

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (US\$ Billion)

		Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% Budget Released	% Budget Spent	% Releases Spent
Recurrent	Wage	9.594	9.594	4.797	2.505	50.0 %	26.1 %	52.2 %
	Non-Wage	16.928	16.928	8.464	6.825	50.0 %	40.3 %	80.6 %
Dev.	GoU	0.129	0.129	0.086	0.004	66.7 %	3.1 %	4.7 %
	Ext Fin.	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
GoU Total		26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Total GoU+Ext Fin (MTEF)		26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Arrears		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Total Budget		26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
A.I.A Total		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Grand Total		26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Total Vote Budget Excluding Arrears		26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% Budget Released	% Budget Spent	%Releases Spent
Programme:16 GOVERNANCE AND SECURITY	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Sub SubProgramme:01 Directorate of Finance and Administration	16.597	16.597	7.921	4.584	47.7 %	27.6 %	57.9 %
Sub SubProgramme:02 Directorate of Internal Audit	0.064	0.064	0.036	0.031	57.0 %	48.3 %	84.7 %
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.827	1.827	0.662	0.191	36.2 %	10.4 %	28.8 %
Sub SubProgramme:04 Directorate of Analysis and Monitoring	6.374	6.374	4.036	3.962	63.3 %	62.2 %	98.2 %
Sub SubProgramme:05 Directorate of Compliance and Training	1.598	1.598	0.560	0.461	35.1 %	28.8 %	82.2 %
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.191	0.191	0.131	0.105	68.8 %	55.0 %	79.9 %
Total for the Vote	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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Table V1.3: High Unspent Balances and Over-Expenditure in the Approved Budget (Ushs Bn)

(i) Major unspent balances

Departments , Projects

Sub SubProgramme:01 Directorate of Finance and Administration

Sub Programme: 01 Institutional Coordination

0.561	Bn Shs	Department : 002 Human resource registry and security
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Reason: Delays in recruitment of staff.

Items

0.557	UShs	211104 Employee Gratuity
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Reason: Delays in recruitment of staff.

Sub Programme: 05 Anti-Corruption and Accountability

0.401	Bn Shs	Department : 001 Accounts
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Reason: Delays in Recruitment of staff contributed to unspent balances. However, the recruitment of staff is set to be completed in Q3.

Items

0.091	UShs	211107 Boards, Committees and Council Allowances
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Reason: Some Board meetings were postponed to Q3.

0.082	UShs	221012 Small Office Equipment
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Reason: Delays in Recruitment. This is to be done in Q3.

0.070	UShs	221009 Welfare and Entertainment
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Reason: Delay in recruitment of staff. This is to be done in Q3.

0.082	Bn Shs	Project : 1623 Retooling of Financial Intelligence Authority
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Reason: Delays in recruitment of staff. The amount will be absorbed in Q3

Items

0.082	UShs	221008 Information and Communication Technology Supplies.
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Reason: Delays in recruitment of staff. The amount will be absorbed in Q3

Sub SubProgramme:03 Directorate of Systems Administration and Security

Sub Programme: 02 Security

0.471	Bn Shs	Department : 001 Systems Administration and Security
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Reason: Delays in recruitment of staff. This will be completed in Q3

Items

0.325	UShs	221008 Information and Communication Technology Supplies.
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Reason: Delays in recruitment of staff. This will be completed in Q3

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(i) Major unspent balances

Departments , Projects

Sub SubProgramme:03 Directorate of Systems Administration and Security

Sub Programme: 02 Security

0.471	Bn Shs	Department : 001 Systems Administration and Security
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Reason: Delays in recruitment of staff. This will be completed in Q3

Items

0.105	UShs	226002 Licenses
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Reason: Delays in recruitment of staff. This will be completed in Q3

Sub SubProgramme:04 Directorate of Analysis and Monitoring

Sub Programme: 05 Anti-Corruption and Accountability

	Bn Shs	Department : 002 Operational analysis
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Reason: To be absorbed in Q3

Items

0.015	UShs	221003 Staff Training
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Reason: To be absorbed in Q3

0.010	UShs	221012 Small Office Equipment
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Reason: To be absorbed in Q3

0.008	UShs	228002 Maintenance-Transport Equipment
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Reason: To be absorbed in Q3

0.005	UShs	221008 Information and Communication Technology Supplies.
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Reason: To be absorbed in Q3

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V2: Performance Highlights

Table V2.1: PIAP outputs and output Indicators

Programme:16 GOVERNANCE AND SECURITY			
SubProgramme:01 Institutional Coordination			
Sub SubProgramme:01 Directorate of Finance and Administration			
Department:002 Human resource registry and security			
Budget Output: 000005 Human Resource Management			
PIAP Output: 16060201 Human Resources Management Services provided			
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
No of Staff receiving their salaries by 28th of each month	Number	86	42
No of staff trained	Number	20	19
SubProgramme:02 Security			
Sub SubProgramme:03 Directorate of Systems Administration and Security			
Department:001 Systems Administration and Security			
Budget Output: 120007 Support services			
PIAP Output: 16070516 Enhanced Technical capability			
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Value of security equipment acquired (bn)	Value	1	0
SubProgramme:03 Policy and Legislation Processes			
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations			
Department:001 Legal and Corporate Affairs			
Budget Output: 460103 Legal Representation and Litigation services			
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
No of policies developed and/or reviewed	Number	1	2
PIAP Output: 16060305 AML/CFT International standards implemented			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number of FATF Technical Compliance recommendations re-rated	Number	6	2

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Programme:16 GOVERNANCE AND SECURITY			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:01 Directorate of Finance and Administration			
Department:001 Accounts			
Budget Output: 000014 Administrative and Support Services			
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number or percentage (%) of personnel recruited and trained	Number	100%	100
Project:1623 Retooling of Financial Intelligence Authority			
Budget Output: 000003 Facilities and Equipment Management			
PIAP Output: 16070520 Enhanced Technical capability			
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Value of security equipment acquired (bn)	Value	0.129	0.004
Sub SubProgramme:02 Directorate of Internal Audit			
Department:001 Internal Audit			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number or percentage (%) of personnel recruited and trained	Number	100%	100
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Department:001 Strategic Analysis and Statistics			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number of studies under taken per year and results disseminated to stakeholders	Number	2	2

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Programme:16 GOVERNANCE AND SECURITY			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Department:001 Strategic Analysis and Statistics			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes			
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number of engagements with the public on matters related to ML/TF/PF	Number	8	5
PIAP Output: 16080813 Financial due diligence undertaken on investors			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
No . of financial due diligence reports produced	Number	30	16
Department:002 Operational analysis			
Budget Output: 560019 Data Management and Dissemination			
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
No. of reports disseminated	Number	80	39
Sub SubProgramme:05 Directorate of Compliance and Training			
Department:001 Compliance and Inspection			
Budget Output: 000023 Inspection and Monitoring			
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number of sanctions issued for non compliance with the AML/CFT/CPF standard	Number	1	0

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Performance highlights for the Quarter

