

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

V1: Summary of Issues in Budget Execution**Table V1.1: Overview of Vote Expenditures (US\$ Billion)**

	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% Budget Released	% Budget Spent	% Releases Spent	
Recurrent	Wage	12.378	12.378	3.095	2.762	25.0 %	22.0 %	89.2 %
	Non-Wage	32.377	32.377	9.639	7.892	30.0 %	24.4 %	81.9 %
Dev.	GoU	1.476	1.476	1.356	0.000	91.9 %	0.0 %	0.0 %
	Ext Fin.	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
GoU Total		46.231	46.231	14.090	10.654	30.5 %	23.0 %	75.6 %
Total GoU+Ext Fin (MTEF)		46.231	46.231	14.090	10.654	30.5 %	23.0 %	75.6 %
Arrears		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Total Budget		46.231	46.231	14.090	10.654	30.5 %	23.0 %	75.6 %
<i>A.I.A Total</i>		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Grand Total		46.231	46.231	14.090	10.654	30.5 %	23.0 %	75.6 %
Total Vote Budget Excluding Arrears		46.231	46.231	14.090	10.654	30.5 %	23.0 %	75.6 %

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Table V1.2: Releases and Expenditure by Programme and Vote Function*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% Budget Released	% Budget Spent	% Releases Spent
Programme:16 Governance and Security	46.231	46.231	14.090	10.654	30.5 %	23.0 %	75.6%
Vote Function:01 Directorate of Finance and Administration	0.000		0.000	0.000	0.0 %	0.0 %	0.0%
Vote Function:02 Directorate of Internal Audit	0.000		0.000	0.000	0.0 %	0.0 %	0.0%
Vote Function:03 Directorate of Systems Administration and Security	0.000		0.000	0.000	0.0 %	0.0 %	0.0%
Vote Function:04 Directorate of Analysis and Monitoring	0.000		0.000	0.000	0.0 %	0.0 %	0.0%
Vote Function:05 Directorate of Compliance and Training	0.000		0.000	0.000	0.0 %	0.0 %	0.0%
Vote Function:06 Directorate of Legal, Corporate Services and International Relations	0.000		0.000	0.000	0.0 %	0.0 %	0.0%
Vote Function:07 Analysis and Monitoring	5.242	5.242	2.036	1.761	38.8 %	33.6 %	86.5%
Vote Function:08 Compliance and Outreach	1.291	1.291	0.327	0.203	25.3 %	15.7 %	62.1%
Vote Function:09 Legal, Corporate Services and International Relations	2.126	2.126	1.136	0.849	53.4 %	39.9 %	74.7%
Vote Function:10 ICT Systems and Security	1.494	1.494	0.460	0.258	30.8 %	17.3 %	56.1%
Vote Function:11 Finance and Administration	36.078	36.078	10.131	7.583	28.1 %	21.0 %	74.8%
Total for the Vote	46.231	46.231	14.090	10.654	30.5 %	23.0 %	75.6 %

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Table V1.3: High Unspent Balances and Over-Expenditure in the Approved Budget (Ushs Bn)*(i) Major unspent balances***Departments , Projects****Programme:16 Governance and Security****Vote Function:07 Analysis and Monitoring**

0.207	Bn Shs	Department : 001 Strategic Analysis and Statistics
Reason: These funds majorly relate to the National ML/TF/PF Risk Assessment which commenced towards the end of q1 following its launch by the Hon Minister of state for general duties and will continue into Q2		

Items

0.090	UShs	225101 Consultancy Services
Reason: These funds relate to the National ML/TF/PF Risk Assessment which commenced towards the end of q1 following its launch by the Hon Minister of state for general duties and will continue into Q2		

0.088	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)
Reason: These funds relate to the National ML/TF/PF Risk Assessment which commenced towards the end of q1 following its launch by the Hon Minister of state for general duties and will continue into Q2		

0.016	UShs	227001 Travel inland
Reason: These funds relate to the National ML/TF/PF Risk Assessment which commenced towards the end of q1 following its launch by the Hon Minister of state for general duties and will continue into Q2		

0.010	UShs	221003 Staff Training
Reason: These funds relate to the National ML/TF/PF Risk Assessment which commenced towards the end of q1 following its launch by the Hon Minister of state for general duties and will continue into Q2		

0.021	Bn Shs	Department : 002 Operational analysis
Reason: The unspent balance relates to a training program that was postponed by the service provider to Q2		

Items

0.019	UShs	221003 Staff Training
Reason: The unspent balance relates to a training program that was postponed by the service provider to Q2		

0.047	Bn Shs	Department : 003 Monitoring and Intelligence
Reason: These funds relate to development of the financial intelligence framework which is currently under consideration by the FIA internal processes		

Items

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*(i) Major unspent balances***Departments , Projects****Programme:16 Governance and Security****Vote Function:07 Analysis and Monitoring**

0.020	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	Reason: This relates to the allowances for the validation workshop of the financial intelligence framework which will commence after Top Management approval. Finalization of the framework and its associated payments will be effected in Q2
0.013	UShs	227001 Travel inland	Reason: This relates to the development of the financial intelligence framework which can only take place after Top Management approval. Finalization of the framework and its associated payments will be effected in Q2
0.005	UShs	221002 Workshops, Meetings and Seminars	Reason: This relates to the validation workshop of the financial intelligence framework which will commence after Top Management approval. Finalization of the framework and its associated payments will be effected in Q2

Vote Function:08 Compliance and Outreach

0.093	Bn Shs	Department : 001 Compliance and Inspection	Reason: These unspent funds majorly relate to activities that were postponed to the subsequent quarters due to the prioritization of the risk assessment on DNFbps which was needed for submission of Uganda's request for rerating on Recommendation 28 to ESAAMLG by end of September
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Items

0.055	UShs	227001 Travel inland	Reason:
0.013	UShs	225101 Consultancy Services	Reason: This relates to the development framework and desk manual which were postponed to Q2 due to prioritization of the DNFbps risk assessment that would enable Uganda's request for rerating on Recommendation 28 r
0.011	UShs	211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	Reason: The registration drive for which these funds were needed was postponed to the next quarters due to prioritization of the risk assessment on DNFbps
0.005	UShs	221011 Printing, Stationery, Photocopying and Binding	Reason: This relates to printing the VASPs risk assessment report . The LPO was already issued to the service provider that had already commenced the work by end of Q1. The payment will be made after delivery of the reports and receipt of the invoice from the supplier.
0.031	Bn Shs	Department : 002 Training and Outreach	

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(i) Major unspent balances**Departments , Projects****Programme:16 Governance and Security****Vote Function:08 Compliance and Outreach**

Reason: This mostly relates to training of LEAs. These engagements were postponed to Q2 due to the critical emerging need to participate in the regional budget consultative workshops.

Items

0.019 UShs 227001 Travel inland

Reason: This relates to training of LEAs that was postponed to Q2 due to prioritization of participating in the budget consultative workshops in which FIA was invited to participate in.

0.010 UShs 221003 Staff Training

Reason: Training and Outreach staff training was postponed to Q2 as a result of change in calendar by the service provider.

Vote Function:09 Legal, Corporate Services and International Relations

0.159 Bn Shs Department : 001 Legal and Corporate Affairs

Reason: The unspent majorly relate to the planned board oversight of the strategic retreat and annual board evaluation which are to be finalized in Q2

Items

0.045 UShs 221002 Workshops, Meetings and Seminars

Reason: This relates to the board strategic retreat to be held in Q2 after approval of the board evaluation report

0.037 UShs 211107 Boards, Committees and Council Allowances

Reason: The board held less meetings than had been projected due to competing priorities

0.028 UShs 221006 Commissions and related charges

Reason: The unspent funds relate to training of board members which was postponed to Q2 due to competing priorities

0.015 UShs 225101 Consultancy Services

Reason: This relates to the board performance evaluation whose report is yet to be considered and approved by the board (in Q2) . After approval, the consultant will be paid.

0.011 UShs 221003 Staff Training

Reason: One staff was trained with the other training postponed to the following quarters due to competing priorities

0.128 Bn Shs Department : 002 International Relations

Reason: Invoices relating to the payment had not yet been received by the end of the Quarter

Items

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*(i) Major unspent balances***Departments , Projects****Programme:16 Governance and Security****Vote Function:09 Legal, Corporate Services and International Relations****0.124** UShs 221017 Membership dues and Subscription fees.

Reason: Invoices relating to the payment had not yet been received by the end of the Quarter

Vote Function:10 ICT Systems and Security**0.191** Bn Shs Department : 001 E-services and Security

Reason: The unspent majorly relates to renewal of licenses and ICT supplies whose invoices were yet to be received by end of quarter

*Items***0.129** UShs 226002 Licenses

Reason: The unspent majorly relates to renewal of licences that was done in Q1 but not yet invoiced

0.041 UShs 221008 Information and Communication Technology Supplies.

Reason: The unspent funds relate to assorted ICT supplies whose invoices were yet to be received by end of quarter

0.022 UShs 221003 Staff Training

Reason: Staff training that was scheduled to carried out at the beginning of Q2.

0.011 Bn Shs Department : 002 Information Systems

Reason: This unspent majorly relates to the redesign of the FIA website and goAML checks, that were still ongoing by the end of the Quarter. Payment will only be processed after the processes are completed

*Items***0.005** UShs 221008 Information and Communication Technology Supplies.

Reason: The redesign of the FIA website was started in Q1. Processing of payment will be initiated after the Top Management signs off on the redesigned website

Vote Function:11 Finance and Administration**0.116** Bn Shs Department : 001 Accounts & Stores

Reason: The unspent majorly relates to printing the financial statements and engraving of the FIA assets that was postponed to Q2

*Items***0.047** UShs 211106 Allowances (Incl. Casuals, Temporary, sitting allowances)

Reason: Due to competing priorities, the activity was postponed to Q2

0.020 UShs 221012 Small Office Equipment

Reason: These funds relate to engraving of the FIA assets that was postponed to Q2

0.015 UShs 221003 Staff Training

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*(i) Major unspent balances***Departments , Projects****Programme:16 Governance and Security****Vote Function:11 Finance and Administration**

Reason: The unspent relate to training of staff that was pushed to Q2 due to competing priorities

0.013 UShs 221011 Printing, Stationery, Photocopying and Binding

Reason: This relates to printing the financial statements for which procurement is still ongoing

0.010 UShs 221017 Membership dues and Subscription fees.

Reason: The amount relates to membership of Accounts staff in the ICPAU whose invoices had not been received by end of quarter

0.675 Bn Shs Department : 002 Human resource registry and security

Reason: These funds majorly relate to the external recruitment (consultant services) which are yet to be invoiced.

*Items***0.219** UShs 221004 Recruitment Expenses

Reason: These funds relate to the external recruitment that is being carried out.

0.160 UShs 221009 Welfare and Entertainment

Reason:

0.063 UShs 223004 Guard and Security services

Reason:

0.034 UShs 228002 Maintenance-Transport Equipment

Reason: The Authority is waiting on invoices from providers who have already rendered services

0.009 UShs 212103 Incapacity benefits (Employees)

Reason:

0.010 Bn Shs Department : 003 Procurement

Reason: This majorly related to staff training and subscription to professional bodies which is to be undertaken in Q2

*Items***0.009** UShs 221003 Staff Training

Reason: The unspent relate to training of staff that was postponed due to competing priorities

0.018 Bn Shs Department : 004 Executive Office

Reason: The high unspent funds relate to high level stakeholder engagements deferred to Q2 due to competing priorities

*Items***0.009** UShs 227001 Travel inland

Reason: The high unspent funds relate to high level stakeholder engagements deferred to Q2 due to competing priorities

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*(i) Major unspent balances***Departments , Projects****Programme:16 Governance and Security****Vote Function:11 Finance and Administration**

0.006	UShs	221009 Welfare and Entertainment
		Reason: The high unspent funds relate to high level stakeholder engagements deferred to Q2 due to competing priorities
0.033	Bn Shs	Department : 006 Planning and Budgeting
		Reason: The high unspent funds majorly relate to design and print services for the FIA FY 2024/25 Annual report which was pending receipt of invoice from the provider as at end quarter

Items

0.013	UShs	221011 Printing, Stationery, Photocopying and Binding
		Reason: The high unspent funds relate to design and print services for the FIA FY 2024/25 Annual report which was pending receipt of invoice from the provider as at end quarter
1.356	Bn Shs	Project : 1876 Institutional development for Financial Intelligence Authority
		Reason: The procurement processes of all assets under the project institutional development for FIA are currently still ongoing.

Items

0.686	UShs	312221 Light ICT hardware - Acquisition
		Reason: The light ICT hardware was delivered and the authority awaits an invoice from the supplier
0.500	UShs	312212 Light Vehicles - Acquisition
		Reason: The procurement processes of vehicles under the project institutional development for FIA was began in Q1 and is still ongoing
0.150	UShs	312235 Furniture and Fittings - Acquisition
		Reason: The procurement processes of furniture is currently still ongoing.
0.020	UShs	312231 Office Equipment - Acquisition
		Reason: The procurement processes of office equipment is currently still ongoing.

