

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (UShs Billion)

	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% Budget Released	% Budget Spent	% Releases Spent	
Recurrent	Wage	9.594	8.635	7.196	3.847	75.0 %	40.0 %	53.5 %
	Non-Wage	16.928	17.888	12.486	9.953	74.0 %	58.8 %	79.7 %
Devt.	GoU	0.129	0.129	0.101	0.061	78.3 %	47.3 %	60.4 %
	Ext Fin.	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
GoU Total		26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %
Total GoU+Ext Fin (MTEF)		26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %
Arrears		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Total Budget		26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %
A.I.A Total		0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
Grand Total		26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %
Total Vote Budget Excluding Arrears		26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %

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Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% Budget Released	% Budget Spent	%Releases Spent
Programme:16 Governance And Security	26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1%
Sub SubProgramme:01 Directorate of Finance and Administration	16.597	16.597	11.498	7.068	69.3 %	42.6 %	61.5%
Sub SubProgramme:02 Directorate of Internal Audit	0.064	0.064	0.061	0.046	95.3 %	72.5 %	76.1%
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.827	1.827	1.635	0.765	89.5 %	41.9 %	46.8%
Sub SubProgramme:04 Directorate of Analysis and Monitoring	6.374	6.374	5.201	5.085	81.6 %	79.8 %	97.8%
Sub SubProgramme:05 Directorate of Compliance and Training	1.598	1.598	1.235	0.771	77.3 %	48.3 %	62.5%
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.191	0.191	0.154	0.126	80.7 %	65.8 %	81.5%
Total for the Vote	26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %

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Table V1.3: High Unspent Balances and Over-Expenditure in the Approved Budget (Ushs Bn)

(i) Major unspent balances

Departments , Projects		
Sub SubProgramme:01 Directorate of Finance and Administration		
Sub Programme: 01 Institutional Coordination		
0.694	Bn Shs	Department : 002 Human resource registry and security
Reason: Payments to be done in Q4		
Items		
0.667	UShs	211104 Employee Gratuity
Reason: Payments to be done in Q4		
Sub Programme: 05 Anti-Corruption and Accountability		
0.347	Bn Shs	Department : 001 Accounts
Reason: Procurements were on going by the end of the Quarter		
Items		
0.062	UShs	221012 Small Office Equipment
Reason: Procurements were on going by the end of the Quarter		
0.040	Bn Shs	Project : 1623 Retooling of Financial Intelligence Authority
Reason: 0		
Items		
0.040	UShs	221008 Information and Communication Technology Supplies.
Reason:		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
Sub Programme: 02 Security		
0.870	Bn Shs	Department : 001 Systems Administration and Security
Reason: Procurements were ongoing by the end of the Quarter. These will be completed in Q4		
Items		
0.717	UShs	221008 Information and Communication Technology Supplies.
Reason: Procurements were ongoing by the end of the Quarter. These will be completed in Q4		
0.100	UShs	225101 Consultancy Services
Reason: Procurements were ongoing by the end of the Quarter. These will be completed in Q4		

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(i) Major unspent balances

Departments , Projects

Sub SubProgramme:04 Directorate of Analysis and Monitoring

Sub Programme: 05 Anti-Corruption and Accountability

	Bn Shs	Department : 002 Operational analysis
Reason: Activities were still ongoing by the end of the quarter		

Items

0.020	UShs	221003 Staff Training
Reason: Activities were still ongoing by the end of the quarter		
0.005	UShs	221008 Information and Communication Technology Supplies.
Reason: Procurement was still ongoing by the end of the Quarter. This will be completed in Q4		
0.005	UShs	221012 Small Office Equipment
Reason: Procurement was still ongoing by the end of the Quarter. This will be completed in Q4		
0.004	UShs	227001 Travel inland
Reason: Activities were still ongoing by the end of the quarter		

Sub SubProgramme:05 Directorate of Compliance and Training

Sub Programme: 05 Anti-Corruption and Accountability

0.464	Bn Shs	Department : 001 Compliance and Inspection
Reason: Activities were still ongoing by the end of the quarter		

Items

0.237	UShs	221002 Workshops, Meetings and Seminars
Reason: Activities were still ongoing by the end of the quarter		
0.100	UShs	225101 Consultancy Services
Reason: Activities were still ongoing by the end of the quarter		

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V2: Performance Highlights

Table V2.1: PIAP outputs and output Indicators

Programme:16 Governance And Security			
SubProgramme:01 Institutional Coordination			
Sub SubProgramme:01 Directorate of Finance and Administration			
Department:002 Human resource registry and security			
Budget Output: 000005 Human Resource Management			
PIAP Output: 16060201 Human Resources Management Services provided			
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
No of Staff receiving their salaries by 28th of each month	Number	86	42
No of staff trained	Number	20	29
SubProgramme:02 Security			
Sub SubProgramme:03 Directorate of Systems Administration and Security			
Department:001 Systems Administration and Security			
Budget Output: 120007 Support services			
PIAP Output: 16070516 Enhanced Technical capability			
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
Value of security equipment acquired (bn)	Value	1	0.8
SubProgramme:03 Policy and Legislation Processes			
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations			
Department:001 Legal and Corporate Affairs			
Budget Output: 460103 Legal Representation and Litigation services			
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
No of policies developed and/or reviewed	Number	1	3
PIAP Output: 16060305 AML/CFT International standards implemented			
Programme Intervention: 160603 Review and enact appropriate legislation			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
Number of FATF Technical Compliance recommendations re-rated	Number	6	2

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Programme:16 Governance And Security			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:01 Directorate of Finance and Administration			
Department:001 Accounts			
Budget Output: 000014 Administrative and Support Services			
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
Number or percentage (%) of personnel recruited and trained	Number	100%	100
Project:1623 Retooling of Financial Intelligence Authority			
Budget Output: 000003 Facilities and Equipment Management			
PIAP Output: 16070520 Enhanced Technical capability			
Programme Intervention: 160709 Strengthen capacity and handle emerging and prevailing sophisticated crimes such as cyber-crimes			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
Value of security equipment acquired (bn)	Value	0.129	0.064
Sub SubProgramme:02 Directorate of Internal Audit			
Department:001 Internal Audit			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16070519 Security personnel trained			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
Number or percentage (%) of personnel recruited and trained	Number	100%	100
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Department:001 Strategic Analysis and Statistics			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
Number of studies under taken per year and results disseminated to stakeholders	Number	2	2
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes			
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
Number of engagements with the public on matters related to ML/TF/PF	Number	8	7

