboarded on goAML. 10 (ten) new stake holders on boarded on goAML i.e ODPP, UWA, Counter Terrorism etc. FIA staff trained on goAML system for secure information exchanges.	• Compliance training and goAML on boarding for 80 Reporting Entities (30 Insurance companies and 50 Insurance brokers) licensed by the Insurance Regulatory Authority (IRA) was undertaken
goAML, Egmont and ESAAMLG Technical Working Group papers with pertinent FIA input. 2 Functional Data restoration and recovery solutions developed and approved by the Board. Operational customised Human Capital Management System from the MoPS.	None
<b>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</b>	
	<i>US\$ Thousand</i>
<b>Item</b>	<b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	12,280.000
221002 Workshops, Meetings and Seminars	25,000.000
222001 Information and Communication Technology Services.	2,500.000
225101 Consultancy Services	51,713.000
226002 Licenses	57,219.978
227001 Travel inland	5,726.250
227004 Fuel, Lubricants and Oils	21,600.000
228003 Maintenance-Machinery & Equipment Other than Transport	4,150.000
<b>Total For Budget Output</b>	<b>180,189.228</b>
Wage Recurrent	0.000
Non Wage Recurrent	180,189.228
Arrears	0.000
<i>AIA</i>	0.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	<b>Total For Department</b>	<b>180,189.228</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	180,189.228
	Arrears	0.000
	<i>AIA</i>	0.000

*Development Projects*

N/A

**SubProgramme:03 Policy and Legislation Processes****Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations***Departments***Department:001 Legal and Corporate Affairs****Budget Output:460103 Legal Representation and Litigation services****PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.****Programme Intervention: 160603 Review and enact appropriate legislation**

FATF progress reports prepared on implementation of FATF/ ICRG recommendations on Uganda activities to avoid future greylisting. Working group reports on implementation of all outstanding FATF technical and effectiveness compliance issues prepared.

- The 7th Request for Re-rating Report and the quarterly FATF report were prepared and submitted.
- FIA conducted three AML/CFT/CPF Taskforce meetings and workshops
- The Authority hosted a team of Experts from ESAAMLG for the AML/CFT In-Country Assessors Training.
- The post FATF/ICRG strategic action plan was developed and shared with MOFPED

Periodic progress reports on ICRG recommendations/ proposals implemented on each respective Immediate Outcome (IO) with a view to keep Uganda off the FATF Gray List.

- The Authority coordinated efforts on implementation of FATF's post ICRG recommendation on NPOs through development of notice for removal of non-profit organisations (NPOs) for publication in the Uganda gazette as part of the delisting process.

FY2023/24 Board Calendar, Board Papers, meetings, and minutes prepared and filed.

- Secretarial services were provided to Four Board meetings held during the quarter, in addition to the two Committee Meetings; the Board Audit, and Finance and Administration Committees.
- One Board member was trained on the new Corporate Governance trends, including ESG issues, Artificial Intelligence, and International Standards of Board Performance Evaluation practices.
- Six Sets of Committee and Board Minutes were prepared and approved for filing.
- The FY 2024/25 Board calendar was developed.
- Board papers were prepared to facilitate Board decision making.

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
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**PIAP Output: 16060305 AML/CFT International standards implemented**

**Programme Intervention: 160603 Review and enact appropriate legislation**

National AML/CFT/CPF Strategic plan 2025/26-2029/30 for Uganda Developed.	NA
Stakeholder register developed. 5 MOUs signed with key stakeholders to permit effective collaboration, including access to key databases and information sharing.	None
Amendment proposals of the 15 AML/CFT/CPF laws to address operational challenges and gaps identified by MER and NRA. 15 bills for AML/CFT/CPF laws gazetted and printed, once approved by Cabinet.	NA
Legal Briefs/Opinions provided to Accountable Persons on the application of the provisions of AML/CTF/CPF laws and regulations. AML/CFT/CPF Laws translated and transcribed to other languages and issue interpretation to various accountable persons.	•11 opinions prepared and submitted
Regulatory impact assessments conducted in relation to application of AML/CFT laws and the standards in order to identify gaps, assess Legal and regulatory risks and increase compliance to AML/CFT laws.	• FIA participated in the Regulatory Impact Assessment for the proposed law on Mutual Legal Assistance spearheaded by the ODPP.
Report on court documents prepared and filed in court. FIA effectively represented in all litigation matters Library stock register established and equipped.	•One FIA Litigation Status Report was prepared on ongoing and concluded litigation. •FIA was effectively represented in all litigation matters

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
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Item	Spent
211107 Boards, Committees and Council Allowances	117,300.000
221002 Workshops, Meetings and Seminars	58,500.000
221003 Staff Training	7,912.500
221006 Commissions and related charges	66,500.000
221017 Membership dues and Subscription fees.	433,200.000
221020 Litigation and related expenses	3,000.000
225101 Consultancy Services	4,000.000
227004 Fuel, Lubricants and Oils	24,000.000
<b>Total For Budget Output</b>	<b>714,412.500</b>
Wage Recurrent	0.000
Non Wage Recurrent	714,412.500

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>714,412.500</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	714,412.500
	Arrears	0.000
	<i>AIA</i>	0.000

*Development Projects*

N/A

**SubProgramme:05 Anti-Corruption and Accountability****Sub SubProgramme:01 Directorate of Finance and Administration***Departments***Department:001 Accounts****Budget Output:000014 Administrative and Support Services****PIAP Output: 16070502 General administration and support services enhanced****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

FY 2023/24 External Audit and Board of Survey on FIA conducted, and report prepared and submitted to OAG.  
 FY 2023/24 FIA Board of Survey report submitted to OAG and AG.  
 Up-to-date FIA Asset Register of engraved assets maintained.

- Authority assets engraved and recorded
- FIA Asset Register of engraved assets was updated

3 Quarterly (Q1-Q3) FY 2024/25 Budget Performance Reports prepared & presented to Management and Board.  
 100% of NTR relating to the enforcement of AML and established regulations collected and remitted to the Consolidated Fund.

None

FY2024/25 Contracts & Evaluation Committee meetings coordinated, and minutes and reports prepared.  
 Periodic statutory (monthly) procurement reports prepared and submitted to PPDA & MoFPED.  
 Consolidated FIA Procurement Plan for FY2024/25.

- 9 Contracts and Evaluation Committee meetings for FY2025/26 were held.
- Q1 FY2024/25 Contracts & Evaluation Committee meetings coordinated, and minutes and reports prepared.
- 3 Statutory procurement reports (June-Aug 2024) were prepared and submitted to PPDA & MoFPED

PDU staff trained in pertinent technical areas, CPDs attained and membership to professional bodies (CIPS and IPPU) maintained.

NA

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>PIAP Output: 16070502 General administration and support services enhanced</b>	
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>	
<p>Q4 FY 2023/24, and Q1-Q3 FY2024/25 FIA Performance report prepared and submitted to MoFPED.</p> <p>FY2023/24 FIA Institutional performance report prepared and presented to the Board.</p> <p>Q4 FY 2023/24, and Q1-Q3 FY2024/25 FIA performance reports prepared.</p>	<ul style="list-style-type: none"> <li>•The Authority monitored implementation of the FIA Strategic Plan &amp; annual approved workplan through a terminal review of the strategic plan that was conducted in Q1, and the monitoring and evaluation that was conducted in Q1.</li> <li>•Q4 FY 2023/24 FIA Performance report was prepared and submitted to MoFPED through the PBS.</li> <li>•Q4 FY 2023/24 FIA Institutional Performance report prepared and presented to the Board.</li> <li>•FY2023/24 FIA Institutional performance report prepared and presented to the Board.</li> </ul>
<p>FY 2025/26 Planning and Budgeting Retreat held, and FY 2025/26 FIA consolidated Workplan presented to Management and Board.</p> <p>FY 2025/26 Vote BFP, MPS, and Approved Estimates submitted to MoFPED for onward submission to Parliament.</p>	<p>(i) Coordinated the development of Authority workplan and Budget for the FY2025/26, an activity that was still ongoing by end of Q1.</p> <p>(ii) 2 Technical briefs in relation to the Development of the strategic plan FY 2025/26-2029/30 and the Budget framework paper FY 2025/26 were prepared and presented to Management, to facilitate compliance with statutory circulars in the 1st Budget Call Circular for FY 2025/26 and Planning call circular.</p>
<p>Approved FIA Strategic Plan for 2025/26-2029/30 planning period, in line with NDPIV.</p> <p>FIA Retooling Project concept and profile for the 2025/26-2029/30 planning period developed.</p>	NA
<p>FIA represented at pertinent stakeholder engagements, and specific technical input and reports to pertinent stakeholders on planning and budgeting matters.</p> <p>Periodic reports on FIA Implementation of NDPIII actions prepared and submitted to the Governance.</p>	<ul style="list-style-type: none"> <li>• Participated in pertinent stakeholder engagements to discuss technical programmatic issues related to developing the NDP IV, and the preparation of the Governance and Security Programme Annual report for the FY 2023/24</li> <li>• The contribution of FIA to NDPIII prepared and submitted to the Governance and Security Program Secretariat through the annual GSP report.</li> </ul>
<b>PIAP Output: 16070519 Security personnel trained</b>	
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>	
<p>FY2023/24 Financial statements, and FY2024/25 Semi-annual and 9 months Financial Statements prepared and submitted to Management, Board, MoFPED and OAG.</p>	<ul style="list-style-type: none"> <li>•FY2023/24 Financial Statements prepared.</li> <li>•FY 2023/24 Financial statements were prepared and submitted to Management, Board, MoFPED and OAG.</li> </ul>

