

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (US\$ Billion)

| | | Approved Budget | Revised Budget | Released by End Q4 | Spent by End Q4 | % Budget Released | % Budget Spent | % Releases Spent |
|-------------------------------------|----------|-----------------|----------------|--------------------|-----------------|-------------------|----------------|------------------|
| Recurrent | Wage | 9.594 | 9.594 | 9.594 | 9.594 | 100.0 % | 100.0 % | 100.0 % |
| | Non-Wage | 23.453 | 23.453 | 23.453 | 23.453 | 100.0 % | 100.0 % | 100.0 % |
| Dev. | GoU | 0.656 | 0.656 | 0.656 | 0.656 | 100.0 % | 100.0 % | 100.0 % |
| | Ext Fin. | 0.000 | 0.000 | 0.000 | 0.000 | 0.0 % | 0.0 % | 0.0 % |
| GoU Total | | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |
| Total GoU+Ext Fin (MTEF) | | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |
| Arrears | | 0.000 | 0.000 | 0.000 | 0.000 | 0.0 % | 0.0 % | 0.0 % |
| Total Budget | | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |
| A.I.A Total | | 0.000 | 0.000 | 0.000 | 0.000 | 0.0 % | 0.0 % | 0.0 % |
| Grand Total | | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |
| Total Vote Budget Excluding Arrears | | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |

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Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme*

| <i>Billion Uganda Shillings</i> | Approved Budget | Revised Budget | Released by End Q4 | Spent by End Q4 | % Budget Released | % Budget Spent | %Releases Spent |
|--|-----------------|----------------|--------------------|-----------------|-------------------|----------------|-----------------|
| Programme:16 Governance And Security | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0% |
| Sub SubProgramme:01 Directorate of Finance and Administration | 23.653 | 23.653 | 23.653 | 23.652 | 100.0 % | 100.0 % | 100.0% |
| Sub SubProgramme:02 Directorate of Internal Audit | 0.170 | 0.170 | 0.170 | 0.170 | 100.0 % | 100.0 % | 100.0% |
| Sub SubProgramme:03 Directorate of Systems Administration and Security | 1.504 | 1.504 | 1.504 | 1.504 | 100.0 % | 100.0 % | 100.0% |
| Sub SubProgramme:04 Directorate of Analysis and Monitoring | 5.234 | 5.234 | 5.234 | 5.234 | 100.0 % | 100.0 % | 100.0% |
| Sub SubProgramme:05 Directorate of Compliance and Training | 1.082 | 1.082 | 1.082 | 1.082 | 100.0 % | 100.0 % | 100.0% |
| Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations | 2.061 | 2.061 | 2.061 | 2.061 | 100.0 % | 100.0 % | 100.0% |
| Sub SubProgramme:07 Analysis and Monitoring | 0.000 | | 0.000 | 0.000 | 0.0 % | 0.0 % | 0.0% |
| Sub SubProgramme:08 Compliance and Outreach | 0.000 | | 0.000 | 0.000 | 0.0 % | 0.0 % | 0.0% |
| Sub SubProgramme:09 Legal, Corporate Services and International Relations | 0.000 | | 0.000 | 0.000 | 0.0 % | 0.0 % | 0.0% |
| Sub SubProgramme:10 ICT Systems and Security | 0.000 | | 0.000 | 0.000 | 0.0 % | 0.0 % | 0.0% |
| Sub SubProgramme:11 Finance and Administration | 0.000 | | 0.000 | 0.000 | 0.0 % | 0.0 % | 0.0% |
| Total for the Vote | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |

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Table V1.3: High Unspent Balances and Over-Expenditure in the Approved Budget (Ushs Bn)

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V2: Performance Highlights

Table V2.1: PIAP outputs and output Indicators

| | | | |
|---|-------------------|-----------------|--------------------|
| Programme:16 Governance And Security | | | |
| SubProgramme:01 Institutional Coordination | | | |
| Sub SubProgramme:01 Directorate of Finance and Administration | | | |
| Department:002 Human resource registry and security | | | |
| Budget Output: 000005 Human Resource Management | | | |
| PIAP Output: 16060201 Human Resources Management Services provided | | | |
| Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff | | | |
| PIAP Output Indicators | Indicator Measure | Planned 2024/25 | Actuals By END Q 4 |
| No of staff trained | Number | 75 | 82 |
| No. of staff receiving salary by the 28th day of each month | Number | 88 | 85 |
| SubProgramme:02 Security | | | |
| Sub SubProgramme:03 Directorate of Systems Administration and Security | | | |
| Department:001 Systems Administration and Security | | | |
| Budget Output: 120007 Support services | | | |
| PIAP Output: 16070516 Enhanced Technical capability | | | |
| Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes | | | |
| PIAP Output Indicators | Indicator Measure | Planned 2024/25 | Actuals By END Q 4 |
| Value of security equipment acquired (bn) | Value | 0.656 | 0.656 |
| SubProgramme:03 Policy and Legislation Processes | | | |
| Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations | | | |
| Department:001 Legal and Corporate Affairs | | | |
| Budget Output: 460103 Legal Representation and Litigation services | | | |
| PIAP Output: 16060305 AML/CFT International standards implemented | | | |
| Programme Intervention: 160603 Review and enact appropriate legislation | | | |
| PIAP Output Indicators | Indicator Measure | Planned 2024/25 | Actuals By END Q 4 |
| Number of FATF Technical Compliance recommendations re-rated | Number | 6 | 4 |

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| | | | |
|--|-------------------|-----------------|--------------------|
| Programme:16 Governance And Security | | | |
| SubProgramme:05 Anti-Corruption and Accountability | | | |
| Sub SubProgramme:01 Directorate of Finance and Administration | | | |
| Project:1623 Retooling of Financial Intelligence Authority | | | |
| Budget Output: 000003 Facilities and Equipment Management | | | |
| PIAP Output: 16070520 Enhanced Technical capability | | | |
| Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes | | | |
| PIAP Output Indicators | Indicator Measure | Planned 2024/25 | Actuals By END Q 4 |
| Value of security equipment acquired (bn) | Value | 0.656 | 0.656 |
| Sub SubProgramme:04 Directorate of Analysis and Monitoring | | | |
| Department:001 Strategic Analysis and Statistics | | | |
| Budget Output: 000001 Audit and Risk Management | | | |
| PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing | | | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | | | |
| PIAP Output Indicators | Indicator Measure | Planned 2024/25 | Actuals By END Q 4 |
| Number of studies under taken per year and results disseminated to stakeholders | Number | 4 | 5 |
| PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes | | | |
| Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery | | | |
| PIAP Output Indicators | Indicator Measure | Planned 2024/25 | Actuals By END Q 4 |
| Number of engagements with the public on matters related to ML/TF/PF | Number | 12 | 12 |
| PIAP Output: 16080813 Financial due diligence undertaken on investors | | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | | |
| PIAP Output Indicators | Indicator Measure | Planned 2024/25 | Actuals By END Q 4 |
| No . of financial due diligence reports produced | Number | 40 | 24 |
| Department:002 Operational analysis | | | |
| Budget Output: 560019 Data Management and Dissemination | | | |
| PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering. | | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | | |
| PIAP Output Indicators | Indicator Measure | Planned 2024/25 | Actuals By END Q 4 |
| No. of reports disseminated | Number | 80 | 83 |

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| | | | |
|---|-------------------|-----------------|--------------------|
| Programme:16 Governance And Security | | | |
| SubProgramme:05 Anti-Corruption and Accountability | | | |
| Sub SubProgramme:05 Directorate of Compliance and Training | | | |
| Department:001 Compliance and Inspection | | | |
| Budget Output: 000023 Inspection and Monitoring | | | |
| PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws | | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | | |
| PIAP Output Indicators | Indicator Measure | Planned 2024/25 | Actuals By END Q 4 |
| Number of sanctions issued for non compliance with the AML/CFT/CPF standard | Number | 10 | 31 |

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Performance highlights for the Quarter

- Uganda was officially removed from the European Union (EU) list of high-risk jurisdictions for money laundering and terrorism financing, a major achievement reflecting the country's commitment to strengthening its AML/CFT framework.
- FIA processed 5 information requests from foreign FIUs, with an average response time of 15 days.
- Conducted 2 risk based onsite inspections to assess AML/CFT compliance and issued necessary corrective actions.
- Reviewed 18 risk assessment reports and 60 independent Audit Reports to identify compliance gaps (if any) with the resultant reports being shared with the accountable persons.
- The Authority registered 55 new accountable persons on the goAML platform, bringing the total to 1,693 users.
- FIA received and analyzed 14,741 reports, which led to the generation of 40 intelligence reports (16 proactive, 24 reactive), disseminated to Law Enforcement Agencies (LEAs) and other competent authorities.
- Conducted 7 trainings (6 for Accountable Persons, 1 for LEAs), reaching 197 participants (132 male, 65 female) on AML/CFT/CPF obligations and investigations.
- Processed 8 financial due diligence assessments on investors with proposed projects valued at over USD 2.63 billion.
- The Authority published 4 articles and held 1 press briefing to raise public and media awareness on AML/CFT/CPF and reporting mechanisms.
- FIA obtained approval of the FIA Strategic Plan for FY 2025/26–2029/30 from the National Planning Authority (NPA).
- Data Management and Reporting: Maintained a comprehensive national AML/CFT/CPF statistics database to improve the quality and consistency of ML/TF/PF data.
- Finalized the draft National AML/CFT/CTF Strategy FY 2025/26 - 2029/30

Variances and Challenges

- Existing gaps in the legal framework makes it hard to implement several AML/CFT/CPF initiatives.
- Existence of a large informal sector/ cash-based economy. This makes it hard to trace the financial transactions.
- Existence of a long porous border that poses significant challenges in the declaration of cash and bearer negotiable instruments.
- Non prioritization of AML initiatives by stakeholders in the value chain

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V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Budget Output*

| <i>Billion Uganda Shillings</i> | Approved Budget | Revised Budget | Released by End Q4 | Spent by End Q4 | % GoU Budget Released | % GoU Budget Spent | % GoU Releases Spent |
|--|-----------------|----------------|--------------------|-----------------|-----------------------|--------------------|----------------------|
| Programme:16 Governance And Security | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |
| Sub SubProgramme:01 Directorate of Finance and Administration | 23.653 | 23.653 | 23.653 | 23.652 | 100.0 % | 100.0 % | 100.0 % |
| 000003 Facilities and Equipment Management | 0.656 | 0.656 | 0.656 | 0.656 | 100.0 % | 100.0 % | 100.0 % |
| 000005 Human Resource Management | 18.467 | 18.467 | 18.467 | 18.467 | 100.0 % | 100.0 % | 100.0 % |
| 000013 HIV/AIDS Mainstreaming | 0.022 | 0.022 | 0.022 | 0.022 | 100.0 % | 100.0 % | 100.0 % |
| 000014 Administrative and Support Services | 4.505 | 4.505 | 4.505 | 4.505 | 100.0 % | 100.0 % | 100.0 % |
| 000089 Climate Change Mitigation | 0.003 | 0.003 | 0.003 | 0.003 | 100.0 % | 100.0 % | 100.0 % |
| Sub SubProgramme:02 Directorate of Internal Audit | 0.170 | 0.170 | 0.170 | 0.170 | 100.0 % | 100.0 % | 100.0 % |
| 000001 Audit and Risk Management | 0.170 | 0.170 | 0.170 | 0.170 | 100.0 % | 100.0 % | 100.0 % |
| Sub SubProgramme:03 Directorate of Systems Administration and Security | 1.504 | 1.504 | 1.504 | 1.504 | 100.0 % | 100.0 % | 100.0 % |
| 120007 Support services | 1.504 | 1.504 | 1.504 | 1.504 | 100.0 % | 100.0 % | 100.0 % |
| Sub SubProgramme:04 Directorate of Analysis and Monitoring | 5.234 | 5.234 | 5.234 | 5.234 | 100.0 % | 100.0 % | 100.0 % |
| 000001 Audit and Risk Management | 0.873 | 0.873 | 0.873 | 0.873 | 100.0 % | 100.0 % | 100.0 % |
| 560019 Data Management and Dissemination | 4.361 | 4.361 | 4.361 | 4.361 | 100.0 % | 100.0 % | 100.0 % |
| Sub SubProgramme:05 Directorate of Compliance and Training | 1.082 | 1.082 | 1.082 | 1.082 | 100.0 % | 100.0 % | 100.0 % |
| 000023 Inspection and Monitoring | 1.082 | 1.082 | 1.082 | 1.082 | 100.0 % | 100.0 % | 100.0 % |
| Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations | 2.061 | 2.061 | 2.061 | 2.061 | 100.0 % | 100.0 % | 100.0 % |
| 460103 Legal Representation and Litigation services | 2.061 | 2.061 | 2.061 | 2.061 | 100.0 % | 100.0 % | 100.0 % |
| Total for the Vote | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |

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Table V3.2: GoU Expenditure by Item 2024/25 GoU Expenditure by Item

| <i>Billion Uganda Shillings</i> | Approved Budget | Revised Budget | Released by End Q4 | Spent by End Q4 | % GoU Budget Released | % GoU Budget Spent | % GoU Releases Spent |
|--|-----------------|----------------|--------------------|-----------------|-----------------------|--------------------|----------------------|
| 211102 Contract Staff Salaries | 9.594 | 9.594 | 9.594 | 9.594 | 100.0 % | 100.0 % | 100.0 % |
| 211104 Employee Gratuity | 2.399 | 2.399 | 2.399 | 2.399 | 100.0 % | 100.0 % | 100.0 % |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | 1.031 | 0.600 | 1.031 | 1.031 | 100.0 % | 100.0 % | 100.0 % |
| 211107 Boards, Committees and Council Allowances | 0.469 | 0.469 | 0.469 | 0.469 | 100.0 % | 100.0 % | 100.0 % |
| 212101 Social Security Contributions | 1.439 | 1.439 | 1.439 | 1.439 | 100.0 % | 100.0 % | 100.0 % |
| 212102 Medical expenses (Employees) | 0.432 | 0.432 | 0.432 | 0.432 | 100.0 % | 100.0 % | 100.0 % |
| 212103 Incapacity benefits (Employees) | 0.035 | 0.035 | 0.035 | 0.035 | 100.0 % | 100.0 % | 100.0 % |
| 221001 Advertising and Public Relations | 0.289 | 0.240 | 0.289 | 0.289 | 100.0 % | 100.0 % | 100.0 % |
| 221002 Workshops, Meetings and Seminars | 1.254 | 0.300 | 1.254 | 1.253 | 100.0 % | 100.0 % | 100.0 % |
| 221003 Staff Training | 1.322 | 0.505 | 1.322 | 1.322 | 100.0 % | 100.0 % | 100.0 % |
| 221004 Recruitment Expenses | 0.050 | 0.050 | 0.050 | 0.050 | 100.0 % | 100.0 % | 100.0 % |
| 221006 Commissions and related charges | 0.280 | 0.280 | 0.280 | 0.280 | 100.0 % | 100.0 % | 100.0 % |
| 221007 Books, Periodicals & Newspapers | 0.012 | 0.002 | 0.012 | 0.012 | 100.0 % | 100.0 % | 100.0 % |
| 221008 Information and Communication Technology Supplies. | 0.070 | 0.030 | 0.070 | 0.070 | 100.0 % | 100.0 % | 100.0 % |
| 221009 Welfare and Entertainment | 1.409 | 1.409 | 1.409 | 1.409 | 100.0 % | 100.0 % | 100.0 % |
| 221011 Printing, Stationery, Photocopying and Binding | 0.227 | 0.110 | 0.227 | 0.227 | 100.0 % | 100.0 % | 100.0 % |
| 221012 Small Office Equipment | 0.019 | 0.009 | 0.019 | 0.019 | 100.0 % | 100.0 % | 100.0 % |
| 221017 Membership dues and Subscription fees. | 0.637 | 0.014 | 0.637 | 0.637 | 100.0 % | 100.0 % | 100.0 % |
| 221020 Litigation and related expenses | 0.020 | 0.020 | 0.020 | 0.020 | 100.0 % | 100.0 % | 100.0 % |
| 222001 Information and Communication Technology Services. | 0.016 | 0.010 | 0.016 | 0.016 | 100.0 % | 100.0 % | 100.0 % |
| 222002 Postage and Courier | 0.000 | 0.000 | 0.000 | 0.000 | 100.0 % | 100.0 % | 100.0 % |
| 223001 Property Management Expenses | 0.084 | 0.084 | 0.084 | 0.084 | 100.0 % | 100.0 % | 100.0 % |
| 223003 Rent-Produced Assets-to private entities | 1.716 | 1.716 | 1.716 | 1.716 | 100.0 % | 100.0 % | 100.0 % |
| 223004 Guard and Security services | 0.318 | 0.318 | 0.318 | 0.318 | 100.0 % | 100.0 % | 100.0 % |
| 223005 Electricity | 0.144 | 0.144 | 0.144 | 0.144 | 100.0 % | 100.0 % | 100.0 % |
| 224004 Beddings, Clothing, Footwear and related Services | 0.014 | 0.014 | 0.014 | 0.014 | 100.0 % | 100.0 % | 100.0 % |