- 1) FIA Completed a Typology study on Internal Fraud in the Financial Sector.
- 2) FIA completed the Tax crimes vulnerability assessment as part of the tax crimes assessment.
- 3) FIA participated in the Centenary Bank AML/CFT Joint Inspection with the team from Bank of Uganda AML/CFT Unit. Additionally, FIA commenced the inspection of Airtel Mobile Commerce Uganda Limited and World Vision Uganda Limited.
- 4) 165 Accountable Persons were registered, 179 certificates of registration were issued and 116 Accountable Persons requested for their certificates to be certified.
- 5) Receipt of information. 580 suspicious transaction, 104 suspicious activity reports and 1,337 large cash transaction reports were received.
- 6) 17 intelligence reports were disseminated to law enforcement agencies and competent authorities for further management.
- 7) FIA received 29 requests for information from different LEAs and competent authorities, and responded to 27.
- 8) FIA received a total of 7 requests for conducting financial due diligence (background and credibility checks) from the MoFPED and UIA on companies that wished to partner with the GOU on certain projects. Of the 7 requests received, 4 were conducted and the respective reports were sent to responsible agencies.
- 9) Uganda's ICRG progress report was prepared in preparation for the Face-to-Face meetings to be held in January 2023 in Rabat, Morocco.
- 10) The goAML electronic platform continued to be enhanced and as a result, 3,142 reports were received via the goAML platform from various accountable persons relating to different transaction reports.
- 11) FIA digitized the process of issuance of certificates of registration to enhance efficiency and effectiveness. Application and issuance of registration certificates are now conducted via the FIA website portal.
- 12) FIA participated in the National Anti-Corruption week activities, the Annual Bankers Sports Gala, and the 2022 CEO Forum. It also published articles in various publications.

Variances and Challenges

1. The main challenge faced by FIA was a release shortfall during Q2. This affected implementation of many preplanned activities.
2. Insufficient budgetary allocations. The budget for FIA for the FY 2022/23 is Ugx 26.651 Billion. However, this is not sufficient to implement the FIA mandate.
3. Lack of budget allocation to travel abroad. This was as a result of votes not being allowed to allocate funds on travel abroad in the FY 2022/23. However, there are critical commitments FIA undertakes that require travel abroad that include attending ESAAMLG meetings to justify and elaborate on progress reports Uganda submits on addressing the deficiencies relating to 9 recommendations where Uganda is rated Partially compliant and 7 recommendations where Uganda is rated Non-Compliant.

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V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Budget Output*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 GOVERNANCE AND SECURITY	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Sub SubProgramme:01 Directorate of Finance and Administration	16.597	16.597	7.921	4.584	47.7 %	27.6 %	57.9 %
000003 Facilities and Equipment Management	0.129	0.129	0.086	0.004	66.7%	3.1%	4.7%
000005 Human Resource Management	12.440	12.440	6.220	3.366	50.0%	27.1%	54.1%
000014 Administrative and Support Services	4.028	4.028	1.615	1.214	40.1%	30.1%	75.2%
Sub SubProgramme:02 Directorate of Internal Audit	0.064	0.064	0.036	0.031	57.0 %	48.5 %	85.1 %
000001 Audit and Risk Management	0.064	0.064	0.036	0.031	56.3%	48.4%	86.1%
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.827	1.827	0.662	0.191	36.2 %	10.5 %	28.9 %
120007 Support services	1.827	1.827	0.662	0.191	36.2%	10.5%	28.9%
Sub SubProgramme:04 Directorate of Analysis and Monitoring	6.374	6.374	4.036	3.962	63.3 %	62.2 %	98.2 %
000001 Audit and Risk Management	0.661	0.661	0.129	0.099	19.5%	15.0%	76.7%
560019 Data Management and Dissemination	5.713	5.713	3.907	3.863	68.4%	67.6%	98.9%
Sub SubProgramme:05 Directorate of Compliance and Training	1.598	1.598	0.560	0.461	35.1 %	28.8 %	82.3 %
000023 Inspection and Monitoring	1.598	1.598	0.560	0.461	35.0%	28.8%	82.3%
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.191	0.191	0.131	0.105	68.8 %	55.0 %	79.9 %
460103 Legal Representation and Litigation services	0.191	0.191	0.131	0.105	68.6%	55.0%	80.2%
Total for the Vote	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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Table V3.2: GoU Expenditure by Item 2022/23 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
211102 Contract Staff Salaries	9.594	9.594	4.797	2.505	50.0 %	26.1 %	52.2 %
211104 Employee Gratuity	1.407	1.407	1.055	0.498	75.0 %	35.4 %	47.2 %
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	0.483	0.483	0.275	0.237	57.0 %	49.0 %	86.0 %
211107 Boards, Committees and Council Allowances	0.389	0.389	0.198	0.107	50.9 %	27.5 %	54.0 %
212101 Social Security Contributions	1.439	1.439	0.368	0.363	25.6 %	25.3 %	98.8 %
212102 Medical expenses (Employees)	0.351	0.351	0.000	0.000	0.0 %	0.0 %	0.0 %
221001 Advertising and Public Relations	0.212	0.212	0.145	0.096	68.5 %	45.3 %	66.1 %
221002 Workshops, Meetings and Seminars	0.477	0.477	0.224	0.171	46.9 %	35.8 %	76.4 %
221003 Staff Training	0.138	0.138	0.055	0.005	39.9 %	3.6 %	9.1 %
221007 Books, Periodicals & Newspapers	0.021	0.021	0.011	0.009	51.2 %	45.3 %	88.5 %
221008 Information and Communication Technology Supplies.	1.262	1.262	0.537	0.070	42.5 %	5.6 %	13.1 %
221009 Welfare and Entertainment	0.437	0.437	0.246	0.175	56.2 %	40.1 %	71.4 %
221011 Printing, Stationery, Photocopying and Binding	0.118	0.118	0.074	0.007	63.0 %	5.7 %	9.1 %
221012 Small Office Equipment	0.243	0.243	0.133	0.022	54.7 %	9.2 %	16.8 %
221017 Membership dues and Subscription fees.	0.365	0.365	0.353	0.343	96.8 %	94.1 %	97.2 %
222001 Information and Communication Technology Services.	0.040	0.040	0.020	0.009	50.0 %	23.7 %	47.4 %
222002 Postage and Courier	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
223001 Property Management Expenses	0.036	0.036	0.018	0.018	50.0 %	50.0 %	100.0 %
223003 Rent-Produced Assets-to private entities	1.328	1.328	0.226	0.226	17.0 %	17.0 %	99.8 %
223004 Guard and Security services	0.264	0.264	0.127	0.117	48.1 %	44.3 %	92.1 %
223005 Electricity	0.072	0.072	0.032	0.023	44.4 %	32.2 %	72.5 %
224009 Classified Expenditure	6.431	6.431	3.973	3.973	61.8 %	61.8 %	100.0 %
225101 Consultancy Services	0.270	0.270	0.000	0.000	0.0 %	0.0 %	0.0 %
226001 Insurances	0.065	0.065	0.000	0.000	0.0 %	0.0 %	0.0 %
226002 Licenses	0.459	0.459	0.125	0.020	27.2 %	4.3 %	15.9 %
227001 Travel inland	0.334	0.334	0.141	0.138	42.2 %	41.3 %	98.0 %
227004 Fuel, Lubricants and Oils	0.348	0.348	0.176	0.176	50.6 %	50.6 %	100.0 %