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	121,052.501
221001 Advertising and Public Relations	11,200.000
221002 Workshops, Meetings and Seminars	10,193.000
221003 Staff Training	67,801.667
221011 Printing, Stationery, Photocopying and Binding	37,678.503
224009 Classified Expenditure	475,202.333
225101 Consultancy Services	95,020.833
227001 Travel inland	8,465.500
<b>Total For Budget Output</b>	<b>826,614.337</b>
Wage Recurrent	0.000
Non Wage Recurrent	826,614.337
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>826,614.337</b>
Wage Recurrent	0.000
Non Wage Recurrent	826,614.337
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
<b>Project:1623 Retooling of Financial Intelligence Authority</b>	
<b>Budget Output:000003 Facilities and Equipment Management</b>	
<b>PIAP Output: 16070503 Retooling of Financial Intelligence Authority</b>	
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>	
23 filing cabinets acquired. A colored printer, scanner, laptop and one heavy duty binder acquired. 14 Coat Hungers procured. 3 safes for custody of confidential documents.	None
Shelves for Records Archiving centre. An ipad and phone procured. 1 shredder acquired for Compliance Department.	NA

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>Project:1623 Retooling of Financial Intelligence Authority</b>	
<b>PIAP Output: 16070520 Enhanced Technical capability</b>	
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>	
Functional Cyber Security Operating Center acquired. Functional Staff Address System for the Wing A 80 seater meeting room acquired.	None
Acquire and deploy a Board Management System that automates Board meetings, processes and related activities. 2 Smart TV screens for the Authority's meeting rooms. Acquire tools for analysis eg i2 for link analysis.	NA
1 HR system acquired.	NA
<b>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</b>	<i>UShs Thousand</i>
<b>Item</b>	<b>Spent</b>
<b>Total For Budget Output</b>	<b>0.000</b>
GoU Development	0.000
External Financing	0.000
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Project</b>	<b>0.000</b>
GoU Development	0.000
External Financing	0.000
Arrears	0.000
<i>AIA</i>	0.000
<b>Sub SubProgramme:02 Directorate of Internal Audit</b>	
<i>Departments</i>	
<b>Department:001 Internal Audit</b>	
<b>Budget Output:000001 Audit and Risk Management</b>	



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls</b>	
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>	
Q4 FY2023/24 and FY2024/25 Quarterly Internal Audit reports prepared & presented to the Board. Bi-annual and Annual follow-up reports on the implementation of internal and external audit recommendations by Management presented to the Board.	<ul style="list-style-type: none"> <li>•The planned Q1 Audits were conducted</li> <li>•The Annual Internal Audit report was prepared and discussed by the board</li> </ul>
Up-to-date Corporate Risk Register. Quarterly Risk Management Reports prepared and presented to Management and Board. Reviewed Internal Audit Charter and Manual. FY 2024/25 Annual Internal Audit Quality Assurance Report prepared.	<ul style="list-style-type: none"> <li>•The risk registers were updated</li> <li>•ERM framework report was prepared and will be presented to the board in Q2</li> </ul>
Board Audit committee members attendance of the IIA Board Audit Committee conference by IIA for capacity enhancement. Internal Audit staff trained in pertinent technical areas, CPDs attained and membership to professional bodies maintained.	<ul style="list-style-type: none"> <li>•Attended the annual ICPAU conference and attained 42 CPD hours for the directorate</li> <li>•Quality Assurance and improvement report was finalised</li> </ul>

<b>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</b>	<i>UShs Thousand</i>
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	1,825.001
221002 Workshops, Meetings and Seminars	3,124.999
227001 Travel inland	11,125.000
227004 Fuel, Lubricants and Oils	6,600.000
<b>Total For Budget Output</b>	<b>22,675.000</b>
Wage Recurrent	0.000
Non Wage Recurrent	22,675.000
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>22,675.000</b>
Wage Recurrent	0.000
Non Wage Recurrent	22,675.000
Arrears	0.000
<i>AIA</i>	0.000

*Development Projects*

N/A

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>Sub SubProgramme:04 Directorate of Analysis and Monitoring</b>	
<i>Departments</i>	
<b>Department:001 Strategic Analysis and Statistics</b>	
<b>Budget Output:000001 Audit and Risk Management</b>	
<b>PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing</b>	
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>	
<p>2 ML/TF/PF thematic risk assessment reports as informed by the NRA Report and other sources.  2 risk assessment / typology reports disseminated.  2 ML/TF/PF typology research reports.  National ML/TF Risk Assessment for Uganda roadmap.</p>	NA
<b>PIAP Output: 16080813 Financial due diligence undertaken on investors</b>	
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>	
40 Financial Due Diligence requests received and responded to.	•5 Financial Due Diligence reports concluded and submitted to the requesting Government MDA.
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>	
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>	
<p>Up-to-date website and Authority social media platforms with messages on the mandate &amp; functions of the Authority, and AML/CTF/CPF matters.  2 AML/CFT/CPF short TV/social media clips produced and disseminated for enhanced online publicity.</p>	<ul style="list-style-type: none"> <li>• 2 positive articles on high profile event posts on the FIA website.</li> <li>• The Authority maintained an interactive social media presence, and periodically updated its handles (including the website) on a timely basis with current information and developments in the industry with articles and infographics.</li> </ul>
<p>4 (Quarterly) media press briefings on sector development held.  4 media adverts on commemoration of pertinent days and events.  8 positive stories/articles on FIA events published.  An editors' breakfast meeting held to enhance AML/CFT/CPF reporting.</p>	<ul style="list-style-type: none"> <li>• Two dialogues with media on sector development held</li> <li>• FIA carried out a sensitization of over 500 lawyers on their compliance with the AMLA obligations</li> <li>• An engagement with the CEOs of Supervisory bodies of DNFBPs on the different AML/CFT challenges in their sector</li> <li>• 12 positive articles published in the media including 2 expert opinions</li> <li>• FIA participated in the Local Government Regional Budget Conservative Workshops to promote awareness among local governments about the importance of AML/CFT/CPF measures and enhance public awareness.</li> </ul>
<p>FIA participation in the Annual Bankers Gala for enhanced visibility.  FIA participation and exhibition at Anti-Corruption and Taxpayer Appreciation Weeks for enhanced visibility.  2 symposia (PRAU, GCOF) attended and Staff CPDs attained.</p>	NA

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>	
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>	
500 copies of FIA@10 Magazine produced and disseminated to stakeholders. Branded corporate and promotional materials distributed to facilitate FIA visibility (calendars, diaries, Notebooks).	Developed content for the communication magazine.
100% response to requests from ESAAMLG; ESAAMLG typology studies with FIA technical input. 12 statistical reports/abstracts prepared and disseminated. Mechanism for data collection ML/TF/PF statistics from relevant stakeholders developed and maintained.	<ul style="list-style-type: none"> <li>•02 AML/CFT statistical abstract reports have been finalized</li> <li>•11 out of 11 ESAAMLG/FATF requests were responded to within the required timeframe.</li> <li>•The Authority is using an excel database to collect ML/TF/PF statistics from relevant stakeholders as the data collection framework is still in the approval process</li> </ul>
<b>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</b>	
	<i>US\$ Thousand</i>
<b>Item</b>	<b>Spent</b>
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	24,090.000
221002 Workshops, Meetings and Seminars	21,000.000
221003 Staff Training	42,000.000
221007 Books, Periodicals & Newspapers	661.000
227001 Travel inland	14,818.033
227004 Fuel, Lubricants and Oils	38,400.000
<b>Total For Budget Output</b>	<b>140,969.033</b>
Wage Recurrent	0.000
Non Wage Recurrent	140,969.033
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>140,969.033</b>
Wage Recurrent	0.000
Non Wage Recurrent	140,969.033
Arrears	0.000
<i>AIA</i>	0.000
<b>Department:002 Operational analysis</b>	
<b>Budget Output:560019 Data Management and Dissemination</b>	