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| <i>Billion Uganda Shillings</i> | Approved Budget | Revised Budget | Released by End Q4 | Spent by End Q4 | % GoU Budget Released | % GoU Budget Spent | % GoU Releases Spent |
|---|-----------------|----------------|--------------------|-----------------|-----------------------|--------------------|----------------------|
| 224009 Classified Expenditure | 6.639 | 2.593 | 6.639 | 6.639 | 100.0 % | 100.0 % | 100.0 % |
| 224011 Research Expenses | 0.010 | 0.010 | 0.010 | 0.010 | 100.0 % | 100.0 % | 100.0 % |
| 225101 Consultancy Services | 0.565 | 0.170 | 0.565 | 0.565 | 100.0 % | 100.0 % | 100.0 % |
| 226001 Insurances | 0.311 | 0.311 | 0.311 | 0.311 | 100.0 % | 100.0 % | 100.0 % |
| 226002 Licenses | 0.900 | 0.900 | 0.900 | 0.900 | 100.0 % | 100.0 % | 100.0 % |
| 227001 Travel inland | 0.406 | 0.065 | 0.406 | 0.406 | 100.0 % | 100.0 % | 100.0 % |
| 227004 Fuel, Lubricants and Oils | 0.760 | 0.293 | 0.760 | 0.760 | 100.0 % | 100.0 % | 100.0 % |
| 228002 Maintenance-Transport Equipment | 0.135 | 0.135 | 0.135 | 0.135 | 100.0 % | 100.0 % | 100.0 % |
| 228003 Maintenance-Machinery & Equipment Other than Transport Equipment | 0.040 | 0.040 | 0.040 | 0.040 | 100.0 % | 100.0 % | 100.0 % |
| 312221 Light ICT hardware - Acquisition | 0.111 | 0.111 | 0.111 | 0.111 | 100.0 % | 100.0 % | 100.0 % |
| 312235 Furniture and Fittings - Acquisition | 0.199 | 0.199 | 0.199 | 0.199 | 100.0 % | 100.0 % | 100.0 % |
| 312423 Computer Software - Acquisition | 0.346 | 0.346 | 0.346 | 0.346 | 100.0 % | 100.0 % | 100.0 % |
| Total for the Vote | 33.703 | 25.398 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |

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Table V3.3: Releases and Expenditure by Department and Project*

| <i>Billion Uganda Shillings</i> | Approved Budget | Revised Budget | Released by End Q4 | Spent by End Q4 | % GoU Budget Released | % GoU Budget Spent | % GoU Releases Spent |
|--|-----------------|----------------|--------------------|-----------------|-----------------------|--------------------|----------------------|
| Programme:16 Governance And Security | 33.703 | 33.703 | 33.703 | 33.703 | 100.00 % | 100.00 % | 100.00 % |
| Sub SubProgramme:01 Directorate of Finance and Administration | 23.653 | 23.653 | 23.653 | 23.652 | 100.00 % | 100.00 % | 100.0 % |
| <i>Departments</i> | | | | | | | |
| 001 Accounts | 4.505 | 4.505 | 4.505 | 4.505 | 100.0 % | 100.0 % | 100.0 % |
| 002 Human resource registry and security | 18.492 | 18.492 | 18.492 | 18.492 | 100.0 % | 100.0 % | 100.0 % |
| <i>Development Projects</i> | | | | | | | |
| 1623 Retooling of Financial Intelligence Authority | 0.656 | 0.656 | 0.656 | 0.656 | 100.0 % | 100.0 % | 100.0 % |
| Sub SubProgramme:02 Directorate of Internal Audit | 0.170 | 0.170 | 0.170 | 0.170 | 100.00 % | 100.00 % | 100.0 % |
| <i>Departments</i> | | | | | | | |
| 001 Internal Audit | 0.170 | 0.170 | 0.170 | 0.170 | 100.0 % | 100.0 % | 100.0 % |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Sub SubProgramme:03 Directorate of Systems Administration and Security | 1.504 | 1.504 | 1.504 | 1.504 | 100.00 % | 100.00 % | 100.0 % |
| <i>Departments</i> | | | | | | | |
| 001 Systems Administration and Security | 1.504 | 1.504 | 1.504 | 1.504 | 100.0 % | 100.0 % | 100.0 % |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Sub SubProgramme:04 Directorate of Analysis and Monitoring | 5.234 | 5.234 | 5.234 | 5.234 | 100.00 % | 100.00 % | 100.0 % |
| <i>Departments</i> | | | | | | | |
| 001 Strategic Analysis and Statistics | 0.873 | 0.873 | 0.873 | 0.873 | 100.0 % | 100.0 % | 100.0 % |
| 002 Operational analysis | 4.361 | 4.361 | 4.361 | 4.361 | 100.0 % | 100.0 % | 100.0 % |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Sub SubProgramme:05 Directorate of Compliance and Training | 1.082 | 1.082 | 1.082 | 1.082 | 100.00 % | 100.00 % | 100.0 % |
| <i>Departments</i> | | | | | | | |
| 001 Compliance and Inspection | 1.082 | 1.082 | 1.082 | 1.082 | 100.0 % | 100.0 % | 100.0 % |

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| <i>Billion Uganda Shillings</i> | Approved Budget | Revised Budget | Released by End Q4 | Spent by End Q4 | % GoU Budget Released | % GoU Budget Spent | % GoU Releases Spent |
|--|-----------------|----------------|--------------------|-----------------|-----------------------|--------------------|----------------------|
| Programme:16 Governance And Security | 33.703 | 33.703 | 33.703 | 33.703 | 100.00 % | 100.00 % | 100.00 % |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations | 2.061 | 2.061 | 2.061 | 2.061 | 100.00 % | 100.00 % | 100.0 % |
| <i>Departments</i> | | | | | | | |
| 001 Legal and Corporate Affairs | 2.061 | 2.061 | 2.061 | 2.061 | 100.0 % | 100.0 % | 100.0 % |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Sub SubProgramme:07 Analysis and Monitoring | 0.000 | | 0.000 | 0.000 | 0.00 % | 0.00 % | 0.0 % |
| <i>Departments</i> | | | | | | | |
| N/A | | | | | | | |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Sub SubProgramme:08 Compliance and Outreach | 0.000 | | 0.000 | 0.000 | 0.00 % | 0.00 % | 0.0 % |
| <i>Departments</i> | | | | | | | |
| N/A | | | | | | | |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Sub SubProgramme:09 Legal, Corporate Services and International Relations | 0.000 | | 0.000 | 0.000 | 0.00 % | 0.00 % | 0.0 % |
| <i>Departments</i> | | | | | | | |
| N/A | | | | | | | |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Sub SubProgramme:10 ICT Systems and Security | 0.000 | | 0.000 | 0.000 | 0.00 % | 0.00 % | 0.0 % |
| <i>Departments</i> | | | | | | | |
| N/A | | | | | | | |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Sub SubProgramme:11 Finance and Administration | 0.000 | | 0.000 | 0.000 | 0.00 % | 0.00 % | 0.0 % |

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| <i>Billion Uganda Shillings</i> | Approved Budget | Revised Budget | Released by End Q4 | Spent by End Q4 | % GoU Budget Released | % GoU Budget Spent | % GoU Releases Spent |
|--------------------------------------|-----------------|----------------|--------------------|-----------------|-----------------------|--------------------|----------------------|
| Programme:16 Governance And Security | 33.703 | 33.703 | 33.703 | 33.703 | 100.00 % | 100.00 % | 100.00 % |
| <i>Departments</i> | | | | | | | |
| N/A | | | | | | | |
| <i>Development Projects</i> | | | | | | | |
| N/A | | | | | | | |
| Total for the Vote | 33.703 | 33.703 | 33.703 | 33.703 | 100.0 % | 100.0 % | 100.0 % |

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Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

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Quarter 4: Outputs and Expenditure in the Quarter

| Outputs Planned in Quarter | | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|--|---|--------------------------------------|
| Programme:16 Governance And Security | | | |
| SubProgramme:01 Institutional Coordination | | | |
| Sub SubProgramme:01 Directorate of Finance and Administration | | | |
| Departments | | | |
| Department:002 Human resource registry and security | | | |
| Budget Output:000005 Human Resource Management | | | |
| PIAP Output: 16060201 Human Resources Management Services provided | | | |
| Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff | | | |
| 1) Annual staff and institutional membership/subscription/participation in professional bodies maintained. 2) Staff trained in pertinent technical areas informed from the Training Needs Analysis. | | Annual Membership and Institutional Participation in Professional Bodies Maintained: Human Resource staff maintained active membership in key professional bodies, including the Human Resource Managers Association of Uganda and the Chartered Institute of Personnel and Development (CIPD), ensuring continued alignment with best practices in HR management and institutional development. Targeted Staff Training Informed by Training Needs Analysis: A total of 5 staff participated in specialized trainings aligned with identified technical capacity needs. These included training in the Prosecution of Forest Crimes and on the National Trafficking in Persons (TIP) Database, enhancing technical competencies relevant to their respective roles. | Outputs achieved as planned |
| 1) Full time office and entitled staff security services maintained. 1) FIA staff Medical and other pertinent insurances maintained. 3) Authority premised maintained in clean and good condition. | | <ul style="list-style-type: none">• A safe and conducive work environment was maintained• The Offices were maintained in a clean and habitable state at all times• Entitled FIA staff were provided with security services• Full time office security services were provided• All FIA staff Medical and other insurances were maintained. | Outputs achieved as planned |

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Quarter 4

| Outputs Planned in Quarter | | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|---|--|--|
| PIAP Output: 16060201 Human Resources Management Services provided | | | |
| Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff | | | |
| 1) Well maintained Authority machinery, equipment, and furniture. | 2) Well maintained Authority Vehicles, and up-to-date vehicle utilisation report. | 3) Staff engaged in health & wellness, and Team building activities. | • All FIA equipment, machinery and furniture were well maintained in a good condition for use. • All Authority’s vehicles were well maintained through regular servicing and up to date vehicle utilization reports were prepared. • FIA provided health and wellness activities services to all staff. • An up-to date vehicle utilisation report was prepared and approved. |
| | | | |
| | | | |
| 1) Provision of COVID-19 /contagious viruses /supplies and first AID box | | | • 2 fully stocked first AID boxes were maintained at the FIA offices. • Sanitizer (contagious virus supplies) were provided at FIA premise entry points |
| Expenditures incurred in the Quarter to deliver outputs | | | US\$hs Thousand |
| Item | | | Spent |
| 211102 Contract Staff Salaries | | | 2,675,650.315 |
| 211104 Employee Gratuity | | | 2,033,350.000 |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | | | 10,000.000 |
| 212101 Social Security Contributions | | | 285,560.000 |
| 212102 Medical expenses (Employees) | | | 402,311.887 |
| 212103 Incapacity benefits (Employees) | | | 8,750.000 |
| 221003 Staff Training | | | 55,081.399 |
| 221004 Recruitment Expenses | | | 28,095.000 |
| 221009 Welfare and Entertainment | | | 358,141.116 |
| 221012 Small Office Equipment | | | 4,500.000 |
| 221017 Membership dues and Subscription fees. | | | 1,146.955 |
| 222002 Postage and Courier | | | 10.000 |
| 223001 Property Management Expenses | | | 25,456.923 |
| 223003 Rent-Produced Assets-to private entities | | | 425,271.896 |
| 223004 Guard and Security services | | | 86,091.155 |
| 223005 Electricity | | | 36,203.024 |
| 226001 Insurances | | | 150,186.209 |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|------------------------------------|--------------------------------------|
| Expenditures incurred in the Quarter to deliver outputs | | US\$ Thousand |
| Item | | Spent |
| 227001 Travel inland | | 4,505.956 |
| 227004 Fuel, Lubricants and Oils | | 73,350.000 |
| 228002 Maintenance-Transport Equipment | | 54,902.692 |
| | Total For Budget Output | 6,718,564.527 |
| | Wage Recurrent | 2,675,650.315 |
| | Non Wage Recurrent | 4,042,914.212 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Budget Output:000013 HIV/AIDS Mainstreaming | | |
| PIAP Output: 16060503 HIV/AIDS Activities mainstreamed | | |
| Programme Intervention: 160605 Undertake financing and administration of programme services | | |
| Expenditures incurred in the Quarter to deliver outputs | | US\$ Thousand |
| Item | | Spent |
| 221009 Welfare and Entertainment | | 22,000.000 |
| | Total For Budget Output | 22,000.000 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 22,000.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Budget Output:000089 Climate Change Mitigation | | |
| PIAP Output: 16090101 Cross cutting issues mainstreamed | | |
| Programme Intervention: 160901 Strengthen government institutions for effective and efficient service delivery | | |
| Expenditures incurred in the Quarter to deliver outputs | | US\$ Thousand |
| Item | | Spent |
| 221009 Welfare and Entertainment | | 3,000.000 |
| | Total For Budget Output | 3,000.000 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 3,000.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|---|---|
| | Total For Department | 6,743,564.527 |
| | Wage Recurrent | 2,675,650.315 |
| | Non Wage Recurrent | 4,067,914.212 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Development Projects | | |
| N/A | | |
| SubProgramme:02 Security | | |
| Sub SubProgramme:03 Directorate of Systems Administration and Security | | |
| Departments | | |
| Department:001 Systems Administration and Security | | |
| Budget Output:120007 Support services | | |
| PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities | | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | | |
| (i) 1 new stake holder on boarded on goAML i.e ODPP, UWA, Counter Terrorism etc (ii) FIA staff trained on goAML system for secure information exchanges. | •41 staff from Monitoring and Intelligence, Compliance and Inspection, and ICT departments were trained on goAML system enhancing their capacity to detect and combat financial crime. •Currently there are 13 stakeholders onboarded onto goAML including URA, UWA, CID, ESO, ISO etc | The Authority continues to engage stakeholders for onboarding onto goAML. |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|--|---|
| PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities | | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | | |
| (i) Up-to-date Software Server Licenses to maintain functionalities and support of all FIA software | Software License Management and System Support To ensure uninterrupted functionality, security, and support for all FIA software systems, the Authority successfully renewed critical software server licenses during the period. These included: <ul style="list-style-type: none">• Microsoft 365 – for enhanced productivity and collaboration.• SmartNet – for support and maintenance of Cisco appliances, switches, and access points.• KnowBe4 – for continuous security awareness training.• Microsoft Azure – to support business continuity and cloud services.• Security Information and Event Management (SIEM) – for real-time monitoring of security logs across all computing devices.• Asset Explorer – for efficient tracking and management of all ICT assets.• Patch Management Software – for automated upgrades to the latest software versions on user devices.• Web Application Firewall (WAF) – for enhanced protection of web applications.• Commvault – for robust disaster recovery.• Kaspersky – for comprehensive malware protection | Output achieved as planned |
| PIAP Output: 16070516 Enhanced Technical capability | | |
| Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes | | |
| (i) Operational customised Human Capital Management System from the Ministry of Public Service | Human Capital and ICT Systems Management; The Authority submitted all requisite documentation to the Ministry of Public Service to facilitate onboarding onto the customised Human Capital Management System (HCM). As of the end of Q4, the Authority was awaiting formal confirmation and access credentials from the Ministry. Additionally, to ensure robust ICT security and business continuity, the Authority renewed licences for Commvault (for disaster recovery) and Kaspersky (for comprehensive malware protection). | <ul style="list-style-type: none">• Requisite documents were submitted to the Ministry of Public service to onboard FIA onto the Human Capital Management System portal. The Authority was still waiting on a response as at the end of Q4. |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|------------------------------------|--------------------------------------|
| Expenditures incurred in the Quarter to deliver outputs | | US\$ Thousand |
| Item | | Spent |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | | 2,986.340 |
| 221002 Workshops, Meetings and Seminars | | 5,224.313 |
| 221003 Staff Training | | 17,577.016 |
| 221008 Information and Communication Technology Supplies. | | 30,165.800 |
| 222001 Information and Communication Technology Services. | | 2,500.000 |
| 226002 Licenses | | 342,558.071 |
| 227001 Travel inland | | 1,983.750 |
| 227004 Fuel, Lubricants and Oils | | 21,600.000 |
| 228003 Maintenance-Machinery & Equipment Other than Transport Equipment | | 15,850.000 |
| | Total For Budget Output | 440,445.290 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 440,445.290 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Department | 440,445.290 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 440,445.290 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Develoment Projects | | |
| N/A | | |
| SubProgramme:03 Policy and Legislation Processes | | |
| Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations | | |
| Departments | | |
| Department:001 Legal and Corporate Affairs | | |
| Budget Output:460103 Legal Representation and Litigation services | | |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|---|--------------------------------------|
| PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated. | | |
| Programme Intervention: 160603 Review and enact appropriate legislation | | |
| (i) 2 ICRG recommendations/proposals implemented on each respective Immediate Outcome (IO) | The Authority prepared and submitted Uganda’s 16th Enhanced Follow-Up Report to ESAAMLG for consideration at the upcoming 50th Task Force of Senior Officials Meeting, scheduled for September 2025. The report is currently under review by the ESAAMLG Review Group. | Outputs achieved as planned |
| (i) Q4 FY2024/25 timely quality Board Papers prepared to facilitate Board decision making. (ii) Q4 FY2024/25 Board meetings held, quality minutes prepared and filed. (iii) Board members trained in Board Capacity Development Programs (governance & strategic leadership) | During Q4 FY2024/25, timely and high-quality Board papers were prepared and submitted, facilitating effective Board decision-making. One quarterly meeting of the 4th Board was successfully held, preceded by the 3rd Finance and Administration Committee meeting and the 2nd Board Audit Committee meeting. The Board considered and approved three statutory reports: the Performance Report, Internal Audit Report, and Risk Management Report. Accurate minutes were prepared and appropriately filed for all meetings. | Outputs achieved as planned |
| PIAP Output: 16060305 AML/CFT International standards implemented | | |
| Programme Intervention: 160603 Review and enact appropriate legislation | | |
| (i) 4 FATF progress reports prepared on implementation of FATF – ICRG recommendations on Uganda activities to avoid future grey listing (ii) 2 Working group reports on implementation of all outstanding FATF technical and effectiveness compliance issues prepared | 4 FATF progress reports and 2 working group reports on implementation of all outstanding FATF technical and effectiveness compliance issues were prepared. Additionally, the Authority prepared the 16th Enhanced Follow-Up Report for Uganda was prepared and submitted to ESAAMLG for consideration at the upcoming 50th Task Force of Senior Officials Meeting scheduled for September 2025. The report is currently under review by the ESAAMLG Review Group. | Outputs achieved as planned |