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V2: Performance Highlights**Table V2.1: PIAP outputs and output Indicators**

Programme:16 Governance and Security			
Vote Function:07 Analysis and Monitoring			
Department:001 Strategic Analysis and Statistics			
Key Service Area: 460156 Sector Research and Statistics			
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing			
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms			
PIAP Output Indicators	Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of risk assessments and typology studies undertaken	Number	4	2
Department:002 Operational analysis			
Key Service Area: 460155 Dissemination of Financial Intelligence			
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing			
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms			
PIAP Output Indicators	Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of financial intelligence reports disseminated	Number	70	35
Department:003 Monitoring and Intelligence			
Key Service Area: 460157 Intelligence Collection			
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing			
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms			
PIAP Output Indicators	Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of Financial Due Diligence reports produced	Number	40	4
Vote Function:08 Compliance and Outreach			
Department:001 Compliance and Inspection			
Key Service Area: 000024 Compliance and Enforcement Services			
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing			
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms			
PIAP Output Indicators	Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of risk-based onsite inspections conducted	Number	26	1

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Programme:16 Governance and Security				
Vote Function:08 Compliance and Outreach				
Department:002 Training and Outreach				
Key Service Area: 000034 Education and Skills Development				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of stakeholders in the AML/CFT/CPF value chain trained	Number	1554	1252	
Vote Function:09 Legal, Corporate Services and International Relations				
Department:001 Legal and Corporate Affairs				
Key Service Area: 000012 Legal and Advisory services				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	
Key Service Area: 000032 Board Management				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	
Department:002 International Relations				
Key Service Area: 460158 Domestic and International Cooperation				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	

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Programme:16 Governance and Security				
Vote Function:10 ICT Systems and Security				
Department:001 E-services and Security				
Key Service Area: 460050 Security and ICT Infrastructure				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	
Department:002 Information Systems				
Key Service Area: 000019 ICT Services				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	
Vote Function:11 Finance and Administration				
Department:001 Accounts & Stores				
Key Service Area: 000004 Finance and Accounting				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	
Department:002 Human resource registry and security				
Key Service Area: 000005 Human Resource Management				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	

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Programme:16 Governance and Security				
Vote Function:11 Finance and Administration				
Department:002 Human resource registry and security				
Key Service Area: 000013 HIV/AIDS Mainstreaming				
PIAP Output: 16090106 Cross cutting issues mainstreamed				
Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
% of HIV/AIDS interventions mainstreamed	Percentage	100%	100%	
Key Service Area: 000021 Gender Mainstreaming services				
PIAP Output: 16090106 Cross cutting issues mainstreamed				
Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
% of Gender and Equity activities mainstreamed	Percentage	100%	100%	
Key Service Area: 000089 Climate Change Mitigation				
PIAP Output: 16090106 Cross cutting issues mainstreamed				
Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
Number of Climate change interventions undertaken	Number	2	1	
Department:003 Procurement				
Key Service Area: 000007 Procurement and Disposal Services				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	
Department:004 Executive Office				
Key Service Area: 000010 Leadership and Management				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	

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Programme:16 Governance and Security				
Vote Function:11 Finance and Administration				
Department:005 Communication and Public Relations				
Key Service Area: 000011 Communication and Public Relations				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of targeted members of the public sensitized on matters related to ML/TF/PF	Number	2000	4132	
Department:006 Planning and Budgeting				
Key Service Area: 000006 Planning and Budgeting Services				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	
Department:007 Internal Audit				
Key Service Area: 000001 Audit and Risk Management				
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing				
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
No. of the 40 FATF Technical Compliance recommendations rated C/LC	Number	30	29	
Project:1876 Institutional development for Financial Intelligence Authority				
Key Service Area: 000003 Facilities and Equipment Management				
PIAP Output: 16090101 Institutions retooled				
Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery				
PIAP Output Indicators		Indicator Measure	Planned 2025/26	Actuals By END Q 1
Percentage of Budgeted ICT and Office Equipment Procured	Percentage	100%	0	
Percentage of Budgeted Office furniture and fittings Procured	Percentage	100%	0	
Number of Vehicles Procured	Number	2	0	

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Performance highlights for the Quarter

- 1) FIA registered 43 Accountable Persons, bringing the total cumulative number of registrations to 1,821 entities and, 11,287 reports received through the goAML system during the period under review.
- 2) The FIA concluded the ML/TF risk assessment on Designated Non-Financial Businesses and Professions (DNFBPs).
- 3) The FIA disseminated the Report on ML/TF Typologies linked to Trafficking in Persons and Smuggling of Migrants.
- 4) The FIA finalized one Risk based onsite inspection to ascertain adequacy of internal controls, identify potential risks and vulnerabilities, and inform corrective action.
- 5) 31 Risk Assessment Reports and 22 Annual Compliance Reports were reviewed to identify vulnerabilities and control deficiencies highlighted therein.
- 6) The Authority conducted 11 trainings for various accountable persons, supervisory bodies and law enforcement agencies, that enhanced capacity of 1,252 participants (648 female and 604 male) on their obligations and ML/TF/PF crimes.
- 7) FIA sanctioned 4 banks and with a total fine of UGX 260,000,000 for failure to comply with the requirements of section 6 of the AML Act Cap 118.
- 8) FIA responded to 26 of the 40 requests for information from different LEAs and Competent Authorities.
- 9) FIA generated and disseminated 35 intelligence information reports (8 of these were related to terrorism financing).
- 10) FIA coordinated the preparation and submission of Uganda's 7th request for re-rating report, for consideration at the March/April 2026 ESAAMLG Meeting.
- 11) The Authority finalized 80% requests (4 of the 5 requests received) for Financial Due Diligence (background and credibility checks).
- 12) The Authority enhanced capacity of 23 staff in pertinent technical areas across departments in line with the FIA Training Plan.
- 13) The Authority finalized institutional performance reporting for the FY 2024/25 to all relevant stakeholders.

Variations and Challenges

1. Existing gaps in the legal framework makes it hard to implement several AML/CFT/CPF initiatives.
2. Existence of a large informal sector/ cash-based economy. This makes it hard to trace the financial transactions.
3. Existence of a long porous border that poses significant challenges in the declaration of cash and bearer negotiable instruments.
4. Non prioritization of AML initiatives by stakeholders in the value chain

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V3: Details of Releases and Expenditure**Table V3.1: GoU Releases and Expenditure by Key Service Area***

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance and Security	46.231	46.231	14.091	10.656	30.5 %	23.0 %	75.6 %
Vote Function:07 Analysis and Monitoring	5.242	5.242	2.036	1.762	38.8 %	33.6 %	86.5 %
460155 Dissemination of Financial Intelligence	0.194	0.194	0.069	0.048	35.6 %	24.7 %	69.6 %
460156 Sector Research and Statistics	0.752	0.752	0.300	0.093	39.9 %	12.4 %	31.0 %
460157 Intelligence Collection	4.296	4.296	1.667	1.621	38.8 %	37.7 %	97.2 %
Vote Function:08 Compliance and Outreach	1.291	1.291	0.328	0.203	25.4 %	15.7 %	61.9 %
000024 Compliance and Enforcement Services	0.820	0.820	0.218	0.125	26.6 %	15.2 %	57.3 %
000034 Education and Skills Development	0.472	0.472	0.110	0.078	23.3 %	16.5 %	70.9 %
Vote Function:09 Legal, Corporate Services and International Relations	2.126	2.126	1.136	0.850	53.4 %	40.0 %	74.8 %
000012 Legal and Advisory services	0.255	0.255	0.167	0.133	65.5 %	52.2 %	79.6 %
000032 Board Management	0.931	0.931	0.303	0.179	32.6 %	19.2 %	59.1 %
460158 Domestic and International Cooperation	0.940	0.940	0.666	0.538	70.8 %	57.2 %	80.8 %
Vote Function:10 ICT Systems and Security	1.494	1.494	0.460	0.258	30.8 %	17.3 %	56.1 %
000019 ICT Services	0.255	0.255	0.094	0.083	36.9 %	32.5 %	88.3 %
460050 Security and ICT Infrastructure	1.239	1.239	0.366	0.175	29.5 %	14.1 %	47.8 %
Vote Function:11 Finance and Administration	36.078	36.078	10.131	7.583	28.1 %	21.0 %	74.8 %
000001 Audit and Risk Management	0.343	0.343	0.043	0.038	12.5 %	11.1 %	88.4 %
000003 Facilities and Equipment Management	1.476	1.476	1.356	0.000	91.9 %	0.0 %	0.0 %
000004 Finance and Accounting	0.770	0.770	0.313	0.197	40.6 %	25.6 %	62.9 %
000005 Human Resource Management	24.237	24.237	5.336	4.328	22.0 %	17.9 %	81.1 %
000006 Planning and Budgeting Services	0.885	0.885	0.333	0.300	37.6 %	33.9 %	90.1 %
000007 Procurement and Disposal Services	0.300	0.300	0.040	0.030	13.3 %	10.0 %	75.0 %
000010 Leadership and Management	7.709	7.709	2.610	2.592	33.9 %	33.6 %	99.3 %
000011 Communication and Public Relations	0.336	0.336	0.100	0.098	29.8 %	29.2 %	98.0 %
000013 HIV/AIDS Mainstreaming	0.019	0.019	0.000	0.000	0.0 %	0.0 %	
000021 Gender Mainstreaming services	0.002	0.002	0.000	0.000	0.0 %	0.0 %	
000089 Climate Change Mitigation	0.001	0.001	0.000	0.000	0.0 %	0.0 %	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Total for the Vote	46.231	46.231	14.091	10.656	30.5 %	23.0 %	75.6 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Table V3.2: GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
211102 Contract Staff Salaries	12.378	12.378	3.095	2.762	25.0 %	22.3 %	89.2 %
211104 Employee Gratuity	3.714	3.714	0.292	0.292	7.9 %	7.9 %	100.0 %
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	1.334	1.334	0.508	0.323	38.1 %	24.2 %	63.6 %
211107 Boards, Committees and Council Allowances	0.526	0.526	0.131	0.094	24.9 %	17.9 %	71.8 %
212101 Social Security Contributions	1.238	1.238	0.309	0.276	25.0 %	22.3 %	89.3 %
212102 Medical expenses (Employees)	0.759	0.759	0.073	0.073	9.6 %	9.6 %	100.0 %
212103 Incapacity benefits (Employees)	0.060	0.060	0.015	0.006	25.0 %	10.0 %	40.0 %
221001 Advertising and Public Relations	0.208	0.208	0.032	0.032	15.4 %	15.4 %	100.0 %
221002 Workshops, Meetings and Seminars	1.583	1.583	0.410	0.341	25.9 %	21.5 %	83.2 %
221003 Staff Training	1.612	1.612	0.621	0.489	38.5 %	30.3 %	78.7 %
221004 Recruitment Expenses	0.220	0.220	0.220	0.001	100.0 %	0.5 %	0.5 %
221006 Commissions and related charges	0.550	0.550	0.170	0.142	30.9 %	25.8 %	83.5 %
221007 Books, Periodicals & Newspapers	0.006	0.006	0.002	0.000	31.4 %	0.0 %	0.0 %
221008 Information and Communication Technology Supplies.	0.169	0.169	0.046	0.000	27.3 %	0.0 %	0.0 %
221009 Welfare and Entertainment	1.536	1.536	0.222	0.056	14.5 %	3.6 %	25.2 %
221011 Printing, Stationery, Photocopying and Binding	0.134	0.134	0.032	0.000	23.9 %	0.0 %	0.0 %
221012 Small Office Equipment	0.021	0.021	0.021	0.000	102.4 %	0.0 %	0.0 %
221016 Systems Recurrent costs	0.350	0.350	0.088	0.080	25.1 %	22.9 %	90.9 %
221017 Membership dues and Subscription fees.	0.648	0.648	0.560	0.423	86.4 %	65.2 %	75.5 %
221020 Litigation and related expenses	0.005	0.005	0.001	0.001	20.0 %	20.0 %	100.0 %
222001 Information and Communication Technology Services.	0.035	0.035	0.009	0.007	25.6 %	19.9 %	77.8 %
222002 Postage and Courier	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
223001 Property Management Expenses	0.171	0.171	0.080	0.046	46.8 %	26.9 %	57.5 %
223003 Rent-Produced Assets-to private entities	1.810	1.810	0.490	0.440	27.1 %	24.3 %	89.8 %
223004 Guard and Security services	0.343	0.343	0.086	0.022	25.1 %	6.4 %	25.6 %
223005 Electricity	0.171	0.171	0.043	0.021	25.1 %	12.3 %	48.8 %
224009 Classified Expenditure	11.129	11.129	4.061	4.061	36.5 %	36.5 %	100.0 %

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
224011 Research Expenses	0.170	0.170	0.150	0.126	88.2 %	74.1 %	84.0 %
225101 Consultancy Services	0.140	0.140	0.118	0.000	84.3 %	0.0 %	0.0 %
226001 Insurances	0.392	0.392	0.000	0.000	0.0 %	0.0 %	0.0 %
226002 Licenses	0.985	0.985	0.280	0.151	28.4 %	15.3 %	53.9 %
227001 Travel inland	0.979	0.979	0.227	0.107	23.2 %	10.9 %	47.1 %
227004 Fuel, Lubricants and Oils	1.203	1.203	0.301	0.272	25.0 %	22.6 %	90.4 %
228002 Maintenance-Transport Equipment	0.177	0.177	0.044	0.008	24.9 %	4.5 %	18.2 %
312212 Light Vehicles - Acquisition	0.500	0.500	0.500	0.000	100.0 %	0.0 %	0.0 %
312221 Light ICT hardware - Acquisition	0.806	0.806	0.686	0.000	85.1 %	0.0 %	0.0 %
312231 Office Equipment - Acquisition	0.020	0.020	0.020	0.000	100.0 %	0.0 %	0.0 %
312235 Furniture and Fittings - Acquisition	0.150	0.150	0.150	0.000	100.0 %	0.0 %	0.0 %
Total for the Vote	46.231	46.231	14.093	10.652	30.5 %	23.0 %	75.6 %