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
228002 Maintenance-Transport Equipment	0.070	0.070	0.039	0.025	56.3 %	35.3 %	62.8 %
Total for the Vote	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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Table V3.3: Releases and Expenditure by Department and Project*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 GOVERNANCE AND SECURITY	26.651	26.651	13.347	9.334	50.08 %	35.02 %	69.93 %
Sub SubProgramme:01 Directorate of Finance and Administration	16.597	16.597	7.921	4.584	47.72 %	27.62 %	57.9 %
<i>Departments</i>							
001 Accounts	4.028	4.028	1.615	1.214	40.1 %	30.1 %	75.2 %
002 Human resource registry and security	12.440	12.440	6.220	3.366	50.0 %	27.1 %	54.1 %
<i>Development Projects</i>							
1623 Retooling of Financial Intelligence Authority	0.129	0.129	0.086	0.004	66.7 %	3.1 %	4.6 %
Sub SubProgramme:02 Directorate of Internal Audit	0.064	0.064	0.036	0.031	57.03 %	48.33 %	84.7 %
<i>Departments</i>							
001 Internal Audit	0.064	0.064	0.036	0.031	57.0 %	48.3 %	84.7 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.827	1.827	0.662	0.191	36.23 %	10.43 %	28.8 %
<i>Departments</i>							
001 Systems Administration and Security	1.827	1.827	0.662	0.191	36.2 %	10.4 %	28.8 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:04 Directorate of Analysis and Monitoring	6.374	6.374	4.036	3.962	63.32 %	62.17 %	98.2 %
<i>Departments</i>							
001 Strategic Analysis and Statistics	0.661	0.661	0.129	0.099	19.6 %	15.0 %	76.8 %
002 Operational analysis	5.713	5.713	3.907	3.863	68.4 %	67.6 %	98.9 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:05 Directorate of Compliance and Training	1.598	1.598	0.560	0.461	35.07 %	28.82 %	82.2 %
<i>Departments</i>							
001 Compliance and Inspection	1.598	1.598	0.560	0.461	35.1 %	28.8 %	82.2 %

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 GOVERNANCE AND SECURITY	26.651	26.651	13.347	9.334	50.08 %	35.02 %	69.93 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.191	0.191	0.131	0.105	68.80 %	54.98 %	79.9 %
<i>Departments</i>							
001 Legal and Corporate Affairs	0.191	0.191	0.131	0.105	68.8 %	55.0 %	79.9 %
<i>Development Projects</i>							
N/A							
Total for the Vote	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

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Quarter 2: Outputs and Expenditure in the Quarter

Outputs Planned in Quarter		Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Programme:16 GOVERNANCE AND SECURITY			
SubProgramme:01 Institutional Coordination			
Sub SubProgramme:01 Directorate of Finance and Administration			
Departments			
Department:002 Human resource registry and security			
Budget Output:000005 Human Resource Management			
PIAP Output: 16060201 Human Resources Management Services provided			
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff			
5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.	1) 13 trainings and capacity building engagements were conducted where over 20 staff were trained in AML/CFT related courses 2) Payroll was processed for all staff. 3) Gratuity payments were done for all staff .		Outputs achieved as planned
Expenditures incurred in the Quarter to deliver outputs			UShs Thousand
Item	Spent		
211102 Contract Staff Salaries	1,515,228.072		
212101 Social Security Contributions	250,890.000		
	Total For Budget Output	1,766,118.072	
	Wage Recurrent	1,515,228.072	
	Non Wage Recurrent	250,890.000	
	Arrears	0.000	
	AIA	0.000	
	Total For Department	1,766,118.072	
	Wage Recurrent	1,515,228.072	
	Non Wage Recurrent	250,890.000	
	Arrears	0.000	
	AIA	0.000	
Develoment Projects			
N/A			
SubProgramme:02 Security			

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Outputs Planned in Quarter		Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Sub SubProgramme:03 Directorate of Systems Administration and Security			
Departments			
Department:001 Systems Administration and Security			
Budget Output:120007 Support services			
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
100 documents scanned to the EDMS 5 licenses renewed. 1 Preventive Maintenance service works carried out Provision of ICT reusables	1) 7 reporting entities were onboarded on goAML 2) 2 licenses were renewed. i.e Renewal of Del server support license and CISCO Smartnet service for 2 wireless access points. 3) 100 documents scanned to EDMS. 4) Provided coordination, technical support and issue diagnosis for the Egmont Secure Web (ESW) system to ensure constant communication between the FIA and the operational divisions of member FIUs. 5) IT Support for end-users was provided. 6) Maintenance activities were performed on the website including content, news, press release, statistics and sanction list updates. 7) IT security was enhanced and alerts continued to be shared on areas such as spear phishing, windows patch management, Kaspersky malware detections and vulnerabilities, threat intelligence metrics, proactive and preventive cyber security measures, incidents and intrusion attempts.		Some licenses renewals were differed due to release shortfalls during Q2.
Expenditures incurred in the Quarter to deliver outputs			US\$ Thousand
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			20,440.730
221002 Workshops, Meetings and Seminars			9,096.770
221008 Information and Communication Technology Supplies.			50,000.000
221017 Membership dues and Subscription fees.			7,000.000
222001 Information and Communication Technology Services.			5,455.000
226002 Licenses			19,853.580
227001 Travel inland			3,997.000
227004 Fuel, Lubricants and Oils			3,600.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Total For Budget Output	119,443.080
	Wage Recurrent	0.000
	Non Wage Recurrent	119,443.080
	Arrears	0.000
	AIA	0.000
	Total For Department	119,443.080
	Wage Recurrent	0.000
	Non Wage Recurrent	119,443.080
	Arrears	0.000
	AIA	0.000

Development Projects

N/A

SubProgramme:03 Policy and Legislation Processes

Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations

Departments

Department:001 Legal and Corporate Affairs

Budget Output:460103 Legal Representation and Litigation services

PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.

Programme Intervention: 160603 Review and enact appropriate legislation

Regulatory Impact Assessment of the Proliferation of financing law. 4 ICRG action items implemented Consultation meetings with First Parliamentary Council for issuance of administrative sanctions.	1) FIA Drafted and issued Targeted Financial Sanctions Guidelines for Accountable Persons. 2) FIA finalized the drafting of Anti-Money Laundering (Amendment) Regulations, 2022. 3) The Anti-Terrorism (Amendment) Regulations, 2022 were finalized. 4) Uganda’s ICRG progress report was prepared in preparation for the Face-to-Face meetings to be held in January 2023 in Rabat, Morocco.	None
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Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	25,000.000
221002 Workshops, Meetings and Seminars	5,220.000
221003 Staff Training	5,000.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		US\$ Thousand
Item		Spent
221008 Information and Communication Technology Supplies.		13,750.000
221011 Printing, Stationery, Photocopying and Binding		500.000
221017 Membership dues and Subscription fees.		3,409.550
227004 Fuel, Lubricants and Oils		7,800.000
	Total For Budget Output	60,679.550
	Wage Recurrent	0.000
	Non Wage Recurrent	60,679.550
	Arrears	0.000
	AIA	0.000
	Total For Department	60,679.550
	Wage Recurrent	0.000
	Non Wage Recurrent	60,679.550
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
SubProgramme:05 Anti-Corruption and Accountability		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
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PIAP Output: 16070502 General administration and support services enhanced**Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