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Quarter 4

| Outputs Planned in Quarter | | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|---|---|--|
| PIAP Output: 16060305 AML/CFT International standards implemented | | | |
| Programme Intervention: 160603 Review and enact appropriate legislation | | | |
| | | A draft National AML/CFT/CPF strategy for Uganda (2025/26–2029/30) was developed, outlining key priorities and interventions to strengthen the country's response to ML/TF/PF. The strategy, by end of reporting period, was pending requisite approval by National AML Taskforce and MOFPED. | Delays in finalization and approval was occasioned by competing priorities that included Uganda hosting ESAAMLG where all participants (including the National AML Taskforce) were involved for a substantial amount of time |
| (i) | 1 MOU signed with key stakeholders to permit effective collaboration, including access to key databases and information sharing | | The FIA prepared MOUs with the Financial Intelligence Units of Egypt and Nigeria to strengthen international cooperation and facilitate information sharing. However, the MOUs are currently under review by the respective counterpart institutions, and the FIA is awaiting their feedback or formal endorsement before finalization. |
| (i) | 5 Amendment proposals developed (ii) Bills gazetted and printed | 5 | The Authority successfully developed and submitted 2 amendment proposals targeting key regulatory frameworks: the Anti-Terrorism (Amendment) Regulations and the Anti-Money Laundering (Sanctions) Regulations. A comprehensive report detailing these proposed amendments was formally approved by the FIA Board. By the end of the reporting period, the amendment proposals were undergoing review by relevant stakeholders, ensuring thorough consultation and alignment with regulatory objectives. |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|---|--------------------------------------|
| PIAP Output: 16060305 AML/CFT International standards implemented | | |
| Programme Intervention: 160603 Review and enact appropriate legislation | | |
| (i) 2 Legal Briefs/Opinions provided to Accountable Persons on the application of the provisions of AML/CTF/CPF laws and regulations. | Provided 6 Legal Opinions and 5 Legal Papers to Accountable Persons, offering detailed guidance on the application of AML/CFT/CPF laws and regulations. These legal documents enhanced compliance awareness and supported accountable persons in effectively implementing regulatory requirements. | Outputs achieved as planned |
| (i) 1 Regulatory impact assessment conducted in relation to application of AML/CFT laws and the standards in order to identify gaps, assess Legal and regulatory risks and increase compliance to AML/CFT laws. | A report on proposed amendments to AML/CFT laws, informed by an internal legal assessment, was approved by the FIA Board and submitted to MOFPED, with the RIA forming a key component for further management. An extensive regulatory Impact Assessment (RIA) workplan was developed and implementation commenced, including stakeholder engagements aimed at identifying legal and regulatory gaps in AML/CFT compliance. | Output on track as planned |
| (i) 1 Report on court documents prepared and filed in court (ii) FIA effectively represented in all litigation matters | <p>The Q4 FY 2024/25 report on court documents prepared and filed was compiled and submitted, ensuring compliance with legal documentation and reporting requirements.</p> <p>The Financial Intelligence Authority (FIA) was effectively represented in 5 litigation matters</p> | Outputs achieved as planned |
| Expenditures incurred in the Quarter to deliver outputs | | US\$ Thousand |
| Item | Spent | |
| 211107 Boards, Committees and Council Allowances | 117,300.000 | |
| 221002 Workshops, Meetings and Seminars | 75,428.365 | |
| 221003 Staff Training | 40,293.008 | |
| 221006 Commissions and related charges | 85,930.388 | |
| 221011 Printing, Stationery, Photocopying and Binding | 17,217.599 | |
| 221017 Membership dues and Subscription fees. | 119,266.666 | |
| 221020 Litigation and related expenses | 10,000.000 | |
| 224004 Beddings, Clothing, Footwear and related Services | 8,620.000 | |
| 224011 Research Expenses | 10,000.000 | |
| 225101 Consultancy Services | 23,531.855 | |
| 227004 Fuel, Lubricants and Oils | 24,000.000 | |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|----------------------------|------------------------------------|--------------------------------------|
| | Total For Budget Output | 531,587.881 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 531,587.881 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Department | 531,587.881 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 531,587.881 |
| | Arrears | 0.000 |
| | AIA | 0.000 |

Development Projects

N/A

SubProgramme:05 Anti-Corruption and Accountability

Sub SubProgramme:01 Directorate of Finance and Administration

Departments

Department:001 Accounts

Budget Output:000014 Administrative and Support Services

PIAP Output: 16070502 General administration and support services enhanced

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

| | | |
|---|--|----------------------------|
| (i) Undertake quarterly FY 2024/25 Budget Performance monitoring. | Conducted quarterly budget performance monitoring for FY 2024/25, ensuring timely tracking and evaluation of financial activities. | Output achieved as planned |
| (ii) Q3 FY 2023/24 Budget Performance Report prepared and presented to Management and Board. | | |
| (iii) 100% of NTR relating to the enforcement of AML and established regulations collected and remitted to the Consolidated Fund. | Prepared and presented the Q3 FY 2023/24 Budget Performance Report facilitating informed decision-making to inform warranting. | |
| | Achieved 100% collection (Ugx 13,400,000) and remittance of Non-Tax Revenue (NTR) related to AML enforcement and established regulations to the Consolidated Fund, demonstrating full compliance with financial management requirements. | |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|--|--------------------------------------|
| PIAP Output: 16070502 General administration and support services enhanced | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | |
| (i) Monitor Implementation of the FIA Strategic Plan & annual approved workplan (ii) Q3 FY 2024/25 FIA Performance report prepared and submitted to MoFPED through the PBS. (iii) Q3 FY2024/25 FIA Institutional Performance report prepared and presented to the Board. | Monitored the implementation of the FIA Strategic Plan and the annual approved workplan for FY 2024/25, ensuring alignment with organizational goals and timely progress. Prepared and submitted the Q3 FY 2024/25 FIA Performance Report to the Ministry of Finance, Planning and Economic Development (MoFPED) through the Performance Budgeting System (PBS). Presented the Q3 FY 2024/25 FIA Institutional Performance Report to the Board, facilitating oversight and informed decision-making. | Outputs achieved as planned |
| (i) FIA Approved Reports and Accounting Officer Performance Contract for FY 2025/26. | FIA approved estimates were finalised and submitted through PBS including The Accounting Officer's Performance Contract for FY 2025/26 was prepared and submitted to MOFPED. | Outputs achieved as planned |
| | The FIA Strategic Plan for the 2025/26–2029/30 planning period was developed in alignment with the National Development Plan IV (NDP IV) and formally approved by the National Planning Authority (NPA). A 5-year FIA Retooling Project concept and profile for the 2025/26–2029/30 period was developed and submitted to MoFPED, supporting future institutional strengthening and resource planning. | Outputs achieved as planned |

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Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|--|--|
| PIAP Output: 16070519 Security personnel trained | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | |
| (i) Prepare the FY 2024/25 FIA nine months' financial statements. (ii) FY 2024/25 FIA Nine months' Financial Statements prepared and submitted to Management, Board, MoFPED and OAG. | | Preparation of the FY 2024/25 FIA Nine months' Financial Statements was affected by competing priorities that included facilitating an impromptu value for money audit by the OAG. |
| (i) Engrave, record, and capture various Authority assets into the Asset Register, and maintain an UpToDate assets register. (ii) Up-to-date FIA Asset Register of engraved assets maintained. | Maintained an up-to-date FIA Asset Register, ensuring accurate tracking and accountability for all institutional assets. All newly acquired FIA assets during the reporting period were engraved and recorded in the Asset Register, supporting effective asset management and safeguarding. The Authority also completed its value for money audit. | Outputs achieved as planned |
| (i) Q4 FY2024/25 Contracts & Evaluation Committee meetings coordinated, and minutes and reports prepared. (ii) Statutory procurement reports (March-May, 2025) prepared and submitted to PPDA & MoFPED (iii) Consolidated FIA Procurement Plan for FY2025/26 prepared. | Coordinated Q4 FY 2024/25 Evaluation Committee meetings, with minutes and reports duly prepared to support informed procurement decisions. Held 7 Contracts and Evaluation Committee meetings for FY 2025/26 during Q4, facilitating timely and compliant procurement processes. Prepared and submitted statutory procurement reports for the period March–May 2025 to the Public Procurement and Disposal of Public Assets Authority (PPDA) and the Ministry of Finance, Planning and Economic Development (MoFPED), in line with reporting requirements. Successfully prepared the Consolidated FIA Procurement Plan for FY 2025/26, aligning procurement priorities with institutional needs and strategic objectives. | Outputs achieved as planned |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|--|--------------------------------------|
| PIAP Output: 16070519 Security personnel trained | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | |
| (i)FIA represented at pertinent stakeholder engagements, and specific technical input and reports to pertinent stakeholders on planning and budgeting matters. | FIA was effectively represented at key stakeholder engagements with institutions including the National Planning Authority (NPA), MoFPED, UBOS, IRA, SH-ACU, UTB, OPM and the Governance and Security Programme (GSP), contributing technical input on strategic planning matters The Authority prepared its first annual statistical Abstract a move aimed at institutionalizing data reporting and demonstrate accountability | Outputs achieved as planned |
| Expenditures incurred in the Quarter to deliver outputs | | |
| | | US\$ Thousand |
| Item | Spent | |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | 40,741.612 | |
| 221001 Advertising and Public Relations | 36,547.832 | |
| 221002 Workshops, Meetings and Seminars | 2,923.597 | |
| 221008 Information and Communication Technology Supplies. | 20,000.000 | |
| 221009 Welfare and Entertainment | 25,500.000 | |
| 221011 Printing, Stationery, Photocopying and Binding | 65,927.496 | |
| 221017 Membership dues and Subscription fees. | 474.691 | |
| 224009 Classified Expenditure | 595,731.584 | |
| 225101 Consultancy Services | 20.471 | |
| 227001 Travel inland | 10,000.000 | |
| | Total For Budget Output | 797,867.283 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 797,867.283 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Department | 797,867.283 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 797,867.283 |
| | Arrears | 0.000 |
| | AIA | 0.000 |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|---|--------------------------------------|
| <i>Development Projects</i> | | |
| Project:1623 Retooling of Financial Intelligence Authority | | |
| Budget Output:000003 Facilities and Equipment Management | | |
| PIAP Output: 16070503 Retooling of Financial Intelligence Authority | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | |
| (I) Purchase of one laptop, mobile ipads and one phone. | To support operational efficiency and enhance productivity, the Authority procured 1 smartphone, and 1 tablet for staff use. Procured 5 laptops to replace the laptops that had reached End of life | Outputs achieved as planned |
| PIAP Output: 16070520 Enhanced Technical capability | | |
| Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes | | |
| | To enhance the Authority’s ICT infrastructure and improve system performance, additional server room space was procured alongside extensive networking and cabling works. The acquisition of two solid-state drives and a data center network supported increased storage capacity and processing efficiency. Furthermore, a 48-port POE Cisco Catalyst switch and a firewall were procured and deployed in the production environment, significantly strengthening network security and improving system uptime and reliability. Additionally, a hi-tech projector was acquired for the 80-seater meeting room to facilitate presentations, meetings, and training sessions. | Outputs achieved as planned |
| | To support operational efficiency and enhance productivity, the Authority procured 5 laptops, 1 smartphone, and 1 tablet for staff use. Additionally, a hi-tech projector was acquired for the 80-seater meeting room to facilitate video conferencing, presentations, meetings, and training sessions. | Outputs achieved as planned |
| Expenditures incurred in the Quarter to deliver outputs | | <i>UShs Thousand</i> |
| Item | | Spent |
| 312221 Light ICT hardware - Acquisition | | 65,687.836 |
| 312423 Computer Software - Acquisition | | 28,374.000 |
| Total For Budget Output | | 94,061.836 |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|---|--------------------------------------|
| Project:1623 Retooling of Financial Intelligence Authority | | |
| | GoU Development | 94,061.836 |
| | External Financing | 0.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Project | 94,061.836 |
| | GoU Development | 94,061.836 |
| | External Financing | 0.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Sub SubProgramme:02 Directorate of Internal Audit | | |
| Departments | | |
| Department:001 Internal Audit | | |
| Budget Output:000001 Audit and Risk Management | | |
| PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls | | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | | |
| i) Up-to-date Risk Register. (ii) Q3 FY 2024/25 Risk Management report prepared and presented to Management and the Board. iii)Reviewed Internal Audit Charter and Manual for effective Internal Audits. | The Risk Register was regularly updated to ensure alignment with evolving institutional risks. The Q3 FY2024/25 Risk Management Report was prepared and presented to both Management and the Board, strengthening risk oversight. The Internal Audit Charter and Audit Manual were reviewed and updated to enhance the effectiveness of internal audit operations. The revised documents will be presented to the Board in Q1 FY2025/26. The FY2024/25 Annual Internal Audit Quality Assurance Report was finalized and is scheduled for presentation to the Board in Q1 FY2025/26, reinforcing continuous improvement in audit processes. | Outputs achieved as planned |