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.</b>	
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>	
Up to date FIA databases with pertinent integrations. 100 Accountable Persons capacity enhancement on quality of AML,CFT reporting.	<ul style="list-style-type: none"> <li>• 20 Accountable Persons were trained in AML/CFT reporting</li> <li>• 100% update of all FIA databases</li> </ul>
4 (quarterly) reports on vital information acquired from different sectors/institutions of the economy to support analysis, prepared and disseminated. 2 LEAs enrolled on the goAML platform for secure, effective and efficient exchange of information.	•01 report on vital information acquired from different sectors/institutions of the economy to support analysis disseminated to LEAs
Briefs for all (100%) analyzed Suspicious Transaction Reports (STRs) prepared and disseminated to relevant stakeholders. 80 Financial intelligence reports prepared and disseminated to Law Enforcement Agencies (LEAs).	<ul style="list-style-type: none"> <li>•67% of received financial reports had been analysed and closed as of end quarter.</li> <li>•76 intelligence reports were disseminated to Law Enforcement Agencies (LEAs) and other Competent Authorities of which 26 were related to terrorism financing</li> </ul>
Briefs on Classified operations undertaken to support analysis and information gathering. 4 quarterly reports on Stakeholder engagements with different LEAs for enhanced collaboration. Responses/vital information disseminated to foreign FIUs.	<ul style="list-style-type: none"> <li>• 3 Stakeholder engagements with URA and CID were held</li> <li>• 3 requests from Foreign FIUs requests were responded to within 15 working days</li> <li>• Briefs on Classified operations were developed to support analysis and information gathering</li> </ul>
A guide for conducting Open Source Intelligence to facilitate intelligence collection. A guide/procedure to be followed while conducting Financial Due Diligence. Financial intelligence provided to pertinent Competent Authorities.	<ul style="list-style-type: none"> <li>• A guide for conducting Open-Source Intelligence to facilitate intelligence collection was developed</li> <li>• 76 intelligence reports were disseminated to Law Enforcement Agencies (LEAs) and other Competent Authorities.</li> <li>•48 out of the 71 RFIs in Q1FY 2024/25 were responded to.</li> </ul>
Adhoc engagements held with Law Enforcement Agencies (CID, IG, UWA and URA), National Intelligence Services (ISO, ESO, CMI) and Competent Authorities for enhanced collaboration and identification of proceeds of crime	•A total of 10 intelligence-led engagements with various domestic law enforcement and intelligence agencies eg Counter Terrorism Police, ESO etc.

**Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs***US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	10,000.000
221003 Staff Training	48,994.000
224009 Classified Expenditure	1,422,295.206
<b>Total For Budget Output</b>	<b>1,481,289.206</b>
Wage Recurrent	0.000

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Non Wage Recurrent	1,481,289.206
	Arrears	0.000
	<i>AIA</i>	0.000
	<b>Total For Department</b>	<b>1,481,289.206</b>
	Wage Recurrent	0.000
	Non Wage Recurrent	1,481,289.206
	Arrears	0.000
	<i>AIA</i>	0.000

*Development Projects*

N/A

**Sub SubProgramme:05 Directorate of Compliance and Training***Departments***Department:001 Compliance and Inspection****Budget Output:000023 Inspection and Monitoring****PIAP Output: 16080504 AML/CFT compliance enforced****Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations**

Guidelines for Real Estates & Virtual Assets Service Providers to support FIA to supervise the non regulated sectors on matters related to AML/CFT developed and disseminated. AML/CFT sector specific manual to guide supervision developed and disseminated.	NA
2 press conferences on administrative sanctions held. 1,000 accountable persons trained and enrolled on goAML platform. Supervisory Authorities engaged on AML/CFT matters.	<ul style="list-style-type: none"> <li>•218 accountable persons were enrolled on the goAML system and certificates of registration issued</li> <li>•5 Supervisory Bodies were engaged on AML/CFT matters in their sectors</li> <li>•A supervisory bodies forum for the DNFBPs sectors formed. Draft ToRs for the forum were circulated for comments from members.</li> </ul>
5000 awareness materials (such as brochures and flyers, fact sheets, posters, AML animated content, digital content) produced and disseminated to reporting entities. 5000 brochures on AML and CFT produced and disseminated to the general public.	NA
A targeted sensitization webinar of women conducted on the AML/CTF/PF matters.- targeting 150 participants	NA

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<b>PIAP Output: 16080504 AML/CFT compliance enforced</b>	
<b>Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations</b>	
4 joint inspections and related activities (with other supervisory bodies) for capacity enhancement in AML/CFT matters. Guidance given to accountable persons on AML/CFT matters.	2 onsite inspections conducted and reports highlighting deficiencies identified shared with the accountable persons
9 articles on AML/CFT/CPF published through different media houses. 8 TV/Radio talk shows conducted to educate the general public about AML/CFT/CPF. 200 members of the general public he general public on administrative sanctions.	NA
Technical paper on proposed AML Training Framework and content prepared based on ECOFEL exchange program experiences. A framework (covering 3 online training modules) for accountable persons on AML/CFT/CPF developed and approved by the Board.	NA
14 Risk Based Onsite Inspections conducted to ascertain adequacy of internal controls, and inform corrective action. 360 annual compliance reports, 80 risk assessments, 80 independent AML/CFT audits, and 8 supervisory bodies' reports reviewed.	• 2 onsite inspections conducted and reports highlighting deficiencies identified shared with the accountable persons
Accountable Persons (Banks, MDIs, Forex Bureaus and Credit Institutions) on the administrative sanctions regime. 30 directives issued to non-compliant Accountable persons. Administrative sanctions issued to all non compliant accountable persons.	•41 administrative sanctions were issued to non-compliant accountable persons to enforce compliance with the registration requirement. •No directive was issued to non-compliant accountable persons
50 stakeholders engaged on how to implement the action plan generated from the NRA and MER. 500 accountable persons sensitized and enrolled on goAML platform. FIA staff and Supervisory Authorities sensitized about the FATF Recommendations.	NA
<b>PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws</b>	
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>	
1000 Accountable Persons (from 6 distinct categories) trained to address the compliance gaps identified during Onsite and Offsite inspections. 30 supervisory/regulatory bodies trained on AML/CFT matters.	• The Authority conducted 15 trainings for 6 categories of Accountable Persons where 1,366 participants (803 Male and 563 Female) were trained.

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
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**PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws**

**Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption**

<p>50 business journalists trained on FIA mandate, ML, FT&amp;PF for enhanced media reporting. 70 stakeholders from LEA and CA on AML/CFT/CPF matters. 150 investigators (from 3 regional campaigns in North, East and Western) sensitized on AML/CFT/CPF.</p>	<ul style="list-style-type: none"> <li>• 1,366 Accountable Persons (803 Male and 563 Female) were trained on their obligations and ML/TF/PF crimes.</li> <li>• 62 media personnel (43 Male and 19 Female) that included business journalists, heads of government communication agencies, spokespersons of Law Enforcement Agencies and the emerging online media players were trained on most significant developments in the ML/TF/PF sphere and to consolidate working relationships with the writers, editors, media proprietors in order to promote collaborative relationships. .</li> </ul>
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Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	4,980.000
221001 Advertising and Public Relations	5,000.000
221002 Workshops, Meetings and Seminars	42,498.275
221007 Books, Periodicals & Newspapers	1,289.000
221017 Membership dues and Subscription fees.	2,543.961
222001 Information and Communication Technology Services.	1,400.000
227001 Travel inland	49,995.400
227004 Fuel, Lubricants and Oils	26,100.000
<b>Total For Budget Output</b>	<b>133,806.636</b>
Wage Recurrent	0.000
Non Wage Recurrent	133,806.636
Arrears	0.000
<i>AIA</i>	0.000
<b>Total For Department</b>	<b>133,806.636</b>
Wage Recurrent	0.000
Non Wage Recurrent	133,806.636
Arrears	0.000
<i>AIA</i>	0.000

*Development Projects*

N/A

**GRAND TOTAL**

**6,559,049.779**

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Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
	Wage Recurrent 2,172,549.685
	Non Wage Recurrent 4,386,500.094
	GoU Development 0.000
	External Financing 0.000
	Arrears 0.000
	<i>AIA</i> 0.000