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Programme:16 Governance And Security			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Department:001 Strategic Analysis and Statistics			
Budget Output: 000001 Audit and Risk Management			
PIAP Output: 16080813 Financial due diligence undertaken on investors			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
No . of financial due diligence reports produced	Number	30	19
Department:002 Operational analysis			
Budget Output: 560019 Data Management and Dissemination			
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
No. of reports disseminated	Number	80	45
Sub SubProgramme:05 Directorate of Compliance and Training			
Department:001 Compliance and Inspection			
Budget Output: 000023 Inspection and Monitoring			
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
PIAP Output Indicators	Indicator Measure	Planned 2022/23	Actuals By END Q 3
Number of sanctions issued for non compliance with the AML/CFT/CPF standard	Number	1	0

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Performance highlights for the Quarter

The approved budget for the FY 2022/23 is 26.651 billion that includes 9.594 billion for wage, 16.928 billion for non-wage and 0.129 billion for development. By the end of Q3, 74.2% of the approved budget was released and 70.1% of the released amount was spent in line with the approved annual workplan as under mentioned.

1. FIA completed the tax crimes vulnerability and threat assessments of the Tax Crimes & Proceeds Risk Assessment. Several engagements were held with the World Bank and other stakeholders in order to harmonize data and ensure proper usage of the assessment tool. When completed, this assessment will help Uganda understand its tax risks and exposure to tax evasion, money laundering, and other related matters.
2. 197 Accountable Persons were registered, 197 certificates of registration were issued and 124 Accountable Persons requested for their certificates to be certified.
3. FIA received a total of 5 requests for conducting financial due diligence (background and credibility checks) from the MoFPED and UIA on companies that wished to partner with the GOU on implementing certain projects. Of the 5 requests received, due diligence was done on 3 and the respective reports were sent to responsible agencies while the other 2 await supporting documents from various sources.
4. FIA received 595 suspicious transaction reports and 112 suspicious transaction reports. The reports were analyzed out of which 6 materialized into generation of 6 intelligence reports that were disseminated to law enforcement agencies and competent authorities for further management.
5. FIA received 30 requests for information from different LEAs and competent authorities, and responded to 26 of them by the end of the quarter.
6. FIA conducted an On-site Inspection of Airtel Mobile Commerce Uganda Ltd. The exit meeting and Final Inspection report will be completed by April 30, 2023. In the same period, the AML/CFT On-site inspection of Centenary Bank was completed.

Variances and Challenges

1. The main challenge faced by FIA was a release shortfall during Q3. This affected implementation of many preplanned activities.
2. Insufficient budgetary allocations. The budget for FIA for the FY 2022/23 is Ugx 26.651 Billion. However, this is not sufficient to implement the FIA mandate.

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V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Budget Output*

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %
Sub SubProgramme:01 Directorate of Finance and Administration	16.597	16.597	11.498	7.068	69.3 %	42.6 %	61.5 %
000003 Facilities and Equipment Management	0.129	0.129	0.101	0.061	78.3 %	47.5 %	60.6 %
000005 Human Resource Management	12.440	11.481	8.992	4.949	72.3 %	39.8 %	55.0 %
000014 Administrative and Support Services	4.028	4.988	2.406	2.058	59.7 %	51.1 %	85.6 %
Sub SubProgramme:02 Directorate of Internal Audit	0.064	0.064	0.061	0.046	95.3 %	72.5 %	76.1 %
000001 Audit and Risk Management	0.064	0.064	0.061	0.046	95.3 %	72.5 %	76.1 %
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.827	1.827	1.635	0.765	89.5 %	41.9 %	46.8 %
120007 Support services	1.827	1.827	1.635	0.765	89.5 %	41.9 %	46.8 %
Sub SubProgramme:04 Directorate of Analysis and Monitoring	6.374	6.374	5.201	5.085	81.6 %	79.8 %	97.8 %
000001 Audit and Risk Management	0.661	0.661	0.369	0.303	55.9 %	45.8 %	82.0 %
560019 Data Management and Dissemination	5.713	5.713	4.831	4.782	84.6 %	83.7 %	99.0 %
Sub SubProgramme:05 Directorate of Compliance and Training	1.598	1.598	1.235	0.771	77.3 %	48.3 %	62.5 %
000023 Inspection and Monitoring	1.598	1.598	1.235	0.771	77.3 %	48.3 %	62.5 %
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.191	0.191	0.154	0.126	80.7 %	65.8 %	81.5 %
460103 Legal Representation and Litigation services	0.191	0.191	0.154	0.126	80.7 %	65.8 %	81.5 %
Total for the Vote	26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %

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Table V3.2: GoU Expenditure by Item 2022/23 GoU Expenditure by Item