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Table V3.3: Releases and Expenditure by Department and Project*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance and Security	46.231	46.231	14.089	10.653	30.48 %	23.04 %	75.61 %
Vote Function:01 Directorate of Finance and Administration	0.000		0.000	0.000	0.00 %	0.00 %	0.0 %
<i>Departments</i>							
N/A							
<i>Development Projects</i>							
N/A							
Vote Function:02 Directorate of Internal Audit	0.000		0.000	0.000	0.00 %	0.00 %	0.0 %
<i>Departments</i>							
N/A							
<i>Development Projects</i>							
N/A							
Vote Function:03 Directorate of Systems Administration and Security	0.000		0.000	0.000	0.00 %	0.00 %	0.0 %
<i>Departments</i>							
N/A							
<i>Development Projects</i>							
N/A							
Vote Function:04 Directorate of Analysis and Monitoring	0.000		0.000	0.000	0.00 %	0.00 %	0.0 %
<i>Departments</i>							
N/A							
<i>Development Projects</i>							
N/A							
Vote Function:05 Directorate of Compliance and Training	0.000		0.000	0.000	0.00 %	0.00 %	0.0 %
<i>Departments</i>							
N/A							
<i>Development Projects</i>							
N/A							

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance and Security	46.231	46.231	14.089	10.653	30.48 %	23.04 %	75.61 %
Vote Function:06 Directorate of Legal, Corporate Services and International Relations	0.000		0.000	0.000	0.00 %	0.00 %	0.0 %
Departments							
N/A							
Development Projects							
N/A							
Vote Function:07 Analysis and Monitoring	5.242	5.242	2.036	1.761	38.84 %	33.59 %	86.5 %
Departments							
001 Strategic Analysis and Statistics	0.752	0.752	0.300	0.093	39.9 %	12.4 %	31.0 %
002 Operational analysis	0.194	0.194	0.069	0.048	35.6 %	24.7 %	69.6 %
003 Monitoring and Intelligence	4.296	4.296	1.667	1.621	38.8 %	37.7 %	97.2 %
Development Projects							
N/A							
Vote Function:08 Compliance and Outreach	1.291	1.291	0.327	0.203	25.32 %	15.72 %	62.1 %
Departments							
001 Compliance and Inspection	0.820	0.820	0.218	0.125	26.6 %	15.2 %	57.3 %
002 Training and Outreach	0.472	0.472	0.110	0.078	23.3 %	16.5 %	70.9 %
Development Projects							
N/A							
Vote Function:09 Legal, Corporate Services and International Relations	2.126	2.126	1.136	0.849	53.44 %	39.94 %	74.7 %
Departments							
001 Legal and Corporate Affairs	1.186	1.186	0.471	0.311	39.7 %	26.2 %	66.0 %
002 International Relations	0.940	0.940	0.666	0.538	70.8 %	57.2 %	80.8 %
Development Projects							
N/A							
Vote Function:10 ICT Systems and Security	1.494	1.494	0.460	0.258	30.80 %	17.27 %	56.1 %
Departments							
001 E-services and Security	1.239	1.239	0.366	0.175	29.5 %	14.1 %	47.8 %
002 Information Systems	0.255	0.255	0.094	0.083	36.9 %	32.5 %	88.3 %

VOTE: 129 Financial Intelligence Authority (FIA)

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q1	Spent by End Q1	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance and Security	46.231	46.231	14.089	10.653	30.48 %	23.04 %	75.61 %
<i>Development Projects</i>							
N/A							
Vote Function:11 Finance and Administration	36.078	36.078	10.130	7.582	28.08 %	21.02 %	74.8 %
<i>Departments</i>							
001 Accounts & Stores	0.770	0.770	0.313	0.197	40.6 %	25.6 %	62.9 %
002 Human resource registry and security	24.259	24.259	5.336	4.328	22.0 %	17.8 %	81.1 %
003 Procurement	0.300	0.300	0.040	0.030	13.3 %	10.0 %	75.0 %
004 Executive Office	7.709	7.709	2.610	2.592	33.9 %	33.6 %	99.3 %
005 Communication and Public Relations	0.336	0.336	0.100	0.098	29.8 %	29.2 %	98.0 %
006 Planning and Budgeting	0.885	0.885	0.333	0.300	37.6 %	33.9 %	90.1 %
007 Internal Audit	0.343	0.343	0.043	0.038	12.5 %	11.1 %	88.4 %
<i>Development Projects</i>							
1876 Institutional development for Financial Intelligence Authority	1.476	1.476	1.356	0.000	91.9 %	0.0 %	0.0 %
Total for the Vote	46.231	46.231	14.089	10.653	30.5 %	23.0 %	75.6 %

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Quarter 1

Table V3.4: External Financing Releases and Expenditure by Vote Function and Project

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Quarter 1: Outputs and Expenditure in the Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Programme:16 Governance and Security		
Vote Function:07 Analysis and Monitoring		
<i>Departments</i>		
Department:001 Strategic Analysis and Statistics		
Key Service Area:460156 Sector Research and Statistics		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
None	<ul style="list-style-type: none"> •The Authority completed the ML/TF Risk Assessment report on Designated Non-Financial Businesses and Professions (DNFBPs) in Uganda. •Additionally, FIA, in collaboration with the NGO Bureau, launched the activities for updating the NPO-TF Risk Assessment. •During the reporting period, the authority disseminated the Report on ML/TF Typologies linked to Trafficking in Persons and Smuggling of Migrants. 	Outputs achieved as planned
1) Upto date database of National AML/CFT/CPF value-chain statistics maintained 2) Functional electronic system developed for the management of national AML/CFT/CPF statistics 3) 2 engagements held with AML/CFT/CPF Stakeholders on AML/CFT/CPF	<ul style="list-style-type: none"> •FIA collected ML/TF/PF related statistics from institutions on the National working group on AML/CFT statistics and maintained them into a database •The Authority concluded the development of a Monitoring and Evaluation (M&E) tool which will be used to track the implementation of recommendations to various stakeholders • 1 quarterly National AML/CFT/CPF Statistics Technical Working Group engagement was held during the reporting period 	Outputs achieved as planned
1) 100% of FATF/ESAAMLG Research Study Requests Responded to	<ul style="list-style-type: none"> •100% of the FATF/ESAAMLG Research Study Requests were responded to. During the quarter the authority participated in a study on Terrorism Financing Through Social Media and instant Messaging Applications 	Outputs achieved as planned

Expenditures incurred in the Quarter to deliver outputs*UShs Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	30,765.589
221002 Workshops, Meetings and Seminars	24,641.770

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Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
221003 Staff Training		36,869.380
227001 Travel inland		500.000
	Total For Budget Output	92,776.739
	Wage Recurrent	0.000
	Non Wage Recurrent	92,776.739
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	92,776.739
	Wage Recurrent	0.000
	Non Wage Recurrent	92,776.739
	Arrears	0.000
	<i>AIA</i>	0.000
Department:002 Operational analysis		
Key Service Area:460155 Dissemination of Financial Intelligence		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
1) 100% proactive financial intelligence reports disseminated to LEA and Competent Authorities (target 17) 2) 100% of reactive financial intelligence reports disseminated to LEA and CAs 3) 7 feedback sessions with Accountable persons on quality of STR/SARs conducted	•100% proactive financial intelligence reports disseminated to LEA and Competent Authorities (Actual 9) •100% of reactive financial intelligence reports disseminated to LEA and CAs •7 feedback sessions with commercial banks and insurance companies on the quality of STR/SARs were conducted	Outputs achieved as planned
1) 7 Joint task force meetings and collaborative initiatives held with competent authorities 2) 4 stakeholder engagements held to improve the utilisation of financial intelligences products and services	•The Authority participated in five (5) Counter-Terrorism Inter-Agency Forum, five (5) National Cybercrime Task Force and two (2) Coordination Office for the Prevention of Trafficking in Persons (COPTIP) Task Force engagements •FIA held 4 stakeholder engagements with CID(3) and URA (1) to improve the utilization of financial intelligences products these LEAs receive from FIA	Outputs achieved as planned
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
221002 Workshops, Meetings and Seminars		21,337.574

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
221003 Staff Training		20,550.000
227001 Travel inland		6,000.000
	Total For Budget Output	47,887.574
	Wage Recurrent	0.000
	Non Wage Recurrent	47,887.574
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	47,887.574
	Wage Recurrent	0.000
	Non Wage Recurrent	47,887.574
	Arrears	0.000
	<i>AIA</i>	0.000
Department:003 Monitoring and Intelligence		
Key Service Area:460157 Intelligence Collection		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
1) 100% Financial Due Diligence requests processed inline within agreed framework (target 10)	•80% Financial Due Diligence requests were processed inline within the agreed framework (Actual 4)	The remaining FDD request was received late in the quarter and is still at the information-gathering phase.
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
1) A financial crime intelligence Framework Developed 2) 1 stakeholder engagements on ML/TF/PF investigations conducted	•A financial crime intelligence Framework was developed and is currently undergoing validation by the top management of FIA •13 stakeholder engagements on ML/TF/PF investigations were conducted	Outputs achieved as planned. However, there were many critical adhoc engagements that came up during Q1
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
221003 Staff Training		62,321.850
224009 Classified Expenditure		1,558,223.605

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Total For Budget Output	1,620,545.455
	Wage Recurrent	0.000
	Non Wage Recurrent	1,620,545.455
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	1,620,545.455
	Wage Recurrent	0.000
	Non Wage Recurrent	1,620,545.455
	Arrears	0.000
	<i>AIA</i>	0.000

Development Projects

N/A

Vote Function:08 Compliance and Outreach*Departments***Department:001 Compliance and Inspection****Key Service Area:000024 Compliance and Enforcement Services****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) guideline/guidance notes developed on a risk-based approach 2) A Framework developed to assess compliance of accountable persons	•1 guidance note on politically exposed persons developed and circulated to accountable persons.	Development of a framework to assess compliance of accountable persons was still at consultation stage by the end of the quarter
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VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
1) 1 meeting/awareness workshop with the accountable persons on AML/CFT/CPF Compliance conducted 2) 6 risk-based inspections conducted on Accountable Persons to inform corrective action	<ul style="list-style-type: none"> • 1 onsite inspection was concluded in Q1 • The Authority conducted 1 engagement meeting with the MLCOs from commercial banks, credit institutions and MDIs. 	<ul style="list-style-type: none"> • There were conflicting schedules as the authority was finalizing the sectoral risk assessments and development of supervision tools for the DNFBPs sectors required for Rec. 28 request for re rating). Accordingly, the pending outputs will be completed in Q2 • 2 onsite inspections were still at report writing stage by the end of the quarter
None	•88.57% of risk assessments were reviewed and feedback given to accountable persons	Review of risk assessments is done as and when received.
1) 100% of non-compliant Accountable Persons sanctioned as a mechanism to enforce AML/CFT/CPF compliance among Accountable Persons	•100% of non-compliant Accountable persons (4) were fined for failure to comply with the requirements of section 6 of the AML Act Cap 118.	Outputs achieved as planned
1) Registration of at least 50% of high risk Accountable Persons	•1.2% of accountable persons (5 DPMS, 1 Advocate and 2 VASPs) in the identified high-risk sectors were registered	Other high-risk sectors did not submit for registration in goAML system. The Authority is in plans of engaging the high-risk sectors especially advocate to compel them to register.

Expenditures incurred in the Quarter to deliver outputs*US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	1,209.120
221002 Workshops, Meetings and Seminars	25,417.460
221003 Staff Training	50,000.000
227001 Travel inland	45,500.000
228002 Maintenance-Transport Equipment	2,587.300
Total For Budget Output	124,713.880

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Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Wage Recurrent	0.000
	Non Wage Recurrent	124,713.880
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	124,713.880
	Wage Recurrent	0.000
	Non Wage Recurrent	124,713.880
	Arrears	0.000
	<i>AIA</i>	0.000

Department:002 Training and Outreach**Key Service Area:00034 Education and Skills Development****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) 125 targeted stakeholders (ODPP, IGG, Police, URA, Judicial Officers, LEAs, CPs etc) trained on peculiarities of ML/TF/PF	<ul style="list-style-type: none"> •The Authority carried a training of prosecutors and investigators on the investigation and prosecution of money laundering, terrorism financing and recovery of proceeds of crime with 40 participants (26 Male and 14 Female) trained. •FIA trained MLCOs of Banks/MDIs/CIs, Real Estate Agents, Forex bureaus and Insurance Companies. A total of 1,252 participants (648 Male and 604 Female) were trained •The Authority also carried out civic awareness of NGOs in Karamoja region • FIA also trained lower bench of the judiciary on the role of the FIA in investigation of forest crimes where 35 judges (20 Male and 15 Female) 	The training of other LEAs will be carried out in Q2
None		

Expenditures incurred in the Quarter to deliver outputs*US\$ Thousand*

Item	Spent
221002 Workshops, Meetings and Seminars	37,755.821
221003 Staff Training	26,801.252
227001 Travel inland	13,540.000
Total For Budget Output	78,097.073
Wage Recurrent	0.000

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Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Non Wage Recurrent	78,097.073
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	78,097.073
	Wage Recurrent	0.000
	Non Wage Recurrent	78,097.073
	Arrears	0.000
	<i>AIA</i>	0.000

Development Projects

N/A

Vote Function:09 Legal, Corporate Services and International Relations*Departments***Department:001 Legal and Corporate Affairs****Key Service Area:000012 Legal and Advisory services****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) A regulatory impact assessment conducted.	<ul style="list-style-type: none"> •FIA conducted a regulatory impact assessment for the Anti Money laundering Act cap 118. • FIA was represented in all the 3 Court appearances (100%) • 100% Responses provided to requests for legal opinion 	Preparation of the FIA regulatory compliance report was postponed to Q2 due to shifting priorities (RIA)
2) 100% Representation of FIA in courts of law		
3) FIA regulatory compliance reports produced		
4) 100% Responses provided to requests for legal opinion		

Expenditures incurred in the Quarter to deliver outputs*US\$ Thousand*

Item	Spent
221003 Staff Training	5,039.400
221020 Litigation and related expenses	1,125.000
224011 Research Expenses	126,488.154
Total For Budget Output	132,652.554
Wage Recurrent	0.000
Non Wage Recurrent	132,652.554
Arrears	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	<i>AIA</i>	0.000