Budget framework paper prepared and submitted. Quarterly budget performance reports prepared and submitted. Quarterly FIA performance report prepared and submitted. 2 engagements with the public on ML/TF matters conducted regionally. 4 Board meetings facilitated. General administrative and support services provided to the authority for smooth execution of the mandate.	1. Budget framework paper was prepared and submitted. 2. Quarter 1 budget performance reports prepared and submitted. 3. Quarter 1 FIA performance report was prepared and submitted. 4. 2 engagements were conducted with the public on ML/TF matters conducted. These included Participation in National Anti corruption week activities and participation in the annual bankers sports gala. 5. 2 Board meetings were facilitated. 6. General administrative and support services provided to the authority for smooth execution of the mandate.	None
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Expenditures incurred in the Quarter to deliver outputs*US\$ Thousands*

Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	19,920.000
211107 Boards, Committees and Council Allowances	75,266.528
221001 Advertising and Public Relations	63,077.200
221007 Books, Periodicals & Newspapers	2,859.500
221008 Information and Communication Technology Supplies.	2,537.000
221009 Welfare and Entertainment	131,825.140
221011 Printing, Stationery, Photocopying and Binding	5,576.800
221012 Small Office Equipment	22,280.000
223001 Property Management Expenses	9,300.000
223003 Rent-Produced Assets-to private entities	225,520.262
223004 Guard and Security services	73,461.810
223005 Electricity	12,423.912
227001 Travel inland	5,000.000
227004 Fuel, Lubricants and Oils	31,100.000
228002 Maintenance-Transport Equipment	7,679.547
Total For Budget Output	687,827.699
Wage Recurrent	0.000
Non Wage Recurrent	687,827.699

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Arrears	0.000
	AIA	0.000
	Total For Department	687,827.699
	Wage Recurrent	0.000
	Non Wage Recurrent	687,827.699
	Arrears	0.000
	AIA	0.000

Development Projects

Project:1623 Retooling of Financial Intelligence Authority

Budget Output:000003 Facilities and Equipment Management

PIAP Output: 16070503 Retooling of Financial Intelligence Authority

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

7 Laptops procured	Procurement of 11 laptops	None
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Expenditures incurred in the Quarter to deliver outputsUShs Thousand

Item	Spent
Total For Budget Output	3,986.323
GoU Development	3,986.323
External Financing	0.000
Arrears	0.000
AIA	0.000
Total For Project	3,986.323
GoU Development	3,986.323
External Financing	0.000
Arrears	0.000
AIA	0.000

Sub SubProgramme:02 Directorate of Internal Audit

Departments

Department:001 Internal Audit

Budget Output:000001 Audit and Risk Management

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter		Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
1 Audit Risk management assessments conducted. 1 Risk Based Reports Produced	1) 1 Audit Risk management assessments was conducted 2) 1 Risk Based Report was Produced	Outputs were achieved as planned	
Expenditures incurred in the Quarter to deliver outputs			US\$ Thousand
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			90.000
221002 Workshops, Meetings and Seminars			3,000.000
227001 Travel inland			10,000.000
227004 Fuel, Lubricants and Oils			3,000.000
Total For Budget Output			16,090.000
Wage Recurrent			0.000
Non Wage Recurrent			16,090.000
Arrears			0.000
AIA			0.000
Total For Department			16,090.000
Wage Recurrent			0.000
Non Wage Recurrent			16,090.000
Arrears			0.000
AIA			0.000
Development Projects			
N/A			
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Departments			
Department:001 Strategic Analysis and Statistics			
Budget Output:000001 Audit and Risk Management			

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1 ML/TF risk assessment of sectors conducted as informed by the NRA report and strategic analysis of the FIA Findings of ML/TF risk assessments /typology and other methods and trends reports disseminated to Stakeholders ML/TF strategic analysis conducted on trends and methods as informed by operational analysis and other reports.	<p>1) FIA Completed a of a Typology study on Internal Fraud in the Financial Sector. The purpose of the typology study was to identify money laundering trends, patterns, and related issues to come up with measures to help sector players mitigate against identified risks.</p> <p>2) FIA completed the Tax crimes vulnerability assessment as part of the tax crimes assessment. This Tax Crimes & Proceeds assessment aims to assess the scale, relative incidence and proceeds of tax crimes, the nature of tax crimes and common typologies and their relevance to money laundering.</p> <p>3) FIA received a total of 7 requests for conducting financial due diligence (background and credibility checks) from the MoFPED and UIA on companies that wished to partner with the Government of Uganda on certain projects. Of the seven (7) requests received, four were conducted and the respective reports were sent to responsible agencies while the other 3 await supporting documents from various sources.</p>	Outputs on track as planned
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
7 Financial due diligence on investors conducted Engagement of individuals/entities that have ability to collect secret information on subjects of FDD A mechanism established to acquire vital information to support FDD	FIA received a total of 7 requests for conducting financial due diligence (background and credibility checks) from the Ministry of Finance, Planning and Economic Development and Uganda Investment Authority on companies that wished to partner with the Government of Uganda on certain projects. Of the seven (7) requests received, four were conducted and the respective reports were sent to responsible agencies while the other 3 await supporting documents from various sources and will be completed in the next quarter.	None

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes		
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery		
1 regional Public Relations awareness campaign conducted	1) FIA participated in 3 public awareness campaigns that include; Annual Bankers Sports Gala, the National Anti-Corruption week activities and the 2022 CEO Forum. 2) 2 Articles were published in the New Vision and Daily Monitor on the role of the FIA in protecting the integrity of Uganda’s financial system and how the public can join the fight against money laundering and related financial crimes. 3) FIA produced an article on the nexus between corruption and Money Laundering. 4) FIA run a message in the 2022 social economic transformation – Sector review magazine.	Outputs achieved as planned
Expenditures incurred in the Quarter to deliver outputs		
Item		UShs Thousand
		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		11,940.000
227004 Fuel, Lubricants and Oils		11,033.000
Total For Budget Output		22,973.000
Wage Recurrent		0.000
Non Wage Recurrent		22,973.000
Arrears		0.000
AIA		0.000
Total For Department		22,973.000
Wage Recurrent		0.000
Non Wage Recurrent		22,973.000
Arrears		0.000
AIA		0.000
Department:002 Operational analysis		
Budget Output:560019 Data Management and Dissemination		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
100% of financial reports analysed. 20 intelligence reports disseminated to LEAs. 100% of Foreign FIUs requests responded to within 15 working days. 100% of Egmont/ESAAMLG Head of Analysis activities actively involved in. 4 engagements held with relevant LEAs on the usage of Financial Intelligence information. 1 engagement held combing all relevant LEAs and Competent Authorities on the usage of financial intelligence. 1 Integrated FIA databases maintained. 1 Up to date databases including records filing and archiving maintained. 1 awareness engagement held with accountable persons on AML/CFT reporting. 1 report on vital information to support analysis produced.	1) 33.7% of the reports received were analysed. 2) 17 intelligence reports disseminated to Law enforcement agencies and competent authorities. 3) 33% of foreign requests for information were responded to. 4) 2 engagements held with URA and Inspectorate of government on status of various cases disseminated by the FIA . 5) 1 Integrated FIA databases maintained. 6) 1 Up to date databases including records filing and archiving maintained.	None
Expenditures incurred in the Quarter to deliver outputs		UShs Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		16,838.240
221007 Books, Periodicals & Newspapers		1,650.000
221017 Membership dues and Subscription fees.		13,914.268
224009 Classified Expenditure		2,895,892.026
227001 Travel inland		986.000
227004 Fuel, Lubricants and Oils		12,270.000
	Total For Budget Output	2,941,550.534
	Wage Recurrent	0.000
	Non Wage Recurrent	2,941,550.534
	Arrears	0.000
	AIA	0.000
	Total For Department	2,941,550.534
	Wage Recurrent	0.000
	Non Wage Recurrent	2,941,550.534
	Arrears	0.000
	AIA	0.000
Develoment Projects		
N/A		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Sub SubProgramme:05 Directorate of Compliance and Training		
Departments		
Department:001 Compliance and Inspection		
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
2 Risk Based offsite and onsite inspections conducted in Eastern and Northern Uganda (Ugx 54 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach that include men, women, youth, elder persons and PWDs (Ugx 19 million). 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in western Uganda (Ugx 28 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated	(i) Concluded Centenary bank inspection in October and Started on the Airtel mobile Commerce and World Vision Uganda inspections. (ii) 10 reports compliance Risk Based reports were reviewed (iii) Trained 6 categories of accountable persons on a risk based approach; NGOs, Microfinance Institutions, Life Insurance companies, Asset Management companies, forex bureaus and banks. (iv) Trained 1 category of LEA. i.e Uganda Police Force (v) Guidance given to over 200 accountable persons through emails, phone calls, physical meetings and letters (vi) 6 accountable persons enrolled on the goAML electronic platform (vii) 3 brochures developed to sensitize the public and create awareness	Release shortfall affected implementation of some of the planned activities