<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
211102 Contract Staff Salaries	9.594	8.635	7.196	3.847	75.0 %	40.1 %	53.5 %
211104 Employee Gratuity	1.407	1.407	1.231	0.564	87.5 %	40.1 %	45.8 %
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	0.483	0.483	0.408	0.345	84.5 %	71.4 %	84.5 %
211107 Boards, Committees and Council Allowances	0.389	0.389	0.228	0.168	58.6 %	43.4 %	73.9 %
212101 Social Security Contributions	1.439	1.439	0.565	0.538	39.3 %	37.4 %	95.2 %
212102 Medical expenses (Employees)	0.351	0.351	0.000	0.000	0.0 %	0.0 %	0.0 %
221001 Advertising and Public Relations	0.212	0.212	0.165	0.164	78.0 %	77.6 %	99.5 %
221002 Workshops, Meetings and Seminars	0.477	0.477	0.477	0.225	100.0 %	47.2 %	47.2 %
221003 Staff Training	0.138	0.138	0.099	0.047	71.7 %	34.0 %	47.4 %
221004 Recruitment Expenses	0.000	0.300	0.000	0.000	0.0 %	0.0 %	0.0 %
221007 Books, Periodicals & Newspapers	0.021	0.021	0.017	0.013	79.5 %	61.0 %	76.7 %
221008 Information and Communication Technology Supplies.	1.262	1.262	1.127	0.311	89.3 %	24.6 %	27.6 %
221009 Welfare and Entertainment	0.437	0.437	0.341	0.277	77.9 %	63.3 %	81.3 %
221011 Printing, Stationery, Photocopying and Binding	0.118	0.118	0.106	0.045	90.2 %	38.3 %	42.5 %
221012 Small Office Equipment	0.243	0.243	0.153	0.048	62.9 %	19.6 %	31.2 %
221017 Membership dues and Subscription fees.	0.365	0.365	0.365	0.352	100.0 %	96.6 %	96.6 %
222001 Information and Communication Technology Services.	0.040	0.040	0.020	0.013	50.0 %	33.8 %	67.6 %
222002 Postage and Courier	0.000	0.000	0.000	0.000	0.0 %	0.0 %	0.0 %
223001 Property Management Expenses	0.036	0.036	0.027	0.027	75.0 %	74.2 %	98.9 %
223003 Rent-Produced Assets-to private entities	1.328	1.328	0.678	0.677	51.1 %	50.9 %	99.8 %
223004 Guard and Security services	0.264	0.264	0.193	0.175	73.1 %	66.2 %	90.6 %
223005 Electricity	0.072	0.072	0.050	0.037	69.4 %	51.0 %	73.4 %
224009 Classified Expenditure	6.431	6.431	5.255	5.255	81.7 %	81.7 %	100.0 %
225101 Consultancy Services	0.270	0.270	0.270	0.000	100.0 %	0.0 %	0.0 %
226001 Insurances	0.065	0.065	0.000	0.000	0.0 %	0.0 %	0.0 %
226002 Licenses	0.459	0.459	0.301	0.274	65.6 %	59.7 %	91.1 %
227001 Travel inland	0.334	0.334	0.193	0.160	57.7 %	47.8 %	82.9 %
227004 Fuel, Lubricants and Oils	0.348	0.348	0.262	0.262	75.3 %	75.3 %	100.0 %
228002 Maintenance-Transport Equipment	0.070	0.070	0.058	0.038	83.2 %	54.7 %	65.7 %
312221 Light ICT hardware - Acquisition	0.000	0.209	0.000	0.000	0.0 %	0.0 %	0.0 %

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
312235 Furniture and Fittings - Acquisition	0.000	0.450	0.000	0.000	0.0 %	0.0 %	0.0 %
Total for the Vote	26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	26.651	26.651	19.783	13.861	74.23 %	52.01 %	70.07 %
Sub SubProgramme:01 Directorate of Finance and Administration	16.597	16.597	11.498	7.068	69.28 %	42.59 %	61.5 %
Departments							
001 Accounts	4.028	4.988	2.406	2.058	59.7 %	51.1 %	85.6 %
002 Human resource registry and security	12.440	11.481	8.992	4.949	72.3 %	39.8 %	55.0 %
Development Projects							
1623 Retooling of Financial Intelligence Authority	0.129	0.129	0.101	0.061	78.3 %	47.5 %	60.6 %
Sub SubProgramme:02 Directorate of Internal Audit	0.064	0.064	0.061	0.046	95.31 %	72.50 %	76.1 %
Departments							
001 Internal Audit	0.064	0.064	0.061	0.046	95.3 %	72.5 %	76.1 %
Development Projects							
N/A							
Sub SubProgramme:03 Directorate of Systems Administration and Security	1.827	1.827	1.635	0.765	89.46 %	41.86 %	46.8 %
Departments							
001 Systems Administration and Security	1.827	1.827	1.635	0.765	89.5 %	41.9 %	46.8 %
Development Projects							
N/A							
Sub SubProgramme:04 Directorate of Analysis and Monitoring	6.374	6.374	5.201	5.085	81.59 %	79.77 %	97.8 %
Departments							
001 Strategic Analysis and Statistics	0.661	0.661	0.369	0.303	55.9 %	45.8 %	82.0 %
002 Operational analysis	5.713	5.713	4.831	4.782	84.6 %	83.7 %	99.0 %
Development Projects							
N/A							
Sub SubProgramme:05 Directorate of Compliance and Training	1.598	1.598	1.235	0.771	77.28 %	48.27 %	62.5 %
Departments							
001 Compliance and Inspection	1.598	1.598	1.235	0.771	77.3 %	48.3 %	62.5 %
Development Projects							
N/A							

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<i>Billion Uganda Shillings</i>	Approved Budget	Revised Budget	Released by End Q3	Spent by End Q3	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
Programme:16 Governance And Security	26.651	26.651	19.783	13.861	74.23 %	52.01 %	70.07 %
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations	0.191	0.191	0.154	0.126	80.73 %	65.83 %	81.5 %
<i>Departments</i>							
001 Legal and Corporate Affairs	0.191	0.191	0.154	0.126	80.7 %	65.8 %	81.5 %
<i>Development Projects</i>							
N/A							
Total for the Vote	26.651	26.651	19.783	13.861	74.2 %	52.0 %	70.1 %

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Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

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Quarter 3: Outputs and Expenditure in the Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Programme:16 Governance And Security		
SubProgramme:01 Institutional Coordination		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.	1) 11 trainings and capacity building activities were undertaken where over 9 staff were trained in AML/CFT related matters 2)Payroll was processed on time 3) Gratuity payments were done on time 4) Payments for NSSF were processed on time	None
Expenditures incurred in the Quarter to deliver outputs		UShs Thousand
Item		Spent
211102 Contract Staff Salaries		1,342,290.000
211104 Employee Gratuity		66,000.000
212101 Social Security Contributions		174,180.000
	Total For Budget Output	1,582,470.000
	Wage Recurrent	1,342,290.000
	Non Wage Recurrent	240,180.000
	Arrears	0.000
	AIA	0.000
	Total For Department	1,582,470.000
	Wage Recurrent	1,342,290.000
	Non Wage Recurrent	240,180.000
	Arrears	0.000
	AIA	0.000
Develoment Projects		
N/A		
SubProgramme:02 Security		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
Departments		
Department:001 Systems Administration and Security		