Key Service Area:000032 Board Management**PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) 4 Board and Committee Meetings held in execution of oversight role to the Authority 2) FIA FY 2024/25 Annual Report produced and submitted to the Minister of Finance, Planning and Economic Development	<ul style="list-style-type: none"> Two quarterly meetings of the 4th Board were held; preceded by two Committee Meetings; the 4th Finance and Administration Committee meeting and 3rd Board Audit Committee meeting. The FIA FY 2024/25 Annual Report was produced and submitted to the MOFPED 	Outputs achieved as planned
1) Annual Board Retreat held to inform policy, Strategic Planning and enhance operational efficiencies 2) Annual Board performance evaluation conducted	<ul style="list-style-type: none"> The Authority carried out the annual Board performance evaluation. 	The Annual Board retreat was postponed to Q2 due to conflicting schedules

Expenditures incurred in the Quarter to deliver outputs *US\$ Thousands*

Item	Spent
211107 Boards, Committees and Council Allowances	94,470.000
221006 Commissions and related charges	84,200.104
Total For Budget Output	178,670.104
Wage Recurrent	0.000
Non Wage Recurrent	178,670.104
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	311,322.658
Wage Recurrent	0.000
Non Wage Recurrent	311,322.658
Arrears	0.000
<i>AIA</i>	0.000

Department:002 International Relations**Key Service Area:460158 Domestic and International Cooperation**

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
1) 32 of the 40 FATF Technical Compliance recommendations rated C/LC		Uganda's 7th request for re-rating report will be considered at the March/April 2026 ESAAMLG Meeting
1) FIA membership in ESAAMLG and EGMONT maintained 2) 100% representation of FIA at pertinent international (ESAAMLG, FATF, EGMONT, EAC) engagements 3) 100% of information exchanges requests responded to	<ul style="list-style-type: none"> • FIA membership in ESAAMLG and EGMONT was maintained • 100% representation of FIA at pertinent international (ESAAMLG, FATF, EGMONT, EAC) engagements specifically the 50th ESAAMLG Taskforce of Senior Officials meeting and the 31st Egmont Group Plenary • FIA received 07 RFIs of which four (04) were processed, and Reactive Financial Intelligence reports were disseminated to relevant Foreign FIUs representing 57.1% of information exchanges requests responded to 	Outputs achieved as planned
1) 3 National AML/CFT Taskforce meetings coordinated 2) 1 report on implementation of the National AML/CFT/CPF Strategy developed 3) 1 Report submitted to FATF and ESAAMLG on implementation of post ICRG recommendations	<ul style="list-style-type: none"> • During the quarter of FY2025/26, the Uganda AML/CFT/CPF Taskforce held 1 meeting • The FIA coordinated the preparation and submission of Uganda's 7th request for re-rating report that was submitted to ESAAMLG Secretariat. 	<ul style="list-style-type: none"> • The MOFPED, which chairs the Taskforce meetings, postponed the 2 planned Uganda AML/CFT/CPF Taskforce meetings. • The draft National AML/CFT/CPF Strategy was submitted to the taskforce for consideration at their next meeting. under development.
1) 1 MOUs signed with other FIUs to ease foreign information exchange	• An MOU between the FIA - Uganda and the FIU of Nigeria was signed during the reporting period.	Outputs achieved as planned

Expenditures incurred in the Quarter to deliver outputs*US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	11,250.000
221002 Workshops, Meetings and Seminars	25,687.500
221003 Staff Training	21,700.924
221006 Commissions and related charges	57,500.000
221017 Membership dues and Subscription fees.	421,693.216

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Total For Budget Output	537,831.640
	Wage Recurrent	0.000
	Non Wage Recurrent	537,831.640
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	537,831.640
	Wage Recurrent	0.000
	Non Wage Recurrent	537,831.640
	Arrears	0.000
	<i>AIA</i>	0.000

Development Projects

N/A

Vote Function:10 ICT Systems and Security

Departments

Department:001 E-services and Security

Key Service Area:460050 Security and ICT Infrastructure

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

<p>1) 100% of pertinent licenses renewed 2) ICT Equipment maintenance report 3) Staff trained in information security awareness</p>	<ul style="list-style-type: none"> • 100% of required softwares were renewed in the Quarter. These included; Atlassian Helpdesk software, Cisco Smartnet and goAML System licenses. Perpetual Licenses for Microsoft Office 2024, Microsoft Windows Server 2025, and Microsoft SQL Server 2025 were secured from NITA-U • FIA ICT equipment was maintained and a quarterly ICT equipment and peripheral devices maintenance report •76 staff received and completed a trained in information security awareness • The Personal Data Protection Office (PDPO) conducted a targeted awareness training for staff at the Authority, aimed at strengthening understanding and compliance with the Data Protection and Privacy Act, Cap. 97 	<p>Outputs achieved as planned</p>
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VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
221003 Staff Training		23,287.894
226002 Licenses		151,295.477
	Total For Budget Output	174,583.371
	Wage Recurrent	0.000
	Non Wage Recurrent	174,583.371
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	174,583.371
	Wage Recurrent	0.000
	Non Wage Recurrent	174,583.371
	Arrears	0.000
	<i>AIA</i>	0.000
Department:002 Information Systems		
Key Service Area:000019 ICT Services		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
1) 30 Accountable Persons Onboarded on the goAML system		Onboarding has been scheduled for Q2 due to competing priorities
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
221002 Workshops, Meetings and Seminars		29,047.800
221003 Staff Training		42,884.425
222001 Information and Communication Technology Services.		7,425.000
227001 Travel inland		3,750.000
	Total For Budget Output	83,107.225
	Wage Recurrent	0.000
	Non Wage Recurrent	83,107.225
	Arrears	0.000
	<i>AIA</i>	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Total For Department	83,107.225
	Wage Recurrent	0.000
	Non Wage Recurrent	83,107.225
	Arrears	0.000
	<i>AIA</i>	0.000

Development Projects

N/A

Vote Function:11 Finance and Administration*Departments***Department:001 Accounts & Stores****Key Service Area:000004 Finance and Accounting****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) 1 Finance Committee Meeting facilitated. 2) FY 2024/25 (12 months) FIA financial statements prepared and submitted to Management, Board, MoFPED and OAG	•The Q1 Finance Committee Meeting was carried out •The FY 2024/25 (12 months) FIA financial statements were prepared and submitted to Management, Board, MoFPED and OAG	Outputs achieved as planned
1) Annual Board of Survey Report prepared and submitted to OAG and AG. 2) Up to date asset register maintained.	•The Annual Board of Survey Report prepared and submitted to OAG and AG. •An up-to-date asset register is maintained.	Outputs achieved as planned

Expenditures incurred in the Quarter to deliver outputs*UShs Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	35,280.000
221002 Workshops, Meetings and Seminars	26,244.861
221003 Staff Training	55,272.500
221016 Systems Recurrent costs	79,800.000
Total For Budget Output	196,597.361
Wage Recurrent	0.000
Non Wage Recurrent	196,597.361
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	196,597.361

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Wage Recurrent	0.000
	Non Wage Recurrent	196,597.361
	Arrears	0.000
	<i>AIA</i>	0.000

Department:002 Human resource registry and security**Key Service Area:000005 Human Resource Management****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) 95% employee retention rate 2) Occupational Safety and Health Certificate obtained	<ul style="list-style-type: none"> The authority had a 98.84% employee retention rate Occupational Safety and Health Certificate was obtained from the Ministry of Gender, labor and social development 	Outputs achieved as planned
1) Authority premises maintained in clean and good condition 2) Full time office and entitled staff security services maintained 3) FIA insurances maintained	<ul style="list-style-type: none"> The Authority premises were maintained in clean and good condition Full time security services were maintained at FIA office Entitled staff were provided with security services FIA insurances maintained 	Outputs achieved as planned
1) FY 2024/25 Annual staff performance assessment reports produced. 2) FY 2025/26 staff performance plans developed. 3) Well maintained Authority vehicles 4) Staff trained inline with the FY 2025/26 training plan.	<ul style="list-style-type: none"> The FY 2024/25 Annual staff performance assessment reports was produced. The FY 2025/26 staff performance plans were developed. The Authority vehicles were well maintained 23 Staff were trained in line with the FY 2025/26 training plan with staff trained in technical areas like Identification, investigation, and prosecution of Money Laundering (ML) and Terrorism Financing (TF) cases, Blockchain training, Understanding Tax and Laundering in the Real Estate Sector, Simplifying Data Quality Checks, Leadership program for managers and supervisors, Understanding the Crypto Economy, Prosecution of ML/TF Cases, ACAMS among others 	Outputs achieved as planned

Expenditures incurred in the Quarter to deliver outputs*US\$ Thousand*

Item	Spent
211102 Contract Staff Salaries	2,761,609.735
211104 Employee Gratuity	291,900.000
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	46,500.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
212101 Social Security Contributions		275,581.936
212102 Medical expenses (Employees)		72,979.969
212103 Incapacity benefits (Employees)		6,287.293
221003 Staff Training		27,599.545
221004 Recruitment Expenses		1,350.000
221009 Welfare and Entertainment		36,432.000
223001 Property Management Expenses		46,126.156
223003 Rent-Produced Assets-to private entities		439,969.288
223004 Guard and Security services		22,315.620
223005 Electricity		21,300.026
227004 Fuel, Lubricants and Oils		272,025.000
228002 Maintenance-Transport Equipment		5,699.700

Total For Budget Output	4,327,676.268
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Wage Recurrent	2,761,609.735
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Non Wage Recurrent	1,566,066.533
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Arrears	0.000
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<i>AIA</i>	0.000
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Key Service Area:00013 HIV/AIDS Mainstreaming**PIAP Output: 16090106 Cross cutting issues mainstreamed****Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery**

Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
Total For Budget Output		0.000
Wage Recurrent		0.000
Non Wage Recurrent		0.000
Arrears		0.000
<i>AIA</i>		0.000

Key Service Area:00021 Gender Mainstreaming services

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
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PIAP Output: 16090106 Cross cutting issues mainstreamed

Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery

	<ul style="list-style-type: none"> •The FIA office premises have a lift and provides facilities for the visually impaired staff •Two well stocked first AID boxes are available at the FIA premises 	Outputs achieved as planned
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Expenditures incurred in the Quarter to deliver outputs *US\$ Thousand*

Item	Spent
Total For Budget Output	0.000
Wage Recurrent	0.000
Non Wage Recurrent	0.000
Arrears	0.000
<i>AIA</i>	0.000

Key Service Area:000089 Climate Change Mitigation

PIAP Output: 16090106 Cross cutting issues mainstreamed

Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery

	The Authority maintains proper waste disposal at the office premises	Outputs achieved as planned
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Expenditures incurred in the Quarter to deliver outputs *US\$ Thousand*

Item	Spent
Total For Budget Output	0.000
Wage Recurrent	0.000
Non Wage Recurrent	0.000
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	4,327,676.268
Wage Recurrent	2,761,609.735
Non Wage Recurrent	1,566,066.533
Arrears	0.000
<i>AIA</i>	0.000

Department:003 Procurement

Key Service Area:000007 Procurement and Disposal Services

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
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PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing**Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) 20 Evaluation and Contracts Committee meetings facilitated 2) 3 (Monthly) procurement reports to PPDA prepared	• 10 contracts committee and 25 Evaluation Committee meetings were carried out in Q1 • 3 (August, July and June monthly) procurement reports to PPDA prepared	Outputs achieved as planned
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Expenditures incurred in the Quarter to deliver outputs *US\$ Thousand*

Item	Spent
221003 Staff Training	28,594.880
221017 Membership dues and Subscription fees.	1,719.736
Total For Budget Output	30,314.616
Wage Recurrent	0.000
Non Wage Recurrent	30,314.616
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	30,314.616
Wage Recurrent	0.000
Non Wage Recurrent	30,314.616
Arrears	0.000
<i>AIA</i>	0.000

Department:004 Executive Office**Key Service Area:000010 Leadership and Management****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) Quarterly performance reports on Implementation of the FIA Strategic Plan & workplan for FY 2025/26 prepared and presented to the Board. 2) Financial Intelligence Information on Money Laundering and Financing Terrorism Gathered	•The quarterly performance reports on Implementation of the FIA Strategic Plan & workplan for FY 2025/26 were prepared and presented to the Board. •Financial Intelligence Information on Money Laundering and Financing Terrorism Gathered and reports prepared	Outputs achieved as planned
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VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
1) Effective representation in ESAAMLG & FATF Meetings and engagements 2) Targeted high-level stakeholder engagements to facilitate public awareness and domestic cooperation undertaken	<ul style="list-style-type: none"> • 100% Effective representation in 50th ESAAMLG Taskforce of Senior Officials and the 31st Egmont Group Plenary meeting • High-level stakeholder engagements with URA, UWA and various heads of accountable persons were undertaken to facilitate domestic cooperation 	Outputs achieved as planned
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item	Spent	
221002 Workshops, Meetings and Seminars	58,584.800	
221009 Welfare and Entertainment	19,339.830	
224009 Classified Expenditure	2,502,523.000	
227001 Travel inland	11,450.000	
Total For Budget Output		2,591,897.630
Wage Recurrent		0.000
Non Wage Recurrent		2,591,897.630
Arrears		0.000
<i>AIA</i>		0.000
Total For Department		2,591,897.630
Wage Recurrent		0.000
Non Wage Recurrent		2,591,897.630
Arrears		0.000
<i>AIA</i>		0.000
Department:005 Communication and Public Relations		
Key Service Area:000011 Communication and Public Relations		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
None		
1) 5 articles/positive stories on FIA events and on AML/CFT/CPF matters published 2) 1 quarterly newsletter published for enhanced visibility 3) 5 high-level stakeholders engaged	<ul style="list-style-type: none"> • One article was published about the AMLA Regulatory Impact Assessment • The ED FIA held various engagements with high-level stakeholders 	The FIA newsletter was to commence in Q2 to reflect the achievements of Q1

