

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 2

V1: Summary of Issues in Budget Execution**Table V1.1: Overview of Vote Expenditures (US\$ Billion)**

	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% Budget Released	% Budget Spent	% Releases Spent
Recurrent Wage	9.594	9.594	4.797	2.505	50.0 %	26.1 %	52.2 %
Recurrent Non-Wage	16.928	16.928	8.464	6.825	50.0 %	40.3 %	80.6 %
Devt. GoU	0.129	0.129	0.086	0.004	66.7 %	3.1 %	4.7 %
Devt. Ext Fin.	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
GoU Total	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Total GoU+Ext Fin (MTEF)	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Arrears	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Total Budget	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
<i>A.I.A Total</i>	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Grand Total	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Total Vote Budget Excluding Arrears	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% Budget Released	% Budget Spent	%Releases Spent
Programme:16 Governance And Security	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Sub SubProgramme:01 Directorate of Finance and Administration	16.597	16.597	7.921	4.584	47.7 %	27.6 %	57.9 %
Sub SubProgramme:02 Directorate of Internal Audit	0.064	0.064	0.036	0.031	57.0 %	48.3 %	84.7 %
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.827	1.827	0.662	0.191	36.2 %	10.4 %	28.8 %
Sub SubProgramme:04 Directorate of Analysis and Monitoring	6.374	6.374	4.036	3.962	63.3 %	62.2 %	98.2 %
Sub SubProgramme:05 Directorate of Compliance and Training	1.598	1.598	0.560	0.461	35.1 %	28.8 %	82.2 %
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.191	0.191	0.131	0.105	68.8 %	55.0 %	79.9 %
Total for the Vote	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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Table V1.3: High Unspent Balances and Over-Expenditure in the Approved Budget (Ushs Bn)**(i) Major unspent balances****Departments , Projects****Sub SubProgramme:01 Directorate of Finance and Administration****Sub Programme: 01 Institutional Coordination**

0.561	Bn Shs	Department : 002 Human resource registry and security
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Reason: Delays in recruitment of staff.

Items

0.557	UShs	211104 Employee Gratuity
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Reason: Delays in recruitment of staff.

Sub Programme: 05 Anti-Corruption and Accountability

0.401	Bn Shs	Department : 001 Accounts
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Reason: Delays in Recruitment of staff contributed to unspent balances. However, the recruitment of staff is set to be completed in Q3.

Items

0.091	UShs	211107 Boards, Committees and Council Allowances
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Reason: Some Board meetings were postponed to Q3.

0.082	UShs	221012 Small Office Equipment
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Reason: Delays in Recruitment. This is to be done in Q3.

0.070	UShs	221009 Welfare and Entertainment
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Reason: Delay in recruitment of staff. This is to be done in Q3.

0.082	Bn Shs	Project : 1623 Retooling of Financial Intelligence Authority
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Reason: Delays in recruitment of staff. The amount will be absorbed in Q3

Items

0.082	UShs	221008 Information and Communication Technology Supplies.
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Reason: Delays in recruitment of staff. The amount will be absorbed in Q3

Sub SubProgramme:03 Directorate of Systems Administration and Security**Sub Programme: 02 Security**

0.471	Bn Shs	Department : 001 Systems Administration and Security
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Reason: Delays in recruitment of staff. This will be completed in Q3

Items

0.325	UShs	221008 Information and Communication Technology Supplies.
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Reason: Delays in recruitment of staff. This will be completed in Q3

0.105	UShs	226002 Licenses
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Reason: Delays in recruitment of staff. This will be completed in Q3

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<i>(i) Major unspent balances</i>		
Departments , Projects		
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
Sub Programme: 05 Anti-Corruption and Accountability		
	Bn Shs	Department : 002 Operational analysis
Reason: To be absorbed in Q3		
<i>Items</i>		
0.015	UShs	221003 Staff Training
Reason: To be absorbed in Q3		
0.010	UShs	221012 Small Office Equipment
Reason: To be absorbed in Q3		
0.008	UShs	228002 Maintenance-Transport Equipment
Reason: To be absorbed in Q3		
0.005	UShs	221008 Information and Communication Technology Supplies.
Reason: To be absorbed in Q3		

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V2: Performance Highlights**Table V2.1: PIAP outputs and output Indicators**

Programme:16 Governance And Security			
SubProgramme:01 Institutional Coordination			
Sub SubProgramme:01 Directorate of Finance and Administration			
Department:002 Human resource registry and security			
Budget Output: 000005 Human Resource Management			
PIAP Output: 16060201 Human Resources Management Services provided			
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
No of Staff receiving their salaries by 28th of each month	Number	86	42
No of staff trained	Number	20	19
SubProgramme:02 Security			
Sub SubProgramme:03 Directorate of Systems Administration and Security			
Department:001 Systems Administration and Security			
Budget Output: 120007 Support services			
PIAP Output: 16070516 Enhanced Technical capability			
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Value of security equipment acquired (bn)	Value	1	0
SubProgramme:03 Policy and Legislation Processes			
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations			
Department:001 Legal and Corporate Affairs			
Budget Output: 460103 Legal Representation and Litigation services			
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
No of policies developed and/or reviewed	Number	1	2
PIAP Output: 16060305 AML/CFT International standards implemented			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number of FATF Technical Compliance recommendations re-rated	Number	6	2

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Programme:16 Governance And Security			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:01 Directorate of Finance and Administration			
Department:001 Accounts			
Budget Output: 000014 Administrative and Support Services			
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number or percentage (%) of personnel recruited and trained	Number	100%	100
Project:1623 Retooling of Financial Intelligence Authority			
Budget Output: 000003 Facilities and Equipment Management			
PIAP Output: 16070520 Enhanced Technical capability			
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Value of security equipment acquired (bn)	Value	0.129	0.004
Sub SubProgramme:02 Directorate of Internal Audit			
Department:001 Internal Audit			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number or percentage (%) of personnel recruited and trained	Number	100%	100
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Department:001 Strategic Analysis and Statistics			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number of studies under taken per year and results disseminated to stakeholders	Number	2	2
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes			
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number of engagements with the public on matters related to ML/TF/PF	Number	8	5

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Programme:16 Governance And Security			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Department:001 Strategic Analysis and Statistics			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16080813 Financial due diligence undertaken on investors			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
No . of financial due diligence reports produced	Number	30	16
Department:002 Operational analysis			
Budget Output: 560019 Data Management and Dissemination			
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
No. of reports disseminated	Number	80	39
Sub SubProgramme:05 Directorate of Compliance and Training			
Department:001 Compliance and Inspection			
Budget Output: 000023 Inspection and Monitoring			
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 2
Number of sanctions issued for non compliance with the AML/CFT/CPF standard	Number	1	0

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Performance highlights for the Quarter