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Budget Output:120007 Support services		
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
100 documents scanned to the EDMS 5 licenses renewed. 1 Preventive Maintenance service works carried out Provision of ICT reusables	1) 105 new goAML system users were on-boarded on goAML 2). Compliance training and goAML on-boarding for 7 national payment system providers/operators (NPSP/Os). 3. 100 documents scanned to the EDMS 4)A total of 3,360 reports (compared to 3,142 in Q2) were received from various Accountable Persons via the goAML platform during Q3 5) Hybrid training event on the goAML online registration process for Accountable Persons and issuance of electronic Certificates of Registration was conducted with attendance of 450 participants from various accountable persons. 6) Compliance training and goAML on boarding for 23 casinos licensed by the National Lotteries and Gaming Regulatory Board (NLGRB). 7) The Authority procured and successfully implemented an Independent FIA Microsoft Exchange Email Solution following approval from the National Information Technology Authority (NITA-U) to migrate from the Zimbra email platform in line with the FIA Strategic Plan 2020/2021 to 2024/2025.	none
Expenditures incurred in the Quarter to deliver outputs		UShs Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		11,655.000
221002 Workshops, Meetings and Seminars		13,275.000
221008 Information and Communication Technology Supplies.		183,125.350
222001 Information and Communication Technology Services.		4,035.000
224009 Classified Expenditure		100,000.000
226002 Licenses		254,346.979
227004 Fuel, Lubricants and Oils		3,600.000
228002 Maintenance-Transport Equipment		4,227.100
	Total For Budget Output	574,264.429
	Wage Recurrent	0.000
	Non Wage Recurrent	574,264.429
	Arrears	0.000
	AIA	0.000
	Total For Department	574,264.429
	Wage Recurrent	0.000
	Non Wage Recurrent	574,264.429
	Arrears	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	AIA	0.000
Development Projects		
N/A		
SubProgramme:03 Policy and Legislation Processes		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
Departments		
Department:001 Legal and Corporate Affairs		
Budget Output:460103 Legal Representation and Litigation services		
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.		
Programme Intervention: 160603 Review and enact appropriate legislation		
Consultative meetings with key stakeholders of the Proliferation of financing law. 2 ICRG action items implemented. Validation meetings with key stakeholders for issuance of administrative sanctions.	1) Request for re-rating . Uganda submitted its request for re-rating to the ESAAMLG secretariat. Uganda applied for re-ratings for 8 recommendations (i.e. Recs 1, 7, 8, 19, 24, 25, 27, and 30). 2) ESAAMLG ME Follow Up Report for Uganda – January 2023. Uganda submitted its Progress/Follow-Up Report to the ESAAMLG secretariat. Uganda reported on significant developments in its AML/CFT system since the mutual evaluation and the last Follow-up report. 3) 45th ESAAMLG Task Force of Senior Officials Meeting. the meeting discussed and adopted the Post Evaluation Progress/Follow-up report of Uganda. 4) Guidelines on Targeted Financial Sanctions related to Proliferation Financing. FIA drafted and issued guidelines on the implementation of Targeted Financial Sanctions related to proliferation financing.	None
Expenditures incurred in the Quarter to deliver outputs		US\$hs Thousand
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	1,835.000	
221007 Books, Periodicals & Newspapers	500.000	
221017 Membership dues and Subscription fees.	590.450	
224009 Classified Expenditure	10,000.000	
227004 Fuel, Lubricants and Oils	7,800.000	
	Total For Budget Output	20,725.450
	Wage Recurrent	0.000
	Non Wage Recurrent	20,725.450
	Arrears	0.000
	AIA	0.000
	Total For Department	20,725.450
	Wage Recurrent	0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Non Wage Recurrent	20,725.450
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
SubProgramme:05 Anti-Corruption and Accountability		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		
PIAP Output: 16070502 General administration and support services enhanced		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
Ministerial policy statement prepared and submitted. Quarterly budget performance reports prepared and submitted. Quarterly FIA performance report prepared and submitted. 2 engagements with the public on ML/TF matters conducted regionally. 4 Board meetings facilitated. General administrative and support services provided to the authority for smooth execution of the mandate. Financial statements prepared and submitted.	1) Half year FY 2022/23 financial statements were prepared and submitted 2) Ministerial policy statement FY 2023/24 was prepared and submitted. 3) Q2 Budget performance report was prepared and submitted 4) Q2 FIA performance report was prepared and submitted	None
Expenditures incurred in the Quarter to deliver outputs		UShs Thousand
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	18,291.000	
211107 Boards, Committees and Council Allowances	61,570.000	
221001 Advertising and Public Relations	61,669.504	
221007 Books, Periodicals & Newspapers	1,813.000	
221009 Welfare and Entertainment	101,527.544	
221011 Printing, Stationery, Photocopying and Binding	13,659.540	
221012 Small Office Equipment	20,000.000	
221017 Membership dues and Subscription fees.	1,708.000	
223001 Property Management Expenses	8,700.000	
223003 Rent-Produced Assets-to private entities	451,040.524	
223004 Guard and Security services	57,885.810	
223005 Electricity	13,480.302	
227004 Fuel, Lubricants and Oils	31,100.000	
228002 Maintenance-Transport Equipment	1,829.532	
Total For Budget Output		844,274.756
Wage Recurrent		0.000

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
	Non Wage Recurrent	844,274.756
	Arrears	0.000
	AIA	0.000
	Total For Department	844,274.756
	Wage Recurrent	0.000
	Non Wage Recurrent	844,274.756
	Arrears	0.000
	AIA	0.000

Development Projects

Project:1623 Retooling of Financial Intelligence Authority
Budget Output:000003 Facilities and Equipment Management
PIAP Output: 16070503 Retooling of Financial Intelligence Authority
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.
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Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
Item	Spent
Total For Budget Output	57,277.200
GoU Development	57,277.200
External Financing	0.000
Arrears	0.000
AIA	0.000
Total For Project	57,277.200
GoU Development	57,277.200
External Financing	0.000
Arrears	0.000
AIA	0.000

Sub SubProgramme:02 Directorate of Internal Audit
Departments
Department:001 Internal Audit
Budget Output:000001 Audit and Risk Management
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls
Programme Intervention: 160715 Strengthen research and development to address emerging security threats

1 Audit Risk management assessments conducted. 1 Risk Based Reports Produced. Approved workplan by the Board	1) 1 Risk Based Report was Produced 2) Audit workplan was prepared and approved by the Board	None
Expenditures incurred in the Quarter to deliver outputs		US\$ Thousand
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	3,090.000	