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

**Quarter 2: Revised Workplan**

<b>Annual Plans</b>	<b>Quarter's Plan</b>	<b>Revised Plans</b>
<b>Programme:16 Governance And Security</b>		
<b>SubProgramme:01</b>		
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>		
<i>Departments</i>		
<b>Department:002 Human resource registry and security</b>		
<b>Budget Output:000005 Human Resource Management</b>		
<b>PIAP Output: 16060201 Human Resources Management Services provided</b>		
<b>Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff</b>		
FY2023/24 Annual and FY2024/25 Half-Year staff performance assessment reports. Competent staff recruited as per the FY 2024/25 approved recruitment plan. Training Needs Analysis and Staff Training Plan. Staff trained in pertinent areas informed from TNA.	1) Implement rewards and sanctions policy to promote staff innovativeness and retention. 2) Undertake Training needs analysis & skills audit. 3) Maintain membership in professional bodies. 4) Implement the FY 2024/25 annual training plan. 5) Staff rewarded/sanctioned to promote staff innovativeness and retention. 6) Staff training Needs Analysis and skills analysis report produced and presented to Management. 7) Annual staff and institutional membership/subscription/participation in professional bodies maintained. 8) Staff trained in pertinent technical areas informed from the Training Needs Analysis.	1) Implement rewards and sanctions policy to promote staff innovativeness and retention. 2) Undertake Training needs analysis & skills audit. 3) Maintain membership in professional bodies. 4) Implement the FY 2024/25 annual training plan. 5) Staff rewarded/sanctioned to promote staff innovativeness and retention. 6) Staff training Needs Analysis and skills analysis report produced and presented to Management. 7) Annual staff and institutional membership/subscription/participation in professional bodies maintained. 8) Staff trained in pertinent technical areas informed from the Training Needs Analysis.
Authority premises maintained in clean and good condition. Full time office and entitled staff security services maintained. FIA staff insurances maintained.	1) Maintain a safe and conducive work environment. 2) Full time office and entitled staff security services maintained. 3) Authority premised maintained in clean and good condition.	1) Maintain a safe and conducive work environment. 2) Full time office and entitled staff security services maintained. 3) Authority premised maintained in clean and good condition.
Well maintained Authority Vehicles, and up-to-date vehicle utilization report. Technical proposals on re-engineering of HR processes, systems, and policies. Staff engaged in health & wellness, and Team building activities.	1) Maintain Authority machinery, equipment, and furniture. 2) Conduct staff team building, and health and awareness campaigns. 3) Well maintained Authority Vehicles, and up-to-date vehicle utilisation report. 4) Staff engaged in health & wellness, and Team building activities. 5) End of 2023 Staff Christmas event held	1) Maintain Authority machinery, equipment, and furniture. 2) Conduct staff team building, and health and awareness campaigns. 3) Well maintained Authority Vehicles, and up-to-date vehicle utilisation report. 4) Staff engaged in health & wellness, and Team building activities. 5) End of 2023 Staff Christmas event held

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000005 Human Resource Management</b>		
<b>PIAP Output: 16060201 Human Resources Management Services provided</b>		
<b>Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff</b>		
Provision of COVID-19 /contagious viruses /supplies and first AID box.	1) Provision of COVID-19 /contagious viruses /supplies and first AID box	1) Provision of COVID-19 /contagious viruses /supplies and first AID box
<b>Budget Output:000013 HIV/AIDS Mainstreaming</b>		
<b>PIAP Output: 16060503 HIV/AIDS Activities mainstreamed</b>		
<b>Programme Intervention: 160605 Undertake financing and administration of programme services</b>		
Counselling services for all FIA staff maintained. All FIA staff sensitized on HIV/AIDS. An awareness session conducted to sensitize staff on HIV/AIDS. Purchase of HIV/AIDS preventive supplies	1) An awareness session to sensitize all staff on HIV/AIDS conducted. 2) Purchase of HIV/AIDS prevention supplies. 3) Counselling services for HIV/AIDS maintained for all affected staff.	1) An awareness session to sensitize all staff on HIV/AIDS conducted. 2) Purchase of HIV/AIDS prevention supplies. 3) Counselling services for HIV/AIDS maintained for all affected staff.
<b>Budget Output:000089 Climate Change Mitigation</b>		
<b>PIAP Output: 16090101 Cross cutting issues mainstreamed</b>		
<b>Programme Intervention: 160901 Strengthen government institutions for effective and efficient service delivery</b>		
All FIA staff sensitized on environmental measures. An operational Electronic Document Management System maintained to reduce on usage of paper.		
<i>Development Projects</i>		
N/A		
<b>SubProgramme:02</b>		
<b>Sub SubProgramme:03 Directorate of Systems Administration and Security</b>		
<i>Departments</i>		
<b>Department:001 Systems Administration and Security</b>		
<b>Budget Output:120007 Support services</b>		
<b>PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
An Application Programming Interface (API) to allow for automated Information exchange with relevant MDA's.		

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:120007 Support services</b>		
<b>PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
Renewed Software Server Licenses to maintain functionalities and support of all FIA software.	(i) Up-to-date Software Server Licenses to maintain functionalities and support of all FIA software	(i) Up-to-date Software Server Licenses to maintain functionalities and support of all FIA software
<b>PIAP Output: 16070516 Enhanced Technical capability</b>		
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>		
40 (forty) reporting entities trained and onboarded on goAML. 10 (ten) new stake holders on boarded on goAML i.e ODPP, UWA, Counter Terrorism etc. FIA staff trained on goAML system for secure information exchanges.	(i) 20 Reporting Entities trained and onboarded on goAML (ii) 3 new stake holders on boarded on goAML i.e ODPP, UWA, Counter Terrorism etc (iii) FIA staff trained on goAML system for secure information exchanges.	(i) 20 Reporting Entities trained and onboarded on goAML (ii) 3 new stake holders on boarded on goAML i.e ODPP, UWA, Counter Terrorism etc (iii) FIA staff trained on goAML system for secure information exchanges.
goAML, Egmont and ESAAMLG Technical Working Group papers with pertinent FIA input. 2 Functional Data restoration and recovery solutions developed and approved by the Board. Operational customised Human Capital Management System from the MoPS.	(i) 1 Technical Working Group paper with pertinent FIA input (ii) Operational customised Human Capital Management System from the Ministry of Public Service	(i) 1 Technical Working Group paper with pertinent FIA input (ii) Operational customised Human Capital Management System from the Ministry of Public Service
<i>Development Projects</i>		
N/A		
<b>SubProgramme:03</b>		
<b>Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations</b>		
<i>Departments</i>		
<b>Department:001 Legal and Corporate Affairs</b>		
<b>Budget Output:460103 Legal Representation and Litigation services</b>		
<b>PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.</b>		
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>		
FATF progress reports prepared on implementation of FATF/ ICRG recommendations on Uganda activities to avoid future greylisting. Working group reports on implementation of all outstanding FATF technical and effectiveness compliance issues prepared.	(i) 4 FATF progress reports prepared on implementation of FATF – ICRG recommendations on Uganda activities to avoid future grey listing (ii) 2 Working group reports on implementation of all outstanding FATF technical and effectiveness compliance issues prepared	(i) 4 FATF progress reports prepared on implementation of FATF – ICRG recommendations on Uganda activities to avoid future grey listing (ii) 2 Working group reports on implementation of all outstanding FATF technical and effectiveness compliance issues prepared

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output: 460103 Legal Representation and Litigation services</b>		
<b>PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.</b>		
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>		
Periodic progress reports on ICRG recommendations/ proposals implemented on each respective Immediate Outcome (IO) with a view to keep Uganda off the FATF Gray List.		
FY2023/24 Board Calendar, Board Papers, meetings, and minutes prepared and filed.	(i) Provide Secretarial Services and Support the effective conduct of the FY2024/25 Board business. (ii) Q2 FY2024/25 timely quality Board Papers prepared to facilitate Board decision making. (iii) Q2 FY2024/25 Board meetings held, quality minutes prepared and filed. (iv) Board members trained in Board Capacity Development Programs (governance & strategic leadership)	(i) Provide Secretarial Services and Support the effective conduct of the FY2024/25 Board business. (ii) Q2 FY2024/25 timely quality Board Papers prepared to facilitate Board decision making. (iii) Q2 FY2024/25 Board meetings held, quality minutes prepared and filed. (iv) Board members trained in Board Capacity Development Programs (governance & strategic leadership)
<b>PIAP Output: 16060305 AML/CFT International standards implemented</b>		
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>		
National AML/CFT/CPF Strategic plan 2025/26-2029/30 for Uganda Developed.	(i) Terminal review of the National AML/CFT/CPF Strategic Plan for Uganda. (ii) National AML/CFT/CPF Strategic Plan FY 2025/26-2029/30 developed.	(i) Terminal review of the National AML/CFT/CPF Strategic Plan for Uganda. (ii) National AML/CFT/CPF Strategic Plan FY 2025/26-2029/30 developed.
Stakeholder register developed. 5 MOUs signed with key stakeholders to permit effective collaboration, including access to key databases and information sharing.	(i) Stakeholder register developed (ii) 2 MOU signed with key stakeholders to permit effective collaboration, including access to key databases and information sharing	(i) Stakeholder register developed (ii) 2 MOU signed with key stakeholders to permit effective collaboration, including access to key databases and information sharing
Amendment proposals of the 15 AML/CFT/CPF laws to address operational challenges and gaps identified by MER and NRA. 15 bills for AML/CFT/CFT laws gazetted and printed, once approved by Cabinet.	(i) 3 Amendment proposals developed (ii) 3 Bills gazetted and printed	(i) 3 Amendment proposals developed (ii) 3 Bills gazetted and printed
Legal Briefs/Opinions provided to Accountable Persons on the application of the provisions of AML/CTF/CPF laws and regulations. AML/CFT/CPF Laws translated and transcribed to other languages and issue interpretation to various accountable persons.	(i) 3 Legal Briefs/Opinions provided to Accountable Persons on the application of the provisions of AML/CTF/CPF laws and regulations. (ii) 1 AML/CFT/CPF Law translated and transcribed to other languages and issue interpretation to various accountable persons.	(i) 3 Legal Briefs/Opinions provided to Accountable Persons on the application of the provisions of AML/CTF/CPF laws and regulations. (ii) 1 AML/CFT/CPF Law translated and transcribed to other languages and issue interpretation to various accountable persons.