- 1) FIA Completed a Typology study on Internal Fraud in the Financial Sector.
- 2) FIA completed the Tax crimes vulnerability assessment as part of the tax crimes assessment.
- 3) FIA participated in the Centenary Bank AML/CFT Joint Inspection with the team from Bank of Uganda AML/CFT Unit. Additionally, FIA commenced the inspection of Airtel Mobile Commerce Uganda Limited and World Vision Uganda Limited.
- 4) 165 Accountable Persons were registered, 179 certificates of registration were issued and 116 Accountable Persons requested for their certificates to be certified.
- 5) Receipt of information. 580 suspicious transaction, 104 suspicious activity reports and 1,337 large cash transaction reports were received.
- 6) 17 intelligence reports were disseminated to law enforcement agencies and competent authorities for further management.
- 7) FIA received 29 requests for information from different LEAs and competent authorities, and responded to 27.
- 8) FIA received a total of 7 requests for conducting financial due diligence (background and credibility checks) from the MoFPED and UIA on companies that wished to partner with the GOU on certain projects. Of the 7 requests received, 4 were conducted and the respective reports were sent to responsible agencies
- 9) Uganda's ICRG progress report was prepared in preparation for the Face-to-Face meetings to be held in January 2023 in Rabat, Morocco.
- 10) The goAML electronic platform continued to be enhanced and as a result, 3,142 reports were received via the goAML platform from various accountable persons relating to different transaction reports.
- 11) FIA digitized the process of issuance of certificates of registration to enhance efficiency and effectiveness. Application and issuance of registration certificates are now conducted via the FIA website portal.
- 12) FIA participated in the National Anti-Corruption week activities, the Annual Bankers Sports Gala, and the 2022 CEO Forum. It also published articles in various publications.

Variances and Challenges

1. The main challenge faced by FIA was a release shortfall during Q2. This affected implementation of many preplanned activities.
2. Insufficient budgetary allocations. The budget for FIA for the FY 2022/23 is Ugx 26.651 Billion. However, this is not sufficient to implement the FIA mandate.
3. Lack of budget allocation to travel abroad. This was as a result of votes not being allowed to allocate funds on travel abroad in the FY 2022/23. However, there are critical commitments FIA undertakes that require travel abroad that include attending ESAAMLG meetings to justify and elaborate on progress reports Uganda submits on addressing the deficiencies relating to 9 recommendations where Uganda is rated Partially compliant and 7 recommendations where Uganda is rated Non-Compliant.

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V3: Details of Releases and Expenditure**Table V3.1: GoU Releases and Expenditure by Budget Output***

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %
Sub SubProgramme:01 Directorate of Finance and Administration	16.597	16.597	7.921	4.584	47.7 %	27.6 %	57.9 %
000003 Facilities and Equipment Management	0.129	0.129	0.086	0.004	66.7%	3.1%	4.7%
000005 Human Resource Management	12.440	12.440	6.220	3.366	50.0%	27.1%	54.1%
000014 Administrative and Support Services	4.028	4.028	1.615	1.214	40.1%	30.1%	75.2%
Sub SubProgramme:02 Directorate of Internal Audit	0.064	0.064	0.036	0.031	57.0 %	48.5 %	85.1 %
000001 Audit and Risk Management	0.064	0.064	0.036	0.031	56.3%	48.4%	86.1%
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.827	1.827	0.662	0.191	36.2 %	10.5 %	28.9 %
120007 Support services	1.827	1.827	0.662	0.191	36.2%	10.5%	28.9%
Sub SubProgramme:04 Directorate of Analysis and Monitoring	6.374	6.374	4.036	3.962	63.3 %	62.2 %	98.2 %
000001 Audit and Risk Management	0.661	0.661	0.129	0.099	19.5%	15.0%	76.7%
560019 Data Management and Dissemination	5.713	5.713	3.907	3.863	68.4%	67.6%	98.9%
Sub SubProgramme:05 Directorate of Compliance and Training	1.598	1.598	0.560	0.461	35.1 %	28.8 %	82.3 %
000023 Inspection and Monitoring	1.598	1.598	0.560	0.461	35.0%	28.8%	82.3%
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.191	0.191	0.131	0.105	68.8 %	55.0 %	79.9 %
460103 Legal Representation and Litigation services	0.191	0.191	0.131	0.105	68.6%	55.0%	80.2%
Total for the Vote	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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Table V3.2: GoU Expenditure by Item 2022/23 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
211102 Contract Staff Salaries	9.594	9.594	4.797	2.505	50.0 %	26.1 %	52.2 %
211104 Employee Gratuity	1.407	1.407	1.055	0.498	75.0 %	35.4 %	47.2 %
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	0.483	0.483	0.275	0.237	57.0 %	49.0 %	86.0 %
211107 Boards, Committees and Council Allowances	0.389	0.389	0.198	0.107	50.9 %	27.5 %	54.0 %
212101 Social Security Contributions	1.439	1.439	0.368	0.363	25.6 %	25.3 %	98.8 %
212102 Medical expenses (Employees)	0.351	0.351	0.000	0.000	0.0 %	0.0 %	0.0 %
221001 Advertising and Public Relations	0.212	0.212	0.145	0.096	68.5 %	45.3 %	66.1 %
221002 Workshops, Meetings and Seminars	0.477	0.477	0.224	0.171	46.9 %	35.8 %	76.4 %
221003 Staff Training	0.138	0.138	0.055	0.005	39.9 %	3.6 %	9.1 %
221007 Books, Periodicals & Newspapers	0.021	0.021	0.011	0.009	51.2 %	45.3 %	88.5 %
221008 Information and Communication Technology Supplies.	1.262	1.262	0.537	0.070	42.5 %	5.6 %	13.1 %
221009 Welfare and Entertainment	0.437	0.437	0.246	0.175	56.2 %	40.1 %	71.4 %
221011 Printing, Stationery, Photocopying and Binding	0.118	0.118	0.074	0.007	63.0 %	5.7 %	9.1 %
221012 Small Office Equipment	0.243	0.243	0.133	0.022	54.7 %	9.2 %	16.8 %
221017 Membership dues and Subscription fees.	0.365	0.365	0.353	0.343	96.8 %	94.1 %	97.2 %
222001 Information and Communication Technology Services.	0.040	0.040	0.020	0.009	50.0 %	23.7 %	47.4 %
222002 Postage and Courier	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
223001 Property Management Expenses	0.036	0.036	0.018	0.018	50.0 %	50.0 %	100.0 %
223003 Rent-Produced Assets-to private entities	1.328	1.328	0.226	0.226	17.0 %	17.0 %	99.8 %
223004 Guard and Security services	0.264	0.264	0.127	0.117	48.1 %	44.3 %	92.1 %
223005 Electricity	0.072	0.072	0.032	0.023	44.4 %	32.2 %	72.5 %
224009 Classified Expenditure	6.431	6.431	3.973	3.973	61.8 %	61.8 %	100.0 %
225101 Consultancy Services	0.270	0.270	0.000	0.000	0.0 %	0.0 %	0.0 %
226001 Insurances	0.065	0.065	0.000	0.000	0.0 %	0.0 %	0.0 %
226002 Licenses	0.459	0.459	0.125	0.020	27.2 %	4.3 %	15.9 %
227001 Travel inland	0.334	0.334	0.141	0.138	42.2 %	41.3 %	98.0 %
227004 Fuel, Lubricants and Oils	0.348	0.348	0.176	0.176	50.6 %	50.6 %	100.0 %
228002 Maintenance-Transport Equipment	0.070	0.070	0.039	0.025	56.3 %	35.3 %	62.8 %
Total for the Vote	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	26.651	26.651	13.347	9.334	50.08 %	35.02 %	69.93 %
Sub SubProgramme:01 Directorate of Finance and Administration	16.597	16.597	7.921	4.584	47.72 %	27.62 %	57.9 %
<i>Departments</i>							
001 Accounts	4.028	4.028	1.615	1.214	40.1 %	30.1 %	75.2 %
002 Human resource registry and security	12.440	12.440	6.220	3.366	50.0 %	27.1 %	54.1 %
<i>Development Projects</i>							
1623 Retooling of Financial Intelligence Authority	0.129	0.129	0.086	0.004	66.7 %	3.1 %	4.6 %
Sub SubProgramme:02 Directorate of Internal Audit	0.064	0.064	0.036	0.031	57.03 %	48.33 %	84.7 %
<i>Departments</i>							
001 Internal Audit	0.064	0.064	0.036	0.031	57.0 %	48.3 %	84.7 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.827	1.827	0.662	0.191	36.23 %	10.43 %	28.8 %
<i>Departments</i>							
001 Systems Administration and Security	1.827	1.827	0.662	0.191	36.2 %	10.4 %	28.8 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:04 Directorate of Analysis and Monitoring	6.374	6.374	4.036	3.962	63.32 %	62.17 %	98.2 %
<i>Departments</i>							
001 Strategic Analysis and Statistics	0.661	0.661	0.129	0.099	19.6 %	15.0 %	76.8 %
002 Operational analysis	5.713	5.713	3.907	3.863	68.4 %	67.6 %	98.9 %
<i>Development Projects</i>							
N/A							
Sub SubProgramme:05 Directorate of Compliance and Training	1.598	1.598	0.560	0.461	35.07 %	28.82 %	82.2 %
<i>Departments</i>							
001 Compliance and Inspection	1.598	1.598	0.560	0.461	35.1 %	28.8 %	82.2 %
<i>Development Projects</i>							
N/A							