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Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		UShs Thousand
Item		Spent
221002 Workshops, Meetings and Seminars		3,300.000
221003 Staff Training		1,800.000
221017 Membership dues and Subscription fees.		4,260.000
227004 Fuel, Lubricants and Oils		3,000.000
	Total For Budget Output	15,450.000
	Wage Recurrent	0.000
	Non Wage Recurrent	15,450.000
	Arrears	0.000
	AIA	0.000
	Total For Department	15,450.000
	Wage Recurrent	0.000
	Non Wage Recurrent	15,450.000
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
Departments		
Department:001 Strategic Analysis and Statistics		
Budget Output:000001 Audit and Risk Management		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
1 ML/TF typology studies conducted. ML/TF strategic analysis conducted on trends and methods as informed by operational analysis and other reports. 3 Departmental staff trained with requisite FDD and strategic analysis skills	1)FIA received a total of five (5) requests for conducting financial due diligence (background and credibility checks) from the Ministry of Finance, Planning and Economic Development and Uganda Investment Authority on companies that wished to partner with the Government of Uganda on certain projects. Of the seven (5) requests received, three (3) were conducted and the respective reports were sent to responsible agencies, one (1) is still under review and another one (1) request is awaiting additional information requested from the Management of Nelson Mandela - Namboole Stadium who are interested parties and documents from various other sources. 2) FIA embarked on process of developing Terms of reference for money Laundering and Terrorism Financing (ML/TF) risk assessment on virtual assets and virtual asset service providers. FIA is undertaking this risk assessment in collaboration and with funding from the United Nations Office on Drugs and Crime (UNODC) Regional Office.	None
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
Procedures/guidelines developed to be followed to conduct FDD 10 Financial due diligence on investors conducted Engagement of individuals/entities that have ability to collect secret information on subjects of FDD	1)FIA received a total of five (5) requests for conducting financial due diligence (background and credibility checks) from the Ministry of Finance, Planning and Economic Development and Uganda Investment Authority on companies that wished to partner with the Government of Uganda on certain projects. Of the seven (5) requests received, three (3) were conducted and the respective reports were sent to responsible agencies, one (1) is still under review and another one (1) request is awaiting additional information requested from the Management of Nelson Mandela - Namboole Stadium who are interested parties and documents from various other sources.	None

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Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes		
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery		
1 regional Public Relations awareness campaign conducted	1) In order to increase public awareness and understanding of matters related to AML/CFT, FIA participated in the outreach organized by Bank of Uganda to currency exchangers at the Mutukula border point, from February 13-15, 2023. The outreach was aimed at raising awareness about the licensing and registration requirements for currency exchange businesses. 2) FIA also participated in a televised interview on NBS Television. This was part of the “Beera Steady - Be Better” campaign launched on March 14, 2023 at the Next Media Park. This is a Corporate Social Responsibility campaign by Next Media in collaboration with various Ugandan TV and radio stations and critical players in the digital economy, to sensitize Ugandans on financial literacy, prevention of digital fraud, and the protection of digital income.	None
Expenditures incurred in the Quarter to deliver outputs		UShs Thousand
Item		Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		12,663.200
221003 Staff Training		30,051.220
224009 Classified Expenditure		150,000.000
227004 Fuel, Lubricants and Oils		11,000.000
	Total For Budget Output	203,714.420
	Wage Recurrent	0.000
	Non Wage Recurrent	203,714.420
	Arrears	0.000
	AIA	0.000
	Total For Department	203,714.420
	Wage Recurrent	0.000
	Non Wage Recurrent	203,714.420
	Arrears	0.000
	AIA	0.000
Department:002 Operational analysis		
Budget Output:560019 Data Management and Dissemination		

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Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
100% of financial reports analysed. 20 intelligence reports disseminated to LEAs. 100% of Foreign FIUs requests responded to within 15 working days. 100% of Egmont/ESAAMLG Head of Analysis activities actively involved in. 4 engagements held with relevant LEAs on the usage of Financial Intelligence information. 1 engagement held combing all relevant LEAs and Competent Authorities on the usage of financial intelligence. 1 Integrated FIA databases maintained. 1 Up to date databases including records filing and archiving maintained. 1 awareness engagement held with accountable persons on AML/CFT reporting. 1 report on vital information to support analysis produced.	1) 100% of the reports received were analysed. 2) 6 intelligence reports disseminated to Law enforcement agencies and competent authorities. 3) 100% of foreign requests for information were responded to. 4) FIA participated in the six (6) Counter Terrorism Inter-agency engagements held on various dates. 5) 1 Integrated FIA databases maintained. 6) 1 Up to date databases including records filing and archiving maintained.	None
Expenditures incurred in the Quarter to deliver outputs		UShs Thousand
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	9,925.000	
221003 Staff Training	10,003.600	
221012 Small Office Equipment	5,402.400	
221017 Membership dues and Subscription fees.	1,713.722	
224009 Classified Expenditure	871,825.592	
227004 Fuel, Lubricants and Oils	12,270.000	
228002 Maintenance-Transport Equipment	7,485.744	
Total For Budget Output		918,626.058
Wage Recurrent		0.000
Non Wage Recurrent		918,626.058
Arrears		0.000
AIA		0.000
Total For Department		918,626.058
Wage Recurrent		0.000
Non Wage Recurrent		918,626.058
Arrears		0.000
AIA		0.000
Develoment Projects		
N/A		
Sub SubProgramme:05 Directorate of Compliance and Training		
Departments		
Department:001 Compliance and Inspection		
Budget Output:000023 Inspection and Monitoring		

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Quarter 3

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080504 AML/CFT compliance enforced		
Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations		
Administrative sanctions issued to non compliant accountable persons in all regions of Uganda	Administrative sanctions were not issued.	Anti-Money Laundering(Amendment) Regulations, 2022 that regulations provide for the imposition of administrative sanctions or fines for breach of the provisions were issued on April 17, 2023. These will now form a basis of issuance of administrative sanctions going foward.
1 Risk Based offsite and onsite inspection conducted in Central and Western Uganda (Ugx 48 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in Eastern Uganda (Ugx 24 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken in Central and western regions. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) . 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated targeting all Ugandans . 1 supervisory/ regulatory bodies trained	1) FIA conducted an AML/CFT On-site Inspection of Airtel Mobile Commerce Uganda Ltd. 2) The FIA Compliance team and Bank of Uganda Supervision Directorate concluded the AML/CFT On-site inspection of Centenary Bank . 3) 10 AML/CFT Awareness and Trainings were conducted with various accountable persons. 4) A total of 197 accountable persons were registered during the period under review compared to 165 in the previous quarter. 5) 197 certificates of registration were issued (compared to 179 in previous quarter) while 197 were picked by the respective Accountable Persons (compared to 165 in the previous quarter). 6) The Accountable persons register was continually updated.	None