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
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PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing**Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) 1 regional outreach sessions conducted to enhance public understating of AML/CFT matters 2) 12 website posts on AML/CFT/CPF matters made for enhanced online publicity	<ul style="list-style-type: none"> •FIA conducted 4 regional awareness sessions on Anti-Money Laundering (AML), Countering the Financing of Terrorism (CFT), and Combating the Financing of Proliferation (CPF) across all the regions of Uganda through the Local Government Budget Consultative Workshops organized by the Ministry of Finance, Planning and Economic Development (MoFPED) •5 social media posts were published on the FIA X handle •1 article was published on the FIA website. The FIA website registered 4009 new users (visiting the website for the first time). •Client service charter was reviewed and aligned to the new Strategic Plan •The Authority carried out one radio talk show held in Hoima at Kabalega fm focused on the benefits of compliance with the national and international anti-money laundering and terrorism financing requirements, legal and regulatory requirements, risks, and sanctions associated with non-compliance, especially by the Accountable Persons. 	The less than targeted website posts were due to redesign of the website in Q1, expected to be completed in Q2.
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Expenditures incurred in the Quarter to deliver outputs *US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	49,988.000
221001 Advertising and Public Relations	32,000.000
221003 Staff Training	16,490.131
Total For Budget Output	98,478.131
Wage Recurrent	0.000
Non Wage Recurrent	98,478.131
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	98,478.131
Wage Recurrent	0.000
Non Wage Recurrent	98,478.131

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Arrears	0.000
	<i>AIA</i>	0.000

Department:006 Planning and Budgeting

Key Service Area:000006 Planning and Budgeting Services

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

None		
1) Quarter 4 FY 2024/25 budget performance report to MoFPED prepared 2) Quarter 4 FY 2024/25 M&E reports to FIA Board prepared 3) FY 2024/25 FIA Annual Report Prepared 4) 100% participation at pertinent stakeholder engagements	<ul style="list-style-type: none"> •The Q4 FY 2024/25 budget report was prepared and submitted to MOFPED •The Q4 FY 2024/25 M&E report was prepared and submitted to board •The FY 2024/25 Annual FIA report was prepared and submitted to the MOFPED as required by the AMLA. •FIA was represented at all stakeholder engagements •As part of implementation of the PNSD IV (2025/26-2029/30) roadmap, the Authority prepared the FIA strategic plan for statistics (SPS). •FIA also prepared the FIA office Construction Project Profile and submitted it to the Governance and Security Program (GSP) project Preparation Committee which approved it for submission to MOFPED. 	Outputs achieved as planned

Expenditures incurred in the Quarter to deliver outputs

US\$ Thousand

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	127,680.000
221002 Workshops, Meetings and Seminars	92,000.000
221003 Staff Training	71,180.980
227001 Travel inland	8,801.600
Total For Budget Output	299,662.580
Wage Recurrent	0.000
Non Wage Recurrent	299,662.580
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	299,662.580
Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Non Wage Recurrent	299,662.580
	Arrears	0.000
	<i>AIA</i>	0.000

Department:007 Internal Audit**Key Service Area:000001 Audit and Risk Management****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1) 1 ERM reports prepared and submitted to the Board 2) 1 Risk based Internal Audit reports issued 3) 1 follow up report on implementation of actions from Internal Audit Recommendations prepared	<ul style="list-style-type: none"> •The Q1 ERM report was prepared •The Q1 Risk based Internal Audit reports was prepared •The Q1 follow up report on implementation of actions from Internal Audit Recommendations was prepared 	
1) A report on compliance with the Internal Quality Assurance and Improvement Program (target 80% compliance) 2) ERM report developed and submitted to the board	<ul style="list-style-type: none"> •The Q1 report on compliance with the Internal Quality Assurance and Improvement Program was prepared and indicated a 77% compliance •The Q1 ERM report was developed 	The report will be submitted to the board in Q2

Expenditures incurred in the Quarter to deliver outputs *US\$ Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	20,000.000
227001 Travel inland	17,800.000
Total For Budget Output	37,800.000
Wage Recurrent	0.000
Non Wage Recurrent	37,800.000
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	37,800.000
Wage Recurrent	0.000
Non Wage Recurrent	37,800.000
Arrears	0.000
<i>AIA</i>	0.000

*Development Projects***Project:1876 Institutional development for Financial Intelligence Authority****Key Service Area:000003 Facilities and Equipment Management**

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
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Project:1876 Institutional development for Financial Intelligence Authority

PIAP Output: 16090101 Institutions retooled

Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery

1) IP CCTV solution to effectively monitor the FIA offices procured 2) Vulnerability scanning and assessment tools and a honeypot system procured.		The procurement process was still ongoing by the end of the quarter
1) A Firewall Procured 2) A Smart screen collaboration TV solution Procured 3) Staff public address system Procured		The procurement process was still ongoing by the end of the quarter

Expenditures incurred in the Quarter to deliver outputs

US\$ Thousand

Item	Spent
Total For Budget Output	0.000
GoU Development	0.000
External Financing	0.000
Arrears	0.000
<i>AIA</i>	0.000
Total For Project	0.000
GoU Development	0.000
External Financing	0.000
Arrears	0.000
<i>AIA</i>	0.000
GRAND TOTAL	10,653,292.201
Wage Recurrent	2,761,609.735
Non Wage Recurrent	7,891,682.466
GoU Development	0.000
External Financing	0.000
Arrears	0.000
<i>AIA</i>	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Quarter 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Programme:16 Governance and Security	
Vote Function:07 Analysis and Monitoring	
<i>Departments</i>	
Department:001 Strategic Analysis and Statistics	
Key Service Area:460156 Sector Research and Statistics	
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
<p>2 ML/TF/PF Risk Assessments conducted as informed by the NRA report</p> <p>2 ML/TF/PF typology and operational studies conducted</p> <p>Action plans from the risk assessments and typology studies implemented</p>	<ul style="list-style-type: none"> •The Authority completed the ML/TF Risk Assessment report on Designated Non-Financial Businesses and Professions (DNFBPs) in Uganda. •Additionally, FIA, in collaboration with the NGO Bureau, launched the activities for updating the NPO-TF Risk Assessment. •During the reporting period, the authority disseminated the Report on ML/TF Typologies linked to Trafficking in Persons and Smuggling of Migrants.
<p>Upto date database of National AML/CFT/CPF value-chain statistics maintained</p> <p>Functional electronic system developed for the management of national AML/CFT/CPF statistics</p> <p>8 engagements held with AML/CFT/CPF Stakeholders on AML/CFT/CPF</p>	<ul style="list-style-type: none"> •FIA collected ML/TF/PF related statistics from institutions on the National working group on AML/CFT statistics and maintained them into a database •The Authority concluded the development of a Monitoring and Evaluation (M&E) tool which will be used to track the implementation of recommendations to various stakeholders • 1 quarterly National AML/CFT/CPF Statistics Technical Working Group engagement was held during the reporting period
<p>100% of FATF/ESAAMLG Research Study Requests Responded to</p>	<ul style="list-style-type: none"> •100% of the FATF/ESAAMLG Research Study Requests were responded to. During the quarter the authority participated in a study on Terrorism Financing Through Social Media and instant Messaging Applications
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	
<i>UShs Thousand</i>	
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	30,765.589
221002 Workshops, Meetings and Seminars	24,641.770
221003 Staff Training	36,869.380
227001 Travel inland	500.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Total For Budget Output	92,776.739
	Wage Recurrent	0.000
	Non Wage Recurrent	92,776.739
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	92,776.739
	Wage Recurrent	0.000
	Non Wage Recurrent	92,776.739
	Arrears	0.000
	<i>AIA</i>	0.000

Department:002 Operational analysis

Key Service Area:460155 Dissemination of Financial Intelligence

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

<p>100% proactive financial intelligence reports disseminated to LEA and Competent Authorities(target-70)</p> <p>100% of reactive financial intelligence reports disseminated to LEA and CAs</p> <p>30 feedback sessions Accountable persons on quality of STR/SARs conducted</p>	<ul style="list-style-type: none"> •100% proactive financial intelligence reports disseminated to LEA and Competent Authorities (Actual 9) •100% of reactive financial intelligence reports disseminated to LEA and CAs •7 feedback sessions with commercial banks and insurance companies on the quality of STR/SARs were conducted
<p>30 Joint task force meetings and collaborative initiatives held with competent authorities</p> <p>16 stakeholder engagements held to improve the utilisation of financial intelligences products and services</p>	<ul style="list-style-type: none"> •The Authority participated in five (5) Counter-Terrorism Inter-Agency Forum, five (5) National Cybercrime Task Force and two (2) Coordination Office for the Prevention of Trafficking in Persons (COPTIP) Task Force engagements •FIA held 4 stakeholder engagements with CID(3) and URA (1) to improve the utilization of financial intelligences products these LEAs receive from FIA

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs

US\$ Thousand

Item	Spent
221002 Workshops, Meetings and Seminars	21,337.574
221003 Staff Training	20,550.000
227001 Travel inland	6,000.000
Total For Budget Output	47,887.574
Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
	Non Wage Recurrent 47,887.574
	Arrears 0.000
	<i>AIA</i> 0.000
	Total For Department 47,887.574
	Wage Recurrent 0.000
	Non Wage Recurrent 47,887.574
	Arrears 0.000
	<i>AIA</i> 0.000

Department:003 Monitoring and Intelligence**Key Service Area:460157 Intelligence Collection****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

100% Financial Due Diligence Requests processed within agreed framework (Target-40)

•80% Financial Due Diligence requests were processed inline within the agreed framework (Actual 4)

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing**Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**5 Surveillance reports produced
Financial Crime Intelligence Framework Developed
Functional financial crime intelligence database developed
Open Source Intelligence (OSINT) Manual developed
4 stakeholder engagements on ML/TF/PF investigations conducted•A financial crime intelligence Framework was developed and is currently undergoing validation by the top management of FIA
•13 stakeholder engagements on ML/TF/PF investigations were conducted**Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs***US\$ Thousand*

Item	Spent
221003 Staff Training	62,321.850
224009 Classified Expenditure	1,558,223.605
Total For Budget Output	1,620,545.455
Wage Recurrent	0.000
Non Wage Recurrent	1,620,545.455
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	1,620,545.455
Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Non Wage Recurrent	1,620,545.455
	Arrears	0.000
	<i>AIA</i>	0.000

Development Projects

N/A

Vote Function:08 Compliance and Outreach

Departments

Department:001 Compliance and Inspection

Key Service Area:00024 Compliance and Enforcement Services

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

1 sector specific Supervision manual developed 50% of accountable persons risk profiled per sector 2 guidelines/guidance notes developed on a risk-based approach A Frameworks developed to assess compliance of accountable persons	<ul style="list-style-type: none"> • 1 guidance note on politically exposed persons developed and circulated to accountable persons.
100% of AML/CFT/CPF reports from regulatory bodies reviewed 4 meetings/awareness workshops with the accountable persons on AML/CFT/CPF Compliance conducted 26 risk-based inspections conducted on Accountable Persons to inform corrective action	<ul style="list-style-type: none"> • 1 onsite inspection was concluded in Q1 • The Authority conducted 1 engagement meeting with the MLCOs from commercial banks, credit institutions and MDIs.
85% of annual compliance reports reviewed and feedback provided on a risk based approach 85% of AML/CFT/CPF independent Audit reports reviewed on a risk based approach 85% of risk assessments reviewed and feedback given to accountable persons	<ul style="list-style-type: none"> • 88.57% of risk assessments were reviewed and feedback given to accountable persons
100% of non-compliant Accountable Persons sanctioned as a mechanism to enforce AML/CFT/CPF compliance among Accountable Persons	<ul style="list-style-type: none"> • 100% of non-compliant Accountable persons (4) were fined for failure to comply with the requirements of section 6 of the AML Act Cap 118.
Registration of at least 85% of high risk Accountable Persons A desk instruction manual developed to guide AML/CFT/CPF compliance initiatives among Accountable Persons	<ul style="list-style-type: none"> • 1.2% of accountable persons (5 DPMS, 1 Advocate and 2 VASPs) in the identified high-risk sectors were registered

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>UShs Thousand</i>
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	1,209.120

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
221002 Workshops, Meetings and Seminars	25,417.460
221003 Staff Training	50,000.000
227001 Travel inland	45,500.000
228002 Maintenance-Transport Equipment	2,587.300
Total For Budget Output	124,713.880
Wage Recurrent	0.000
Non Wage Recurrent	124,713.880
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	124,713.880
Wage Recurrent	0.000
Non Wage Recurrent	124,713.880
Arrears	0.000
<i>AIA</i>	0.000
Department:002 Training and Outreach	
Key Service Area:000034 Education and Skills Development	
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
<p>890 Financial Institutions, Accountable Persons, and Designated Non-Financial Business and Professions trained 564 targeted stakeholders (ODPP, IGG, Police, URA, Judicial Officers, LEAs, CPs etc) trained on peculiarities of ML/TF/PF</p>	<ul style="list-style-type: none"> •The Authority carried a training of prosecutors and investigators on the investigation and prosecution of money laundering, terrorism financing and recovery of proceeds of crime with 40 participants (26 Male and 14 Female) trained. •FIA trained MLCOs of Banks/MDIs/CIs, Real Estate Agents, Forex bureaus and Insurance Companies. A total of 1,252 participants (648 Male and 604 Female) were trained •The Authority also carried out civic awareness of NGOs in Karamoja region • FIA also trained lower bench of the judiciary on the role of the FIA in investigation of forest crimes where 35 judges (20 Male and 15 Female)

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
100 stakeholders trained on implementation of the NRA, AML/CFT/CPF National Strategy, and MER action items 2 online training modules developed to ensure sustainability and continuity of capacity building programs for accountable persons on AML/CFT/CPF	NA

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Item	Spent
221002 Workshops, Meetings and Seminars	37,755.821
221003 Staff Training	26,801.252
227001 Travel inland	13,540.000
Total For Budget Output	78,097.073
Wage Recurrent	0.000
Non Wage Recurrent	78,097.073
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	78,097.073
Wage Recurrent	0.000
Non Wage Recurrent	78,097.073
Arrears	0.000
<i>AIA</i>	0.000

Development Projects

N/A

Vote Function:09 Legal, Corporate Services and International Relations*Departments***Department:001 Legal and Corporate Affairs****Key Service Area:000012 Legal and Advisory services**

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
A regulatory impact assessment conducted. 100% Representation of FIA in courts of law ⁴ FIA regulatory compliance reports produced 100% Responses provided to requests for legal opinion Annual Certificate of Chambers obtained	<ul style="list-style-type: none"> •FIA conducted a regulatory impact assessment for the Anti Money laundering Act cap 118. • FIA was represented in all the 3 Court appearances (100%) • 100% Responses provided to requests for legal opinion
<i>US\$ Thousand</i>	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	
Item	Spent
221003 Staff Training	5,039.400
221020 Litigation and related expenses	1,125.000
224011 Research Expenses	126,488.154
Total For Budget Output	132,652.554
Wage Recurrent	0.000
Non Wage Recurrent	132,652.554
Arrears	0.000
<i>AIA</i>	0.000
Key Service Area:000032 Board Management	
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
16 Board and Committee Meetings held in execution of oversight role to the Authority FIA Annual Report produced and submitted to the Minister of Finance, Planning and Economic Development	<ul style="list-style-type: none"> •Two quarterly meetings of the 4th Board were held; preceded by two Committee Meetings; the 4th Finance and Administration Committee meeting and 3rd Board Audit Committee meeting. • The FIA FY 2024/25 Annual Report was produced and submitted to the MOFPED
100% implementation of the Annual Board Training Plan Annual Board Retreat held to inform policy, Strategic Planning and enhance operational efficiencies Annual Board performance evaluation conducted	<ul style="list-style-type: none"> •The Authority carried out the annual Board performance evaluation.