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| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|---|--------------------------------------|
| PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls | | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | | |
| (i) Implement the Internal Audit training and capacity enhancement program for FY 2024/25. (ii) Internal Audit staff trained in relevant professional courses, relevant conferences attended, and CPDs attained. | Internal Audit staff participated in key professional development initiatives, including trainings organized by: Institute of Certified Public Accountants of Uganda (ICPAU), African Congress of Accountants, Annual Internal Auditors’ Conference. Two Internal Audit staff members collectively attained 84 Continuing Professional Development (CPD) hours, enhancing their technical competence and professional standing. | Outputs achieved as planned |
| PIAP Output: 16070519 Security personnel trained | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | |
| 1. Q3 FY2024/25 Annual Internal Audit report prepared and presented to the Board. 2.FY 2023/24 Bi-annual Follow-up report on the implementation of internal and external audit recommendations by Management presented to the Board and Accountability Sector Audit Committee. | Prepared and presented the Q3 FY2024/25 Annual Internal Audit Report to the Board, ensuring timely and comprehensive audit oversight. Compiled and presented the Half-Year FY2024/25 Follow-up Report on the implementation of internal and external audit recommendations by Management to both the Board and the Accountability Sector Audit Committee, reinforcing commitment to audit compliance and continuous improvement. | Outputs achieved as planned |
| Expenditures incurred in the Quarter to deliver outputs | | US\$ Thousand |
| Item | | Spent |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | | 2,375.000 |
| 221002 Workshops, Meetings and Seminars | | 2,474.971 |
| 221007 Books, Periodicals & Newspapers | | 1,384.000 |
| 221011 Printing, Stationery, Photocopying and Binding | | 11,500.000 |
| 221017 Membership dues and Subscription fees. | | 6,375.000 |
| 227001 Travel inland | | 11,595.500 |
| Total For Budget Output | | 35,704.471 |
| Wage Recurrent | | 0.000 |
| Non Wage Recurrent | | 35,704.471 |
| Arrears | | 0.000 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|------------------------------------|--------------------------------------|
| | AIA | 0.000 |
| | Total For Department | 35,704.471 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 35,704.471 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Develoment Projects | | |
| N/A | | |
| Sub SubProgramme:04 Directorate of Analysis and Monitoring | | |
| Departments | | |
| Department:001 Strategic Analysis and Statistics | | |
| Budget Output:000001 Audit and Risk Management | | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|--|--------------------------------------|
| PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing | | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | | |
| (i) 2 ML/TF/PF risk assessment reports produced (ii) 2 risk assessment and strategic analysis reports disseminated to stakeholders (iii) National ML/TF Risk Assessment for Uganda roadmap developed | <p>Produced two ML/TF/PF risk assessment reports, including:</p> <ul style="list-style-type: none">• The ML/TF Risk Assessment on Designated Non-Financial Businesses and Professions (DNFBPs), which progressed into its main analytical phase.• The updated ML/TF Risk Assessment on Virtual Assets (VAs) and Virtual Asset Service Providers (VASPs) based on technical feedback from MoFPED. <p>Additionally, two ML/TF case studies (Ponzi and pyramid schemes and conviction of a banking official for money laundering) were completed during the reporting period</p> <p>Concluded the drafting of the ML/TF typology report on the abuse of Garnishee Orders, currently undergoing internal approval.</p> <p>Completed the typology on Smuggling and Trafficking in Persons</p> <p>Developed and obtained Top Management approval for the roadmap for the third National Money Laundering and Terrorism Financing (ML/TF) Risk Assessment for Uganda, setting the strategic direction for the country’s next comprehensive risk assessment exercise.</p> | Outputs achieved as planned |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|--|---|
| PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing | | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | | |
| (i) 100% response to requests from ESAAMLG; ESAAMLG typology studies with FIA technical input (ii) 3 statistical reports produced. (iii) A Mechanism for data collection of ML/TF/PF statistics from relevant stakeholders Developed and maintained. | Achieved 100% response rate to all (2) requests received from ESAAMLG, FATF, and UNODC, including the provision of technical input to ESAAMLG typology studies, reaffirming FIA’s commitment to international AML/CFT cooperation. Produced 4 AML/CFT statistical abstract reports, contributing to the analysis and dissemination of financial crime data for policy and operational use. Developed and maintained a mechanism for the collection of Money Laundering, Terrorism Financing, and Proliferation Financing (ML/TF/PF) statistics from relevant stakeholders, enhancing data coordination and improving reporting efficiency. | Outputs achieved as planned |
| PIAP Output: 16080813 Financial due diligence undertaken on investors | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | |
| (i) 100% of received Financial Due Diligence requests concluded and submitted to the requesting Government MDA. | 100%(6) of Financial Due Diligence requests were finalized within the agreed timeframe. The resultant reports were shared with the requesting MDAs supporting informed decision-making. | The pending 2, which were received at the end of the quarter, were at the information gathering stage pending receipt of information from external sources. |
| PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes | | |
| Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery | | |
| (i)Up-to-date website and Authority social media platforms with messages on the mandate & functions of the Authority, and AML/CTF/CPF matters. | Digital Communication and Online Visibility. The FIA website and official social media platforms were consistently maintained, updated, and kept interactive with timely content on the mandate, functions, and activities of the Authority, including key messages on AML/CFT/CPF matters, thereby enhancing public awareness and stakeholder engagement. | Outputs achieved as planned |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|--|--------------------------------------|
| PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes | | |
| Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery | | |
| i)Q4 FY2024/25 media press briefing on sector development held. (ii)2 positive stories/articles on FIA events published. | <p>A Q4 FY2024/25 media press briefing was held in June during the Anti-Corruption Agencies Roundtable, highlighting the implementation of anti-corruption measures and reinforcing FIA’s public accountability and transparency efforts.</p> <p>A total of five media articles were published, including:</p> <ul style="list-style-type: none">• Four informative articles covering FIA’s hosting of the ESAAMLG Taskforce of Senior Officials’ Meeting, participation in the Anti-Fraud Consortium, the Anti-Corruption Roundtable, and Uganda’s removal from the international blacklist.• One promotional article showcasing FIA’s achievements and initiatives, contributing to positive public perception and sector visibility. <p>These efforts enhanced stakeholder awareness of FIA’s role and promoted public trust in the fight against financial crime.</p> | Outputs achieved as planned |
| | Public Relations staff attained Continuing Professional Development (CPD) hours, contributing to improved communication practices and institutional outreach capabilities. | Outputs achieved as planned |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|---|---|
| PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes | | |
| Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery | | |
| | Over 4200 hard copy awareness materials on AML/CFT/CPF were disseminated with copies available at FIA offices. Advanced Public awareness campaign on AML through digital content on the FIA website | A supplier was duly procured to produce copies of the FIA@10 Magazine. However, there was an unforeseen delay in obtaining content from identified stakeholders scheduled for interviews. As a result, the supplier issued a commitment letter to the Authority, confirming readiness to proceed with production once the required content is received. |
| Expenditures incurred in the Quarter to deliver outputs | | US\$hs Thousand |
| Item | | Spent |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | | 179,250.000 |
| 221002 Workshops, Meetings and Seminars | | 51,000.000 |
| 221003 Staff Training | | 42,000.007 |
| 221007 Books, Periodicals & Newspapers | | 1,650.000 |
| 221011 Printing, Stationery, Photocopying and Binding | | 20,000.000 |
| 221012 Small Office Equipment | | 952.600 |
| 221017 Membership dues and Subscription fees. | | 1,500.000 |
| 225101 Consultancy Services | | 2,763.520 |
| 227001 Travel inland | | 16,751.010 |
| 227004 Fuel, Lubricants and Oils | | 31,800.000 |
| | Total For Budget Output | 347,667.137 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 347,667.137 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Department | 347,667.137 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|---|--------------------------------------|
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 347,667.137 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Department:002 Operational analysis | | |
| Budget Output:560019 Data Management and Dissemination | | |
| PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering. | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | |
| (i) 1 Up-to-date FIA database maintained with pertinent integrations | An up-to-date FIA database was maintained, with relevant system integrations to support efficient data collection, analysis, and reporting. | Outputs achieved as planned |
| 1 (quarterly) report on vital information acquired from different sectors/institutions of the economy to support analysis, prepared and disseminated | One quarterly report containing vital information from various sectors and institutions of the economy were prepared and disseminated to support intelligence analysis and enhance investigative efforts. | Outputs achieved as planned |
| (i) 100% of financial reports analyzed and disseminated to relevant stakeholders (ii) 20 Financial intelligence reports prepared and disseminated to Law Enforcement Agencies (LEAs) | 100% of targeted financial reports analyzed on a risk-based approach 40 financial intelligence reports were disseminated to Law Enforcement Agencies (LEAs) and other competent authorities to support investigations and enforcement actions. Briefs of analyzed Suspicious Transaction Reports (STRs) were prepared and disseminated to relevant stakeholders | Output was on track as planned |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|---|---|
| PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering. | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | |
| (i) 1 consolidated brief on Classified operations undertaken to support analysis and information gathering (ii) 3 Stakeholder engagements with different Law Enforcement Agencies (LEAs) for enhanced collaboration (iii) 100% of Foreign FIUs requests responded to within 15 working days | <p>A brief on classified operations was prepared to support strategic analysis and targeted information gathering efforts.</p> <p>Conducted three stakeholder engagements with key Law Enforcement Agencies (LEAs), including the Inspectorate of Government (IG), Office of the Director of Public Prosecutions (ODPP), and the Criminal Investigations Directorate (CID), to enhance inter-agency collaboration in combating financial crimes.</p> <p>100% of requests from Foreign Financial Intelligence Units (FIUs) were responded to within agreed timeframe, contributing to international cooperation and timely information exchange.</p> | The pending requests for information remain unresolved due to delays in receiving the necessary data from relevant sources |
| (i) 12 Actionable/Analytical Intelligence reports shared with pertinent Competent Authorities (ii) 100% of Requests for information/support/ intelligence from Competent Authorities acted on and responded to. | <p>A total of 13 actionable intelligence reports were prepared containing analytical insights to support investigations and enforcement efforts.</p> <p>The Authority received and processed multiple requests from competent authorities, with 100% of all requests for information finalized within the agreed timeframe demonstrating ongoing efforts to enhance responsiveness and inter-agency collaboration.</p> | The unresolved requests for information were received later in the quarter and are pending information receipt from various sources by the end of the year. |
| (i) 6 Adhoc engagements held with Law Enforcement Agencies (CID, IG, UWA and URA), National Intelligence Services (ISO, ESO, CMI) and Competent Authorities for enhanced collaboration and identification of proceeds of crime | <p>The Authority conducted 10 ad hoc engagements with key Law Enforcement Agencies (including CID, IG, ODPP), and 13 intelligence led engagements with law enforcement and National Intelligence Services (ISO, ESO, CMI), and other competent authorities to strengthen collaboration and facilitate the identification of proceeds of crime.</p> <p>Additionally, the Authority coordinated a total of thirty-three (33) intelligence-led operations with various domestic law enforcement and intelligence agencies, enhancing joint efforts to combat financial crimes and related offenses.</p> | Outputs achieved as planned |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|---|--------------------------------------|
| Expenditures incurred in the Quarter to deliver outputs | | US\$ Thousand |
| Item | | Spent |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | | 10,000.000 |
| 221003 Staff Training | | 81,328.466 |
| 221017 Membership dues and Subscription fees. | | 8.478 |
| 224009 Classified Expenditure | | 186,125.097 |
| 227001 Travel inland | | 60.000 |
| | Total For Budget Output | 277,522.041 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 277,522.041 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Department | 277,522.041 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 277,522.041 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Develoment Projects | | |
| N/A | | |
| Sub SubProgramme:05 Directorate of Compliance and Training | | |
| Departments | | |
| Department:001 Compliance and Inspection | | |
| Budget Output:000023 Inspection and Monitoring | | |
| PIAP Output: 16080504 AML/CFT compliance enforced | | |
| Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations | | |
| (i) 2 guidelines developed for Real Estates & Virtual Assets Service Providers to support FIA to supervise the non regulated sectors on matters related to AML/CFT developed and disseminated | The Authority prepared 2 supervision guidelines to DNFBPs covering STRs and Customer Due Diligence. | Output achieved as planned |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|---|--------------------------------------|
| PIAP Output: 16080504 AML/CFT compliance enforced | | |
| Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations | | |
| (i) 10 supervisory/regulatory bodies trained on AML/CFT matters. (ii) 70 stakeholders from LEA and CA (DPP, Police, Registrars, etc) on AML/CFT/CPF matters. | Conducted 2 consolidated trainings and awareness sessions attracting a total of 2 supervisory bodies on AML/CFT/CPF matters. Trained a total of 66 stakeholders (60 officers from the Uganda Prisons Service and 6 officers from NGO-Bureau) on AML/CFT/CPF matters, enhancing their capacity to detect and respond to financial crimes within the correctional system. This training contributed toward the targeted outreach to law enforcement and competent authorities, supporting broader national efforts to strengthen AML/CFT/CPF compliance and enforcement. | Outputs achieved as planned |
| | Over 4200 hard copy awareness materials on AML/CFT/CPF were disseminated with copies available at FIA offices. Advanced Public awareness campaign on AML through digital content on the FIA website | Outputs achieved as planned |
| (i) Publish articles on AML/CFT/CPF in different media houses. (ii) Conduct TV/Radio talk shows to educate the general public about AML/CFT/CPF. (iii) Sensitize members of the general public on administrative sanctions. (iv) 2 articles on AML/CFT/CPF published through different media houses. | 4 Informative and 1 promotional article with rich information were published in the media promoting the work of FIA, FIA's achievements and rallying stakeholders and the media to support the Authority in execution of its Mandate, and highlighting the Anti-Fraud Consortium and Uganda's removal from Black list, were developed and published in the state-owned New Vision , Daily Monitor and the MOFPED magazine, and amplified through dissemination using the social media channels. The articles enhanced FIA visibility and got third party endorsements. One press briefing was done on the implementation of anti-corruption measures during the Anti-Corruption Round table meeting in June. | Outputs achieved as planned |
| | FIA staff were granted free access to ECOFEL training platform providing access to 17 online modules. | Outputs achieved as planned |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|--|--|
| PIAP Output: 16080504 AML/CFT compliance enforced | | |
| Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations | | |
| (i) 8 Directives issued to non-compliant Accountable persons (ii) 12 administrative sanctions issued to non compliant accountable persons | The Authority issued 13 directives concerning High Risk Jurisdictions and UNSCR decisions | There were shortcomings within the legal framework that affected effective issuance of sanctions. To address this, the FIA was working on regulations to provide due process to guide imposition of administrative sanctions and fines by the end of the reporting period, |
| | <ul style="list-style-type: none">• 55 Accountable Persons were registered onto goAML platform• FIA organized a one-day training workshop on AML/CFT/CPF for Money Laundering Control Officers (MLCOs) from all licensed Forex Bureaus and Money Remitters. | Outputs achieved as planned |
| PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | |
| (i) 250 accountable persons trained and enrolled onto the goAML platform (ii) 1 supervisory authority engaged on AML/CFTs matters in their sector | Trained and enrolled 55 accountable persons onto the goAML platform, enhancing their ability to comply with AML/CFT reporting requirements and contribute to effective financial intelligence gathering. | Output achieved as planned |
| (i) Conduct 3 workshops to train supervisory/regulatory bodies on AML/CFT matters, with each targeting 10 supervisory bodies. (ii) Train LEA and CA (DPP, Police, Registrars, etc) on AML/CFT/CPF matters. | Conducted 7 comprehensive training sessions on money laundering and terrorism financing, reaching over 197 participants (132 male, 65 female). | Outputs achieved as planning |
| | Trained over 150 women during the Women in Banking and Finance Conference, advocating for increased female participation and leadership in the fight against financial crime and promoting gender-inclusive approaches to AML/CFT efforts. | Outputs achieved as planned |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|--|--|--|
| PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | |
| (i) 250 accountable persons given guidance on AML/CFT matters | 1,448 accountable persons were guided on the AML/CFT matters through physical engagements and virtually. | Outputs exceed expectations. This is because the Authority launched independent audits for Forex bureaus leading to increased enquiries from the accountable persons |
| (i) 3 onsite inspections conducted (ii) 180 annual compliance reports, 40 risk assessments, 40 independent AML/CFT audits, and 4 supervisory bodies' reports reviewed, and feedback provided to accountable persons for improvement in quality and compliance | <p>FIA conducted 2 risk-based onsite inspections, one of which covered 4 commercial banks on LCTRs and ALCTRs to assess the adequacy of internal controls and guide corrective actions in line with AML/CFT requirements.</p> <p>FIA was represented on the Supervisory bodies 'forum as the secretariat where the financial reports and sector updates were considered and reviewed.</p> <p>The Authority also carried out offsite review of Compliance Submissions namely</p> <p>a) 18 risk assessment reports were reviewed to evaluate institutional understanding of ML/TF risks and the effectiveness of mitigation measures.</p> <p>b) 60 independent AML/CFT audit reports were assessed, with detailed feedback provided to accountable persons to enhance audit quality, institutional compliance, and adherence to regulatory standards.</p> <p>FIA also participated in the Q4 FY2024/25 Financial Sector Stability Forum</p> <p>These supervisory activities supported ongoing efforts to strengthen the risk-based compliance culture across reporting entities.</p> | Outputs achieved as planned |
| Expenditures incurred in the Quarter to deliver outputs | | US\$ Thousand |
| Item | Spent | |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | 10,220.000 | |
| 221001 Advertising and Public Relations | 38,300.000 | |
| 221002 Workshops, Meetings and Seminars | 109,993.676 | |