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:460103 Legal Representation and Litigation services</b>		
<b>PIAP Output: 16060305 AML/CFT International standards implemented</b>		
<b>Programme Intervention: 160603 Review and enact appropriate legislation</b>		
Regulatory impact assessments conducted in relation to application of AML/CFT laws and the standards in order to identify gaps, assess Legal and regulatory risks and increase compliance to AML/CFT laws.		
Report on court documents prepared and filed in court. FIA effectively represented in all litigation matters Library stock register established and equipped.	(i) 1 Report on court documents prepared and filed in court (ii) FIA effectively represented in all litigation matters (iii) Library stock register established and equipped with 50 law books	(i) 1 Report on court documents prepared and filed in court (ii) FIA effectively represented in all litigation matters (iii) Library stock register established and equipped with 50 law books
<i>Development Projects</i>		
N/A		
<b>SubProgramme:05</b>		
<b>Sub SubProgramme:01 Directorate of Finance and Administration</b>		
<i>Departments</i>		
<b>Department:001 Accounts</b>		
<b>Budget Output:000014 Administrative and Support Services</b>		
<b>PIAP Output: 16070502 General administration and support services enhanced</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
FY 2023/24 External Audit and Board of Survey on FIA conducted, and report prepared and submitted to OAG. FY 2023/24 FIA Board of Survey report submitted to OAG and AG. Up-to-date FIA Asset Register of engraved assets maintained.	(i) Coordinate/facilitate the External Audit and Board of Survey processes for FY2023/34 period. (ii) Engrave, record, and capture various Authority assets into the Asset Register, and maintain an UpToDate assets register. (iii) FY 2023/24 External Audit on FIA conducted, and report prepared and submitted to OAG. (iv) FY 2023/24 FIA Board of Survey report submitted to OAG and AG. (v) Up-to-date FIA Asset Register of engraved assets maintained.	(i) Coordinate/facilitate the External Audit and Board of Survey processes for FY2023/34 period. (ii) Engrave, record, and capture various Authority assets into the Asset Register, and maintain an UpToDate assets register. (iii) FY 2023/24 External Audit on FIA conducted, and report prepared and submitted to OAG. (iv) FY 2023/24 FIA Board of Survey report submitted to OAG and AG. (v) Up-to-date FIA Asset Register of engraved assets maintained.

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000014 Administrative and Support Services</b>		
<b>PIAP Output: 16070502 General administration and support services enhanced</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
<p>3 Quarterly (Q1-Q3) FY 2024/25 Budget Performance Reports prepared &amp; presented to Management and Board. 100% of NTR relating to the enforcement of AML and established regulations collected and remitted to the Consolidated Fund.</p>	<p>(i) Undertake quarterly FY 2024/25 Budget Performance monitoring. (ii) Collect NTR relating to the enforcement of AML and established regulations. (iii) Implement the training and capacity enhancement program for Accounts Staff. (iv) Q1 FY 2023/24 Budget Performance Report prepared and presented to Management and Board. (v) 100% of NTR relating to the enforcement of AML and established regulations collected and remitted to the Consolidated Fund. (vi) Accounts staff trained in pertinent technical areas, CPDs attained and membership to professional bodies maintained.</p>	<p>(i) Undertake quarterly FY 2024/25 Budget Performance monitoring. (ii) Collect NTR relating to the enforcement of AML and established regulations. (iii) Implement the training and capacity enhancement program for Accounts Staff. (iv) Q1 FY 2023/24 Budget Performance Report prepared and presented to Management and Board. (v) 100% of NTR relating to the enforcement of AML and established regulations collected and remitted to the Consolidated Fund. (vi) Accounts staff trained in pertinent technical areas, CPDs attained and membership to professional bodies maintained.</p>
<p>FY2024/25 Contracts &amp; Evaluation Committee meetings coordinated, and minutes and reports prepared. Periodic statutory (monthly) procurement reports prepared and submitted to PPDA &amp; MoFPED. Consolidated FIA Procurement Plan for FY2024/25.</p>	<p>(i) Coordinate the Contracts and Evaluation Committee meetings for FY2025/26. (ii) Prepare periodic statutory procurement performance reports. (iii) Q2 FY2024/25 Contracts &amp; Evaluation Committee meetings coordinated, and minutes and reports prepared. (iv) Statutory procurement reports (Sep-Nov 2024) prepared and submitted to PPDA &amp; MoFPED</p>	<p>(i) Coordinate the Contracts and Evaluation Committee meetings for FY2025/26. (ii) Prepare periodic statutory procurement performance reports. (iii) Q2 FY2024/25 Contracts &amp; Evaluation Committee meetings coordinated, and minutes and reports prepared. (iv) Statutory procurement reports (Sep-Nov 2024) prepared and submitted to PPDA &amp; MoFPED</p>
<p>PDU staff trained in pertinent technical areas, CPDs attained and membership to professional bodies (CIPS and IPPU) maintained.</p>	<p>(i) Implement the training and capacity enhancement program for PDU Staff. (ii) PDU staff trained in pertinent technical areas, CPDs attained and membership to professional bodies (CIPS and IPPU) maintained.</p>	<p>(i) Implement the training and capacity enhancement program for PDU Staff. (ii) PDU staff trained in pertinent technical areas, CPDs attained and membership to professional bodies (CIPS and IPPU) maintained.</p>
<p>Q4 FY 2023/24, and Q1-Q3 FY2024/25 FIA Performance report prepared and submitted to MoFPED. FY2023/24 FIA Institutional performance report prepared and presented to the Board. Q4 FY 2023/24, and Q1-Q3 FY2024/25 FIA performance reports prepared.</p>	<p>(i) Monitor Implementation of the FIA Strategic Plan &amp; annual approved workplan (ii) Q1 FY 2024/25 FIA Performance report prepared and submitted to MoFPED through the PBS. (iii) Q1 FY2024/25 FIA Institutional Performance report prepared and presented to the Board.</p>	<p>(i) Monitor Implementation of the FIA Strategic Plan &amp; annual approved workplan (ii) Q1 FY 2024/25 FIA Performance report prepared and submitted to MoFPED through the PBS. (iii) Q1 FY2024/25 FIA Institutional Performance report prepared and presented to the Board.</p>

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000014 Administrative and Support Services</b>		
<b>PIAP Output: 16070502 General administration and support services enhanced</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
FY 2025/26 Planning and Budgeting Retreat held, and FY 2025/26 FIA consolidated Workplan presented to Management and Board. FY 2025/26 Vote BFP, MPS, and Approved Estimates submitted to MoFPED for onward submission to Parliament.	(i) Coordinate the development of Authority workplan and Budget for the FY2025/26. (ii) Formulate the FY2025/26 Budget Framework Paper (BFP) (iii) FY 2025/26 Planning and Budgeting Retreat report prepared and presented to Management. (iv) FIA consolidated Workplan and Budget for FY 2025/26 prepared and presented to Management and Board. (v) FIA Budget Framework Paper for FY 2025/26 prepared and submitted to MoFPED for onward submission to Parliament.	(i) Coordinate the development of Authority workplan and Budget for the FY2025/26. (ii) Formulate the FY2025/26 Budget Framework Paper (BFP) (iii) FY 2025/26 Planning and Budgeting Retreat report prepared and presented to Management. (iv) FIA consolidated Workplan and Budget for FY 2025/26 prepared and presented to Management and Board. (v) FIA Budget Framework Paper for FY 2025/26 prepared and submitted to MoFPED for onward submission to Parliament.
Approved FIA Strategic Plan for 2025/26-2029/30 planning period, in line with NDPIV. FIA Retooling Project concept and profile for the 2025/26-2029/30 planning period developed.		
FIA represented at pertinent stakeholder engagements, and specific technical input and reports to pertinent stakeholders on planning and budgeting matters. Periodic reports on FIA Implementation of NDPIII actions prepared and submitted to the Governance.	(i) Participate in pertinent stakeholder engagements (such as Governance and Security Program, NPA, OPM, MoFPED, etc) for information sharing, adoption of best practices, and quality and timely planning and reporting. (ii) FIA represented at pertinent stakeholder engagements, and specific technical input and reports to pertinent stakeholders on planning and budgeting matters.	(i) Participate in pertinent stakeholder engagements (such as Governance and Security Program, NPA, OPM, MoFPED, etc) for information sharing, adoption of best practices, and quality and timely planning and reporting. (ii) FIA represented at pertinent stakeholder engagements, and specific technical input and reports to pertinent stakeholders on planning and budgeting matters.
<b>PIAP Output: 16070519 Security personnel trained</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
FY2023/24 Financial statements, and FY2024/25 Semi-annual and 9 months Financial Statements prepared and submitted to Management, Board, MoFPED and OAG.		