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
211107 Boards, Committees and Council Allowances	94,470.000
221006 Commissions and related charges	84,200.104
Total For Budget Output	178,670.104
Wage Recurrent	0.000
Non Wage Recurrent	178,670.104
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	311,322.658
Wage Recurrent	0.000
Non Wage Recurrent	311,322.658
Arrears	0.000
<i>AIA</i>	0.000
Department:002 International Relations	
Key Service Area:460158 Domestic and International Cooperation	
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
32 of the 40 FATF Technical Compliance recommendations rated C/LC 5 stakeholder engagement reports on preparation for the Uganda 2028 Mutual Evaluation	NA
FIA membership in ESAAMLG and EGMONT maintained 100% representation of FIA at pertinent international (ESAAMLG, FATF, EGMONT, EAC) engagements 100% of information exchanges requests responded to	<ul style="list-style-type: none"> • FIA membership in ESAAMLG and EGMONT was maintained • 100% representation of FIA at pertinent international (ESAAMLG, FATF, EGMONT, EAC) engagements specifically the 50th ESAAMLG Taskforce of Senior Officials meeting and the 31st Egmont Group Plenary • FIA received 07 RFIs of which four (04) were processed, and Reactive Financial Intelligence reports were disseminated to relevant Foreign FIUs representing 57.1% of information exchanges requests responded to
12 National AML/CFT Taskforce meetings coordinated 4 reports on implementation of the National AML/CFT/CPF Strategy developed 4 Reports submitted to FATF and ESAAMLG on implementation of post ICRG recommendations	<ul style="list-style-type: none"> • During the quarter of FY2025/26, the Uganda AML/CFT/CPF Taskforce held 1 meeting • The FIA coordinated the preparation and submission of Uganda's 7th request for re-rating report that was submitted to ESAAMLG Secretariat.

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
4 MOUs signed with other FIUs to ease foreign information exchange	•An MOU between the FIA - Uganda and the FIU of Nigeria was signed during the reporting period.

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	11,250.000
221002 Workshops, Meetings and Seminars	25,687.500
221003 Staff Training	21,700.924
221006 Commissions and related charges	57,500.000
221017 Membership dues and Subscription fees.	421,693.216
Total For Budget Output	537,831.640
Wage Recurrent	0.000
Non Wage Recurrent	537,831.640
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	537,831.640
Wage Recurrent	0.000
Non Wage Recurrent	537,831.640
Arrears	0.000
<i>AIA</i>	0.000

Development Projects

N/A

Vote Function:10 ICT Systems and Security*Departments***Department:001 E-services and Security****Key Service Area:460050 Security and ICT Infrastructure**

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
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PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

<p>100% of pertinent licenses renewed 1 critical system and data migrated or backed up to the cloud ICT Equipment maintained 95% ICT systems uptime Staff trained in information security awareness</p>	<ul style="list-style-type: none"> • 100% of required softwares were renewed in the Quarter. These included; Atlassian Helpdesk software, Cisco Smartnet and goAML System licenses. Perpetual Licenses for Microsoft Office 2024, Microsoft Windows Server 2025, and Microsoft SQL Server 2025 were secured from NITA-U • FIA ICT equipment was maintained and a quarterly ICT equipment and peripheral devices maintenance report • 76 staff received and completed a trained in information security awareness • The Personal Data Protection Office (PDPO) conducted a targeted awareness training for staff at the Authority, aimed at strengthening understanding and compliance with the Data Protection and Privacy Act, Cap. 97
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Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
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Item	Spent
221003 Staff Training	23,287.894
226002 Licenses	151,295.477
Total For Budget Output	174,583.371
Wage Recurrent	0.000
Non Wage Recurrent	174,583.371
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	174,583.371
Wage Recurrent	0.000
Non Wage Recurrent	174,583.371
Arrears	0.000
<i>AIA</i>	0.000

Department:002 Information Systems

Key Service Area:000019 ICT Services

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

<p>60 Accountable Persons Onboarded on the goAML system. Perception survey on FIA platforms conducted.</p>	<p>NA</p>
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VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
221002 Workshops, Meetings and Seminars	29,047.800
221003 Staff Training	42,884.425
222001 Information and Communication Technology Services.	7,425.000
227001 Travel inland	3,750.000
Total For Budget Output	83,107.225
Wage Recurrent	0.000
Non Wage Recurrent	83,107.225
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	83,107.225
Wage Recurrent	0.000
Non Wage Recurrent	83,107.225
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
N/A	
Vote Function:11 Finance and Administration	
<i>Departments</i>	
Department:001 Accounts & Stores	
Key Service Area:000004 Finance and Accounting	
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
4 Finance Committee Meetings facilitated 3 Quarterly (Q1-Q3) Budget Performance Reports prepared FY 2024/25 (6,9 and 12 months) FIA financial statements prepared and submitted to Management, Board, MoFPED and OAG Unqualified External Audit Report	<ul style="list-style-type: none"> •The Q1 Finance Committee Meeting was carried out •The FY 2024/25 (12 months) FIA financial statements were prepared and submitted to Management, Board, MoFPED and OAG
Annual Board of Survey Report prepared and submitted to OAG and AG Up to date asset register maintained	<ul style="list-style-type: none"> •The Annual Board of Survey Report prepared and submitted to OAG and AG. •An up-to-date asset register is maintained.

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	35,280.000
221002 Workshops, Meetings and Seminars	26,244.861
221003 Staff Training	55,272.500
221016 Systems Recurrent costs	79,800.000
Total For Budget Output	196,597.361
Wage Recurrent	0.000
Non Wage Recurrent	196,597.361
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	196,597.361
Wage Recurrent	0.000
Non Wage Recurrent	196,597.361
Arrears	0.000
<i>AIA</i>	0.000
Department:002 Human resource registry and security	
Key Service Area:000005 Human Resource Management	
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
Productive and engaged workforce 95% employee retention rate Occupational Safety and Health Certificate obtained	<ul style="list-style-type: none"> • The authority had a 98.84% employee retention rate • Occupational Safety and Health Certificate was obtained from the Ministry of Gender, labor and social development
Authority premises maintained in clean and good condition Full time office and entitled staff security services maintained FIA insurances maintained	<ul style="list-style-type: none"> •The Authority premises were maintained in clean and good condition •Full time security services were maintained at FIA office • Entitled staff were provided with security services •FIA insurances maintained

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
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PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

FY 2024/25 Annual and FY 2025/26 Half year staff performance assessment reports produced.
Well maintained Authority vehicles and up to date vehicle utilization report
Staff trained inline with the FY 2025/26 training plan.

- The FY 2024/25 Annual staff performance assessment reports was produced.
- The FY 2025/26 staff performance plans were developed.
- The Authority vehicles were well maintained

23 Staff were trained in line with the FY 2025/26 training plan with staff trained in technical areas like Identification, investigation, and prosecution of Money Laundering (ML) and Terrorism Financing (TF) cases, Blockchain training, Understanding Tax and Laundering in the Real Estate Sector, Simplifying Data Quality Checks, Leadership program for managers and supervisors, Understanding the Crypto Economy, Prosecution of ML/TF Cases, ACAMS among others

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
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Item	Spent
211102 Contract Staff Salaries	2,761,609.735
211104 Employee Gratuity	291,900.000
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	46,500.000
212101 Social Security Contributions	275,581.936
212102 Medical expenses (Employees)	72,979.969
212103 Incapacity benefits (Employees)	6,287.293
221003 Staff Training	27,599.545
221004 Recruitment Expenses	1,350.000
221009 Welfare and Entertainment	36,432.000
223001 Property Management Expenses	46,126.156
223003 Rent-Produced Assets-to private entities	439,969.288
223004 Guard and Security services	22,315.620
223005 Electricity	21,300.026
227004 Fuel, Lubricants and Oils	272,025.000
228002 Maintenance-Transport Equipment	5,699.700
Total For Budget Output	4,327,676.268
Wage Recurrent	2,761,609.735
Non Wage Recurrent	1,566,066.533
Arrears	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
<i>AIA</i>	0.000

Key Service Area:000013 HIV/AIDS Mainstreaming**PIAP Output: 16090106 Cross cutting issues mainstreamed****Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery**

Awareness sessions conducted to sensitize staff on HIV/AIDS HIV/AIDS Protective supplies purchased Staff Counselling services for all staff provided	NA
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Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs *US\$ Thousand*

Item	Spent
Total For Budget Output	0.000
Wage Recurrent	0.000
Non Wage Recurrent	0.000
Arrears	0.000
<i>AIA</i>	0.000

Key Service Area:000021 Gender Mainstreaming services**PIAP Output: 16090106 Cross cutting issues mainstreamed****Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery**

COVID-19/contagious viruses supplies provided to all staff and first AID box purchased Targeted sensitizations for women on AML/CFT/CPF for Gender and Equity mainstreaming conducted Facilities for visually impaired staff provided	<ul style="list-style-type: none"> •The FIA office premises have a lift and provides facilities for the visually impaired staff •Two well stocked first AID boxes are available at the FIA premises
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Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs *US\$ Thousand*

Item	Spent
Total For Budget Output	0.000
Wage Recurrent	0.000
Non Wage Recurrent	0.000
Arrears	0.000
<i>AIA</i>	0.000

Key Service Area:000089 Climate Change Mitigation

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16090106 Cross cutting issues mainstreamed	
Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery	
All staff sensitized on environmental measures. An operational Electronic Document Management system maintained to reduce on paper usage. Proper waste disposal at the Authority premises Use of natural lighting during day time	The Authority maintains proper waste disposal at the office premises

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
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Item	Spent
Total For Budget Output	0.000
Wage Recurrent	0.000
Non Wage Recurrent	0.000
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	4,327,676.268
Wage Recurrent	2,761,609.735
Non Wage Recurrent	1,566,066.533
Arrears	0.000
<i>AIA</i>	0.000

Department:003 Procurement**Key Service Area:000007 Procurement and Disposal Services****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

FIA Procurement Plan for FY 2026/27 prepared 80 Evaluation and Contracts Committee meetings facilitated 12 Monthly procurement reports to PPDA prepared	<ul style="list-style-type: none"> • 10 contracts committee and 25 Evaluation Committee meetings were carried out in Q1 • 3 (August, July and June monthly) procurement reports to PPDA prepared
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Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
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Item	Spent
221003 Staff Training	28,594.880
221017 Membership dues and Subscription fees.	1,719.736
Total For Budget Output	30,314.616
Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Non Wage Recurrent	30,314.616
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	30,314.616
	Wage Recurrent	0.000
	Non Wage Recurrent	30,314.616
	Arrears	0.000
	<i>AIA</i>	0.000

Department:004 Executive Office

Key Service Area:00010 Leadership and Management

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

Quarterly performance reports on Implementation of the FIA Strategic Plan & workplan for FY 2025/26 prepared and presented to the Board. Financial Intelligence Information on Money Laundering and Financing Terrorism Gathered

•The quarterly performance reports on Implementation of the FIA Strategic Plan & workplan for FY 2025/26 were prepared and presented to the Board. •Financial Intelligence Information on Money Laundering and Financing Terrorism Gathered and reports prepared

Effective representation in ESAAMLG & FATF Meetings and engagements
Targeted high-level stakeholder engagements to facilitate public awareness and domestic cooperation undertaken

• 100% Effective representation in 50th ESAAMLG Taskforce of Senior Officials and the 31st Egmont Group Plenary meeting
• High-level stakeholder engagements with URA, UWA and various heads of accountable persons were undertaken to facilitate domestic cooperation

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs

US\$ Thousand

Item	Spent
221002 Workshops, Meetings and Seminars	58,584.800
221009 Welfare and Entertainment	19,339.830
224009 Classified Expenditure	2,502,523.000
227001 Travel inland	11,450.000
Total For Budget Output	2,591,897.630
Wage Recurrent	0.000
Non Wage Recurrent	2,591,897.630
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	2,591,897.630
Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Non Wage Recurrent	2,591,897.630
	Arrears	0.000
	<i>AIA</i>	0.000

Department:005 Communication and Public Relations**Key Service Area:000011 Communication and Public Relations****PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing****Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms**

1 exhibition to enhance FIA corporate image and brand visibility Participated in 3 Public awareness campaigns conducted to sensitize the public on ML/TF/PF risks, the role of FIA, offences and penalties	
34 articles/positive stories on FIA events and on AML/CFT/CPF matters published 4 quarterly newsletters published for enhanced visibility 60 journalists engaged/sensitized to enhance AML/CFT/CPF reporting 30 high-level stakeholders engaged	<ul style="list-style-type: none"> •One article was published about the AMLA Regulatory Impact Assessment • The ED FIA held various engagements with high-level stakeholders
4 regional outreach sessions conducted to enhance public understating of AML/CFT matters 36 website posts on AML/CFT/CPF matters made for enhanced online publicity 1 media/IEC kit obtained	<ul style="list-style-type: none"> •FIA conducted 4 regional awareness sessions on Anti-Money Laundering (AML), Countering the Financing of Terrorism (CFT), and Combating the Financing of Proliferation (CPF) across all the regions of Uganda through the Local Government Budget Consultative Workshops organized by the Ministry of Finance, Planning and Economic Development (MoFPED) •5 social media posts were published on the FIA X handle •1 article was published on the FIA website. The FIA website registered 4009 new users (visiting the website for the first time). •Client service charter was reviewed and aligned to the new Strategic Plan •The Authority carried out one radio talk show held in Hoima at Kabalega fm focused on the benefits of compliance with the national and international anti-money laundering and terrorism financing requirements, legal and regulatory requirements, risks, and sanctions associated with non-compliance, especially by the Accountable Persons.