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Quarter 4

| Outputs Planned in Quarter | Actual Outputs Achieved in Quarter | Reasons for Variation in performance |
|---|------------------------------------|--------------------------------------|
| Expenditures incurred in the Quarter to deliver outputs | | US\$hs Thousand |
| Item | | Spent |
| 221003 Staff Training | | 43,333.751 |
| 221007 Books, Periodicals & Newspapers | | 3,168.000 |
| 221011 Printing, Stationery, Photocopying and Binding | | 20,000.000 |
| 222001 Information and Communication Technology Services. | | 1,500.000 |
| 227001 Travel inland | | 82,109.500 |
| 227004 Fuel, Lubricants and Oils | | 26,100.000 |
| | Total For Budget Output | 334,724.927 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 334,724.927 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Department | 334,724.927 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 334,724.927 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Development Projects | | |
| N/A | | |
| | GRAND TOTAL | 9,603,145.393 |
| | Wage Recurrent | 2,675,650.315 |
| | Non Wage Recurrent | 6,833,433.242 |
| | GoU Development | 94,061.836 |
| | External Financing | 0.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

Quarter 4: Cumulative Outputs and Expenditure by End of Quarter

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|---|--|--|--|
| Programme:16 Governance And Security | | | |
| SubProgramme:01 Institutional Coordination | | | |
| Sub SubProgramme:01 Directorate of Finance and Administration | | | |
| Departments | | | |
| Department:002 Human resource registry and security | | | |
| Budget Output:000005 Human Resource Management | | | |
| PIAP Output: 16060201 Human Resources Management Services provided | | | |
| Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff | | | |
| FY2023/24 Annual and FY2024/25 Half-Year staff performance assessment reports. Competent staff recruited as per the FY 2024/25 approved recruitment plan. Training Needs Analysis and Staff Training Plan. Staff trained in pertinent areas informed from TNA. | | The FY 2023/24 Annual and FY 2024/25 Half-Year staff performance assessment reports were completed and approved. 7 (Seven) staff (2 external, 5 internal) were recruited in line with the FY 2024/25 approved recruitment plan. Additionally, the FY 2025/26 Recruitment Plan was developed and approved by management and the Board. A Training Needs Analysis and skills analysis were conducted to assess staff capacity gaps, resulting in an approved FY 2024/25 Staff Training Plan. 82 staff across all directorates attended 40 technical trainings based on identified needs. Annual staff and institutional membership in professional bodies was maintained, with HR staff retaining membership in the Human Resource Managers Association of Uganda and the Chartered Institute of Personnel and Development (CIPD). | |
| Authority premises maintained in clean and good condition. Full time office and entitled staff security services maintained. FIA staff insurances maintained. | | <ul style="list-style-type: none">• A safe and conducive work environment was maintained• The Offices were maintained in a clean and habitable state at all times• Entitled FIA staff were provided with security services• Full time office security services were provided• All FIA staff Medical and other insurances were maintained. | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|--|--|---|---------------|
| PIAP Output: 16060201 Human Resources Management Services provided | | | |
| Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff | | | |
| Well maintained Authority Vehicles, and up-to-date vehicle utilization report. Technical proposals on re-engineering of HR processes, systems, and policies. Staff engaged in health & wellness, and Team building activities. | | <ul style="list-style-type: none">• All FIA equipment, machinery and furniture were well maintained in a good condition for use.• All Authority’s vehicles were well maintained through regular servicing and up to date vehicle utilization reports were prepared.• The Authority organized a three (3) day residential team building session for staff in November 2024.• FIA provided health and wellness activities services to all staff.• The Authority held it’s end of year staff Christmas event on Friday 20th December, 2024 | |
| Provision of COVID-19 /contagious viruses /supplies and first AID box. | | <ul style="list-style-type: none">• 2 fully stocked first AID boxes were maintained at the FIA offices.• Sanitizer (contagious virus supplies) were provided at FIA premise entry points | |
| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | | | UShs Thousand |
| Item | | | Spent |
| 211102 Contract Staff Salaries | | | 9,594,400.000 |
| 211104 Employee Gratuity | | | 2,398,600.000 |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | | | 40,000.000 |
| 212101 Social Security Contributions | | | 1,439,160.000 |
| 212102 Medical expenses (Employees) | | | 432,432.000 |
| 212103 Incapacity benefits (Employees) | | | 35,000.000 |
| 221003 Staff Training | | | 122,900.000 |
| 221004 Recruitment Expenses | | | 50,000.000 |
| 221009 Welfare and Entertainment | | | 1,323,960.000 |
| 221012 Small Office Equipment | | | 9,000.000 |
| 221017 Membership dues and Subscription fees. | | | 3,700.000 |
| 222002 Postage and Courier | | | 100.000 |
| 223001 Property Management Expenses | | | 84,000.000 |
| 223003 Rent-Produced Assets-to private entities | | | 1,716,322.368 |
| 223004 Guard and Security services | | | 318,357.680 |
| 223005 Electricity | | | 143,999.997 |
| 226001 Insurances | | | 311,424.984 |
| 227001 Travel inland | | | 15,000.000 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|---|-------------------------|--|----------------|
| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | | UShs Thousand | |
| Item | | | Spent |
| 227004 Fuel, Lubricants and Oils | | | 293,400.000 |
| 228002 Maintenance-Transport Equipment | | | 135,000.000 |
| | Total For Budget Output | | 18,466,757.029 |
| | Wage Recurrent | | 9,594,400.000 |
| | Non Wage Recurrent | | 8,872,357.029 |
| | Arrears | | 0.000 |
| | AIA | | 0.000 |
| Budget Output:000013 HIV/AIDS Mainstreaming | | | |
| PIAP Output: 16060503 HIV/AIDS Activities mainstreamed | | | |
| Programme Intervention: 160605 Undertake financing and administration of programme services | | | |
| Counselling services for all FIA staff maintained. All FIA staff sensitized on HIV/AIDS. An awareness session conducted to sensitize staff on HIV/AIDS. Purchase of HIV/AIDS preventive supplies | | Counselling Services: Counselling services for all FIA staff were maintained through the staff medical insurance scheme, supporting employee mental health and well-being. HIV/AIDS Sensitization: All FIA staff were sensitized on HIV/AIDS through a dedicated awareness session conducted in collaboration with the Uganda AIDS Commission, aimed at promoting knowledge, prevention, and reducing stigma. Preventive Supplies: HIV/AIDS preventive supplies were obtained and distributed to staff as part of ongoing workplace health and wellness interventions. | |
| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | | UShs Thousand | |
| Item | | | Spent |
| 221009 Welfare and Entertainment | | | 22,000.000 |
| | Total For Budget Output | | 22,000.000 |
| | Wage Recurrent | | 0.000 |
| | Non Wage Recurrent | | 22,000.000 |
| | Arrears | | 0.000 |
| | AIA | | 0.000 |
| Budget Output:000089 Climate Change Mitigation | | | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter |
|------------------------|---|
|------------------------|---|

PIAP Output: 16090101 Cross cutting issues mainstreamed

Programme Intervention: 160901 Strengthen government institutions for effective and efficient service delivery

| | |
|---|--|
| All FIA staff sensitized on environmental measures. An operational Electronic Document Management System maintained to reduce on usage of paper. | Staff Sensitization on Environmental Measures: All FIA staff were sensitized on environmental sustainability through e-learning training modules. These modules offered flexible, self-paced learning and ensured consistent messaging across the organization. Electronic Document Management System: An operational Electronic Document Management System (EDMS) was maintained, significantly reducing paper usage and supporting the Authority’s commitment to sustainable practices. |
|---|--|

| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | US\$hs Thousand |
|--|-----------------|
|--|-----------------|

| Item | Spent |
|----------------------------------|----------------|
| 221009 Welfare and Entertainment | 3,000.000 |
| Total For Budget Output | 3,000.000 |
| Wage Recurrent | 0.000 |
| Non Wage Recurrent | 3,000.000 |
| Arrears | 0.000 |
| AIA | 0.000 |
| Total For Department | 18,491,757.029 |
| Wage Recurrent | 9,594,400.000 |
| Non Wage Recurrent | 8,897,357.029 |
| Arrears | 0.000 |
| AIA | 0.000 |

Development Projects

N/A

SubProgramme:02 Security

Sub SubProgramme:03 Directorate of Systems Administration and Security

Departments

Department:001 Systems Administration and Security

Budget Output:120007 Support services

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter |
|--|--|
| PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | |
| 40 (forty) reporting entities trained and onboarded on goAML. 10 (ten) new stake holders on boarded on goAML i.e ODPP, UWA, Counter Terrorism etc. FIA staff trained on goAML system for secure information exchanges. | <ul style="list-style-type: none">• 3 Trainings and goAML on boarding attracting Reporting Entities licensed by Capital Markets Authority (50 attendees), Financial Institutions (80 attendees) and Forex bureaus and Money Remitters (248 attendees) were conducted during the reporting period.•41 staff from Monitoring and Intelligence, Compliance and Inspection, and ICT departments were trained on goAML system enhancing their capacity to detect and combat financial crime.•Currently there are 13 stakeholders onboarded onto goAML including URA, UWA, CID, ESO, ISO etc |
| An Application Programming Interface (API) to allow for automated Information exchange with relevant MDA's. | NA |
| Renewed Software Server Licenses to maintain functionalities and support of all FIA software. | Renewal of Software Server Licenses to Support FIA Operations. The Authority successfully renewed key software server licenses; (i) Microsoft 365 – for productivity and collaboration across departments. (ii) SmartNet – to ensure continued support and maintenance of Cisco appliances, switches, and access points. (iii) KnowBe4 – for ongoing employee security awareness training. (iv) Microsoft Azure – to support business continuity through cloud infrastructure. (v) Security Information and Event Management (SIEM) – for real-time monitoring and analysis of security logs across all computing devices. (vi) Asset Explorer – for tracking and effective management of all ICT assets. (vii) Patch Management Software – to automate upgrades to the latest software releases across user devices. (viii) Web Application Firewall (WAF) – to provide enhanced security for web-based applications (ix) Commvault – for robust disaster recovery and data protection. (x) Kaspersky –comprehensive malware protection |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter |
|------------------------|---|
|------------------------|---|

PIAP Output: 16070516 Enhanced Technical capability

Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes

| | |
|--|---|
| goAML, Egmont and ESAAMLG Technical Working Group papers with pertinent FIA input. 2 Functional Data restoration and recovery solutions developed and approved by the Board. Operational customised Human Capital Management System from the MoPS. | Technical Working Group Papers: The Authority successfully contributed to and completed two Technical Working Group papers under the goAML, Egmont Group, and ESAAMLG frameworks, demonstrating active engagement in regional and international AML/CFT policy development. ICT Security and Business Continuity: The Authority renewed licences for Commvault (disaster recovery) and Kaspersky (malware protection), ensuring continued resilience and cybersecurity. Two functional data restoration and recovery solutions were also developed and approved by the Board. Human Capital Management System (HCM): All required documentation was submitted to the Ministry of Public Service to onboard the Authority onto the customised HCM System. As of the end of Q4, the Authority was awaiting formal confirmation and system access. |
|--|---|

| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | US\$hs Thousand |
|--|-----------------|
|--|-----------------|

| Item | Spent |
|--|---------------|
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | 30,000.000 |
| 221002 Workshops, Meetings and Seminars | 60,000.000 |
| 221003 Staff Training | 122,720.000 |
| 221008 Information and Communication Technology Supplies. | 40,000.000 |
| 222001 Information and Communication Technology Services. | 10,000.000 |
| 225101 Consultancy Services | 195,000.000 |
| 226002 Licenses | 900,000.000 |
| 227001 Travel inland | 20,000.000 |
| 227004 Fuel, Lubricants and Oils | 86,400.000 |
| 228003 Maintenance-Machinery & Equipment Other than Transport | 40,000.000 |
| Total For Budget Output | 1,504,120.000 |
| Wage Recurrent | 0.000 |
| Non Wage Recurrent | 1,504,120.000 |
| Arrears | 0.000 |
| AIA | 0.000 |
| Total For Department | 1,504,120.000 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter | |
|------------------------|---|---------------|
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 1,504,120.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |

Development Projects

N/A

SubProgramme:03 Policy and Legislation Processes

Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations

Departments

Department:001 Legal and Corporate Affairs

Budget Output:460103 Legal Representation and Litigation services

PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.