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q2	Spent by End Q2	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	26.651	26.651	13.347	9.334	50.08 %	35.02 %	69.93 %
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.191	0.191	0.131	0.105	68.80 %	54.98 %	79.9 %
<i>Departments</i>							
001 Legal and Corporate Affairs	0.191	0.191	0.131	0.105	68.8 %	55.0 %	79.9 %
<i>Development Projects</i>							
N/A							
Total for the Vote	26.651	26.651	13.347	9.334	50.1 %	35.0 %	69.9 %

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Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

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Quarter 2: Outputs and Expenditure in the Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Programme:16 Governance And Security		
SubProgramme:01 Institutional Coordination		
Sub SubProgramme:01 Directorate of Finance and Administration		
<i>Departments</i>		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.	1) 13 trainings and capacity building engagements were conducted where over 20 staff were trained in AML/CFT related courses 2) Payroll was processed for all staff. 3) Gratuity payments were done for all staff .	Outputs achieved as planned
Expenditures incurred in the Quarter to deliver outputs		<i>UShs Thousand</i>
Item		Spent
211102 Contract Staff Salaries		1,515,228.072
212101 Social Security Contributions		250,890.000
	Total For Budget Output	1,766,118.072
	Wage Recurrent	1,515,228.072
	Non Wage Recurrent	250,890.000
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	1,766,118.072
	Wage Recurrent	1,515,228.072
	Non Wage Recurrent	250,890.000
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
SubProgramme:02 Security		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
<i>Departments</i>		
Department:001 Systems Administration and Security		
Budget Output:120007 Support services		

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
100 documents scanned to the EDMS 5 licenses renewed. 1 Preventive Maintenance service works carried out Provision of ICT reusables	1) 7 reporting entities were onboarded on goAML 2) 2 licenses were renewed. i.e Renewal of Del server support license and CISCO Smartnet service for 2 wireless access points. 3) 100 documents scanned to EDMS. 4) Provided coordination, technical support and issue diagnosis for the Egmont Secure Web (ESW) system to ensure constant communication between the FIA and the operational divisions of member FIUs. 5) IT Support for end-users was provided. 6) Maintenance activities were performed on the website including content, news, press release, statistics and sanction list updates. 7) IT security was enhanced and alerts continued to be shared on areas such as spear phishing, windows patch management, Kaspersky malware detections and vulnerabilities, threat intelligence metrics, proactive and preventive cyber security measures, incidents and intrusion attempts.	Some licenses renewals were differed due to release shortfalls during Q2.
Expenditures incurred in the Quarter to deliver outputs		US\$ Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		20,440.730
221002 Workshops, Meetings and Seminars		9,096.770
221008 Information and Communication Technology Supplies.		50,000.000
221017 Membership dues and Subscription fees.		7,000.000
222001 Information and Communication Technology Services.		5,455.000
226002 Licenses		19,853.580
227001 Travel inland		3,997.000
227004 Fuel, Lubricants and Oils		3,600.000
	Total For Budget Output	119,443.080
	Wage Recurrent	0.000
	Non Wage Recurrent	119,443.080
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	119,443.080
	Wage Recurrent	0.000
	Non Wage Recurrent	119,443.080
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		

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Outputs Planned in Quarter		Actual Outputs Achieved in Quarter	Reasons for Variation in performance
SubProgramme:03 Policy and Legislation Processes			
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations			
Departments			
Department:001 Legal and Corporate Affairs			
Budget Output:460103 Legal Representation and Litigation services			
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.			
Programme Intervention: 160603 Review and enact appropriate legislation			
Regulatory Impact Assessment of the Proliferation of financing law. 4 ICRG action items implemented Consultation meetings with First Parliamentary Council for issuance of administrative sanctions.	1) FIA Drafted and issued Targeted Financial Sanctions Guidelines for Accountable Persons. 2) FIA finalized the drafting of Anti-Money Laundering (Amendment) Regulations, 2022. 3) The Anti-Terrorism (Amendment) Regulations, 2022 were finalized. 4) Uganda’s ICRG progress report was prepared in preparation for the Face-to-Face meetings to be held in January 2023 in Rabat, Morocco.		None
Expenditures incurred in the Quarter to deliver outputs			UShs Thousand
Item	Spent		
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	25,000.000		
221002 Workshops, Meetings and Seminars	5,220.000		
221003 Staff Training	5,000.000		
221008 Information and Communication Technology Supplies.	13,750.000		
221011 Printing, Stationery, Photocopying and Binding	500.000		
221017 Membership dues and Subscription fees.	3,409.550		
227004 Fuel, Lubricants and Oils	7,800.000		
	Total For Budget Output	60,679.550	
	Wage Recurrent	0.000	
	Non Wage Recurrent	60,679.550	
	Arrears	0.000	
	AIA	0.000	
	Total For Department	60,679.550	
	Wage Recurrent	0.000	
	Non Wage Recurrent	60,679.550	
	Arrears	0.000	
	AIA	0.000	
Development Projects			
N/A			