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
Administrative sanctions issued to non compliant accountable persons in all regions of Uganda	Administrative sanctions were not issued	Anti-Money Laundering (Amendment) Regulations, 2022 that regulations provide for the imposition of administrative sanctions or fines for breach of the provisions of the regulations, which is now permitted by the Act 11 of 2022 were being drafted and had been finalised by the end of the Quarter. These will now form a basis of issuance of administrative sanctions going foward.
Expenditures incurred in the Quarter to deliver outputs		UShs Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		50,491.500
221001 Advertising and Public Relations		8,363.400
221002 Workshops, Meetings and Seminars		75,306.732
221007 Books, Periodicals & Newspapers		1,303.000
227001 Travel inland		78,950.000
227004 Fuel, Lubricants and Oils		17,200.000
228002 Maintenance-Transport Equipment		12,780.000
Total For Budget Output		244,394.632
Wage Recurrent		0.000
Non Wage Recurrent		244,394.632
Arrears		0.000
AIA		0.000
Total For Department		244,394.632
Wage Recurrent		0.000
Non Wage Recurrent		244,394.632

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
	GRAND TOTAL	5,863,062.890
	Wage Recurrent	1,515,228.072
	Non Wage Recurrent	4,343,848.495
	GoU Development	3,986.323
	External Financing	0.000
	Arrears	0.000
	AIA	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Quarter 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Programme:16 GOVERNANCE AND SECURITY		
SubProgramme:01 Institutional Coordination		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
1. 20 Staff trained that include male, female and PWDs (127 million)	1) 24 trainings and capacity building engagements were conducted where	
2. Payroll processed on time for all staff that include male and female staff.	over 20 staff were trained in AML/CFT related courses	
3. Gratuity and NSSF payments for all staff that include male and female staff processed and paid on time.	2) Payroll was processed for all staff.	
	3) Gratuity payments were done for all staff .	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		US\$ Thousand
Item		Spent
211102 Contract Staff Salaries		2,504,761.072
211104 Employee Gratuity		498,000.000
212101 Social Security Contributions		363,450.000
	Total For Budget Output	3,366,211.072
	Wage Recurrent	2,504,761.072
	Non Wage Recurrent	861,450.000
	Arrears	0.000
	AIA	0.000
	Total For Department	3,366,211.072
	Wage Recurrent	2,504,761.072
	Non Wage Recurrent	861,450.000
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
SubProgramme:02 Security		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
Sub SubProgramme:03 Directorate of Systems Administration and Security			
Departments			
Department:001 Systems Administration and Security			
Budget Output:120007 Support services			
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
40 reporting entities from all regions of Uganda onboarded on goAML. 400 documents scanned to the EDMS 14 licenses renewed. 1 Penetration test carried out for the ICT Software solutions and Networks. 1 security operating center (SOC) tool implemented.		1. 87 reporting entities (forex bureaus) were onboarded on goAML 2. Compliance training and goAML on-boarding for six (6) national payment system providers/operators (NPSP/Os). 3. 100 documents scanned to the EDMS 4. Provided coordination, technical support and issue diagnosis for the Egmont Secure Web (ESW) system to ensure constant communication between the FIA and the operational divisions of member FIUs. 5. IT Support for end-users was provided. 6. Maintenance activities were performed on the website including content, news, press release, statistics and sanction list updates. 7. IT security was enhanced and alerts continued to be shared on areas such as spear phishing, windows patch management, Kaspersky malware detections and vulnerabilities, threat intelligence metrics, proactive and preventive cyber security measures, incidents and intrusion attempts.	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs			US\$ Thousand
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			36,267.000
221002 Workshops, Meetings and Seminars			28,282.960
221008 Information and Communication Technology Supplies.			50,000.000
221017 Membership dues and Subscription fees.			7,000.000
222001 Information and Communication Technology Services.			9,425.000
226002 Licenses			19,853.580
227001 Travel inland			5,427.000
227004 Fuel, Lubricants and Oils			33,600.000
228002 Maintenance-Transport Equipment			772.900
Total For Budget Output			190,628.440
Wage Recurrent			0.000
Non Wage Recurrent			190,628.440

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Arrears	0.000
	AIA	0.000
	Total For Department	190,628.440
	Wage Recurrent	0.000
	Non Wage Recurrent	190,628.440
	Arrears	0.000
	AIA	0.000

Development Projects

N/A

SubProgramme:03 Policy and Legislation Processes

Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations

Departments

Department:001 Legal and Corporate Affairs

Budget Output:460103 Legal Representation and Litigation services

PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.

Programme Intervention: 160603 Review and enact appropriate legislation

6 FATF Technical Compliance recommendations re-rated from Partially compliant/Non compliant to compliant/Largely compliant. PF Law enacted. 11 ICRG actions implemented. Issuance of administrative sanctions fast tracked.	1) 2 FATF Technical Compliance recommendations re-rated from Partially compliant/Non compliant to compliant/Largely compliant. 2). ICRG Virtual Face to Face Meeting with Uganda. The face-to-face meeting between the Uganda delegation and the Africa/Middle East Joint Group was held on September 9, 2022 in Livingstone, Zambia. The meeting took note of the significant progress made by Uganda in amending a set of 7 legislation aimed at addressing elements of the ICRG action plan. 3) 6 Laws were amended and assented to by the President. 4) FIA Drafted and issued Targeted Financial Sanctions Guidelines for Accountable Persons. 5) FIA finalized the drafting of Anti-Money Laundering (Amendment) Regulations, 2022. 6) The Anti-Terrorism (Amendment) Regulations, 2022 were finalized. 7) Uganda’s ICRG progress report was prepared in preparation for the Face-to-Face meetings to be held in January 2023 in Rabat, Morocco.
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VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		40,000.000
221002 Workshops, Meetings and Seminars		6,743.280
221003 Staff Training		5,000.000
221008 Information and Communication Technology Supplies.		13,750.000
221011 Printing, Stationery, Photocopying and Binding		500.000
221017 Membership dues and Subscription fees.		3,409.550
224009 Classified Expenditure		20,000.000
227004 Fuel, Lubricants and Oils		15,600.000
	Total For Budget Output	105,002.830
	Wage Recurrent	0.000
	Non Wage Recurrent	105,002.830
	Arrears	0.000
	AIA	0.000
	Total For Department	105,002.830
	Wage Recurrent	0.000
	Non Wage Recurrent	105,002.830
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
SubProgramme:05 Anti-Corruption and Accountability		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		