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
1 Risk Based offsite and onsite inspection conducted in Central and Western Uganda (Ugx 48 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in Eastern Uganda (Ugx 24 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken in Central and western regions. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) . 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated targeting all Ugandans . 1 supervisory/ regulatory bodies trained and sensitized.	1) FIA conducted an AML/CFT On-site Inspection of Airtel Mobile Commerce Uganda Ltd. 2) The FIA Compliance team and Bank of Uganda Supervision Directorate concluded the AML/CFT On-site inspection of Centenary Bank . 3) 10 AML/CFT Awareness and Trainings were conducted with various accountable persons. 4) A total of 197 accountable persons were registered during the period under review compared to 165 in the previous quarter. 5) 197 certificates of registration were issued (compared to 179 in previous quarter) while 197 were picked by the respective Accountable Persons (compared to 165 in the previous quarter). 6) The Accountable persons register was continually updated.	None
1 Risk Based offsite and onsite inspection conducted in Central and Western Uganda (Ugx 48 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in Eastern Uganda (Ugx 24 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken in Central and western regions. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) . 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated targeting all Ugandans . 1 supervisory/ regulatory bodies trained and	1) FIA conducted an AML/CFT On-site Inspection of Airtel Mobile Commerce Uganda Ltd. 2) The FIA Compliance team and Bank of Uganda Supervision Directorate concluded the AML/CFT On-site inspection of Centenary Bank . 3) 10 AML/CFT Awareness and Trainings were conducted with various accountable persons. 4) A total of 197 accountable persons were registered during the period under review compared to 165 in the previous quarter. 5) 197 certificates of registration were issued (compared to 179 in previous quarter) while 197 were picked by the respective Accountable Persons (compared to 165 in the previous quarter). 6) The Accountable persons register was continually updated.	None
Expenditures incurred in the Quarter to deliver outputs		<i>US\$ Thousand</i>
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	50,870.000	
221001 Advertising and Public Relations	6,636.600	
221002 Workshops, Meetings and Seminars	37,966.000	
221007 Books, Periodicals & Newspapers	978.500	
221011 Printing, Stationery, Photocopying and Binding	24,670.282	

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Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Reasons for Variation in performance
Expenditures incurred in the Quarter to deliver outputs		US\$ Thousand
Item		Spent
221017 Membership dues and Subscription fees.		809.550
224009 Classified Expenditure		150,000.000
227001 Travel inland		21,730.660
227004 Fuel, Lubricants and Oils		17,200.000
	Total For Budget Output	310,861.592
	Wage Recurrent	0.000
	Non Wage Recurrent	310,861.592
	Arrears	0.000
	AIA	0.000
	Total For Department	310,861.592
	Wage Recurrent	0.000
	Non Wage Recurrent	310,861.592
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
	GRAND TOTAL	4,527,663.905
	Wage Recurrent	1,342,290.000
	Non Wage Recurrent	3,128,096.705
	GoU Development	57,277.200
	External Financing	0.000
	Arrears	0.000
	AIA	0.000

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Quarter 3

Quarter 3: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Programme:16 Governance And Security		
SubProgramme:01 Institutional Coordination		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
1. 20 Staff trained that include male, female and PWDs (127 million)	1) 35 trainings and capacity building engagements were conducted where	
2. Payroll processed on time for all staff that include male and female staff.	over 29 staff were trained in AML/CFT related courses	
3. Gratuity and NSSF payments for all staff that include male and female staff processed and paid on time.	2) Payroll was processed for all staff.	
	3) Gratuity payments were done for all staff .	

Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs US\$ Thousand

Item	Spent
211102 Contract Staff Salaries	3,847,051.072
211104 Employee Gratuity	564,000.000
212101 Social Security Contributions	537,630.000
Total For Budget Output	4,948,681.072
Wage Recurrent	3,847,051.072
Non Wage Recurrent	1,101,630.000
Arrears	0.000
AIA	0.000
Total For Department	4,948,681.072
Wage Recurrent	3,847,051.072
Non Wage Recurrent	1,101,630.000
Arrears	0.000
AIA	0.000

Development Projects

N/A

SubProgramme:02 Security

Sub SubProgramme:03 Directorate of Systems Administration and Security

Departments

Department:001 Systems Administration and Security

Budget Output:120007 Support services

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Quarter 3

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
40 reporting entities from all regions of Uganda onboarded on goAML. 400 documents scanned to the EDMS 14 licenses renewed. 1 Penetration test carried out for the ICT Software solutions and Networks. 1 security operating center (SOC) tool implemented.		1. 192 reporting entities (forex bureaus) were onboarded on goAML 2. Compliance training and goAML on-boarding for 13 national payment system providers/operators (NPSP/Os). 3. 300 documents scanned to the EDMS 4. Provided coordination, technical support and issue diagnosis for the Egmont Secure Web (ESW) system to ensure constant communication between the FIA and the operational divisions of member FIUs. 5. IT Support for end-users was provided. 6. Maintenance activities were performed on the website including content, news, press release, statistics and sanction list updates. 7. IT security was enhanced and alerts continued to be shared on are as such as spear phishing, windows patch management, Kaspersky malware detections and vulnerabilities, threat intelligence metrics, proactive and preventive cyber security measures, incidents and intrusion attempts. 8. Compliance training and goAML on boarding for 23 casinos licensed by the National Lotteries and Gaming Regulatory Board	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand	
Item		Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)		47,922.000	
221002 Workshops, Meetings and Seminars		41,557.960	
221008 Information and Communication Technology Supplies.		233,125.350	
221017 Membership dues and Subscription fees.		7,000.000	
222001 Information and Communication Technology Services.		13,460.000	
224009 Classified Expenditure		100,000.000	
226002 Licenses		274,200.559	
227001 Travel inland		5,427.000	
227004 Fuel, Lubricants and Oils		37,200.000	
228002 Maintenance-Transport Equipment		5,000.000	
Total For Budget Output		764,892.869	
Wage Recurrent		0.000	
Non Wage Recurrent		764,892.869	
Arrears		0.000	
AIA		0.000	
Total For Department		764,892.869	
Wage Recurrent		0.000	
Non Wage Recurrent		764,892.869	
Arrears		0.000	
AIA		0.000	
Development Projects			
N/A			