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
SubProgramme:05 Anti-Corruption and Accountability		
Sub SubProgramme:01 Directorate of Finance and Administration		
<i>Departments</i>		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		
PIAP Output: 16070502 General administration and support services enhanced		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
Budget framework paper prepared and submitted. Quarterly budget performance reports prepared and submitted. Quarterly FIA performance report prepared and submitted. 2 engagements with the public on ML/TF matters conducted regionally. 4 Board meetings facilitated. General administrative and support services provided to the authority for smooth execution of the mandate.	1. Budget framework paper was prepared and submitted. 2. Quarter 1 budget performance reports prepared and submitted. 3. Quarter 1 FIA performance report was prepared and submitted. 4. 2 engagements were conducted with the public on ML/TF matters conducted. These included Participation in National Anti corruption week activities and participation in the annual bankers sports gala. 5. 2 Board meetings were facilitated. 6. General administrative and support services provided to the authority for smooth execution of the mandate.	None
Expenditures incurred in the Quarter to deliver outputs		<i>UShs Thousand</i>
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		19,920.000
211107 Boards, Committees and Council Allowances		75,266.528
221001 Advertising and Public Relations		63,077.200
221007 Books, Periodicals & Newspapers		2,859.500
221008 Information and Communication Technology Supplies.		2,537.000
221009 Welfare and Entertainment		131,825.140
221011 Printing, Stationery, Photocopying and Binding		5,576.800
221012 Small Office Equipment		22,280.000
223001 Property Management Expenses		9,300.000
223003 Rent-Produced Assets-to private entities		225,520.262
223004 Guard and Security services		73,461.810
223005 Electricity		12,423.912
227001 Travel inland		5,000.000
227004 Fuel, Lubricants and Oils		31,100.000
228002 Maintenance-Transport Equipment		7,679.547
Total For Budget Output		687,827.699
Wage Recurrent		0.000
Non Wage Recurrent		687,827.699
Arrears		0.000

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	<i>AIA</i>	0.000
	Total For Department	687,827.699
	Wage Recurrent	0.000
	Non Wage Recurrent	687,827.699
	Arrears	0.000
	<i>AIA</i>	0.000

*Development Projects***Project:1623 Retooling of Financial Intelligence Authority****Budget Output:000003 Facilities and Equipment Management****PIAP Output: 16070503 Retooling of Financial Intelligence Authority****Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.**

7 Laptops procured	Procurement of 11 laptops	None
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
	Total For Budget Output	3,986.323
	GoU Development	3,986.323
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Project	3,986.323
	GoU Development	3,986.323
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000

Sub SubProgramme:02 Directorate of Internal Audit*Departments***Department:001 Internal Audit****Budget Output:000001 Audit and Risk Management****PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls****Programme Intervention: 160715 Strengthen research and development to address emerging security threats**

1 Audit Risk management assessments conducted. 1 Risk Based Reports Produced	1) 1 Audit Risk management assessments was conducted 2) 1 Risk Based Report was Produced	Outputs were achieved as planned
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		90.000
221002 Workshops, Meetings and Seminars		3,000.000
227001 Travel inland		10,000.000

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item		Spent
227004 Fuel, Lubricants and Oils		3,000.000
	Total For Budget Output	16,090.000
	Wage Recurrent	0.000
	Non Wage Recurrent	16,090.000
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	16,090.000
	Wage Recurrent	0.000
	Non Wage Recurrent	16,090.000
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
<i>Departments</i>		
Department:001 Strategic Analysis and Statistics		
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1 ML/TF risk assessment of sectors conducted as informed by the NRA report and strategic analysis of the FIA Findings of ML/TF risk assessments /typology and other methods and trends reports disseminated to Stakeholders ML/TF strategic analysis conducted on trends and methods as informed by operational analysis and other reports.	1) FIA Completed a of a Typology study on Internal Fraud in the Financial Sector. The purpose of the typology study was to identify money laundering trends, patterns, and related issues to come up with measures to help sector players mitigate against identified risks. 2) FIA completed the Tax crimes vulnerability assessment as part of the tax crimes assessment. This Tax Crimes & Proceeds assessment aims to assess the scale, relative incidence and proceeds of tax crimes, the nature of tax crimes and common typologies and their relevance to money laundering. 3) FIA received a total of 7 requests for conducting financial due diligence (background and credibility checks) from the MoFPED and UIA on companies that wished to partner with the Government of Uganda on certain projects. Of the seven (7) requests received, four were conducted and the respective reports were sent to responsible agencies while the other 3 await supporting documents from various sources.	Outputs on track as planned

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
7 Financial due diligence on investors conducted Engagement of individuals/entities that have ability to collect secret information on subjects of FDD A mechanism established to acquire vital information to support FDD	FIA received a total of 7 requests for conducting financial due diligence (background and credibility checks) from the Ministry of Finance, Planning and Economic Development and Uganda Investment Authority on companies that wished to partner with the Government of Uganda on certain projects. Of the seven (7) requests received, four were conducted and the respective reports were sent to responsible agencies while the other 3 await supporting documents from various sources and will be completed in the next quarter.	None
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes		
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery		
1 regional Public Relations awareness campaign conducted	1) FIA participated in 3 public awareness campaigns that include; Annual Bankers Sports Gala, the National Anti-Corruption week activities and the 2022 CEO Forum. 2) 2 Articles were published in the New Vision and Daily Monitor on the role of the FIA in protecting the integrity of Uganda's financial system and how the public can join the fight against money laundering and related financial crimes. 3) FIA produced an article on the nexus between corruption and Money Laundering. 4) FIA run a message in the 2022 social economic transformation – Sector review magazine.	Outputs achieved as planned
Expenditures incurred in the Quarter to deliver outputs		<i>UShs Thousand</i>
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		11,940.000
227004 Fuel, Lubricants and Oils		11,033.000
	Total For Budget Output	22,973.000
	Wage Recurrent	0.000
	Non Wage Recurrent	22,973.000
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	22,973.000
	Wage Recurrent	0.000
	Non Wage Recurrent	22,973.000
	Arrears	0.000
	<i>AIA</i>	0.000
Department:002 Operational analysis		
Budget Output:560019 Data Management and Dissemination		

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
100% of financial reports analysed. 20 intelligence reports disseminated to LEAs. 100% of Foreign FIUs requests responded to within 15 working days. 100% of Egmont/ESAAMLG Head of Analysis activities actively involved in. 4 engagements held with relevant LEAs on the usage of Financial Intelligence information. 1 engagement held combing all relevant LEAs and Competent Authorities on the usage of financial intelligence. 1 Integrated FIA databases maintained. 1 Up to date databases including records filing and archiving maintained. 1 awareness engagement held with accountable persons on AML/CFT reporting. 1 report on vital information to support analysis produced.	1) 33.7% of the reports received were analysed. 2) 17 intelligence reports disseminated to Law enforcement agencies and competent authorities. 3) 33% of foreign requests for information were responded to. 4) 2 engagements held with URA and Inspectorate of government on status of various cases disseminated by the FIA . 5) 1 Integrated FIA databases maintained. 6) 1 Up to date databases including records filing and archiving maintained.	None
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	16,838.240	
221007 Books, Periodicals & Newspapers	1,650.000	
221017 Membership dues and Subscription fees.	13,914.268	
224009 Classified Expenditure	2,895,892.026	
227001 Travel inland	986.000	
227004 Fuel, Lubricants and Oils	12,270.000	
	Total For Budget Output	2,941,550.534
	Wage Recurrent	0.000
	Non Wage Recurrent	2,941,550.534
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	2,941,550.534
	Wage Recurrent	0.000
	Non Wage Recurrent	2,941,550.534
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
Sub SubProgramme:05 Directorate of Compliance and Training		
<i>Departments</i>		
Department:001 Compliance and Inspection		
Budget Output:000023 Inspection and Monitoring		