VOTE: 129 Financial Intelligence Authority (FIA)**Quarter 2**

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16070502 General administration and support services enhanced		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
Financial statements submitted. Quarterly and Annual performance reports prepared and submitted. Ministerial policy statement prepared and submitted. Budget framework paper prepared and submitted. 8 engagements with the public on ML/TF matters conducted.	1. Budget framework paper was prepared and submitted. 2. Quarter 1 and Q4 budget performance reports prepared and submitted. 3. Quarterly 1 and Q4 FIA performance report was prepared and submitted. 4. 2 engagements were conducted with the public on ML/TF matters conducted. These included Participation in National Anti corruption week activities and participation in the annual bankers sports gala. 5. 4 Board meetings were facilitated. 6. General administrative and support services provided to the authority for smooth execution of the mandate. 7. FY 2022/23 Financial statements prepared and submitted. 8. FY 2022/23 Annual performance report prepared and submitted.	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		<i>US\$ Thousand</i>
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	28,720.000	
211107 Boards, Committees and Council Allowances	106,878.528	
221001 Advertising and Public Relations	87,480.200	
221002 Workshops, Meetings and Seminars	4,465.000	
221007 Books, Periodicals & Newspapers	4,951.500	
221008 Information and Communication Technology Supplies.	2,537.000	
221009 Welfare and Entertainment	175,422.780	
221011 Printing, Stationery, Photocopying and Binding	6,206.800	
221012 Small Office Equipment	22,280.000	
221017 Membership dues and Subscription fees.	306,103.937	
223001 Property Management Expenses	18,000.000	
223003 Rent-Produced Assets-to private entities	225,520.262	
223004 Guard and Security services	117,052.350	
223005 Electricity	23,207.395	
227001 Travel inland	12,534.000	
227004 Fuel, Lubricants and Oils	62,200.000	
228002 Maintenance-Transport Equipment	10,459.294	
Total For Budget Output	1,214,019.046	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Wage Recurrent	0.000
	Non Wage Recurrent	1,214,019.046
	Arrears	0.000
	AIA	0.000
	Total For Department	1,214,019.046
	Wage Recurrent	0.000
	Non Wage Recurrent	1,214,019.046
	Arrears	0.000
	AIA	0.000

Development Projects

Project:1623 Retooling of Financial Intelligence Authority

Budget Output:000003 Facilities and Equipment Management

PIAP Output: 16070503 Retooling of Financial Intelligence Authority

Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.

7 Laptops procured.	Procurement of 11 laptops
Boardroom Digital Collaboration Solution procured.	

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$hs Thousand
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Item	Spent
221008 Information and Communication Technology Supplies.	3,986.323
Total For Budget Output	3,986.323
GoU Development	3,986.323
External Financing	0.000
Arrears	0.000
AIA	0.000
Total For Project	3,986.323
GoU Development	3,986.323
External Financing	0.000
Arrears	0.000
AIA	0.000

Sub SubProgramme:02 Directorate of Internal Audit

Departments

Department:001 Internal Audit

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
Budget Output:000001 Audit and Risk Management			
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
4 Audit Risk management assessments conducted		1) 1 Audit Risk management assessments was conducted	
4 Risk Based Reports Produced		2) 1 Risk Based Report was Produced	
Approved workplan by the Board			
Updated Risk Register			
1 joint inspections conducted			

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	90.000
221002 Workshops, Meetings and Seminars	6,150.000
227001 Travel inland	18,640.000
227004 Fuel, Lubricants and Oils	6,000.000
Total For Budget Output	30,880.000
Wage Recurrent	0.000
Non Wage Recurrent	30,880.000
Arrears	0.000
AIA	0.000
Total For Department	30,880.000
Wage Recurrent	0.000
Non Wage Recurrent	30,880.000
Arrears	0.000
AIA	0.000

Development Projects

N/A

Sub SubProgramme:04 Directorate of Analysis and Monitoring

Departments

Department:001 Strategic Analysis and Statistics

Budget Output:000001 Audit and Risk Management

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
2 ML/TF typology studies conducted. 2 ML/TF risk assessment of sectors conducted. 7 Recommendations from ML/TF typology studies/risk assessments implemented. 30 Financial due diligence reports on investors produced. 2 reports disseminated.		1) FIA Completed a of a Typology study on Internal Fraud in the Financial Sector. The purpose of the typology study was to identify money laundering trends, patterns, and related issues to come up with measures to help sector players mitigate against identified risks. 2) FIA completed the Tax crimes vulnerability assessment as part of the tax crimes assessment. This Tax Crimes & Proceeds assessment aims to assess the scale, relative incidence and proceeds of tax crimes, the nature of tax crimes and common typologies and their relevance to money laundering. 3)FIA received 19 requests to conduct financial due diligence on entities intending to partner with the government to undertake key investment projects. 16 requests were conducted and the rest were under review by end of Q2. Of the requests received, 15 were from MoFPED, 2 from UETCL and 2 from UIA.	
PIAP Output: 16080813 Financial due diligence undertaken on investors			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
30 due diligence reports on prospective investors produced. Procedures/guidelines developed to be followed to conduct FDD. A mechanism established to acquire vital information to support FDD.		FIA received 19 requests to conduct financial due diligence on entities intending to partner with the government to undertake key investment projects. 16 requests were conducted and the rest were under review by end of Q2. Of the requests received, 15 were from MoFPED, 2 from UETCL and 2 from UIA.	

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes			
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery			
4 Public awareness campaigns conducted in all regions of Uganda.		1) FIA participated in 3 public awareness campaigns that include; Annual Bankers Sports Gala, the National Anti-Corruption week activities and the 2022 CEO Forum. 2) 2 Articles were published in the New Vision and Daily Monitor on the role of the FIA in protecting the integrity of Uganda’s financial system and how the public can join the fight against money laundering and related financial crimes. 3) FIA produced an article on the nexus between corruption and Money Laundering. 4) FIA run a message in the 2022 social economic transformation – Sector review magazine. 5) Several talk shows were held. 6) Digital Media Support. FIA created of relevant and engaging digital content/compelling infographics for publishing onto various FIA digital platforms such as Twitter, developing of digital media projects, monitoring the public’s perspective on FIA’s services, timely sharing of significant press releases and analysing the data available so as to identify areas of improvement.	