Programme Intervention: 160603 Review and enact appropriate legislation

| | |
|---|--|
| Periodic progress reports on ICRG recommendations/ proposals implemented on each respective Immediate Outcome (IO) with a view to keep Uganda off the FATF Gray List. | <p>The Authority coordinated the preparation and timely submission of Uganda’s 14th Follow-Up Report, which was discussed during the 49th ESAAMLG Meeting held in Kampala, demonstrating continued progress on ICRG recommendations.</p> <p>Successfully implemented an ICRG recommendation relating to the delisting of Non-Profit Organizations (NPOs), contributing to efforts aimed at addressing identified strategic deficiencies.</p> <p>Prepared and submitted Uganda’s 16th Enhanced Follow-Up Report to ESAAMLG for consideration at the 50th Task Force of Senior Officials Meeting scheduled for September 2025. The report is currently under review by the ESAAMLG Review Group.</p> <p>These efforts form part of ongoing periodic progress reporting on the implementation of Immediate Outcomes (IOs), aimed at sustaining compliance with FATF standards and ensuring Uganda remains off the FATF Gray List.</p> |
|---|--|

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|--|--|--|--|
| PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated. | | | |
| Programme Intervention: 160603 Review and enact appropriate legislation | | | |
| FY2023/24 Board Calendar, Board Papers, meetings, and minutes prepared and filed. | | During FY2024/25, secretarial support was provided to 9 Board meetings and 11 sub-committee meetings. The FY2024/25 Board Calendar was developed to guide strategic engagements. Timely and high-quality Board papers were prepared, enabling effective decision-making. The Board considered and approved nine statutory reports and four policies. A total of 19 sets of Board and Committee minutes were prepared, approved, and properly filed. Five Board members received scheduled training to strengthen governance and strategic leadership. Additionally, a Board retreat was held to deliberate on the Authority’s strategic direction. | |
| PIAP Output: 16060305 AML/CFT International standards implemented | | | |
| Programme Intervention: 160603 Review and enact appropriate legislation | | | |
| FATF progress reports prepared on implementation of FATF/ ICRG recommendations on Uganda activities to avoid future greylisting. Working group reports on implementation of all outstanding FATF technical and effectiveness compliance issues prepared. | | The Authority prepared and submitted 5 key FATF progress reports, including Uganda’s 14th and 16th Follow-Up Reports to ESAAMLG, reflecting sustained efforts to address technical compliance and effectiveness issues. A status update report on implementing FATF Post-ICRG recommendations for NPOs was also submitted. Monthly updates were provided to the Uganda AML/CFT National Task Force on progress in implementing the 11 FATF Recommendations where Uganda remains rated NC or PC. 8 working group reports were developed to monitor and guide resolution of outstanding compliance issues. Additionally, the Authority hosted 2 delegations from South Sudan and Mozambique FIUs for an AML/CFT benchmarking visit and participated in a similar visit to Egypt’s FIU to enhance implementation practices. | |
| National AML/CFT/CPF Strategic plan 2025/26-2029/30 for Uganda Developed. | | A draft National AML/CFT/CPF strategy for Uganda (2025/26–2029/30) was developed, outlining key priorities and interventions to strengthen the country's response to ML/TF/PF. The strategy, by end of reporting period, was pending requisite approval by National AML Taskforce and MOFPED | |
| Stakeholder register developed. 5 MOUs signed with key stakeholders to permit effective collaboration, including access to key databases and information sharing. | | As part of efforts to strengthen collaboration and facilitate information sharing, one Memorandum of Understanding (MOU) was signed with the Japan Financial Intelligence Centre, enabling enhanced international cooperation and access to critical financial intelligence resources. | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter |
|------------------------|---|
|------------------------|---|

PIAP Output: 16060305 AML/CFT International standards implemented

Programme Intervention: 160603 Review and enact appropriate legislation

| | |
|---|---|
| Amendment proposals of the 15 AML/CFT/CPF laws to address operational challenges and gaps identified by MER and NRA. 15 bills for AML/CFT/CPF laws gazetted and printed, once approved by Cabinet. | A report on proposed amendments to the 15 AML/CFT laws was approved by the FIA Board and submitted to MOFPED, with the RIA forming a key component for further management. An extensive Regulatory Impact Assessment (RIA) workplan was developed and implementation commenced, including stakeholder engagements aimed at identifying legal and regulatory gaps in AML/CFT compliance. Additionally, FIA actively participated in the RIA process for the proposed Mutual Legal Assistance law, led by the ODPP, to strengthen the legal framework and enhance alignment with AML/CFT standards. |
| Legal Briefs/Opinions provided to Accountable Persons on the application of the provisions of AML/CTF/CPF laws and regulations. AML/CFT/CPF Laws translated and transcribed to other languages and issue interpretation to various accountable persons. | Prepared and submitted a total of 33 Legal Opinions and 11 Legal Papers to Accountable Persons, offering authoritative guidance on the application of AML/CFT/CPF laws and regulations. By doing so, the Authority effectively Provided interpretations of the legal provisions to various stakeholders, facilitating effective compliance and application of regulatory frameworks. |
| Regulatory impact assessments conducted in relation to application of AML/CFT laws and the standards in order to identify gaps, assess Legal and regulatory risks and increase compliance to AML/CFT laws. | An extensive regulatory Impact Assessment (RIA) workplan was developed and implementation commenced, including stakeholder engagements aimed at identifying legal and regulatory gaps in AML/CFT compliance. A report on proposed amendments to AML/CFT laws informed by an internal legal assessment was approved by the FIA Board and submitted to MOFPED, with the RIA forming a key component for further management. Additionally, FIA actively participated in the RIA process for the proposed Mutual Legal Assistance law, led by the ODPP, to strengthen the legal framework and enhance alignment with AML/CFT standards. |
| Report on court documents prepared and filed in court. FIA effectively represented in all litigation matters Library stock register established and equipped. | 4 quarterly reports on court documents prepared and filed was compiled and submitted, ensuring compliance with legal documentation and reporting requirements. The Financial Intelligence Authority (FIA) was effectively represented in 9 litigation matters |

| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | US\$ Thousand |
|--|---------------|
|--|---------------|

| Item | Spent |
|--|-------------|
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | 50,000.000 |
| 211107 Boards, Committees and Council Allowances | 469,200.000 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter |
|--|-------------|---|
| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | | UShs Thousand |
| Item | Spent | |
| 221002 Workshops, Meetings and Seminars | 254,000.000 | |
| 221003 Staff Training | 120,000.000 | |
| 221006 Commissions and related charges | 280,000.000 | |
| 221011 Printing, Stationery, Photocopying and Binding | 35,000.000 | |
| 221017 Membership dues and Subscription fees. | 592,800.000 | |
| 221020 Litigation and related expenses | 20,000.000 | |
| 224004 Beddings, Clothing, Footwear and related Services | 14,000.000 | |
| 224011 Research Expenses | 10,000.000 | |
| 225101 Consultancy Services | 120,000.000 | |
| 227004 Fuel, Lubricants and Oils | 96,000.000 | |
| Total For Budget Output | | 2,061,000.000 |
| Wage Recurrent | | 0.000 |
| Non Wage Recurrent | | 2,061,000.000 |
| Arrears | | 0.000 |
| AIA | | 0.000 |
| Total For Department | | 2,061,000.000 |
| Wage Recurrent | | 0.000 |
| Non Wage Recurrent | | 2,061,000.000 |
| Arrears | | 0.000 |
| AIA | | 0.000 |
| Development Projects | | |
| N/A | | |
| SubProgramme:05 Anti-Corruption and Accountability | | |
| Sub SubProgramme:01 Directorate of Finance and Administration | | |
| Departments | | |
| Department:001 Accounts | | |
| Budget Output:000014 Administrative and Support Services | | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|--|--|---|--|
| PIAP Output: 16070502 General administration and support services enhanced | | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | | |
| 3 Quarterly (Q1-Q3) FY 2024/25 Budget Performance Reports prepared & presented to Management and Board. 100% of NTR relating to the enforcement of AML and established regulations collected and remitted to the Consolidated Fund. | | Prepared and presented 3 Quarterly Budget Performance Reports (Q1-Q3 FY 2024/25) and the Q4 FY 2023/24 report facilitating informed decision-making to inform warranting Achieved 100% collection (Ugx 28,500,000) and remittance of Non-Tax Revenue (NTR) related to AML enforcement and established regulations to the Consolidated Fund, demonstrating full compliance with financial policies. Additionally to ensure Capacity Building and Professional Development; Maintained professional membership for 5 staff members across various recognized professional bodies; Trained 3 Accounts staff in relevant technical areas, supporting enhanced expertise and sustained professional accreditation. | |
| PDU staff trained in pertinent technical areas, CPDs attained and membership to professional bodies (CIPS and IPPU) maintained. | | Trained 3 Procurement and Disposal Unit (PDU) staff in pertinent technical areas during the reporting period, enhancing their skills and effectiveness. Maintained professional membership for 3 staff members in the Chartered Institute of Procurement and Supply (CIPS). Conducted comprehensive training for all contract managers and user departments on procurement fundamentals and recent amendments to the Public Procurement and Disposal of Public Assets (PPDA) Act. Facilitated training for FIA Contracts Committee members by MOFPED and PPDA on recent developments in PPDA laws, ensuring updated knowledge of procurement regulations. | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|--|--|---|--|
| PIAP Output: 16070502 General administration and support services enhanced | | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | | |
| Q4 FY 2023/24, and Q1-Q3 FY2024/25 FIA Performance report prepared and submitted to MoFPED. FY2023/24 FIA Institutional performance report prepared and presented to the Board. Q4 FY 2023/24, and Q1-Q3 FY2024/25 FIA performance reports prepared. | | FIA prepared and submitted the Q4 FY 2023/24 and Q1–Q3 FY 2024/25 FIA Performance Reports to the Ministry of Finance, Planning and Economic Development (MoFPED) through the Performance Budgeting System (PBS), ensuring compliance with statutory reporting requirements. Prepared and presented the FY 2023/24 FIA Institutional Performance Report, along with the Q4 FY 2023/24, and Q1-Q3 FY2024/25 Institutional Performance Reports, to the Board for strategic oversight and accountability in compliance with the the Anti-Money Laundering Act, cap 118 | |
| FY 2025/26 Planning and Budgeting Retreat held, and FY 2025/26 FIA consolidated Workplan presented to Management and Board. FY 2025/26 Vote BFP, MPS, and Approved Estimates submitted to MoFPED for onward submission to Parliament. | | FY 2025/26 Planning and Budgeting Retreat was held, and FY 2025/26 FIA consolidated Workplan presented to Management and Board. FY 2025/26 Vote BFP, MPS, and Approved Estimates were prepared and submitted to MoFPED for onward submission to Parliament. | |
| Approved FIA Strategic Plan for 2025/26-2029/30 planning period, in line with NDPIV. FIA Retooling Project concept and profile for the 2025/26-2029/30 planning period developed. | | Successfully completed the terminal review of the 2020/21–2024/25 FIA Strategic Plan, providing valuable insights that informed the development of the new strategic plan. The FIA Strategic Plan for the 2025/26–2029/30 planning period was developed in alignment with the National Development Plan IV (NDP IV) and formally approved by the National Planning Authority (NPA). A 5-year FIA Retooling Project concept and profile for the 2025/26–2029/30 period was developed and submitted to MoFPED, supporting future institutional strengthening and resource planning. | |
| PIAP Output: 16070519 Security personnel trained | | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | | |
| FY2023/24 Financial statements, and FY2024/25 Semi-annual and 9 months Financial Statements prepared and submitted to Management, Board, MoFPED and OAG. | | The FY Annual Financial statements and the FY 2024/25 FIA Semi-annual (six months) Financial Statements were prepared and submitted to MoFPED with OAG in copy. | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter |
|--|---|
| PIAP Output: 16070519 Security personnel trained | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | |
| <p>FY 2023/24 External Audit and Board of Survey on FIA conducted, and report prepared and submitted to OAG.</p> <p>FY 2023/24 FIA Board of Survey report submitted to OAG and AG.</p> <p>Up-to-date FIA Asset Register of engraved assets maintained.</p> | <p>Successfully facilitated the FY 2023/24 External Audit, Value for money and Board of Survey on FIA operations, with the final reports prepared and submitted to the Office of the Auditor General (OAG) and the Accountant General (AG) in compliance with statutory requirements.</p> <p>Maintained an up-to-date FIA Asset Register, ensuring accurate tracking and accountability for all institutional assets.</p> <p>All newly acquired FIA assets during the reporting period were engraved and recorded in the Asset Register, supporting effective asset management and safeguarding.</p> |
| <p>FY2024/25 Contracts & Evaluation Committee meetings coordinated, and minutes and reports prepared.</p> <p>Periodic statutory (monthly) procurement reports prepared and submitted to PPDA & MoFPED.</p> <p>Consolidated FIA Procurement Plan for FY2024/25.</p> | <p>Coordinated Evaluation Committee meetings for FY 2024/25, with corresponding minutes and reports prepared to support transparency and compliance.</p> <p>Held 36 Contracts and Evaluation Committee meetings for FY 2025/26 to support timely procurement decision-making and implementation.</p> <p>Prepared and submitted 12 periodic statutory procurement reports (covering June 2024 to May 2025) to the Public Procurement and Disposal of Public Assets Authority (PPDA) and the Ministry of Finance, Planning and Economic Development (MoFPED), ensuring regulatory compliance.</p> <p>Successfully prepared the Consolidated FIA Procurement Plan for FY 2024/25 and FY 2025/26, aligning procurement priorities with institutional needs and strategic objectives.</p> <p>Maintained professional membership in the Chartered Institute of Procurement and Supply (CIPS) for 3 staff, supporting continuous capacity development in procurement management.</p> |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter |
|------------------------|---|
|------------------------|---|

PIAP Output: 16070519 Security personnel trained

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

FIA represented at pertinent stakeholder engagements, and specific technical input and reports to pertinent stakeholders on planning and budgeting matters.
Periodic reports on FIA Implementation of NDPIII actions prepared and submitted to the Governance.

FIA was effectively represented at key stakeholder engagements with institutions including the National Planning Authority (NPA), MoFPED, UBOS, IRA, SH-ACU, UTB, OPM and the Governance and Security Programme (GSP), contributing technical input on strategic planning matters
The Authority prepared its first annual statistical Abstract a move aimed at institutionalizing data reporting
Prepared and submitted FIA’s contributions to the Governance and Security Programme Results Reporting Framework (RRF) and Programme Implementation Action Plans (PIAPs), ensuring alignment with NDPIV objectives.
Provided detailed input to the GSP Budget Framework Paper (BFP) for FY 2025/26 and participated in its development through stakeholder consultations.