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
2 Risk Based offsite and onsite inspections conducted in Eastern and Northern Uganda (Ugx 54 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach that include men, women, youth, elder persons and PWDs (Ugx 19 million). 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in western Uganda (Ugx 28 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated	(i) Concluded Centenary bank inspection in October and Started on the Airtel mobile Commerce and World Vision Uganda inspections. (ii) 10 reports compliance Risk Based reports were reviewed (iii) Trained 6 categories of accountable persons on a risk based approach; NGOs, Microfinance Institutions, Life Insurance companies, Asset Management companies, forex bureaus and banks. (iv) Trained 1 category of LEA. i.e Uganda Police Force (v) Guidance given to over 200 accountable persons through emails, phone calls, physical meetings and letters (vi) 6 accountable persons enrolled on the goAML electronic platform (vii) 3 brochures developed to sensitize the public and create awareness	Release shortfall affected implementation of some of the planned activities
Administrative sanctions issued to non compliant accountable persons in all regions of Uganda	Administrative sanctions were not issued	Anti-Money Laundering (Amendment) Regulations, 2022 that regulations provide for the imposition of administrative sanctions or fines for breach of the provisions of the regulations, which is now permitted by the Act 11 of 2022 were being drafted and had been finalised by the end of the Quarter. These will now form a basis of issuance of administrative sanctions going forward.
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	50,491.500	
221001 Advertising and Public Relations	8,363.400	
221002 Workshops, Meetings and Seminars	75,306.732	
221007 Books, Periodicals & Newspapers	1,303.000	
227001 Travel inland	78,950.000	
227004 Fuel, Lubricants and Oils	17,200.000	
228002 Maintenance-Transport Equipment	12,780.000	
Total For Budget Output	244,394.632	
Wage Recurrent	0.000	

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Non Wage Recurrent	244,394.632
	Arrears	0.000
	AIA	0.000
	Total For Department	244,394.632
	Wage Recurrent	0.000
	Non Wage Recurrent	244,394.632
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
	GRAND TOTAL	5,863,062.890
	Wage Recurrent	1,515,228.072
	Non Wage Recurrent	4,343,848.495
	GoU Development	3,986.323
	External Financing	0.000
	Arrears	0.000
	AIA	0.000

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Quarter 2: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Programme:16 Governance And Security		
SubProgramme:01 Institutional Coordination		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
1. 20 Staff trained that include male, female and PWDs (127 million)	1) 24 trainings and capacity building engagements were conducted where	
2. Payroll processed on time for all staff that include male and female staff.	over 20 staff were trained in AML/CFT related courses	
3. Gratuity and NSSF payments for all staff that include male and female staff processed and paid on time.	2) Payroll was processed for all staff.	
	3) Gratuity payments were done for all staff .	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
211102 Contract Staff Salaries		2,504,761.072
211104 Employee Gratuity		498,000.000
212101 Social Security Contributions		363,450.000
	Total For Budget Output	3,366,211.072
	Wage Recurrent	2,504,761.072
	Non Wage Recurrent	861,450.000
	Arrears	0.000
	AIA	0.000
	Total For Department	3,366,211.072
	Wage Recurrent	2,504,761.072
	Non Wage Recurrent	861,450.000
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
SubProgramme:02 Security		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
Departments		
Department:001 Systems Administration and Security		
Budget Output:120007 Support services		

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
40 reporting entities from all regions of Uganda onboarded on goAML. 400 documents scanned to the EDMS 14 licenses renewed. 1 Penetration test carried out for the ICT Software solutions and Networks. 1 security operating center (SOC) tool implemented.		1. 87 reporting entities (forex bureaus) were onboarded on goAML 2. Compliance training and goAML on-boarding for six (6) national payment system providers/operators (NPSP/Os). 3. 100 documents scanned to the EDMS 4. Provided coordination, technical support and issue diagnosis for the Egmont Secure Web (ESW) system to ensure constant communication between the FIA and the operational divisions of member FIUs. 5. IT Support for end-users was provided. 6. Maintenance activities were performed on the website including content, news, press release, statistics and sanction list updates. 7. IT security was enhanced and alerts continued to be shared on areas such as spear phishing, windows patch management, Kaspersky malware detections and vulnerabilities, threat intelligence metrics, proactive and preventive cyber security measures, incidents and intrusion attempts.	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		US\$ Thousand	
Item		Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		36,267.000	
221002 Workshops, Meetings and Seminars		28,282.960	
221008 Information and Communication Technology Supplies.		50,000.000	
221017 Membership dues and Subscription fees.		7,000.000	
222001 Information and Communication Technology Services.		9,425.000	
226002 Licenses		19,853.580	
227001 Travel inland		5,427.000	
227004 Fuel, Lubricants and Oils		33,600.000	
228002 Maintenance-Transport Equipment		772.900	
Total For Budget Output		190,628.440	
Wage Recurrent		0.000	
Non Wage Recurrent		190,628.440	
Arrears		0.000	
AIA		0.000	
Total For Department		190,628.440	
Wage Recurrent		0.000	
Non Wage Recurrent		190,628.440	
Arrears		0.000	
AIA		0.000	
Development Projects			
N/A			
SubProgramme:03 Policy and Legislation Processes			

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
<i>Departments</i>		
Department:001 Legal and Corporate Affairs		
Budget Output:460103 Legal Representation and Litigation services		
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.		
Programme Intervention: 160603 Review and enact appropriate legislation		
6 FATF Technical Compliance recommendations re-rated from Partially compliant/Non compliant to compliant/Largely compliant. PF Law enacted. 11 ICRG actions implemented. Issuance of administrative sanctions fast tracked.	1) 2 FATF Technical Compliance recommendations re-rated from Partially compliant/Non compliant to compliant/Largely compliant. 2). ICRG Virtual Face to Face Meeting with Uganda. The face-to-face meeting between the Uganda delegation and the Africa/Middle East Joint Group was held on September 9, 2022 in Livingstone, Zambia. The meeting took note of the significant progress made by Uganda in amending a set of 7 legislation aimed at addressing elements of the ICRG action plan. 3) 6 Laws were amended and assented to by the President. 4) FIA Drafted and issued Targeted Financial Sanctions Guidelines for Accountable Persons. 5) FIA finalized the drafting of Anti-Money Laundering (Amendment) Regulations, 2022. 6) The Anti-Terrorism (Amendment) Regulations, 2022 were finalized. 7) Uganda's ICRG progress report was prepared in preparation for the Face-to-Face meetings to be held in January 2023 in Rabat, Morocco.	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		<i>US\$ Thousand</i>
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	40,000.000	
221002 Workshops, Meetings and Seminars	6,743.280	
221003 Staff Training	5,000.000	
221008 Information and Communication Technology Supplies.	13,750.000	
221011 Printing, Stationery, Photocopying and Binding	500.000	
221017 Membership dues and Subscription fees.	3,409.550	
224009 Classified Expenditure	20,000.000	
227004 Fuel, Lubricants and Oils	15,600.000	
Total For Budget Output	105,002.830	
Wage Recurrent	0.000	
Non Wage Recurrent	105,002.830	
Arrears	0.000	
<i>AIA</i>	0.000	
Total For Department	105,002.830	
Wage Recurrent	0.000	
Non Wage Recurrent	105,002.830	
Arrears	0.000	