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		30,000.000
224009 Classified Expenditure		57,261.000
227004 Fuel, Lubricants and Oils		11,933.000
Total For Budget Output		99,194.000
Wage Recurrent		0.000
Non Wage Recurrent		99,194.000
Arrears		0.000
AIA		0.000
Total For Department		99,194.000
Wage Recurrent		0.000
Non Wage Recurrent		99,194.000
Arrears		0.000
AIA		0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
Department:002 Operational analysis	
Budget Output:560019 Data Management and Dissemination	
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.	
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption	

Analysis of 100% of all financial reports. Dissemination of at least 80 intelligence reports to LEAs. Respond to 100% of foreign FIUs requests within 15 days. Conducting 16 engagements with relevant LEAs on the use of financial intelligence information.	1) 57% of the reports received were analysed. 2) 39 intelligence reports disseminated to Law enforcement agencies and competent authorities. 3) 40% of foreign requests for information were responded to. 4) 3 engagements held with URA and Inspectorate of government on status of various cases disseminated by the FIA . 5) 1 Integrated FIA databases maintained. 6) 1 Up to date databases including records filing and archiving maintained. 7)2 engagements with Money Laundering Control Officers (MLCO’s) and Members of the Uganda Forex Bureau Owners and Money Remitters Association were held. This was to enhance collaboration and efficiency between FIA and MLCO’s/Members of the Uganda Forex Bureau Owners and Money Remitters Association in the fight against Money Laundering and Terrorist Financing.
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Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	26,000.000
221007 Books, Periodicals & Newspapers	1,650.000
221017 Membership dues and Subscription fees.	26,494.678
224009 Classified Expenditure	3,795,892.026
227001 Travel inland	986.000
227004 Fuel, Lubricants and Oils	12,270.000
Total For Budget Output	3,863,292.704
Wage Recurrent	0.000
Non Wage Recurrent	3,863,292.704
Arrears	0.000
AIA	0.000
Total For Department	3,863,292.704
Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
	Non Wage Recurrent	3,863,292.704
	Arrears	0.000
	AIA	0.000

Development Projects

N/A

Sub SubProgramme:05 Directorate of Compliance and Training

Departments

Department:001 Compliance and Inspection

Budget Output:000023 Inspection and Monitoring

PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws

Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption

6 risk based onsite and offsite inspections conducted (all regions, 195 m) 160 compliance risk based reports reviewed . 7 categories of accountable persons Sensitized and trained on risk based approach. 8 AML/CFT/CPF public awareness campaigns undertaken	1. Joint Inspections were conducted on 6 Life Insurance Companies with IRA and also conducted a joint Inspection of one bank (Centenary) with Bank of Uganda. 2. 25 compliance Risk Based reports were reviewed. 3. 7 Categories of accountable persons were trained and sensitized on a risk-based approach that include; NGO's, MDI's and payment service providers/operators, Life Insurance companies, Asset Management companies, forex bureaus and banks. . 4. 2 categories of LEAs & Competent authorities were trained and sensitized that include UPF and URA. 5. Guidance was given to 200 accountable persons onAML/CFT. 6. A total of 348 accountable persons were registered during the period under review 7. 383 certificates of registration were issued while 305 were picked by the respective accountable persons. 8. The accountable persons register was continually updated. 9. 6 accountable persons enrolled on the goAML electronic platform 10. 3 brochures developed to sensitize the public
Issuance of administrative sanctions to non compliant accountable persons across the country.	Administrative sanctions were not issued

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
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Item	Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	75,550.250
221001 Advertising and Public Relations	8,363.400

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
221002 Workshops, Meetings and Seminars		125,306.732
221007 Books, Periodicals & Newspapers		2,887.000
224009 Classified Expenditure		100,000.000
227001 Travel inland		100,500.000
227004 Fuel, Lubricants and Oils		34,400.000
228002 Maintenance-Transport Equipment		13,500.000
	Total For Budget Output	460,507.382
	Wage Recurrent	0.000
	Non Wage Recurrent	460,507.382
	Arrears	0.000
	AIA	0.000
	Total For Department	460,507.382
	Wage Recurrent	0.000
	Non Wage Recurrent	460,507.382
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
	GRAND TOTAL	9,333,721.797
	Wage Recurrent	2,504,761.072
	Non Wage Recurrent	6,824,974.402
	GoU Development	3,986.323
	External Financing	0.000
	Arrears	0.000
	AIA	0.000

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
Programme:16 GOVERNANCE AND SECURITY		
SubProgramme:01		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
1. 20 Staff trained that include male, female and PWDs (127 million) 2. Payroll processed on time for all staff that include male and female staff. 3. Gratuity and NSSF payments for all staff that include male and female staff processed and paid on time.	5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.	5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.
Develoment Projects		
N/A		
SubProgramme:02		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
Departments		
Department:001 Systems Administration and Security		
Budget Output:120007 Support services		
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
40 reporting entities from all regions of Uganda onboarded on goAML. 400 documents scanned to the EDMS 14 licenses renewed. 1 Penetration test carried out for the ICT Software solutions and Networks. 1 security operating center (SOC) tool implemented.	100 documents scanned to the EDMS 5 licenses renewed. 1 Preventive Maintenance service works carried out Provision of ICT reusables	100 documents scanned to the EDMS 5 licenses renewed. 1 Preventive Maintenance service works carried out Provision of ICT reusables
Develoment Projects		
N/A		

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
SubProgramme:03		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
Departments		
Department:001 Legal and Corporate Affairs		
Budget Output:460103 Legal Representation and Litigation services		
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.		
Programme Intervention: 160603 Review and enact appropriate legislation		
6 FATF Technical Compliance recommendations re-rated from Partially compliant/Non compliant to compliant/Largely compliant. PF Law enacted. 11 ICRG actions implemented. Issuance of administrative sanctions fast tracked.	Consultative meetings with key stakeholders of the Proliferation of financing law. 2 ICRG action items implemented. Validation meetings with key stakeholders for issuance of administrative sanctions.	Consultative meetings with key stakeholders of the Proliferation of financing law. 2 ICRG action items implemented. Validation meetings with key stakeholders for issuance of administrative sanctions.
Develoment Projects		
N/A		
SubProgramme:05		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		
PIAP Output: 16070502 General administration and support services enhanced		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
Financial statements submitted. Quarterly and Annual performance reports prepared and submitted. Ministerial policy statement prepared and submitted. Budget framework paper prepared and submitted. 8 engagements with the public on ML/TF matters conducted.	Ministerial policy statement prepared and submitted. Quarterly budget performance reports prepared and submitted. Quarterly FIA performance report prepared and submitted. 2 engagements with the public on ML/TF matters conducted regionally. 4 Board meetings facilitated. General administrative and support services provided to the authority for smooth execution of the mandate. Financial statements prepared and submitted.	Ministerial policy statement prepared and submitted. Quarterly budget performance reports prepared and submitted. Quarterly FIA performance report prepared and submitted. 2 engagements with the public on ML/TF matters conducted regionally. 4 Board meetings facilitated. General administrative and support services provided to the authority for smooth execution of the mandate. Financial statements prepared and submitted.
Develoment Projects		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Plans			Quarter's Plan			Revised Plans		
Project:1623 Retooling of Financial Intelligence Authority								
Budget Output:000003 Facilities and Equipment Management								
PIAP Output: 16070503 Retooling of Financial Intelligence Authority								
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.								
7 Laptops procured. Boardroom Digital Collaboration Solution procured.								
Sub SubProgramme:02 Directorate of Internal Audit								
Departments								
Department:001 Internal Audit								
Budget Output:000001 Audit and Risk Management								
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls								
Programme Intervention: 160715 Strengthen research and development to address emerging security threats								
4 Audit Risk management assessments conducted 4 Risk Based Reports Produced Approved workplan by the Board Updated Risk Register 1 joint inspections conducted			1 Audit Risk management assessments conducted. 1 Risk Based Reports Produced. Approved workplan by the Board			1 Audit Risk management assessments conducted. 1 Risk Based Reports Produced. Approved workplan by the Board		
Develoment Projects								
N/A								
Sub SubProgramme:04 Directorate of Analysis and Monitoring								
Departments								
Department:001 Strategic Analysis and Statistics								
Budget Output:000001 Audit and Risk Management								
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing								
Programme Intervention: 160715 Strengthen research and development to address emerging security threats								
2 ML/TF typology studies conducted. 2 ML/TF risk assessment of sectors conducted. 7 Recommendations from ML/TF typology studies/risk assessments implemented. 30 Financial due diligence reports on investors produced. 2 reports disseminated.			1 ML/TF typology studies conducted. ML/TF strategic analysis conducted on trends and methods as informed by operational analysis and other reports. 3 Departmental staff trained with requisite FDD and strategic analysis skills			1 ML/TF typology studies conducted. ML/TF strategic analysis conducted on trends and methods as informed by operational analysis and other reports. 3 Departmental staff trained with requisite FDD and strategic analysis skills		