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs*UShs Thousand*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	49,988.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
221001 Advertising and Public Relations	32,000.000
221003 Staff Training	16,490.131
Total For Budget Output	98,478.131
Wage Recurrent	0.000
Non Wage Recurrent	98,478.131
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	98,478.131
Wage Recurrent	0.000
Non Wage Recurrent	98,478.131
Arrears	0.000
<i>AIA</i>	0.000
Department:006 Planning and Budgeting	
Key Service Area:000006 Planning and Budgeting Services	
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
FY 2026/27 Draft FIA Workplan and Budget prepared	NA
FY 2026/27 FIA Budget Framework Paper and submitted to MoFPED	
FY 2026/27 FIA Ministerial Policy Statement prepared and submitted to MoFPED	
FY 2026/27 FIA Approved Budget prepared and submitted to MoFPED	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
4 Quarterly budget performance reports to MoFPED prepared 4 Quarterly M&E reports to FIA Board prepared FY 2024/25 FIA Annual Report Prepared 100% participation at pertinent stakeholder engagements	<ul style="list-style-type: none"> •The Q4 FY 2024/25 budget report was prepared and submitted to MOFPED •The Q4 FY 2024/25 M&E report was prepared and submitted to board •The FY 2024/25 Annual FIA report was prepared and submitted to the MOFPED as required by the AMLA. •FIA was represented at all stakeholder engagements •As part of implementation of the PNSD IV (2025/26-2029/30) roadmap, the Authority prepared the FIA strategic plan for statistics (SPS). •FIA also prepared the FIA office Construction Project Profile and submitted it to the Governance and Security Program (GSP) project Preparation Committee which approved it for submission to MOFPED.

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>US\$ Thousand</i>
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	127,680.000
221002 Workshops, Meetings and Seminars	92,000.000
221003 Staff Training	71,180.980
227001 Travel inland	8,801.600
Total For Budget Output	299,662.580
Wage Recurrent	0.000
Non Wage Recurrent	299,662.580
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	299,662.580
Wage Recurrent	0.000
Non Wage Recurrent	299,662.580
Arrears	0.000
<i>AIA</i>	0.000

Department:007 Internal Audit

Key Service Area:000001 Audit and Risk Management

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing	
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms	
4 ERM reports prepared and submitted to the Board 4 Risk based Internal Audit reports issued 4 follow up reports on implementation of actions from Internal Audit Recommendations prepared	
80% compliance with the Internal Quality Assurance and Improvement Program	<ul style="list-style-type: none"> •The Q1 report on compliance with the Internal Quality Assurance and Improvement Program was prepared and indicated a 77% compliance •The Q1 ERM report was developed
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	
	<i>US\$ Thousand</i>
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	20,000.000
227001 Travel inland	17,800.000
Total For Budget Output	37,800.000
Wage Recurrent	0.000
Non Wage Recurrent	37,800.000
Arrears	0.000
<i>AIA</i>	0.000
Total For Department	37,800.000
Wage Recurrent	0.000
Non Wage Recurrent	37,800.000
Arrears	0.000
<i>AIA</i>	0.000
<i>Development Projects</i>	
Project:1876 Institutional development for Financial Intelligence Authority	
Key Service Area:000003 Facilities and Equipment Management	
PIAP Output: 16090101 Institutions retooled	
Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery	
Professional Camera procured Vulnerability scanning and assessment tools and a honeypot system procured. IP CCTV solution to effectively monitor the FIA offices procured Transport equipment procured	NA

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Project:1876 Institutional development for Financial Intelligence Authority		
PIAP Output: 16090101 Institutions retooled		
Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery		
Laptops Procured A Firewall Procured A Smart screen collaboration TV solution Procured Staff public address system Procured 2 Printers Procured	NA	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		<i>US\$ Thousand</i>
Item		Spent
	Total For Budget Output	0.000
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Project	0.000
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
	GRAND TOTAL	10,653,292.201
	Wage Recurrent	2,761,609.735
	Non Wage Recurrent	7,891,682.466
	GoU Development	0.000
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Quarter 2: Revised Workplan

Annual Plans	Quarter's Plan	Revised Plans
Programme:16 Governance and Security		
Vote Function:07 Analysis and Monitoring		
<i>Departments</i>		
Department:001 Strategic Analysis and Statistics		
Key Service Area:460156 Sector Research and Statistics		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
2 ML/TF/PF Risk Assessments conducted as informed by the NRA report 2 ML/TF/PF typology and operational studies conducted Action plans from the risk assessments and typology studies implemented	None	None
Upto date database of National AML/CFT/CPF value-chain statistics maintained Functional electronic system developed for the management of national AML/CFT/CPF statistics 8 engagements held with AML/CFT/CPF Stakeholders on AML/CFT/CPF	1) Upto date database of National AML/CFT/CPF value-chain statistics maintained 2) 2 engagements held with AML/CFT/CPF Stakeholders on AML/CFT/CPF	1) Upto date database of National AML/CFT/CPF value-chain statistics maintained 2) 2 engagements held with AML/CFT/CPF Stakeholders on AML/CFT/CPF
100% of FATF/ESAAMLG Research Study Requests Responded to	1) 100% of FATF/ESAAMLG Research Study Requests Responded to	1) 100% of FATF/ESAAMLG Research Study Requests Responded to
Department:002 Operational analysis		
Key Service Area:460155 Dissemination of Financial Intelligence		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
100% proactive financial intelligence reports disseminated to LEA and Competent Authorities(target-70) 100% of reactive financial intelligence reports disseminated to LEA and CAs 30 feedback sessions Accountable persons on quality of STR/SARs conducted	1) 100% proactive financial intelligence reports disseminated to LEA and Competent Authorities (target 17) 2) 100% of reactive financial intelligence reports disseminated to LEA and CAs 3) 7 feedback sessions with Accountable persons on quality of STR/SARs conducted	1) 100% proactive financial intelligence reports disseminated to LEA and Competent Authorities (target 17) 2) 100% of reactive financial intelligence reports disseminated to LEA and CAs 3) 7 feedback sessions with Accountable persons on quality of STR/SARs conducted

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
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Key Service Area:460155 Dissemination of Financial Intelligence

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

30 Joint task force meetings and collaborative initiatives held with competent authorities 16 stakeholder engagements held to improve the utilisation of financial intelligences products and services	1) 7 Joint task force meetings and collaborative initiatives held with competent authorities 2) 4 stakeholder engagements held to improve the utilisation of financial intelligences products and services	1) 7 Joint task force meetings and collaborative initiatives held with competent authorities 2) 4 stakeholder engagements held to improve the utilisation of financial intelligences products and services
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Department:003 Monitoring and Intelligence

Key Service Area:460157 Intelligence Collection

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

100% Financial Due Diligence Requests processed within agreed framework (Target-40)	1) 100% Financial Due Diligence requests processed inline within agreed framework (target 10)	1) 100% Financial Due Diligence requests processed inline within agreed framework (target 10)
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PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

5 Surveillance reports produced Financial Crime Intelligence Framework Developed Functional financial crime intelligence database developed Open Source Intelligence (OSINT) Manual developed 4 stakeholder engagements on ML/TF/PF investigations conducted	1) A financial crime intelligence database developed 2) Open Source Intelligence (OSINT) Manual developed 3) 1 stakeholder engagements on ML/TF/PF investigations conducted	1) A financial crime intelligence database developed 2) Open Source Intelligence (OSINT) Manual developed 3) 1 stakeholder engagements on ML/TF/PF investigations conducted
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Development Projects

N/A

Vote Function:08 Compliance and Outreach

Departments

Department:001 Compliance and Inspection

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
Key Service Area:00024 Compliance and Enforcement Services		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
1 sector specific Supervision manual developed 50% of accountable persons risk profiled per sector 2 guidelines/guidance notes developed on a risk-based approach A Frameworks developed to assess compliance of accountable persons	1) sector specific Supervision manual developed 2) guideline/guidance notes developed on a risk-based approach	1) sector specific Supervision manual developed 2) guideline/guidance notes developed on a risk-based approach
100% of AML/CFT/CPF reports from regulatory bodies reviewed 4 meetings/awareness workshops with the accountable persons on AML/CFT/CPF Compliance conducted 26 risk-based inspections conducted on Accountable Persons to inform corrective action	1) 1 meeting/awareness workshop with the accountable persons on AML/CFT/CPF Compliance conducted 2) 7 risk-based inspections conducted on Accountable Persons to inform corrective action	1) 1 meeting/awareness workshop with the accountable persons on AML/CFT/CPF Compliance conducted 2) 7 risk-based inspections conducted on Accountable Persons to inform corrective action
85% of annual compliance reports reviewed and feedback provided on a risk based approach 85% of AML/CFT/CPF independent Audit reports reviewed on a risk based approach 85% of risk assessments reviewed and feedback given to accountable persons	None	None
100% of non-compliant Accountable Persons sanctioned as a mechanism to enforce AML/CFT/CPF compliance among Accountable Persons	1) 100% of non-compliant Accountable Persons sanctioned as a mechanism to enforce AML/CFT/CPF compliance among Accountable Persons	1) 100% of non-compliant Accountable Persons sanctioned as a mechanism to enforce AML/CFT/CPF compliance among Accountable Persons
Registration of at least 85% of high risk Accountable Persons A desk instruction manual developed to guide AML/CFT/CPF compliance initiatives among Accountable Persons	1) Registration of at least 60% of high risk Accountable Persons	1) Registration of at least 60% of high risk Accountable Persons
Department:002 Training and Outreach		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
Key Service Area:000034 Education and Skills Development		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
890 Financial Institutions, Accountable Persons, and Designated Non-Financial Business and Professions trained 564 targeted stakeholders (ODPP, IGG, Police, URA, Judicial Officers, LEAs, CPs etc) trained on peculiarities of ML/TF/PF	1) 500 Financial Institutions, Accountable Persons, and Designated Non-Financial Business and Professions trained 2) 197 targeted stakeholders (ODPP, IGG, Police, URA, Judicial Officers, LEAs, CPs etc) trained on peculiarities of ML/TF/PF	1) 500 Financial Institutions, Accountable Persons, and Designated Non-Financial Business and Professions trained 2) 197 targeted stakeholders (ODPP, IGG, Police, URA, Judicial Officers, LEAs, CPs etc) trained on peculiarities of ML/TF/PF
100 stakeholders trained on implementation of the NRA, AML/CFT/CPF National Strategy, and MER action items 2 online training modules developed to ensure sustainability and continuity of capacity building programs for accountable persons on AML/CFT/CPF	1) 50 stakeholders trained on implementation of the NRA, AML/CFT/CPF National Strategy, and MER action items	1) 50 stakeholders trained on implementation of the NRA, AML/CFT/CPF National Strategy, and MER action items
<i>Development Projects</i>		
N/A		
Vote Function:09 Legal, Corporate Services and International Relations		
<i>Departments</i>		
Department:001 Legal and Corporate Affairs		
Key Service Area:000012 Legal and Advisory services		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
A regulatory impact assessment conducted. 100% Representation of FIA in courts of law 4 FIA regulatory compliance reports produced 100% Responses provided to requests for legal opinion Annual Certificate of Chambers obtained	1) 100% Representation of FIA in courts of law 2) FIA regulatory compliance report produced 3) 100% Responses provided to requests for legal opinion	1) 100% Representation of FIA in courts of law 2) FIA regulatory compliance report produced 3) 100% Responses provided to requests for legal opinion