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
<i>AIA</i>		0.000
<i>Development Projects</i>		
N/A		
SubProgramme:05 Anti-Corruption and Accountability		
Sub SubProgramme:01 Directorate of Finance and Administration		
<i>Departments</i>		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		
PIAP Output: 16070502 General administration and support services enhanced		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
Financial statements submitted. Quarterly and Annual performance reports prepared and submitted. Ministerial policy statement prepared and submitted. Budget framework paper prepared and submitted. 8 engagements with the public on ML/TF matters conducted.		1. Budget framework paper was prepared and submitted. 2. Quarter 1 and Q4 budget performance reports prepared and submitted. 3. Quarterly 1 and Q4 FIA performance report was prepared and submitted. 4. 2 engagements were conducted with the public on ML/TF matters conducted. These included Participation in National Anti corruption week activities and participation in the annual bankers sports gala. 5. 4 Board meetings were facilitated. 6. General administrative and support services provided to the authority for smooth execution of the mandate. 7. FY 2022/23 Financial statements prepared and submitted. 8. FY 2022/23 Annual performance report prepared and submitted.
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		<i>US\$ Thousand</i>
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	28,720.000	
211107 Boards, Committees and Council Allowances	106,878.528	
221001 Advertising and Public Relations	87,480.200	
221002 Workshops, Meetings and Seminars	4,465.000	
221007 Books, Periodicals & Newspapers	4,951.500	
221008 Information and Communication Technology Supplies.	2,537.000	
221009 Welfare and Entertainment	175,422.780	
221011 Printing, Stationery, Photocopying and Binding	6,206.800	
221012 Small Office Equipment	22,280.000	
221017 Membership dues and Subscription fees.	306,103.937	
223001 Property Management Expenses	18,000.000	
223003 Rent-Produced Assets-to private entities	225,520.262	
223004 Guard and Security services	117,052.350	
223005 Electricity	23,207.395	
227001 Travel inland	12,534.000	

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
227004 Fuel, Lubricants and Oils		62,200.000
228002 Maintenance-Transport Equipment		10,459.294
	Total For Budget Output	1,214,019.046
	Wage Recurrent	0.000
	Non Wage Recurrent	1,214,019.046
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	1,214,019.046
	Wage Recurrent	0.000
	Non Wage Recurrent	1,214,019.046
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
Project:1623 Retooling of Financial Intelligence Authority		
Budget Output:000003 Facilities and Equipment Management		
PIAP Output: 16070503 Retooling of Financial Intelligence Authority		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
7 Laptops procured.	Procurement of 11 laptops	
Boardroom Digital Collaboration Solution procured.		
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
221008 Information and Communication Technology Supplies.		3,986.323
	Total For Budget Output	3,986.323
	GoU Development	3,986.323
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Project	3,986.323
	GoU Development	3,986.323
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000
Sub SubProgramme:02 Directorate of Internal Audit		
<i>Departments</i>		

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Department:001 Internal Audit		
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
4 Audit Risk management assessments conducted 4 Risk Based Reports Produced Approved workplan by the Board Updated Risk Register 1 joint inspections conducted		1) 1 Audit Risk management assessments was conducted 2) 1 Risk Based Report was Produced
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		90.000
221002 Workshops, Meetings and Seminars		6,150.000
227001 Travel inland		18,640.000
227004 Fuel, Lubricants and Oils		6,000.000
	Total For Budget Output	30,880.000
	Wage Recurrent	0.000
	Non Wage Recurrent	30,880.000
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	30,880.000
	Wage Recurrent	0.000
	Non Wage Recurrent	30,880.000
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
<i>Departments</i>		
Department:001 Strategic Analysis and Statistics		
Budget Output:000001 Audit and Risk Management		

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
2 ML/TF typology studies conducted. 2 ML/TF risk assessment of sectors conducted. 7 Recommendations from ML/TF typology studies/risk assessments implemented. 30 Financial due diligence reports on investors produced. 2 reports disseminated.		1) FIA Completed a of a Typology study on Internal Fraud in the Financial Sector. The purpose of the typology study was to identify money laundering trends, patterns, and related issues to come up with measures to help sector players mitigate against identified risks. 2) FIA completed the Tax crimes vulnerability assessment as part of the tax crimes assessment. This Tax Crimes & Proceeds assessment aims to assess the scale, relative incidence and proceeds of tax crimes, the nature of tax crimes and common typologies and their relevance to money laundering. 3) FIA received 19 requests to conduct financial due diligence on entities intending to partner with the government to undertake key investment projects. 16 requests were conducted and the rest were under review by end of Q2. Of the requests received, 15 were from MoFPED, 2 from UETCL and 2 from UIA.
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
30 due diligence reports on prospective investors produced. Procedures/guidelines developed to be followed to conduct FDD. A mechanism established to acquire vital information to support FDD.		FIA received 19 requests to conduct financial due diligence on entities intending to partner with the government to undertake key investment projects. 16 requests were conducted and the rest were under review by end of Q2. Of the requests received, 15 were from MoFPED, 2 from UETCL and 2 from UIA.
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes		
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery		
4 Public awareness campaigns conducted in all regions of Uganda.		1) FIA participated in 3 public awareness campaigns that include; Annual Bankers Sports Gala, the National Anti-Corruption week activities and the 2022 CEO Forum. 2) 2 Articles were published in the New Vision and Daily Monitor on the role of the FIA in protecting the integrity of Uganda's financial system and how the public can join the fight against money laundering and related financial crimes. 3) FIA produced an article on the nexus between corruption and Money Laundering. 4) FIA run a message in the 2022 social economic transformation – Sector review magazine. 5) Several talk shows were held. 6) Digital Media Support. FIA created of relevant and engaging digital content/compelling infographics for publishing onto various FIA digital platforms such as Twitter, developing of digital media projects, monitoring the public's perspective on FIA's services, timely sharing of significant press releases and analysing the data available so as to identify areas of improvement.

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		US\$ Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		30,000.000
224009 Classified Expenditure		57,261.000
227004 Fuel, Lubricants and Oils		11,933.000
	Total For Budget Output	99,194.000
	Wage Recurrent	0.000
	Non Wage Recurrent	99,194.000
	Arrears	0.000
	AIA	0.000
	Total For Department	99,194.000
	Wage Recurrent	0.000
	Non Wage Recurrent	99,194.000
	Arrears	0.000
	AIA	0.000
Department:002 Operational analysis		
Budget Output:560019 Data Management and Dissemination		
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
Analysis of 100% of all financial reports.	1) 57% of the reports received were analysed.	
Dissemination of at least 80 intelligence reports to LEAs.	2) 39 intelligence reports disseminated to Law enforcement agencies and competent authorities.	
Respond to 100% of foreign FIUs requests within 15 days.	3) 40% of foreign requests for information were responded to.	
Conducting 16 engagements with relevant LEAs on the use of financial intelligence information.	4) 3 engagements held with URA and Inspectorate of government on status of various cases disseminated by the FIA .	
	5) 1 Integrated FIA databases maintained.	
	6) 1 Up to date databases including records filing and archiving maintained.	
	7)2 engagements with Money Laundering Control Officers (MLCO's) and Members of the Uganda Forex Bureau Owners and Money Remitters Association were held. This was to enhance collaboration and efficiency between FIA and MLCO's/Members of the Uganda Forex Bureau Owners and Money Remitters Association in the fight against Money Laundering and Terrorist Financing.	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		US\$ Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		26,000.000
221007 Books, Periodicals & Newspapers		1,650.000
221017 Membership dues and Subscription fees.		26,494.678
224009 Classified Expenditure		3,795,892.026