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Quarter 3

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
SubProgramme:03 Policy and Legislation Processes		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
Departments		
Department:001 Legal and Corporate Affairs		
Budget Output:460103 Legal Representation and Litigation services		
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.		
Programme Intervention: 160603 Review and enact appropriate legislation		
6 FATF Technical Compliance recommendations re-rated from Partially compliant/Non compliant to compliant/Largely compliant. PF Law enacted. 11 ICRG actions implemented. Issuance of administrative sanctions fast tracked.	1) 2 FATF Technical Compliance recommendations re-rated from Partially compliant/Non compliant to compliant/Largely compliant. 2). ICRG Virtual Face to Face Meeting with Uganda. The face-to-face meeting between the Uganda delegation and the Africa/Middle East Joint Group was held on September 9, 2022 in Livingstone, Zambia. The meeting took note of the significant progress made by Uganda in amending a set of 7 legislation aimed at addressing elements of the ICRG action plan. 3) 6 Laws were amended and assented to by the President. 4) FIA Drafted and issued Targeted Financial Sanctions Guidelines for Accountable Persons. 5) FIA finalized the drafting of Anti-Money Laundering (Amendment)Regulations, 2022. 6) The Anti-Terrorism (Amendment) Regulations, 2022 were finalized. 7) Uganda’s ICRG progress report was prepared in preparation for the Face-to-Face meetings to be held in January 2023 in Rabat, Morocco.	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		US\$ Thousand
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	41,835.000	
221002 Workshops, Meetings and Seminars	6,743.280	
221003 Staff Training	5,000.000	
221007 Books, Periodicals & Newspapers	500.000	
221008 Information and Communication Technology Supplies.	13,750.000	
221011 Printing, Stationery, Photocopying and Binding	500.000	
221017 Membership dues and Subscription fees.	4,000.000	
224009 Classified Expenditure	30,000.000	
227004 Fuel, Lubricants and Oils	23,400.000	
Total For Budget Output		125,728.280
Wage Recurrent		0.000
Non Wage Recurrent		125,728.280
Arrears		0.000
AIA		0.000
Total For Department		125,728.280

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Quarter 3

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
	Wage Recurrent		0.000
	Non Wage Recurrent		125,728.280
	Arrears		0.000
	AIA		0.000
Development Projects			
N/A			
SubProgramme:05 Anti-Corruption and Accountability			
Sub SubProgramme:01 Directorate of Finance and Administration			
Departments			
Department:001 Accounts			
Budget Output:000014 Administrative and Support Services			
PIAP Output: 16070502 General administration and support services enhanced			
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.			
Financial statements submitted. Quarterly and Annual performance reports prepared and submitted. Ministerial policy statement prepared and submitted. Budget framework paper prepared and submitted. 8 engagements with the public on ML/TF matters conducted.		1). Budget framework paper was prepared and submitted. 2). 3 Quarterly budget performance reports were prepared and submitted. 3). 3 FIA performance reports were prepared and submitted. 4). 7 engagements were conducted with the public on ML/TF matters conducted. These included Participation in National Anti corruption week activities and participation in the annual bankers sports gala. 5). 5 Board meetings were facilitated. 6). General administrative and support services provided to the authority for smooth execution of the mandate. 7). FY 2022/23 Financial statements prepared and submitted. 8). FY 2022/23 Annual performance report prepared and submitted. 9) FIA ministerial policy statement FY 2023/24 was prepared and submitted. 10) Half year FY 2022/23 financial statements were prepared and submitted	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs			UShs Thousand
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			47,011.000
211107 Boards, Committees and Council Allowances			168,448.528
221001 Advertising and Public Relations			149,149.704
221002 Workshops, Meetings and Seminars			4,465.000
221007 Books, Periodicals & Newspapers			6,764.500
221008 Information and Communication Technology Supplies.			2,537.000
221009 Welfare and Entertainment			276,950.324
221011 Printing, Stationery, Photocopying and Binding			19,866.340
221012 Small Office Equipment			42,280.000
221017 Membership dues and Subscription fees.			307,811.937

VOTE: 129 Financial Intelligence Authority (FIA)

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
223001 Property Management Expenses		26,700.000
223003 Rent-Produced Assets-to private entities		676,560.786
223004 Guard and Security services		174,938.160
223005 Electricity		36,687.697
227001 Travel inland		12,534.000
227004 Fuel, Lubricants and Oils		93,300.000
228002 Maintenance-Transport Equipment		12,288.826
	Total For Budget Output	2,058,293.802
	Wage Recurrent	0.000
	Non Wage Recurrent	2,058,293.802
	Arrears	0.000
	AIA	0.000
	Total For Department	2,058,293.802
	Wage Recurrent	0.000
	Non Wage Recurrent	2,058,293.802
	Arrears	0.000
	AIA	0.000
Development Projects		
Project:1623 Retooling of Financial Intelligence Authority		
Budget Output:000003 Facilities and Equipment Management		
PIAP Output: 16070503 Retooling of Financial Intelligence Authority		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
7 Laptops procured.	Procurement of 11 laptops	
Boardroom Digital Collaboration Solution procured.		
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
221008 Information and Communication Technology Supplies.		61,263.523
	Total For Budget Output	61,263.523
	GoU Development	61,263.523
	External Financing	0.000
	Arrears	0.000
	AIA	0.000
	Total For Project	61,263.523
	GoU Development	61,263.523
	External Financing	0.000

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
		Arrears	0.000
		AIA	0.000
Sub SubProgramme:02 Directorate of Internal Audit			
Departments			
Department:001 Internal Audit			
Budget Output:000001 Audit and Risk Management			
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
4 Audit Risk management assessments conducted		1) 1 Audit Risk management assessments was conducted	
4 Risk Based Reports Produced		2) 2 Risk Based Reports were Produced	
Approved workplan by the Board		3) Audit workplan was prepared and approved by the Board	
Updated Risk Register			
1 joint inspections conducted			
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs			US\$ Thousand
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			3,180.000
221002 Workshops, Meetings and Seminars			9,450.000
221003 Staff Training			1,800.000
221017 Membership dues and Subscription fees.			4,260.000
227001 Travel inland			18,640.000
227004 Fuel, Lubricants and Oils			9,000.000
Total For Budget Output			46,330.000
Wage Recurrent			0.000
Non Wage Recurrent			46,330.000
Arrears			0.000
AIA			0.000
Total For Department			46,330.000
Wage Recurrent			0.000
Non Wage Recurrent			46,330.000
Arrears			0.000
AIA			0.000
Development Projects			
N/A			
Sub SubProgramme:04 Directorate of Analysis and Monitoring			
Departments			