Prepared and submitted periodic reports on FIA’s implementation of NDPIII actions, including the GSP FY 2023/24 Annual Report, the GSP FY 2024/25 Half-Year Report to the Governance and Security Programme Secretariat, including contribution to the NAPR.

| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | US\$ Thousand |
|--|---------------|
|--|---------------|

| Item | Spent |
|--|---------------|
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | 559,999.977 |
| 221001 Advertising and Public Relations | 240,000.000 |
| 221002 Workshops, Meetings and Seminars | 299,907.293 |
| 221003 Staff Training | 382,000.000 |
| 221008 Information and Communication Technology Supplies. | 30,000.000 |
| 221009 Welfare and Entertainment | 60,000.000 |
| 221011 Printing, Stationery, Photocopying and Binding | 110,000.000 |
| 221017 Membership dues and Subscription fees. | 10,000.000 |
| 224009 Classified Expenditure | 2,592,700.736 |
| 225101 Consultancy Services | 170,000.000 |
| 227001 Travel inland | 50,000.000 |

| | |
|-------------------------|---------------|
| Total For Budget Output | 4,504,608.006 |
|-------------------------|---------------|

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter | |
|------------------------|---|----------------------|
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 4,504,608.006 |
| | Arrears | 0.000 |
| | <i>AIA</i> | 0.000 |
| | Total For Department | 4,504,608.006 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 4,504,608.006 |
| | Arrears | 0.000 |
| | <i>AIA</i> | 0.000 |

Development Projects

Project:1623 Retooling of Financial Intelligence Authority

Budget Output:000003 Facilities and Equipment Management

PIAP Output: 16070503 Retooling of Financial Intelligence Authority

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

| | |
|---|---|
| Acquire and deploy a Board Management System that automates Board meetings, processes and related activities. 2 Smart TV screens for the Authority's meeting rooms. Acquire tools for analysis eg i2 for link analysis. | To support operational efficiency and enhance productivity, the Authority procured 5 laptops, 1 smartphone, and 1 tablet for staff use. Additionally, a hi-tech projector screen was acquired for the 80-seater meeting room to facilitate presentations, meetings, and training sessions. |
| 1 HR system acquired. | Finalised documents to public service for enrollment onto the Human Capital Management (HCM) System. Revised HR performance management tool was approved by FIA management |
| 23 filing cabinets acquired. A colored printer, scanner, laptop and one heavy duty binder acquired. 14 Coat Hungers procured. 3 safes for custody of confidential documents. | Procured various furniture items for Wing B office premises, including: Tables, Chairs, Filing cabinets, Office blinds, Office partitioning Procured 10 shredders to support secure disposal of documents. Procured 8 power backup batteries to ensure uninterrupted power supply. Procured 25 IP phones to enhance office communication infrastructure. Procured 3 security safes for secure storage of confidential documents. |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|---|--|---|--|
| Project:1623 Retooling of Financial Intelligence Authority | | | |
| PIAP Output: 16070503 Retooling of Financial Intelligence Authority | | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | | |
| Shelves for Records Archiving centre. An ipad and phone procured. 1 shredder acquired for Compliance Department. | | Procured 1 smartphone to support mobile communication needs. Acquired 1 tablet to enhance flexibility in field operations and digital access. Procured 10 shredders to facilitate secure disposal of sensitive documents across the Authority. | |
| PIAP Output: 16070520 Enhanced Technical capability | | | |
| Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes | | | |
| Functional Cyber Security Operating Center acquired. Functional Staff Address System for the Wing A 80 seater meeting room acquired. | | To enhance the Authority’s ICT infrastructure and improve system performance, additional server room space was procured alongside extensive networking and cabling works. The acquisition of two solid-state drives and a data center network supported increased storage capacity and processing efficiency. Furthermore, a 48-port POE Cisco Catalyst switch and a firewall were procured and deployed in the production environment, significantly strengthening network security and improving system uptime and reliability. Additionally, a hi-tech projector was acquired for the 80-seater meeting room to facilitate video conferencing, presentations, meetings and training sessions | |
| Functional Cyber Security Operating Center acquired. Functional Staff Address System for the Wing A 80 seater meeting room acquired. | | To enhance the Authority’s ICT infrastructure and improve system performance, additional server room space was procured alongside extensive networking and cabling works. The acquisition of two solid-state drives and a data center network supported increased storage capacity and processing efficiency. Furthermore, a 48-port POE Cisco Catalyst switch and a firewall were procured and deployed in the production environment, significantly strengthening network security and improving system uptime and reliability. Additionally, a hi-tech projector was acquired for the 80-seater meeting room to facilitate presentations, meetings, and training sessions. | |
| Acquire and deploy a Board Management System that automates Board meetings, processes and related activities. 2 Smart TV screens for the Authority's meeting rooms. Acquire tools for analysis eg i2 for link analysis. | | To support operational efficiency and enhance productivity, the Authority procured 5 laptops, 1 smartphone, and 1 tablet for staff use. Additionally, a hi-tech projector was acquired for the 80-seater meeting room to facilitate video conferencing, presentations, meetings, and training sessions. | |
| 1 HR system acquired. | | | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter |
|--|-------------------------|---|
| Project:1623 Retooling of Financial Intelligence Authority | | |
| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | | UShs Thousand |
| Item | | Spent |
| 312221 Light ICT hardware - Acquisition | | 110,999.836 |
| 312235 Furniture and Fittings - Acquisition | | 199,399.839 |
| 312423 Computer Software - Acquisition | | 345,644.545 |
| | Total For Budget Output | 656,044.220 |
| | GoU Development | 656,044.220 |
| | External Financing | 0.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Project | 656,044.220 |
| | GoU Development | 656,044.220 |
| | External Financing | 0.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Sub SubProgramme:02 Directorate of Internal Audit | | |
| Departments | | |
| Department:001 Internal Audit | | |
| Budget Output:000001 Audit and Risk Management | | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|---|--|--|--|
| PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls | | | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | | | |
| Up-to-date Corporate Risk Register. Quarterly Risk Management Reports prepared and presented to Management and Board. Reviewed Internal Audit Charter and Manual. FY 2024/25 Annual Internal Audit Quality Assurance Report prepared. | | The Corporate Risk Register was updated on a quarterly basis to reflect current and emerging risks. Quarterly Risk Management Reports for Q4 FY2023/24 and Q1–Q3 FY2024/25 were prepared and presented to Management and the Board, supporting informed decision-making and effective risk oversight. The Internal Audit Charter and Audit Manual were reviewed and updated to enhance audit effectiveness. The FY2024/25 Annual Internal Audit Quality Assurance Report was finalized ensuring continuous improvement in audit quality and compliance with professional standards. | |
| Board Audit committee members attendance of the IIA Board Audit Committee conference by IIA for capacity enhancement. Internal Audit staff trained in pertinent technical areas, CPDs attained and membership to professional bodies maintained. | | Internal Audit staff participated in technical training and capacity-building programs, attaining 269 CPD hours each. Membership with the Certified Internal Auditor (CIA) body was maintained for the 2024 calendar year. Additionally, the Internal Audit Quality Assurance and Improvement Program report as at June FY 2024/25 was finalized, supporting continued professional excellence and compliance with IIA standards. | |
| PIAP Output: 16070519 Security personnel trained | | | |
| Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel. | | | |
| Q4 FY2023/24 and FY2024/25 Quarterly Internal Audit reports prepared & presented to the Board. Bi-annual and Annual follow-up reports on the implementation of internal and external audit recommendations by Management presented to the Board. | | Prepared and presented the Q4 FY2023/24 and Quarterly Internal Audit Reports for FY2024/25 to the Board, ensuring consistent audit oversight and timely reporting. Delivered the FY2023/24 Annual and Half-Year FY2024/25 Follow-up Report on the implementation of internal and external audit recommendations by Management to both the Board and the Accountability Sector Audit Committee. | |
| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | | UShs Thousand | |
| Item | | Spent | |
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | | 30,000.000 | |
| 221002 Workshops, Meetings and Seminars | | 12,000.000 | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter |
|--|-------------------------|---|
| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | | UShs Thousand |
| Item | | Spent |
| 221003 Staff Training | | 36,400.000 |
| 221007 Books, Periodicals & Newspapers | | 2,000.000 |
| 221011 Printing, Stationery, Photocopying and Binding | | 11,500.000 |
| 221017 Membership dues and Subscription fees. | | 6,375.000 |
| 227001 Travel inland | | 45,265.000 |
| 227004 Fuel, Lubricants and Oils | | 26,400.000 |
| | Total For Budget Output | 169,940.000 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 169,940.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Department | 169,940.000 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 169,940.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Development Projects | | |
| N/A | | |
| Sub SubProgramme:04 Directorate of Analysis and Monitoring | | |
| Departments | | |
| Department:001 Strategic Analysis and Statistics | | |
| Budget Output:000001 Audit and Risk Management | | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|---|--|---|--|
| PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing | | | |
| Programme Intervention: 160715 Strengthen research and development to address emerging security threats | | | |
| 2 ML/TF/PF thematic risk assessment reports as informed by the NRA Report and other sources. 2 risk assessment / typology reports disseminated. 2 ML/TF/PF typology research reports. National ML/TF Risk Assessment for Uganda roadmap. | | Two thematic ML/TF/PF risk assessments were conducted. These are: • The ML/TF Risk Assessment on Tax Crimes and Proceeds Report (2024) was launched, revealing tax crimes as the second-largest generator of illicit proceeds after corruption. • The ML/TF Risk Assessment for DNFBPs progressed into its main analytical phase. Two ML/TF/PF typology research reports were completed: A typology on Smuggling and Trafficking in Persons and on the abuse of Garnishee Orders Two risk assessment and typology reports were disseminated to key national stakeholders, enhancing understanding of ML/TF/PF threats and informing risk-based supervision and policymaking The roadmap for Uganda’s 3rd National ML/TF Risk Assessment was developed and formally approved by Management. • The updated ML/TF Risk Assessment on Virtual Assets VAs and VASPs was revised based on technical feedback from MoFPED. • Two ML/TF case studies (Ponzi and pyramid schemes and conviction of a banking official for money laundering) | |
| 100% response to requests from ESAAMLG; ESAAMLG typology studies with FIA technical input. 12 statistical reports/abstracts prepared and disseminated. Mechanism for data collection ML/TF/PF statistics from relevant stakeholders developed and maintained. | | Maintained a 100% response rate to all requests (19) received from the Eastern and Southern Africa Anti-Money Laundering Group (ESAAMLG), including providing technical input to ESAAMLG typology studies, reinforcing Uganda’s active participation in regional AML/CFT initiatives. Prepared and disseminated 16 (12 monthly and 4 quarterly) AML/CFT statistical abstract reports, contributing to evidence-based decision-making and policy formulation. Developed and maintained a robust mechanism, including standard data collection template and quarterly meetings to validate findings, for the collection of ML/TF/PF statistics from relevant stakeholders, enhancing data reliability and facilitating effective monitoring of money laundering and terrorism financing trends. | |
| PIAP Output: 16080813 Financial due diligence undertaken on investors | | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | | |
| 40 Financial Due Diligence requests received and responded to. | | 100% (24) of Financial Due Diligence requests completed within the agreed timeframe. The resultant reports were shared with the requesting MDAs supporting informed decision-making. | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|---|--|---|--|
| PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes | | | |
| Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery | | | |
| Up-to-date website and Authority social media platforms with messages on the mandate & functions of the Authority, and AML/CTF/CPF matters. 2 AML/CFT/CPF short TV/social media clips produced and disseminated for enhanced online publicity. | | Digital Communication and Online Visibility. The FIA website and official social media platforms were consistently maintained, updated, and kept interactive with timely content on the mandate, functions, and activities of the Authority, including key messages on AML/CFT/CPF matters, thereby enhancing public awareness and stakeholder engagement. | |
| 4 (Quarterly) media press briefings on sector development held. 4 media adverts on commemoration of pertinent days and events. 8 positive stories/articles on FIA events published. An editors' breakfast meeting held to enhance AML/CFT/CPF reporting. | | Conducted 4 media press briefings focused on sector developments, an appearance on NBS TV and participated in 7 radio shows, enhancing public understanding of FIA’s mandate and progress. Held an editors’ breakfast meeting attracting 68 participants (49M and 19F) to strengthen media capacity in reporting on AML/CFT/CPF issues. Published 30 positive articles and features on FIA’s events and initiatives, including two expert opinion pieces, further positioning the Authority as a thought leader in the sector. Released 4 media adverts to commemorate key national events, such as NRM Day and Independence Day, and to promote FIA's achievements, including its role in the implementation of FATF recommendations. Participated in key public engagements to promote AML/CFT/CPF awareness: Local Government Regional Budget Consultative Workshops, a one-week exhibition at the Millennium Grounds, Lugogo, during the IRA annual IRA annual Insurance Awards, engagement with CEO | |
| FIA participation in the Annual Bankers Gala for enhanced visibility. FIA participation and exhibition at Anti-Corruption and Taxpayer Appreciation Weeks for enhanced visibility. 2 symposia (PRAU, GCOF) attended and Staff CPDs attained. | | FIA participated and exhibited at key national events including the Annual Bankers Gala, the International Anti-Corruption Day, and the Anti-Corruption Week in May, enhancing institutional visibility and stakeholder engagement. The Authority also participated in two high-level symposia—organized by the Public Relations Association of Uganda (PRAU) and the Government Communicators’ Forum (GCOF)—to strengthen public relations strategies and institutional communication. Public Relations staff attained Continuing Professional Development (CPD) hours, contributing to improved communication practices and institutional outreach capabilities. | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter |
|------------------------|---|
|------------------------|---|

PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes

Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery

| | |
|---|--|
| 500 copies of FIA@10 Magazine produced and disseminated to stakeholders. Branded corporate and promotional materials distributed to facilitate FIA visibility (calendars, diaries, Notebooks). | Branded corporate materials, including calendars, diaries, and notebooks, were produced and distributed to various stakeholders to enhance FIA's visibility, reinforce brand recognition, and support stakeholder engagement efforts. Over 4200 hard copy awareness materials on AML/CFT/CPF were disseminated with copies available at FIA offices. Advanced Public awareness campaign on AML through digital content on the FIA website |
|---|--|

| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | UShs Thousand |
|--|---------------|
|--|---------------|

| Item | Spent |
|--|-------------|
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | 252,000.000 |
| 221002 Workshops, Meetings and Seminars | 114,000.000 |
| 221003 Staff Training | 168,000.000 |
| 221007 Books, Periodicals & Newspapers | 3,300.000 |
| 221011 Printing, Stationery, Photocopying and Binding | 20,000.000 |
| 221012 Small Office Equipment | 10,000.000 |
| 221017 Membership dues and Subscription fees. | 1,500.000 |
| 225101 Consultancy Services | 80,000.000 |
| 227001 Travel inland | 71,000.000 |
| 227004 Fuel, Lubricants and Oils | 153,600.000 |
| Total For Budget Output | 873,400.000 |
| Wage Recurrent | 0.000 |
| Non Wage Recurrent | 873,400.000 |
| Arrears | 0.000 |
| AIA | 0.000 |
| Total For Department | 873,400.000 |
| Wage Recurrent | 0.000 |
| Non Wage Recurrent | 873,400.000 |
| Arrears | 0.000 |
| AIA | 0.000 |

Department:002 Operational analysis

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|--|--|--|--|
| Budget Output:560019 Data Management and Dissemination | | | |
| PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering. | | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | | |
| Up to date FIA databases with pertinent integrations. 100 Accountable Persons capacity enhancement on quality of AML,CFT reporting. | | An up-to-date FIA database was maintained, with relevant system integrations to support efficient data collection, analysis, and reporting. A total of 488 Accountable Persons were trained and onboarded onto the goAML platform, significantly enhancing their capacity to produce high-quality AML/CFT reports and ensuring compliance with reporting obligations. | |
| 4 (quarterly) reports on vital information acquired from different sectors/institutions of the economy to support analysis, prepared and disseminated. 2 LEAs enrolled on the goAML platform for secure, effective and efficient exchange of information. | | Four quarterly reports containing vital information from various sectors and institutions of the economy were prepared and disseminated to support intelligence analysis and enhance investigative efforts. Four LEAs (CID, ISO, ESO and CT) were successfully enrolled onto the goAML platform, enabling secure, efficient, and effective exchange of information in support of AML/CFT operations. | |
| Briefs for all (100%) analyzed Suspicious Transaction Reports (STRs) prepared and disseminated to relevant stakeholders. 80 Financial intelligence reports prepared and disseminated to Law Enforcement Agencies (LEAs). | | 100% of targeted reports analyzed on risk-based approach A total of 226 financial intelligence reports were disseminated to Law Enforcement Agencies (LEAs) and other competent authorities to support investigations and enforcement actions. Briefs of analyzed Suspicious Transaction Reports (STRs) were prepared and disseminated to relevant stakeholders | |
| Briefs on Classified operations undertaken to support analysis and information gathering. 4 quarterly reports on Stakeholder engagements with different LEAs for enhanced collaboration. Responses/vital information disseminated to foreign FIUs. | | Four briefs were prepared on classified operations undertaken to support strategic analysis and intelligence gathering efforts. A total of 46 intelligence-led operations were coordinated in collaboration with various domestic law enforcement and intelligence agencies, enhancing inter-agency cooperation and operational effectiveness. Four quarterly reports on stakeholder engagements with Law Enforcement Agencies (LEAs) were prepared, reflecting ongoing efforts to strengthen collaboration and information sharing. 00% of requests from Foreign Financial Intelligence Units (FIUs) were responded to within agreed timeframe, contributing to international cooperation and timely information exchange. | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter |
|------------------------|---|
|------------------------|---|

PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.

Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption

| | |
|---|---|
| A guide for conducting Open Source Intelligence to facilitate intelligence collection. A guide/procedure to be followed while conducting Financial Due Diligence. Financial intelligence provided to pertinent Competent Authorities. | A total of 53 actionable intelligence reports were prepared containing analytical insights to support investigations and enforcement efforts. Additionally, 226 financial intelligence reports were disseminated to Law Enforcement Agencies (LEAs) and other competent authorities, contributing to ongoing investigations and enforcement actions. 100% (201) of requests for information from Competent Authorities and other FIUs were finalized within the agreed timeframe demonstrating ongoing efforts to enhance responsiveness and inter-agency collaboration. |
| Adhoc engagements held with Law Enforcement Agencies (CID, IG, UWA and URA), National Intelligence Services (ISO, ESO, CMI) and Competent Authorities for enhanced collaboration and identification of proceeds of crime | The Authority conducted 33 ad hoc engagements with key Law Enforcement Agencies (including CID, IG, UWA, URA), 46 intelligence led engagements with law enforcement and National Intelligence Services (ISO, ESO, CMI), and other competent authorities to strengthen collaboration and facilitate the identification of proceeds of crime. Additionally, the Authority coordinated a total of 46 intelligence-led operations with various domestic law enforcement and intelligence agencies, enhancing joint efforts to combat financial crimes and related offenses. |

| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | US\$ Thousand |
|--|---------------|
|--|---------------|

| Item | Spent |
|--|---------------|
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | 40,000.000 |
| 221003 Staff Training | 249,937.444 |
| 221017 Membership dues and Subscription fees. | 20,000.000 |
| 224009 Classified Expenditure | 4,045,883.348 |
| 227001 Travel inland | 5,000.000 |
| Total For Budget Output | 4,360,820.792 |
| Wage Recurrent | 0.000 |
| Non Wage Recurrent | 4,360,820.792 |
| Arrears | 0.000 |
| AIA | 0.000 |
| Total For Department | 4,360,820.792 |
| Wage Recurrent | 0.000 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter | |
|------------------------|---|---------------|
| | Non Wage Recurrent | 4,360,820.792 |
| | Arrears | 0.000 |
| | AIA | 0.000 |

Development Projects

N/A

Sub SubProgramme:05 Directorate of Compliance and Training

Departments

Department:001 Compliance and Inspection

Budget Output:000023 Inspection and Monitoring

PIAP Output: 16080504 AML/CFT compliance enforced

Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations

| | |
|---|--|
| Guidelines for Real Estates & Virtual Assets Service Providers to support FIA to supervise the non regulated sectors on matters related to AML/CFT developed and disseminated. AML/CFT sector specific manual to guide supervision developed and disseminated. | NA |
| 50 business journalists trained on FIA mandate, ML, FT&PF for enhanced media reporting. 70 stakeholders from LEA and CA on AML/CFT/CPF matters. 150 investigators (from 3 regional campaigns in North, East and Western) sensitized on AML/CFT/CPF. | <p>A total of 117 business journalists were trained on the FIA mandate and core AML/CFT/CPF concepts, including Money Laundering (ML), Terrorist Financing (TF), and Proliferation Financing (PF), to enhance the quality and accuracy of media reporting on financial crimes.</p> <p>399 stakeholders from various Law Enforcement Agencies (LEAs) and Competent Authorities—including the Uganda Prisons Service, Uganda Police Force Counter-Terrorism Unit, and the NGO Bureau—were trained on AML/CFT/CPF matters to strengthen institutional capacity in detection, prevention, and enforcement.</p> <p>333 investigators from the Uganda Police Force Counter-Terrorism Unit in the Eastern, West Nile, and Rwenzori regions were sensitized on AML/CFT/CPF to enhance regional enforcement efforts.</p> <p>In collaboration with their professional body, over 500 lawyers were sensitized on their compliance obligations under the Anti-Money Laundering Act (AMLA), promoting awareness and adherence to regulatory requirements within the legal</p> |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | Cumulative Outputs Achieved by End of Quarter |
|---|---|
| PIAP Output: 16080504 AML/CFT compliance enforced | |
| Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations | |
| 5000 awareness materials (such as brochures and flyers, fact sheets, posters, AML animated content, digital content) produced and disseminated to reporting entities. 5000 brochures on AML and CFT produced and disseminated to the general public. | Over 4200 hard copy awareness materials on AML/CFT/CPF were disseminated with copies available at FIA offices. Advanced Public awareness campaign on AML through digital content on the FIA website |
| 9 articles on AML/CFT/CPF published through different media houses. 8 TV/Radio talk shows conducted to educate the general public about AML/CFT/CPF. 200 members of the general public he general public on administrative sanctions. | <p>A total of 29 positive articles on AML/CFT/CPF matters were published through various media houses, including 4 expert opinion pieces, surpassing the target of 9 articles. These publications helped to inform and shape public understanding of financial crime risks and the role of the FIA.</p> <p>Broadcast Engagements: The Authority conducted 7 radio talk shows and 2 press briefings, exceeding the target of 8 broadcast engagements, to educate the general public on AML/CFT/CPF issues and the importance of compliance.</p> <p>Public Sensitization: 200 members of the general public were sensitized on administrative sanctions and related AML/CFT/CPF compliance obligations, contributing to greater public awareness and accountability.</p> <p>Digital Outreach: Maintained an active and interactive social media presence, with regular updates to FIA’s digital platforms, including the official website. Timely content such as articles and infographics was shared to keep the public informed on AML/C</p> |
| Technical paper on proposed AML Training Framework and content prepared based on ECOFEL exchange program experiences. A framework (covering 3 online training modules) for accountable persons on AML/CFT/CPF developed and approved by the Board. | <p>FIA staff were granted free access to ECOFEL training platform providing access to 17 online modules.</p> <p>FIA training and communication strategic plan for the FY 2024/25 was developed and approved by the board</p> |
| Accountable Persons (Banks, MDIs, Forex Bureaus and Credit Institutions) on the administrative sanctions regime. 30 directives issued to non-compliant Accountable persons. Administrative sanctions issued to all non compliant accountable persons. | A total of 41 administrative sanctions and 6 fines were issued to non-compliant accountable persons to enforce adherence to the registration requirements under the AML/CFT framework. |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|---|--|--|--|
| PIAP Output: 16080504 AML/CFT compliance enforced | | | |
| Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations | | | |
| 50 stakeholders engaged on how to implement the action plan generated from the NRA and MER. 500 accountable persons sensitized and enrolled on goAML platform. FIA staff and Supervisory Authorities sensitized about the FATF Recommendations. | | Sensitized and enrolled 273 accountable persons onto the goAML platform, with 273 certificates of registration issued, strengthening compliance and reporting under the AML/CFT framework. Conducted sensitization sessions for FIA staff and 5 supervisory authorities on the Financial Action Task Force (FATF) Recommendations, to promote understanding of international AML/CFT standards and ensure effective implementation across sectors. | |
| PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws | | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | | |
| 2 press conferences on administrative sanctions held. 1,000 accountable persons trained and enrolled on goAML platform. Supervisory Authorities engaged on AML/CFT matters. | | 2 press briefings were held to educate the general public on AML/CFT/CPF issues and the importance of compliance. A total of 624 accountable persons were trained on the use of the goAML platform to strengthen their capacity in reporting suspicious transactions and enhancing compliance with AML/CFT obligations. Following the training, 273 participants were successfully enrolled and issued certificates of registration, thereby improving reporting coverage and sector-wide compliance with regulatory requirements. Engaged with five supervisory authorities on AML/CFT matters relevant to their sectors, promoting coordinated supervision and risk mitigation. Established a Supervisory Bodies Forum for the DNFBP sector, with FIA as a secretariat, fostering collaboration and sector-wide engagement on AML/CFT challenges. | |
| 1000 Accountable Persons (from 6 distinct categories) trained to address the compliance gaps identified during Onsite and Offsite inspections. 30 supervisory/regulatory bodies trained on AML/CFT matters. | | Conducted 38 comprehensive training sessions on money laundering and terrorism financing, reaching over 3,577 participants (2,045 males and 1,532 females). | |
| A targeted sensitization webinar of women conducted on the AML/CTF/PF matters.- targeting 150 participants | | Trained over 150 women during the Women in Banking and Finance Conference, advocating for increased female participation and leadership in the fight against financial crime and promoting gender-inclusive approaches to AML/CFT efforts. | |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter | |
|--|--|---|--|
| PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws | | | |
| Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption | | | |
| 4 joint inspections and related activities (with other supervisory bodies) for capacity enhancement in AML/CFT matters. Guidance given to accountable persons on AML/CFT matters. | | 2,882 accountable persons were guided on the AML/CFT matters through physical engagements and virtually. 4 joint inspections and related activities with IRA, BOU and UMRA were carried out for capacity enhancement in AML/CFT matters. | |
| 14 Risk Based Onsite Inspections conducted to ascertain adequacy of internal controls, and inform corrective action. 360 annual compliance reports, 80 risk assessments, 80 independent AML/CFT audits, and 8 supervisory bodies' reports reviewed. | | FIA conducted 28 risk-based onsite inspections to assess the adequacy of internal controls among accountable persons. The findings from these inspections informed corrective actions aimed at strengthening AML/CFT compliance frameworks. FIA also participated in the Q4 FY2024/25 Financial Sector Stability Forum Reviewed 164 Risk Assessment Reports, 36 Annual Compliance reports and 63 independent AML/CFT audit reports using a risk-based approach, with feedback provided to accountable persons to support improvements in audit quality and regulatory compliance. These efforts contributed to enhanced risk-based supervision and regulatory oversight of AML/CFT measures across the reporting institutions. FIA was represented on 4 Supervisory bodies 'forum engagements as the secretariat where financial reports and sector updates were considered and reviewed. | |

| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | | UShs Thousand |
|--|--|---------------|
|--|--|---------------|

| Item | Spent |
|--|-------------|
| 211106 Allowances (Incl. Casuals, Temporary, sitting allowances) | 29,240.000 |
| 221001 Advertising and Public Relations | 49,000.000 |
| 221002 Workshops, Meetings and Seminars | 513,550.000 |
| 221003 Staff Training | 120,000.000 |
| 221007 Books, Periodicals & Newspapers | 6,336.000 |
| 221011 Printing, Stationery, Photocopying and Binding | 50,000.000 |
| 221017 Membership dues and Subscription fees. | 3,000.000 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

| Annual Planned Outputs | | Cumulative Outputs Achieved by End of Quarter |
|--|-------------------------|---|
| Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs | | UShs Thousand |
| Item | | Spent |
| 222001 Information and Communication Technology Services. | | 6,000.000 |
| 227001 Travel inland | | 200,000.000 |
| 227004 Fuel, Lubricants and Oils | | 104,400.000 |
| | Total For Budget Output | 1,081,526.000 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 1,081,526.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| | Total For Department | 1,081,526.000 |
| | Wage Recurrent | 0.000 |
| | Non Wage Recurrent | 1,081,526.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |
| Development Projects | | |
| N/A | | |
| | GRAND TOTAL | 33,703,216.047 |
| | Wage Recurrent | 9,594,400.000 |
| | Non Wage Recurrent | 23,452,771.827 |
| | GoU Development | 656,044.220 |
| | External Financing | 0.000 |
| | Arrears | 0.000 |
| | AIA | 0.000 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

V4: NTR Collections, Off Budget Expenditure and Vote Cross Cutting Issues

Table 4.1: NTR Collections (Billions)

| Revenue Code | Revenue Name | Planned Collection FY2024/25 | Actuals By End Q4 |
|--------------|---|---------------------------------|-------------------|
| 142119 | Sale of bid documents-From Private Entities | 0.010 | 0.022 |
| 143261 | Other fines and Penalties – from other government units | 0.500 | 0.007 |
| Total | | 0.510 | 0.029 |

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

Table 4.2: Off-Budget Expenditure By Department and Project

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 4

Table 4.3: Vote Crosscutting Issues

i) Gender and Equity

| | |
|------------------------------|--|
| Objective: | Gender and equity mainstreaming in FIA operations. |
| Issue of Concern: | Marginalization of vulnerable groups nationally. |
| Planned Interventions: | i) Conduct a targeted sensitization webinar of women on the AML/CTF/PF matters. ii) Maintain an accessible office premise with operational lift (with audio functionality). iii) Provide appropriate facilities and support for the visually impaired staff. |
| Budget Allocation (Billion): | 0.013 |
| Performance Indicators: | i) No. of targeted women sensitized on AML/CTF/PF matters. (Target of 150 women) ii) An accessible office premise with an operational lift with audio functionality. (Target of 1) iii) An aid to support the visually impaired staff. (Target of 1) |
| Actual Expenditure By End Q4 | 0.01264 |
| Performance as of End of Q4 | • Trained over 150 women during the Women in Banking and finance Conference advocating for increased female participation and leadership in the fight against financial crime • Hired an Assistant Rehabilitation Officer for the visually impaired staff of the Authority • The FIA office is accessible with well-maintained lifts |
| Reasons for Variations | Outputs achieved as planned |

ii) HIV/AIDS

| | |
|------------------------------|---|
| Objective: | Mitigate the spread, stigmatization, and other negative effects of HIV/AIDs amongst FIA staff. |
| Issue of Concern: | HIV/AIDs negative effects could hinder the Authority’s effective and efficient service delivery. |
| Planned Interventions: | i)Maintain counselling services for all FIA staff. ii)Conduct sensitization of all FIA staff on HIV/AIDs. |
| Budget Allocation (Billion): | 0.027 |
| Performance Indicators: | i)A designated counsellor. (Target of 1) ii)No. of FIA staff sensitization sessions on HIV/AIDs held. (Target of 1) |
| Actual Expenditure By End Q4 | 0.027 |
| Performance as of End of Q4 | • FIA staff have access to counselling services through the insurance provider • All FIA staff were sensitized on HIV/AIDs through a dedicated awareness session conducted in collaboration with the Uganda AIDS Commission, aimed at promoting knowledge, prevention, and reducing stigma. • HIV/AIDs preventive supplies were obtained and distributed to staff as part of ongoing workplace health and wellness interventions. |

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Reasons for Variations Outputs achieved as planned

iii) Environment

| | |
|------------------------------|---|
| Objective: | Mainstream environmental concerns during office operations. |
| Issue of Concern: | Environmental degradation and climate change. |
| Planned Interventions: | i) Sensitize all staff on environmental measures. ii) Maintain an operational Electronic Document Management System to reduce on usage of paper. |
| Budget Allocation (Billion): | 0.003 |
| Performance Indicators: | i) No. of FIA staff sensitization sessions on environmental measures held. (Target of 1) ii) An operational Electronic Document Management System. (Target of 1). |
| Actual Expenditure By End Q4 | 0.03 |
| Performance as of End of Q4 | • All FIA staff were sensitized on environmental sustainability through e-learning training modules. These modules offered flexible, self-paced learning and ensured consistent messaging across the organization. • An operational Electronic Document Management System (EDMS) was maintained, significantly reducing paper usage and supporting the Authority’s commitment to sustainable practices. |
| Reasons for Variations | Outputs achieved as planned |

iv) Covid

| | |
|------------------------------|---|
| Objective: | Mitigate the spread and related negative effects of COVID-19, or related contagious viruses. |
| Issue of Concern: | Possible disruption of the Authority's supervisory activities owing to COVID-19, or related contagious viruses. |
| Planned Interventions: | i) Maintain an operational and effective goAML online platform for virtual reporting by Accountable Persons. ii) Maintain an inventory of COVID-19 /contagious viruses /supplies and first AID box. iii) Maintain a work from home policy. |
| Budget Allocation (Billion): | 0.007 |
| Performance Indicators: | i) An operational goAML online reporting platform. (Target of 1) ii) An inventory of sanitizer supplies and first aid kit. (Target of 1) iii) A work from home policy. (Target of 1) |
| Actual Expenditure By End Q4 | 0.007 |
| Performance as of End of Q4 | i) The Authority maintained an operational and effective goAML online platform for virtual reporting by Accountable Persons. ii) FIA maintaned 2 well stocked first AID box. iii) The FIA has a work from home policy. iv) Sanitizer (Contagious virus supplies) provided at FIA premises entry points |

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Quarter 4

Reasons for Variations

Outputs were achieved as planned