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		US\$ Thousand	
Item			Spent
227001 Travel inland			986.000
227004 Fuel, Lubricants and Oils			12,270.000
	Total For Budget Output		3,863,292.704
	Wage Recurrent		0.000
	Non Wage Recurrent		3,863,292.704
	Arrears		0.000
	AIA		0.000
	Total For Department		3,863,292.704
	Wage Recurrent		0.000
	Non Wage Recurrent		3,863,292.704
	Arrears		0.000
	AIA		0.000
<i>Development Projects</i>			
N/A			
Sub SubProgramme:05 Directorate of Compliance and Training			
<i>Departments</i>			
Department:001 Compliance and Inspection			
Budget Output:000023 Inspection and Monitoring			
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
6 risk based onsite and offsite inspections conducted (all regions, 195 m)	1. Joint Inspections were conducted on 6 Life Insurance Companies with IRA and also conducted a joint Inspection of one bank (Centenary) with Bank of Uganda. 2. 25 compliance Risk Based reports were reviewed. 3. 7 Categories of accountable persons were trained and sensitized on a risk-based approach that include; NGO's, MDI's and payment service providers/operators, Life Insurance companies, Asset Management companies, forex bureaus and banks. . 4. 2 categories of LEAs & Competent authorities were trained and sensitized that include UPF and URA. 5. Guidance was given to 200 accountable persons on AML/CFT. 6. A total of 348 accountable persons were registered during the period under review 7. 383 certificates of registration were issued while 305 were picked by the respective accountable persons. 8. The accountable persons register was continually updated.		
160 compliance risk based reports reviewed .			
7 categories of accountable persons Sensitized and trained on risk based approach.			
8 AML/CFT/CPF public awareness campaigns undertaken			
	9. 6 accountable persons enrolled on the goAML electronic platform		
	10. 3 brochures developed to sensitize the public		

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
Issuance of administrative sanctions to non compliant accountable persons across the country.	Administrative sanctions were not issued	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	75,550.250	
221001 Advertising and Public Relations	8,363.400	
221002 Workshops, Meetings and Seminars	125,306.732	
221007 Books, Periodicals & Newspapers	2,887.000	
224009 Classified Expenditure	100,000.000	
227001 Travel inland	100,500.000	
227004 Fuel, Lubricants and Oils	34,400.000	
228002 Maintenance-Transport Equipment	13,500.000	
	Total For Budget Output	460,507.382
	Wage Recurrent	0.000
	Non Wage Recurrent	460,507.382
	Arrears	0.000
	<i>AIA</i>	0.000
	Total For Department	460,507.382
	Wage Recurrent	0.000
	Non Wage Recurrent	460,507.382
	Arrears	0.000
	<i>AIA</i>	0.000
<i>Development Projects</i>		
N/A		
	GRAND TOTAL	9,333,721.797
	Wage Recurrent	2,504,761.072
	Non Wage Recurrent	6,824,974.402
	GoU Development	3,986.323
	External Financing	0.000
	Arrears	0.000
	<i>AIA</i>	0.000

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Quarter 3: Revised Workplan

Annual Plans		Quarter's Plan	Revised Plans
Programme:16 Governance And Security			
SubProgramme:01			
Sub SubProgramme:01 Directorate of Finance and Administration			
<i>Departments</i>			
Department:002 Human resource registry and security			
Budget Output:000005 Human Resource Management			
PIAP Output: 16060201 Human Resources Management Services provided			
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff			
1. 20 Staff trained that include male, female and PWDs (127 million)	5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.	5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.	5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.
2. Payroll processed on time for all staff that include male and female staff.			
3. Gratuity and NSSF payments for all staff that include male and female staff processed and paid on time.			
<i>Development Projects</i>			
N/A			
SubProgramme:02			
Sub SubProgramme:03 Directorate of Systems Administration and Security			
<i>Departments</i>			
Department:001 Systems Administration and Security			
Budget Output:120007 Support services			
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
40 reporting entities from all regions of Uganda onboarded on goAML.	100 documents scanned to the EDMS 5 licenses renewed. 1 Preventive Maintenance service works carried out Provision of ICT reusables	100 documents scanned to the EDMS 5 licenses renewed. 1 Preventive Maintenance service works carried out Provision of ICT reusables	100 documents scanned to the EDMS 5 licenses renewed. 1 Preventive Maintenance service works carried out Provision of ICT reusables
400 documents scanned to the EDMS			
14 licenses renewed.			
1 Penetration test carried out for the ICT Software solutions and Networks.			
1 security operating center (SOC) tool implemented.			
<i>Development Projects</i>			
N/A			
SubProgramme:03			
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations			
<i>Departments</i>			
Department:001 Legal and Corporate Affairs			

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Annual Plans	Quarter's Plan	Revised Plans
Budget Output:460103 Legal Representation and Litigation services		
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.		
Programme Intervention: 160603 Review and enact appropriate legislation		
6 FATF Technical Compliance recommendations re-rated from Partially compliant/Non compliant to compliant/Largely compliant. PF Law enacted. 11 ICRG actions implemented. Issuance of administrative sanctions fast tracked.	Consultative meetings with key stakeholders of the Proliferation of financing law. 2 ICRG action items implemented. Validation meetings with key stakeholders for issuance of administrative sanctions.	Consultative meetings with key stakeholders of the Proliferation of financing law. 2 ICRG action items implemented. Validation meetings with key stakeholders for issuance of administrative sanctions.
<i>Development Projects</i>		
N/A		
SubProgramme:05		
Sub SubProgramme:01 Directorate of Finance and Administration		
<i>Departments</i>		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		
PIAP Output: 16070502 General administration and support services enhanced		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
Financial statements submitted. Quarterly and Annual performance reports prepared and submitted. Ministerial policy statement prepared and submitted. Budget framework paper prepared and submitted. 8 engagements with the public on ML/TF matters conducted.	Ministerial policy statement prepared and submitted. Quarterly budget performance reports prepared and submitted. Quarterly FIA performance report prepared and submitted. 2 engagements with the public on ML/TF matters conducted regionally. 4 Board meetings facilitated. General administrative and support services provided to the authority for smooth execution of the mandate. Financial statements prepared and submitted.	Ministerial policy statement prepared and submitted. Quarterly budget performance reports prepared and submitted. Quarterly FIA performance report prepared and submitted. 2 engagements with the public on ML/TF matters conducted regionally. 4 Board meetings facilitated. General administrative and support services provided to the authority for smooth execution of the mandate. Financial statements prepared and submitted.
<i>Development Projects</i>		
Project:1623 Retooling of Financial Intelligence Authority		
Budget Output:000003 Facilities and Equipment Management		
PIAP Output: 16070503 Retooling of Financial Intelligence Authority		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
7 Laptops procured. Boardroom Digital Collaboration Solution procured.	.	.
Sub SubProgramme:02 Directorate of Internal Audit		
<i>Departments</i>		
Department:001 Internal Audit		