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
Key Service Area:000032 Board Management		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
16 Board and Committee Meetings held in execution of oversight role to the Authority FIA Annual Report produced and submitted to the Minister of Finance, Planning and Economic Development	1) 4 Board and Committee Meetings held in execution of oversight role to the Authority	1) 4 Board and Committee Meetings held in execution of oversight role to the Authority
100% implementation of the Annual Board Training Plan Annual Board Retreat held to inform policy, Strategic Planning and enhance operational efficiencies Annual Board performance evaluation conducted	1) Board of Directors trained as per FY 2025/26 Board enhancement capacity building plan.	1) Board of Directors trained as per FY 2025/26 Board enhancement capacity building plan.
Department:002 International Relations		
Key Service Area:460158 Domestic and International Cooperation		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
32 of the 40 FATF Technical Compliance recommendations rated C/LC 5 stakeholder engagement reports on preparation for the Uganda 2028 Mutual Evaluation	1) 2 stakeholder engagement reports on preparation for the Uganda 2028 Mutual Evaluation	1) 2 stakeholder engagement reports on preparation for the Uganda 2028 Mutual Evaluation
FIA membership in ESAAMLG and EGMONT maintained 100% representation of FIA at pertinent international (ESAAMLG, FATF, EGMONT, EAC) engagements 100% of information exchanges requests responded to	1) FIA membership in ESAAMLG and EGMONT maintained 2) 100% representation of FIA at pertinent international (ESAAMLG, FATF, EGMONT, EAC) engagements 3) 100% of information exchanges requests responded to	1) FIA membership in ESAAMLG and EGMONT maintained 2) 100% representation of FIA at pertinent international (ESAAMLG, FATF, EGMONT, EAC) engagements 3) 100% of information exchanges requests responded to
12 National AML/CFT Taskforce meetings coordinated 4 reports on implementation of the National AML/CFT/CPF Strategy developed 4 Reports submitted to FATF and ESAAMLG on implementation of post ICRG recommendations	1) 3 National AML/CFT Taskforce meetings coordinated 2) 1 report on implementation of the National AML/CFT/CPF Strategy developed 3) 1 Report submitted to FATF and ESAAMLG on implementation of post ICRG recommendations	1) 3 National AML/CFT Taskforce meetings coordinated 2) 1 report on implementation of the National AML/CFT/CPF Strategy developed 3) 1 Report submitted to FATF and ESAAMLG on implementation of post ICRG recommendations
4 MOUs signed with other FIUs to ease foreign information exchange	1) 1 MOUs signed with other FIUs to ease foreign information exchange	1) 1 MOUs signed with other FIUs to ease foreign information exchange

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
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Development Projects

N/A

Vote Function:10 ICT Systems and Security

Departments

Department:001 E-services and Security

Key Service Area:460050 Security and ICT Infrastructure

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

100% of pertinent licenses renewed 1 critical system and data migrated or backed up to the cloud ICT Equipment maintained 95% ICT systems uptime Staff trained in information security awareness	1) 100% of pertinent licenses renewed 2) critical system and data migrated or backed up to the cloud 3) ICT Equipment maintenance report 4) Staff trained in information security awareness	1) 100% of pertinent licenses renewed 2) critical system and data migrated or backed up to the cloud 3) ICT Equipment maintenance report 4) Staff trained in information security awareness
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Department:002 Information Systems

Key Service Area:000019 ICT Services

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

60 Accountable Persons Onboarded on the goAML system. Perception survey on FIA platforms conducted.	1) 15 Accountable Persons Onboarded on the goAML system 2) Perception survey on FIA platforms conducted	1) 15 Accountable Persons Onboarded on the goAML system 2) Perception survey on FIA platforms conducted
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Development Projects

N/A

Vote Function:11 Finance and Administration

Departments

Department:001 Accounts & Stores

Key Service Area:000004 Finance and Accounting

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

4 Finance Committee Meetings facilitated 3 Quarterly (Q1-Q3) Budget Performance Reports prepared FY 2024/25 (6,9 and 12 months) FIA financial statements prepared and submitted to Management, Board, MoFPED and OAG Unqualified External Audit Report	1) 1 Finance Committee Meeting facilitated. 2) 1 Quarterly (Q1) Budget Performance Report prepared. 3) FY 2024/25 External audit report	1) 1 Finance Committee Meeting facilitated. 2) 1 Quarterly (Q1) Budget Performance Report prepared. 3) FY 2024/25 External audit report
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VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
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Key Service Area:000004 Finance and Accounting

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

Annual Board of Survey Report prepared and submitted to OAG and AG Up to date asset register maintained	1) Up to date asset register maintained.	1) Up to date asset register maintained.
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Department:002 Human resource registry and security

Key Service Area:000005 Human Resource Management

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

Productive and engaged workforce 95% employee retention rate Occupational Safety and Health Certificate obtained	1) 95% employee retention rate 2) Staff End of year party facilitated 3) Team building event held 4) Rewards and sanctions policy maintained	1) 95% employee retention rate 2) Staff End of year party facilitated 3) Team building event held 4) Rewards and sanctions policy maintained
Authority premises maintained in clean and good condition Full time office and entitled staff security services maintained FIA insurances maintained	1) Authority premises maintained in clean and good condition 2) Full time office and entitled staff security services maintained 3) FIA insurances maintained	1) Authority premises maintained in clean and good condition 2) Full time office and entitled staff security services maintained 3) FIA insurances maintained
FY 2024/25 Annual and FY 2025/26 Half year staff performance assessment reports produced. Well maintained Authority vehicles and up to date vehicle utilization report Staff trained inline with the FY 2025/26 training plan.	1) Well maintained Authority vehicles and up to date vehicle utilization report 2) Staff trained inline with the FY 2025/26 training plan.	1) Well maintained Authority vehicles and up to date vehicle utilization report 2) Staff trained inline with the FY 2025/26 training plan.

Key Service Area:000013 HIV/AIDS Mainstreaming

PIAP Output: 16090106 Cross cutting issues mainstreamed

Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery

Awareness sessions conducted to sensitize staff on HIV/AIDS HIV/AIDS Protective supplies purchased Staff Counselling services for all staff provided	1) Awareness sessions conducted to sensitize staff on HIV/AIDS 2) HIV/AIDS Protective supplies purchased 3) Staff Counselling services for all staff provided	1) Awareness sessions conducted to sensitize staff on HIV/AIDS 2) HIV/AIDS Protective supplies purchased 3) Staff Counselling services for all staff provided
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VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
Key Service Area:000021 Gender Mainstreaming services		
PIAP Output: 16090106 Cross cutting issues mainstreamed		
Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery		
COVID-19/contagious viruses supplies provided to all staff and first AID box purchased Targeted sensitizations for women on AML/CFT/CPF for Gender and Equity mainstreaming conducted Facilities for visually impaired staff provided	1) COVID-19/contagious viruses supplies provided to all staff and first AID box purchased 2) Facilities for visually impaired staff provided	1) COVID-19/contagious viruses supplies provided to all staff and first AID box purchased 2) Facilities for visually impaired staff provided
Key Service Area:000089 Climate Change Mitigation		
PIAP Output: 16090106 Cross cutting issues mainstreamed		
Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery		
All staff sensitized on environmental measures. An operational Electronic Document Management system maintained to reduce on paper usage. Proper waste disposal at the Authority premises Use of natural lighting during day time		
Department:003 Procurement		
Key Service Area:000007 Procurement and Disposal Services		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
FIA Procurement Plan for FY 2026/27 prepared 80 Evaluation and Contracts Committee meetings facilitated 12 Monthly procurement reports to PPDA prepared	1) 20 Evaluation and Contracts Committee meetings facilitated 2) 3 (Monthly) procurement reports to PPDA prepared	1) 20 Evaluation and Contracts Committee meetings facilitated 2) 3 (Monthly) procurement reports to PPDA prepared
Department:004 Executive Office		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
Key Service Area:000010 Leadership and Management		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
Quarterly performance reports on Implementation of the FIA Strategic Plan & workplan for FY 2025/26 prepared and presented to the Board. Financial Intelligence Information on Money Laundering and Financing Terrorism Gathered	1) Quarterly performance reports on Implementation of the FIA Strategic Plan & workplan for FY 2025/26 prepared and presented to the Board. 2) Financial Intelligence Information on Money Laundering and Financing Terrorism Gathered	1) Quarterly performance reports on Implementation of the FIA Strategic Plan & workplan for FY 2025/26 prepared and presented to the Board. 2) Financial Intelligence Information on Money Laundering and Financing Terrorism Gathered
Effective representation in ESAAMLG & FATF Meetings and engagements Targeted high-level stakeholder engagements to facilitate public awareness and domestic cooperation undertaken	1) Effective representation in ESAAMLG & FATF Meetings and engagements 2) Targeted high-level stakeholder engagements to facilitate public awareness and domestic cooperation undertaken	1) Effective representation in ESAAMLG & FATF Meetings and engagements 2) Targeted high-level stakeholder engagements to facilitate public awareness and domestic cooperation undertaken
Department:005 Communication and Public Relations		
Key Service Area:000011 Communication and Public Relations		
PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing		
Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms		
1 exhibition to enhance FIA corporate image and brand visibility Participated in 3 Public awareness campaigns conducted to sensitize the public on ML/TF/PF risks, the role of FIA, offences and penalties	1) 1 Public awareness campaign conducted to sensitize the public on ML/TF/PF risks, the role of FIA, offences and penalties	1) 1 Public awareness campaign conducted to sensitize the public on ML/TF/PF risks, the role of FIA, offences and penalties
34 articles/positive stories on FIA events and on AML/CFT/CPF matters published 4 quarterly newsletters published for enhanced visibility 60 journalists engaged/sensitized to enhance AML/CFT/CPF reporting 30 high-level stakeholders engaged	1) 5 articles/positive stories on FIA events and on AML/CFT/CPF matters published 2) 1 quarterly newsletter published for enhanced visibility 3) 5 high-level stakeholders engaged	1) 5 articles/positive stories on FIA events and on AML/CFT/CPF matters published 2) 1 quarterly newsletter published for enhanced visibility 3) 5 high-level stakeholders engaged

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
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Key Service Area:000011 Communication and Public Relations

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

4 regional outreach sessions conducted to enhance public understating of AML/CFT matters 36 website posts on AML/CFT/CPF matters made for enhanced online publicity 1 media/IEC kit obtained	1) 1 regional outreach sessions conducted to enhance public understating of AML/CFT matters 2) 12 website posts on AML/CFT/CPF matters made for enhanced online publicity	1) 1 regional outreach sessions conducted to enhance public understating of AML/CFT matters 2) 12 website posts on AML/CFT/CPF matters made for enhanced online publicity
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Department:006 Planning and Budgeting

Key Service Area:000006 Planning and Budgeting Services

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

FY 2026/27 Draft FIA Workplan and Budget prepared FY 2026/27 FIA Budget Framework Paper and submitted to MoFPED FY 2026/27 FIA Ministerial Policy Statement prepared and submitted to MoFPED FY 2026/27 FIA Approved Budget prepared and submitted to MoFPED	1) FY 2026/27 Draft FIA Workplan and Budget prepared 2) FY 2026/27 FIA Budget Framework Paper and submitted to MoFPED 3) FY 2026/27 retreat report for preparation of the FY 2026/27 budget.	1) FY 2026/27 Draft FIA Workplan and Budget prepared 2) FY 2026/27 FIA Budget Framework Paper and submitted to MoFPED 3) FY 2026/27 retreat report for preparation of the FY 2026/27 budget.
4 Quarterly budget performance reports to MoFPED prepared 4 Quarterly M&E reports to FIA Board prepared FY 2024/25 FIA Annual Report Prepared 100% participation at pertinent stakeholder engagements	1) Quarter 1 FY 2026/27 budget performance report to MoFPED prepared 2) Quarter 1 FY 2024/25 M&E reports to FIA Board prepared 3) 100% participation at pertinent stakeholder engagements	1) Quarter 1 FY 2026/27 budget performance report to MoFPED prepared 2) Quarter 1 FY 2024/25 M&E reports to FIA Board prepared 3) 100% participation at pertinent stakeholder engagements

Department:007 Internal Audit

Key Service Area:000001 Audit and Risk Management

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

4 ERM reports prepared and submitted to the Board 4 Risk based Internal Audit reports issued 4 follow up reports on implementation of actions from Internal Audit Recommendations prepared	1) 1 ERM reports prepared and submitted to the Board 2) 1 Risk based Internal Audit reports issued 3) 1 follow up report on implementation of actions from Internal Audit Recommendations prepared	1) 1 ERM reports prepared and submitted to the Board 2) 1 Risk based Internal Audit reports issued 3) 1 follow up report on implementation of actions from Internal Audit Recommendations prepared
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VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Annual Plans	Quarter's Plan	Revised Plans
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Key Service Area:000001 Audit and Risk Management

PIAP Output: 16412101 Enhanced detection and prevention of money laundering, terrorism and proliferation financing

Programme Intervention: 164121 Strengthen Anti-Money Laundering Mechanisms

80% compliance with the Internal Quality Assurance and Improvement Program	1) A report on compliance with the Internal Quality Assurance and Improvement Program (target 80% compliance) 2) ERM report developed and submitted to the board	1) A report on compliance with the Internal Quality Assurance and Improvement Program (target 80% compliance) 2) ERM report developed and submitted to the board
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Development Projects

Project:1876 Institutional development for Financial Intelligence Authority

Key Service Area:000003 Facilities and Equipment Management

PIAP Output: 16090101 Institutions retooled

Programme Intervention: 160901 Strengthen programme institutions for effective and efficient service delivery

Professional Camera procured Vulnerability scanning and assessment tools and a honeypot system procured. IP CCTV solution to effectively monitor the FIA offices procured Transport equipment procured	1) Professional Camera procured 2) Transport equipment procured	1) Professional Camera procured 2) Transport equipment procured
Laptops Procured A Firewall Procured A Smart screen collaboration TV solution Procured Staff public address system Procured 2 Printers Procured	1) 2 Printers Procured 2) 36 Laptops Procured	1) 2 Printers Procured 2) 36 Laptops Procured

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

V4: NTR Collections and Off Budget Expenditure**Table 4.1: NTR Collections (Billions)**

Revenue Code	Revenue Name	Planned Collection FY2025/26	Actuals By End Q1
142119	Sale of bid documents-From Private Entities	0.002	0.001
143201	Other fines and Penalties – private	0.185	0.260
Total		0.187	0.261

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 1

Table 4.2: Off-Budget Expenditure By Department and Project