*Development Projects*

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Annual Plans	Quarter's Plan	Revised Plans
<b>Project:1623 Retooling of Financial Intelligence Authority</b>		
<b>Budget Output:000003 Facilities and Equipment Management</b>		
<b>PIAP Output: 16070503 Retooling of Financial Intelligence Authority</b>		
<b>Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.</b>		
23 filing cabinets acquired. A colored printer, scanner, laptop and one heavy duty binder acquired. 14 Coat Hungers procured. 3 safes for custody of confidential documents.	(i) 14 Coat Hungers procured (ii) 3 safes for custody of confidential documents	(i) 14 Coat Hungers procured (ii) 3 safes for custody of confidential documents
Shelves for Records Archiving centre. An ipad and phone procured. 1 shredder acquired for Compliance Department.	(i) Shelves for Records Archiving centre (ii) An ipad and phone procured	(i) Shelves for Records Archiving centre (ii) An ipad and phone procured
<b>PIAP Output: 16070520 Enhanced Technical capability</b>		
<b>Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes</b>		
Functional Cyber Security Operating Center acquired. Functional Staff Address System for the Wing A 80 seater meeting room acquired.	(i) Functional Cyber Security Operating Center acquired	(i) Functional Cyber Security Operating Center acquired
Acquire and deploy a Board Management System that automates Board meetings, processes and related activities. 2 Smart TV screens for the Authority's meeting rooms. Acquire tools for analysis eg i2 for link analysis.	(I) 2 smart TV screens acquired (II) Acquire and deploy a Board Management System that automates Board meetings, processes and related activities. (III) Functional System for Annual Compliance reporting acquired and deployed	(I) 2 smart TV screens acquired (II) Acquire and deploy a Board Management System that automates Board meetings, processes and related activities. (III) Functional System for Annual Compliance reporting acquired and deployed
1 HR system acquired.		
<b>Sub SubProgramme:02 Directorate of Internal Audit</b>		
<i>Departments</i>		
<b>Department:001 Internal Audit</b>		



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Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
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**Budget Output:000001 Audit and Risk Management****PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls****Programme Intervention: 160715 Strengthen research and development to address emerging security threats**

Q4 FY2023/24 and FY2024/25 Quarterly Internal Audit reports prepared & presented to the Board. Bi-annual and Annual follow-up reports on the implementation of internal and external audit recommendations by Management presented to the Board.	(i) Implement the Internal Audit Plan for FY2024/25 (ii) Conduct the FY 2024/25 bi-annual review extent of implementation of internal and external audit recommendations by Management (iii) Q1 FY2024/25 Annual Internal Audit report prepared and presented to the Board. (iv) FY 2022/23 Annual Follow-up report on the implementation of internal and external audit recommendations by Management presented to the Board and Accountability Sector Audit Committee.	(i) Implement the Internal Audit Plan for FY2024/25 (ii) Conduct the FY 2024/25 bi-annual review extent of implementation of internal and external audit recommendations by Management (iii) Q1 FY2024/25 Annual Internal Audit report prepared and presented to the Board. (iv) FY 2022/23 Annual Follow-up report on the implementation of internal and external audit recommendations by Management presented to the Board and Accountability Sector Audit Committee.
Up-to-date Corporate Risk Register. Quarterly Risk Management Reports prepared and presented to Management and Board. Reviewed Internal Audit Charter and Manual. FY 2024/25 Annual Internal Audit Quality Assurance Report prepared.	(i) Monitor the Authority's risk. (ii) Up-to-date Risk Register. (iii) Q1 FY 2024/25 Risk Management report prepared and presented to Management and the Board.	(i) Monitor the Authority's risk. (ii) Up-to-date Risk Register. (iii) Q1 FY 2024/25 Risk Management report prepared and presented to Management and the Board.
Board Audit committee members attendance of the IIA Board Audit Committee conference by IIA for capacity enhancement. Internal Audit staff trained in pertinent technical areas, CPDs attained and membership to professional bodies maintained.	(i) Implement the Internal Audit training and capacity enhancement program for FY 2024/25. (ii) Board Audit committee members attendance of the IIA Board Audit Committee conference by IIA for capacity enhancement. (iii) Internal Audit staff trained in relevant professional courses, relevant conferences attended, and CPDs attained. (iv) Internal Audit affiliation/subscription/membership with relevant professional bodies maintained.	(i) Implement the Internal Audit training and capacity enhancement program for FY 2024/25. (ii) Board Audit committee members attendance of the IIA Board Audit Committee conference by IIA for capacity enhancement. (iii) Internal Audit staff trained in relevant professional courses, relevant conferences attended, and CPDs attained. (iv) Internal Audit affiliation/subscription/membership with relevant professional bodies maintained.

*Development Projects*

N/A

**Sub SubProgramme:04 Directorate of Analysis and Monitoring***Departments***Department:001 Strategic Analysis and Statistics**

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Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output: 000001 Audit and Risk Management</b>		
<b>PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing</b>		
<b>Programme Intervention: 160715 Strengthen research and development to address emerging security threats</b>		
2 ML/TF/PF thematic risk assessment reports as informed by the NRA Report and other sources. 2 risk assessment / typology reports disseminated. 2 ML/TF/PF typology research reports. National ML/TF Risk Assessment for Uganda roadmap.	(i) 1 ML/TF typology study conducted.	(i) 1 ML/TF typology study conducted.
<b>PIAP Output: 16080813 Financial due diligence undertaken on investors</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
40 Financial Due Diligence requests received and responded to.	(i) 10 Financial Due Diligence reports concluded and submitted to the requesting Government MDA.	(i) 10 Financial Due Diligence reports concluded and submitted to the requesting Government MDA.
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>		
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>		
Up-to-date website and Authority social media platforms with messages on the mandate & functions of the Authority, and AML/CTF/CPF matters. 2 AML/CFT/CPF short TV/social media clips produced and disseminated for enhanced online publicity.	(i) Engage stakeholders through social media platforms. (ii) Up-to-date website and Authority social media platforms with messages on the mandate & functions of the Authority, and AML/CTF/CPF matters.	(i) Engage stakeholders through social media platforms. (ii) Up-to-date website and Authority social media platforms with messages on the mandate & functions of the Authority, and AML/CTF/CPF matters.

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Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000001 Audit and Risk Management</b>		
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>		
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>		
<p>4 (Quarterly) media press briefings on sector development held.</p> <p>4 media adverts on commemoration of pertinent days and events.</p> <p>8 positive stories/articles on FIA events published.</p> <p>An editors' breakfast meeting held to enhance AML/CFT/CPF reporting.</p>	<p>(i) Hold a quarterly press briefing to update media on sector developments. (ii) Advertise in the media on important days' events. (iii) Mobilise media to cover FIA field events and public activities. (iv) Hold media editors breakfast meeting. (v) Produce a documentary for FIA@10. (vi) Q2 FY2024/25 media press briefing on sector development held. (vii) 3 media adverts on commemoration of pertinent days and events. (viii) 2 positive stories/articles on FIA events published. (ix) An editors' breakfast meeting held to enhance AML/CFT/CPF reporting. (x) An editable documentary for FIA@10 produced and disseminated.</p>	<p>(i) Hold a quarterly press briefing to update media on sector developments. (ii) Advertise in the media on important days' events. (iii) Mobilise media to cover FIA field events and public activities. (iv) Hold media editors breakfast meeting. (v) Produce a documentary for FIA@10. (vi) Q2 FY2024/25 media press briefing on sector development held. (vii) 3 media adverts on commemoration of pertinent days and events. (viii) 2 positive stories/articles on FIA events published. (ix) An editors' breakfast meeting held to enhance AML/CFT/CPF reporting. (x) An editable documentary for FIA@10 produced and disseminated.</p>
<p>FIA participation in the Annual Bankers Gala for enhanced visibility.</p> <p>FIA participation and exhibition at Anti-Corruption and Taxpayer Appreciation Weeks for enhanced visibility.</p> <p>2 symposia (PRAU, GCOF) attended and Staff CPDs attained.</p>	<p>(i) Sponsor/Participate at pertinent community engagements and national commemorations. (ii) FIA participates at pertinent community engagements and national commemorations. (iii) 2 symposia (PRAU, GCOF) attended and Staff CPDs attained. (iv) FIA participation in the Annual Bankers Gala for enhanced visibility. (v) FIA participation and exhibition at Anti-Corruption and Taxpayer Appreciation Weeks for enhanced visibility.</p>	<p>(i) Sponsor/Participate at pertinent community engagements and national commemorations. (ii) FIA participates at pertinent community engagements and national commemorations. (iii) 2 symposia (PRAU, GCOF) attended and Staff CPDs attained. (iv) FIA participation in the Annual Bankers Gala for enhanced visibility. (v) FIA participation and exhibition at Anti-Corruption and Taxpayer Appreciation Weeks for enhanced visibility.</p>
<p>500 copies of FIA@10 Magazine produced and disseminated to stakeholders.</p> <p>Branded corporate and promotional materials distributed to facilitate FIA visibility (calendars, diaries, Notebooks).</p>	<p>(i) Produce and disseminate calendars, diaries, Notebooks. (ii) Branded corporate and promotional materials distributed to facilitate FIA visibility (calendars, diaries, Notebooks).</p>	<p>(i) Produce and disseminate calendars, diaries, Notebooks. (ii) Branded corporate and promotional materials distributed to facilitate FIA visibility (calendars, diaries, Notebooks).</p>