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Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
4 Audit Risk management assessments conducted 4 Risk Based Reports Produced Approved workplan by the Board Updated Risk Register 1 joint inspections conducted	1 Audit Risk management assessments conducted. 1 Risk Based Reports Produced. Approved workplan by the Board	1 Audit Risk management assessments conducted. 1 Risk Based Reports Produced. Approved workplan by the Board
<i>Development Projects</i>		
N/A		
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
<i>Departments</i>		
Department:001 Strategic Analysis and Statistics		
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
2 ML/TF typology studies conducted. 2 ML/TF risk assessment of sectors conducted. 7 Recommendations from ML/TF typology studies/risk assessments implemented. 30 Financial due diligence reports on investors produced. 2 reports disseminated.	1 ML/TF typology studies conducted. ML/TF strategic analysis conducted on trends and methods as informed by operational analysis and other reports. 3 Departmental staff trained with requisite FDD and strategic analysis skills	1 ML/TF typology studies conducted. ML/TF strategic analysis conducted on trends and methods as informed by operational analysis and other reports. 3 Departmental staff trained with requisite FDD and strategic analysis skills
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
30 due diligence reports on prospective investors produced. Procedures/guidelines developed to be followed to conduct FDD. A mechanism established to acquire vital information to support FDD.	Procedures/guidelines developed to be followed to conduct FDD 10 Financial due diligence on investors conducted Engagement of individuals/entities that have ability to collect secret information on subjects of FDD	Procedures/guidelines developed to be followed to conduct FDD 10 Financial due diligence on investors conducted Engagement of individuals/entities that have ability to collect secret information on subjects of FDD
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes		
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery		
4 Public awareness campaigns conducted in all regions of Uganda.	1 regional Public Relations awareness campaign conducted	1 regional Public Relations awareness campaign conducted
Department:002 Operational analysis		

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Annual Plans	Quarter's Plan	Revised Plans
Budget Output:560019 Data Management and Dissemination		
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
Analysis of 100% of all financial reports. Dissemination of at least 80 intelligence reports to LEAs. Respond to 100% of foreign FIUs requests within 15 days. Conducting 16 engagements with relevant LEAs on the use of financial intelligence information.	100% of financial reports analysed. 20 intelligence reports disseminated to LEAs. 100% of Foreign FIUs requests responded to within 15 working days. 100% of Egmont/ESAAMLG Head of Analysis activities actively involved in. 4 engagements held with relevant LEAs on the usage of Financial Intelligence information. 1 engagement held combing all relevant LEAs and Competent Authorities on the usage of financial intelligence. 1 Integrated FIA databases maintained. 1 Up to date databases including records filing and archiving maintained. 1 awareness engagement held with accountable persons on AML/CFT reporting. 1 report on vital information to support analysis produced.	100% of financial reports analysed. 20 intelligence reports disseminated to LEAs. 100% of Foreign FIUs requests responded to within 15 working days. 100% of Egmont/ESAAMLG Head of Analysis activities actively involved in. 4 engagements held with relevant LEAs on the usage of Financial Intelligence information. 1 engagement held combing all relevant LEAs and Competent Authorities on the usage of financial intelligence. 1 Integrated FIA databases maintained. 1 Up to date databases including records filing and archiving maintained. 1 awareness engagement held with accountable persons on AML/CFT reporting. 1 report on vital information to support analysis produced.
<i>Development Projects</i>		
N/A		
Sub SubProgramme:05 Directorate of Compliance and Training		
<i>Departments</i>		
Department:001 Compliance and Inspection		

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Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
6 risk based onsite and offsite inspections conducted (all regions, 195 m) 160 compliance risk based reports reviewed . 7 categories of accountable persons Sensitized and trained on risk based approach. 8 AML/CFT/CPF public awareness campaigns undertaken	1 Risk Based offsite and onsite inspection conducted in Central and Western Uganda (Ugx 48 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in Eastern Uganda (Ugx 24 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken in Central and western regions. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) . 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated targeting all Ugandans . 1 supervisory/ regulatory bodies trained and sensitized.	1 Risk Based offsite and onsite inspection conducted in Central and Western Uganda (Ugx 48 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in Eastern Uganda (Ugx 24 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken in Central and western regions. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) . 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated targeting all Ugandans . 1 supervisory/ regulatory bodies trained and sensitized.
Issuance of administrative sanctions to non compliant accountable persons across the country.	Administrative sanctions issued to non compliant accountable persons in all regions of Uganda	Administrative sanctions issued to non compliant accountable persons in all regions of Uganda
<i>Development Projects</i>		
N/A		

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V4: NTR Collections, Off Budget Expenditure and Vote Cross Cutting Issues

Table 4.1: NTR Collections (Billions)

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Table 4.2: Off-Budget Expenditure By Department and Project

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Table 4.3: Vote Crosscutting Issues

i) Gender and Equity

Objective:	Gender and equity mainstreaming in FIA operations
Issue of Concern:	Marginalization of vulnerable groups nationally
Planned Interventions:	Mainstreaming gender and equity issues in planning and budgeting instruments. Provide appropriate facilities for PWDs. Mainstream gender and equity issues in departmental reporting. Conducting public awareness campaigns in all regions of Uganda.
Budget Allocation (Billion):	0.040
Performance Indicators:	Level of compliance of FIA to gender and equity budgeting
Actual Expenditure By End Q2	0.01
Performance as of End of Q2	Gender and equity budgeting incorporated in Vote BFP FY 2023/24.
Reasons for Variations	None

ii) HIV/AIDS

Objective:	Reduce the spread of HIV/AIDS among FIA staff
Issue of Concern:	The government target of eradicating HIV/AIDS in the population is not yet met.
Planned Interventions:	Rolling out of the implementation of the approved HIV/AIDS policy. Conducting HIV/AIDS Sensitization sessions for all staff. Providing staff with protective gear such as condoms (male/female).
Budget Allocation (Billion):	0.010
Performance Indicators:	Number of HIV/AIDS sensitisation sessions for all staff conducted.
Actual Expenditure By End Q2	0.00
Performance as of End of Q2	None
Reasons for Variations	Release shortfall

iii) Environment

Objective:	To mainstream environmental concerns during office operations
Issue of Concern:	Environmental degradation
Planned Interventions:	Proper waste disposal in office. Promotion of energy use efficiency through use of renewable energy like natural lighting and air conditioning. Embracing the use of digital communication and workflows to reduce paper trail & paper waste management.
Budget Allocation (Billion):	0.010
Performance Indicators:	Number of offices using renewable energy
Actual Expenditure By End Q2	0.01
Performance as of End of Q2	Proper waste disposal, Use of natural lighting in office, sharing documents digitally using EDMS
Reasons for Variations	None

iv) Covid

Objective:	To reduce the spread of COVID 19 among staff
Issue of Concern:	New COVID 19 variants are coming up

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Planned Interventions:	Develop and update Standard Operating Procedures to safe guard staff against the spread of COVID-19. Ensure a safe work environment by provision of COVID-19 essentials such as office sanitizers, disinfectants, masks for guards and visual information.
Budget Allocation (Billion):	0.010
Performance Indicators:	No of staff sensitised on measures and prevention of spread of COVID 19
Actual Expenditure By End Q2	0.01
Performance as of End of Q2	Staff sensitised on COVID 19. COVID 19essentials procured
Reasons for Variations	None