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
30 due diligence reports on prospective investors produced. Procedures/guidelines developed to be followed to conduct FDD. A mechanism established to acquire vital information to support FDD.	Procedures/guidelines developed to be followed to conduct FDD 10 Financial due diligence on investors conducted Engagement of individuals/entities that have ability to collect secret information on subjects of FDD	Procedures/guidelines developed to be followed to conduct FDD 10 Financial due diligence on investors conducted Engagement of individuals/entities that have ability to collect secret information on subjects of FDD
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes		
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery		
4 Public awareness campaigns conducted in all regions of Uganda.	1 regional Public Relations awareness campaign conducted	1 regional Public Relations awareness campaign conducted
Department:002 Operational analysis		
Budget Output:560019 Data Management and Dissemination		
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
Analysis of 100% of all financial reports. Dissemination of at least 80 intelligence reports to LEAs. Respond to 100% of foreign FIUs requests within 15 days. Conducting 16 engagements with relevant LEAs on the use of financial intelligence information.	100% of financial reports analysed. 20 intelligence reports disseminated to LEAs. 100% of Foreign FIUs requests responded to within 15 working days. 100% of Egmont/ESAAMLG Head of Analysis activities actively involved in. 4 engagements held with relevant LEAs on the usage of Financial Intelligence information. 1 engagement held combing all relevant LEAs and Competent Authorities on the usage of financial intelligence. 1 Integrated FIA databases maintained. 1 Up to date databases including records filing and archiving maintained. 1 awareness engagement held with accountable persons on AML/CFT reporting. 1 report on vital information to support analysis produced.	100% of financial reports analysed. 20 intelligence reports disseminated to LEAs. 100% of Foreign FIUs requests responded to within 15 working days. 100% of Egmont/ESAAMLG Head of Analysis activities actively involved in. 4 engagements held with relevant LEAs on the usage of Financial Intelligence information. 1 engagement held combing all relevant LEAs and Competent Authorities on the usage of financial intelligence. 1 Integrated FIA databases maintained. 1 Up to date databases including records filing and archiving maintained. 1 awareness engagement held with accountable persons on AML/CFT reporting. 1 report on vital information to support analysis produced.
<i>Development Projects</i>		
N/A		
Sub SubProgramme:05 Directorate of Compliance and Training		
<i>Departments</i>		
Department:001 Compliance and Inspection		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
6 risk based onsite and offsite inspections conducted (all regions, 195 m) 160 compliance risk based reports reviewed . 7 categories of accountable persons Sensitized and trained on risk based approach. 8 AML/CFT/CPF public awareness campaigns undertaken	1 Risk Based offsite and onsite inspection conducted in Central and Western Uganda (Ugx 48 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in Eastern Uganda (Ugx 24 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken in Central and western regions. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) . 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated targeting all Ugandans . 1 supervisory/ regulatory bodies trained and sensitized.	1 Risk Based offsite and onsite inspection conducted in Central and Western Uganda (Ugx 48 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in Eastern Uganda (Ugx 24 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken in Central and western regions. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) . 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated targeting all Ugandans . 1 supervisory/ regulatory bodies trained and sensitized.
Issuance of administrative sanctions to non compliant accountable persons across the country.	Administrative sanctions issued to non compliant accountable persons in all regions of Uganda	Administrative sanctions issued to non compliant accountable persons in all regions of Uganda
Development Projects		
N/A		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

V4: NTR Collections, Off Budget Expenditure and Vote Cross Cutting Issues

Table 4.1: NTR Collections (Billions)

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Table 4.2: Off-Budget Expenditure By Department and Project

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

Table 4.3: Vote Crosscutting Issues

i) Gender and Equity

Objective:	Gender and equity mainstreaming in FIA operations
Issue of Concern:	Marginalization of vulnerable groups nationally
Planned Interventions:	Mainstreaming gender and equity issues in planning and budgeting instruments. Provide appropriate facilities for PWDs. Mainstream gender and equity issues in departmental reporting. Conducting public awareness campaigns in all regions of Uganda.
Budget Allocation (Billion):	0.040
Performance Indicators:	Level of compliance of FIA to gender and equity budgeting
Actual Expenditure By End Q2	0.01
Performance as of End of Q2	Gender and equity budgeting incorporated in Vote BFP FY 2023/24.
Reasons for Variations	None

ii) HIV/AIDS

Objective:	Reduce the spread of HIV/AIDS among FIA staff
Issue of Concern:	The government target of eradicating HIV/AIDS in the population is not yet met.
Planned Interventions:	Rolling out of the implementation of the approved HIV/AIDS policy. Conducting HIV/AIDS Sensitization sessions for all staff. Providing staff with protective gear such as condoms (male/female).
Budget Allocation (Billion):	0.010
Performance Indicators:	Number of HIV/AIDS sensitisation sessions for all staff conducted.
Actual Expenditure By End Q2	0.00
Performance as of End of Q2	None
Reasons for Variations	Release shortfall

iii) Environment

Objective:	To mainstream environmental concerns during office operations
Issue of Concern:	Environmental degradation
Planned Interventions:	Proper waste disposal in office. Promotion of energy use efficiency through use of renewable energy like natural lighting and air conditioning. Embracing the use of digital communication and workflows to reduce paper trail & paper waste management.
Budget Allocation (Billion):	0.010
Performance Indicators:	Number of offices using renewable energy
Actual Expenditure By End Q2	0.01

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Performance as of End of Q2	Proper waste disposal, Use of natural lighting in office, sharing documents digitally using EDMS
Reasons for Variations	None

iv) Covid

Objective:	To reduce the spread of COVID 19 among staff
Issue of Concern:	New COVID 19 variants are coming up
Planned Interventions:	Develop and update Standard Operating Procedures to safe guard staff against the spread of COVID-19. Ensure a safe work environment by provision of COVID-19 essentials such as office sanitizers, disinfectants, masks for guards and visual information.
Budget Allocation (Billion):	0.010
Performance Indicators:	No of staff sensitised on measures and prevention of spread of COVID 19
Actual Expenditure By End Q2	0.01
Performance as of End of Q2	Staff sensitised on COVID 19. COVID 19essentials procured
Reasons for Variations	None