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Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000001 Audit and Risk Management</b>		
<b>PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes</b>		
<b>Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery</b>		
100% response to requests from ESAAMLG; ESAAMLG typology studies with FIA technical input. 12 statistical reports/abstracts prepared and disseminated. Mechanism for data collection ML/TF/PF statistics from relevant stakeholders developed and maintained.	(i) 100% response to requests from ESAAMLG; ESAAMLG typology studies with FIA technical input (ii) 3 statistical reports produced. (iii) A Mechanism for data collection of ML/TF/PF statistics from relevant stakeholders Developed and maintained.	(i) 100% response to requests from ESAAMLG; ESAAMLG typology studies with FIA technical input (ii) 3 statistical reports produced. (iii) A Mechanism for data collection of ML/TF/PF statistics from relevant stakeholders Developed and maintained.
<b>Department:002 Operational analysis</b>		
<b>Budget Output:560019 Data Management and Dissemination</b>		
<b>PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
Up to date FIA databases with pertinent integrations. 100 Accountable Persons capacity enhancement on quality of AML,CFT reporting.	(i) 1 Up-to-date FIA database maintained with pertinent integrations (ii) 40 Accountable Persons' capacity enhancement on quality of AML/CFT reporting	(i) 1 Up-to-date FIA database maintained with pertinent integrations (ii) 40 Accountable Persons' capacity enhancement on quality of AML/CFT reporting
4 (quarterly) reports on vital information acquired from different sectors/institutions of the economy to support analysis, prepared and disseminated. 2 LEAs enrolled on the goAML platform for secure, effective and efficient exchange of information.	(i) 1 (quarterly) report on vital information acquired from different sectors/institutions of the economy to support analysis, prepared and disseminated	(i) 1 (quarterly) report on vital information acquired from different sectors/institutions of the economy to support analysis, prepared and disseminated
Briefs for all (100%) analyzed Suspicious Transaction Reports (STRs) prepared and disseminated to relevant stakeholders. 80 Financial intelligence reports prepared and disseminated to Law Enforcement Agencies (LEAs).	(i) 100% of financial reports analyzed and disseminated to relevant stakeholders (ii) 20 Financial intelligence reports prepared and disseminated to Law Enforcement Agencies (LEAs)	(i) 100% of financial reports analyzed and disseminated to relevant stakeholders (ii) 20 Financial intelligence reports prepared and disseminated to Law Enforcement Agencies (LEAs)

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Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:560019 Data Management and Dissemination</b>		
<b>PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
Briefs on Classified operations undertaken to support analysis and information gathering. 4 quarterly reports on Stakeholder engagements with different LEAs for enhanced collaboration. Responses/vital information disseminated to foreign FIUs.	(i) 12 Briefs on Classified operations undertaken to support analysis and information gathering (ii) 3 Stakeholder engagements with different Law Enforcement Agencies (LEAs) for enhanced collaboration (iii) 100% of Foreign FIUs requests responded to within 15 working days	(i) 12 Briefs on Classified operations undertaken to support analysis and information gathering (ii) 3 Stakeholder engagements with different Law Enforcement Agencies (LEAs) for enhanced collaboration (iii) 100% of Foreign FIUs requests responded to within 15 working days
A guide for conducting Open Source Intelligence to facilitate intelligence collection. A guide/procedure to be followed while conducting Financial Due Diligence. Financial intelligence provided to pertinent Competent Authorities.	(i) 11 Actionable/Analytical Intelligence reports shared with pertinent Competent Authorities (ii) 100% of Requests for information/support/ intelligence from Competent Authorities acted on and responded to.	(i) 11 Actionable/Analytical Intelligence reports shared with pertinent Competent Authorities (ii) 100% of Requests for information/support/ intelligence from Competent Authorities acted on and responded to.
Adhoc engagements held with Law Enforcement Agencies (CID, IG, UWA and URA), National Intelligence Services (ISO, ESO, CMI) and Competent Authorities for enhanced collaboration and identification of proceeds of crime	(i) 6 Adhoc engagements held with Law Enforcement Agencies (CID, IG, UWA and URA), National Intelligence Services (ISO, ESO, CMI) and Competent Authorities for enhanced collaboration and identification of proceeds of crime	(i) 6 Adhoc engagements held with Law Enforcement Agencies (CID, IG, UWA and URA), National Intelligence Services (ISO, ESO, CMI) and Competent Authorities for enhanced collaboration and identification of proceeds of crime
<i>Development Projects</i>		
N/A		
<b>Sub SubProgramme:05 Directorate of Compliance and Training</b>		
<i>Departments</i>		
<b>Department:001 Compliance and Inspection</b>		
<b>Budget Output:000023 Inspection and Monitoring</b>		
<b>PIAP Output: 16080504 AML/CFT compliance enforced</b>		
<b>Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations</b>		
Guidelines for Real Estates & Virtual Assets Service Providers to support FIA to supervise the non regulated sectors on matters related to AML/CFT developed and disseminated. AML/CFT sector specific manual to guide supervision developed and disseminated.		

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Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000023 Inspection and Monitoring</b>		
<b>PIAP Output: 16080504 AML/CFT compliance enforced</b>		
<b>Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations</b>		
2 press conferences on administrative sanctions held. 1,000 accountable persons trained and enrolled on goAML platform. Supervisory Authorities engaged on AML/CFT matters.	(i) 250 accountable persons trained and enrolled onto the goAML platform (ii) 1 supervisory authority engaged on AML/CFTs matters in their sector	(i) 250 accountable persons trained and enrolled onto the goAML platform (ii) 1 supervisory authority engaged on AML/CFTs matters in their sector
5000 awareness materials (such as brochures and flyers, fact sheets, posters, AML animated content, digital content) produced and disseminated to reporting entities. 5000 brochures on AML and CFT produced and disseminated to the general public.	(i) Develop, design and print 5000 AML/CFT awareness materials to train reporting entities. (ii) Develop, design and print 5000 AML/CFT awareness materials to sensitize the public. (iii) 1000 awareness materials (such as brochures and flyers, fact sheets, posters, AML animated content, digital content) produced and disseminated to reporting entities. (iv) 2000 brochures and flyers printed and disseminated.	(i) Develop, design and print 5000 AML/CFT awareness materials to train reporting entities. (ii) Develop, design and print 5000 AML/CFT awareness materials to sensitize the public. (iii) 1000 awareness materials (such as brochures and flyers, fact sheets, posters, AML animated content, digital content) produced and disseminated to reporting entities. (iv) 2000 brochures and flyers printed and disseminated.
A targeted sensitization webinar of women conducted on the AML/CTF/PF matters.-targeting 150 participants	A targeted sensitization webinar of women conducted on the AML/CTF/PF matters.-targeting 150 participants	A targeted sensitization webinar of women conducted on the AML/CTF/PF matters.-targeting 150 participants
4 joint inspections and related activities (with other supervisory bodies) for capacity enhancement in AML/CFT matters. Guidance given to accountable persons on AML/CFT matters.	(i) 2 joint inspections and related activities undertaken with supervisory bodies (ii) 250 accountable persons given guidance on AML/CFT matters.	(i) 2 joint inspections and related activities undertaken with supervisory bodies (ii) 250 accountable persons given guidance on AML/CFT matters.
9 articles on AML/CFT/CPF published through different media houses. 8 TV/Radio talk shows conducted to educate the general public about AML/CFT/CPF. 200 members of the general public he general public on administrative sanctions.	(i) Publish articles on AML/CFT/CPF in different media houses. (ii) Conduct TV/Radio talk shows to educate the general public about AML/CFT/CPF. (iii) 5 articles on AML/CFT/CPF published through different media houses. (iv) 4 TV/Radio talk shows conducted to educate the general public about AML/CFT/CPF	(i) Publish articles on AML/CFT/CPF in different media houses. (ii) Conduct TV/Radio talk shows to educate the general public about AML/CFT/CPF. (iii) 5 articles on AML/CFT/CPF published through different media houses. (iv) 4 TV/Radio talk shows conducted to educate the general public about AML/CFT/CPF

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Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000023 Inspection and Monitoring</b>		
<b>PIAP Output: 16080504 AML/CFT compliance enforced</b>		
<b>Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations</b>		
<p>Technical paper on proposed AML Training Framework and content prepared based on ECOFEL exchange program experiences. A framework (covering 3 online training modules) for accountable persons on AML/CFT/CPF developed and approved by the Board.</p>	<p>(i) Participate in the ECOFEL exchange program to benchmark on developing AML content for trainings. (ii) Technical paper on proposed AML Training Framework and content prepared.</p>	<p>(i) Participate in the ECOFEL exchange program to benchmark on developing AML content for trainings. (ii) Technical paper on proposed AML Training Framework and content prepared.</p>
<p>14 Risk Based Onsite Inspections conducted to ascertain adequacy of internal controls, and inform corrective action. 360 annual compliance reports, 80 risk assessments, 80 independent AML/CFT audits, and 8 supervisory bodies' reports reviewed.</p>	<p>(i) 4 onsite inspections conducted</p>	<p>(i) 4 onsite inspections conducted</p>
<p>Accountable Persons (Banks, MDIs, Forex Bureaus and Credit Institutions) on the administrative sanctions regime. 30 directives issued to non-compliant Accountable persons. Administrative sanctions issued to all non compliant accountable persons.</p>	<p>(i) 7 Directives issued to non-compliant Accountable persons (ii) 12 administrative sanctions issued to non compliant accountable persons</p>	<p>(i) 7 Directives issued to non-compliant Accountable persons (ii) 12 administrative sanctions issued to non compliant accountable persons</p>
<p>50 stakeholders engaged on how to implement the action plan generated from the NRA and MER. 500 accountable persons sensitized and enrolled on goAML platform. FIA staff and Supervisory Authorities sensitized about the FATF Recommendations.</p>	<p>(i) Conduct 3 stakeholder engagements to fast track the implementation of NRA and MER as per the action plan. (ii) (iii) Conduct 2 sensitisation campaigns for all accountable persons on the need to register. (iv) 15 stakeholders engaged on how to implement the action plan generated from the NRA and MER. (v) 250 accountable persons sensitized and enrolled on goAML platform.</p>	<p>(i) Conduct 3 stakeholder engagements to fast track the implementation of NRA and MER as per the action plan. (ii) (iii) Conduct 2 sensitisation campaigns for all accountable persons on the need to register. (iv) 15 stakeholders engaged on how to implement the action plan generated from the NRA and MER. (v) 250 accountable persons sensitized and enrolled on goAML platform.</p>

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
<b>Budget Output:000023 Inspection and Monitoring</b>		
<b>PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws</b>		
<b>Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption</b>		
<p>1000 Accountable Persons (from 6 distinct categories) trained to address the compliance gaps identified during Onsite and Offsite inspections.</p> <p>30 supervisory/regulatory bodies trained on AML/CFT matters.</p>	<p>(i) Conduct training workshops for accountable persons on address the identified gaps identified during Onsite and Offsite inspections. (ii) Conduct 3 workshops to train supervisory/regulatory bodies on AML/CFT matters, with each targeting 10 supervisory bodies.</p>	<p>(i) Conduct training workshops for accountable persons on address the identified gaps identified during Onsite and Offsite inspections. (ii) Conduct 3 workshops to train supervisory/regulatory bodies on AML/CFT matters, with each targeting 10 supervisory bodies.</p>
<p>50 business journalists trained on FIA mandate, ML, FT&amp;PF for enhanced media reporting.</p> <p>70 stakeholders from LEA and CA on AML/CFT/CPF matters.</p> <p>150 investigators (from 3 regional campaigns in North, East and Western) sensitized on AML/CFT/CPF.</p>	<p>(i) Conduct a workshop to train business journalists on FIA mandate, ML,FT&amp;PF for enhanced media reporting. (ii) 350 Accountable Persons trained to address the compliance gaps identified during Onsite and Offsite inspections. (iii) 10 supervisory/regulatory bodies trained on AML/CFT matters. (iv) 25 business journalists trained on FIA mandate, ML,FT&amp;PF for enhanced media reporting (v) 50 investigators (from 3 regional campaigns in North, East and Western) sensitized on ML and FT.</p>	<p>(i) Conduct a workshop to train 25 business journalists on FIA mandate, ML,FT&amp;PF for enhanced media reporting. (ii) 350 Accountable Persons trained to address the compliance gaps identified during Onsite and Offsite inspections. (iii) 10 supervisory/regulatory bodies trained on AML/CFT matters. (iv) 50 investigators (from 3 regional campaigns in North, East and Western) sensitized on ML and FT.</p>
<i>Development Projects</i>		
N/A		



**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

**V4: NTR Collections, Off Budget Expenditure and Vote Cross Cutting Issues****Table 4.1: NTR Collections (Billions)**

Revenue Code	Revenue Name	Planned Collection FY2024/25	Actuals By End Q1
142119	Sale of bid documents-From Private Entities	0.010	0.000
143261	Other fines and Penalties – from other government units	0.500	0.000
<b>Total</b>		<b>0.510</b>	<b>0.000</b>

# **VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

**Table 4.2: Off-Budget Expenditure By Department and Project**

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

Table 4.3: Vote Crosscutting Issues

**i) Gender and Equity**

<b>Objective:</b>	Gender and equity mainstreaming in FIA operations.
<b>Issue of Concern:</b>	Marginalization of vulnerable groups nationally.
<b>Planned Interventions:</b>	<ul style="list-style-type: none"> <li>i) Conduct a targeted sensitization webinar of women on the AML/CTF/PF matters.</li> <li>ii) Maintain an accessible office premise with operational lift (with audio functionality).</li> <li>iii) Provide appropriate facilities and support for the visually impaired staff.</li> </ul>
<b>Budget Allocation (Billion):</b>	0.013
<b>Performance Indicators:</b>	<ul style="list-style-type: none"> <li>i) No. of targeted women sensitized on AML/CTF/PF matters. (Target of 150 women)</li> <li>ii) An accessible office premise with an operational lift with audio functionality. (Target of 1)</li> <li>iii) An aid to support the visually impaired staff. (Target of 1)</li> </ul>
<b>Actual Expenditure By End Q1</b>	0.00316
<b>Performance as of End of Q1</b>	i) 563 Females were trained on their obligations and ML/TF/PF crimes. ii) An accessible office premise with an operational lift fitted with audio functionality was maintained. iii) An aid to support the visually impaired staff was recruited and facilitated..
<b>Reasons for Variations</b>	On track as planned

**ii) HIV/AIDS**

<b>Objective:</b>	Mitigate the spread, stigmatization, and other negative effects of HIV/AIDs amongst FIA staff.
<b>Issue of Concern:</b>	HIV/AIDs negative effects could hinder the Authority's effective and efficient service delivery.
<b>Planned Interventions:</b>	<ul style="list-style-type: none"> <li>i) Maintain counselling services for all FIA staff.</li> <li>ii) Conduct sensitization of all FIA staff on HIV/AIDs.</li> </ul>
<b>Budget Allocation (Billion):</b>	0.027
<b>Performance Indicators:</b>	<ul style="list-style-type: none"> <li>i) A designated counsellor. (Target of 1)</li> <li>ii) No. of FIA staff sensitization sessions on HIV/AIDs held. (Target of 1)</li> </ul>
<b>Actual Expenditure By End Q1</b>	0
<b>Performance as of End of Q1</b>	None
<b>Reasons for Variations</b>	The Actions were planned for Q3

**iii) Environment**

**VOTE: 129 Financial Intelligence Authority (FIA)**

Quarter 1

<b>Objective:</b>	Mainstream environmental concerns during office operations.
<b>Issue of Concern:</b>	Environmental degradation and climate change.
<b>Planned Interventions:</b>	i) Sensitize all staff on environmental measures. ii) Maintain an operational Electronic Document Management System to reduce on usage of paper.
<b>Budget Allocation (Billion):</b>	0.003
<b>Performance Indicators:</b>	i) No. of FIA staff sensitization sessions on environmental measures held. (Target of 1) ii) An operational Electronic Document Management System. (Target of 1).
<b>Actual Expenditure By End Q1</b>	0.00075
<b>Performance as of End of Q1</b>	i) An operation EDMS system was maintained
<b>Reasons for Variations</b>	Outputs for the quarter achieved

**iv) Covid**

<b>Objective:</b>	Mitigate the spread and related negative effects of COVID-19, or related contagious viruses.
<b>Issue of Concern:</b>	Possible disruption of the Authority's supervisory activities owing to COVID-19, or related contagious viruses.
<b>Planned Interventions:</b>	i) Maintain an operational and effective goAML online platform for virtual reporting by Accountable Persons. ii) Maintain an inventory of COVID-19 /contagious viruses /supplies and first AID box. iii) Maintain a work from home policy.
<b>Budget Allocation (Billion):</b>	0.007
<b>Performance Indicators:</b>	i) An operational goAML online reporting platform. (Target of 1) ii) An inventory of sanitizer supplies and first aid kit. (Target of 1) iii) A work from home policy. (Target of 1)
<b>Actual Expenditure By End Q1</b>	0.00175
<b>Performance as of End of Q1</b>	i) An operational and effective goAML online platform for virtual reporting was maintained. ii) An inventory of sanitizer supplies and first aid kits were procured. iii) A work from place policy continued to be implemented
<b>Reasons for Variations</b>	Outputs for the quarter achieved