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
Department:001 Strategic Analysis and Statistics			
Budget Output:000001 Audit and Risk Management			
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing			
Programme Intervention: 160715 Strengthen research and development to address emerging security threats			
2 ML/TF typology studies conducted. 2 ML/TF risk assessment of sectors conducted. 7 Recommendations from ML/TF typology studies/risk assessments implemented. 30 Financial due diligence reports on investors produced. 2 reports disseminated.		1) FIA Completed a of a Typology study on Internal Fraud in the Financial Sector. The purpose of the typology study was to identify money laundering trends, patterns, and related issues to come up with measures to help sector players mitigate against identified risks. 2) FIA completed the Tax crimes vulnerability assessment as part of the tax crimes assessment. This Tax Crimes & Proceeds assessment aims to assess the scale, relative incidence and proceeds of tax crimes, the nature of tax crimes and common typologies and their relevance to money laundering. 3)FIA received 26 requests to conduct financial due diligence on entities intending to partner with the government to undertake key investment projects. 19 requests were conducted and the rest were under review by end of Q3.	
PIAP Output: 16080813 Financial due diligence undertaken on investors			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
30 due diligence reports on prospective investors produced. Procedures/guidelines developed to be followed to conduct FDD. A mechanism established to acquire vital information to support FDD.		1)FIA received 26 requests to conduct financial due diligence on entities intending to partner with the government to undertake key investment projects. 19 requests were conducted and the rest were under review by end of Q3.	
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes			
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery			
4 Public awareness campaigns conducted in all regions of Uganda.		1) FIA participated in 5 public awareness campaigns that include; Annual Bankers Sports Gala, the National Anti-Corruption week activities and the2022 CEO Forum. 2) 2 Articles were published in the New Vison and Daily Monitor on the role of the FIA in protecting the integrity of Uganda's financial system and how the public can join the fight against money laundering and related financial crimes. 3) FIA produced an article on the nexus between corruption and Money Laundering. 4) FIA run a message in the 2022 social economic transformation – Sector review magazine. 5) Several talk shows were held. 6) Digital Media Support. FIA created of relevant and engaging digital content/compelling infographics for publishing onto various FIA digital platforms such as Twitter, developing of digital media projects, monitoring the public's perspective on FIA's services, timely sharing of significant press releases and analysing the data available so as to identify areas of improvement.	

VOTE: 129 Financial Intelligence Authority (FIA)**Quarter 3**

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		<i>US\$ Thousands</i>	
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			42,663.200
221003 Staff Training			30,051.220
224009 Classified Expenditure			207,261.000
227004 Fuel, Lubricants and Oils			22,933.000
	Total For Budget Output		302,908.420
	Wage Recurrent		0.000
	Non Wage Recurrent		302,908.420
	Arrears		0.000
	<i>AIA</i>		0.000
	Total For Department		302,908.420
	Wage Recurrent		0.000
	Non Wage Recurrent		302,908.420
	Arrears		0.000
	<i>AIA</i>		0.000
Department:002 Operational analysis			
Budget Output:560019 Data Management and Dissemination			
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.			
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption			
Analysis of 100% of all financial reports. Dissemination of at least 80 intelligence reports to LEAs. Respond to 100% of foreign FIUs requests within 15 days. Conducting 16 engagements with relevant LEAs on the use of financial intelligence information.		1) 100% of the reports received were analysed. 2) 45 intelligence reports disseminated to Law enforcement agencies and competent authorities. 3) 100% of foreign requests for information were responded to. 4) 3 engagements held with URA and Inspectorate of government on status of various cases disseminated by the FIA . 5) 1 Integrated FIA databases maintained. 6) 1 Up to date databases including records filing and archiving maintained. 7) 2 engagements with Money Laundering Control Officers (MLCO's) and Members of the Uganda Forex Bureau Owners and Money Remitters Association were held. This was to enhance collaboration and efficiency between FIA and MLCO's/Members of the Uganda Forex Bureau Owners and Money Remitters Association in the fight against Money Laundering and Terrorist Financing. 8) FIA participated in the six (6) Counter Terrorism Inter-agency engagements held on various dates.	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		<i>US\$ Thousands</i>	
Item			Spent
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)			35,743.152
221003 Staff Training			10,003.600

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Quarter 3

Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item		Spent
221007 Books, Periodicals & Newspapers		1,650.000
221012 Small Office Equipment		5,402.400
221017 Membership dues and Subscription fees.		28,208.400
224009 Classified Expenditure		4,667,717.618
227001 Travel inland		986.000
227004 Fuel, Lubricants and Oils		24,540.000
228002 Maintenance-Transport Equipment		7,485.744
	Total For Budget Output	4,781,736.914
	Wage Recurrent	0.000
	Non Wage Recurrent	4,781,736.914
	Arrears	0.000
	AIA	0.000
	Total For Department	4,781,736.914
	Wage Recurrent	0.000
	Non Wage Recurrent	4,781,736.914
	Arrears	0.000
	AIA	0.000
Development Projects		
N/A		
Sub SubProgramme:05 Directorate of Compliance and Training		
Departments		
Department:001 Compliance and Inspection		
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080504 AML/CFT compliance enforced		
Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations		
Issuance of administrative sanctions to non compliant accountable persons across the country.	Administrative sanctions were not issued.	

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Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16080504 AML/CFT compliance enforced	
Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations	
<p>6 risk based onsite and offsite inspections conducted (all regions, 195 m)</p> <p>160 compliance risk based reports reviewed .</p> <p>7 categories of accountable persons Sensitized and trained on risk based approach.</p> <p>8 AML/CFT/CPF public awareness campaigns undertaken</p>	<p>1. Joint Inspections were conducted on 6 Life Insurance Companies with IRA and also conducted a joint Inspection of one bank (Centenary) with Bank of Uganda.</p> <p>2. 25 compliance Risk Based reports were reviewed.</p> <p>3. 7 Categories of accountable persons were trained and sensitized on a risk-based approach that include; NGO's, MDI's and payment service providers/operators, Life Insurance companies, Asset Management companies, forex bureaus and banks. .</p> <p>4. 2 categories of LEAs & Competent authorities were trained and sensitized that include UPF and URA.</p> <p>5. Guidance was given to 200 accountable persons on AML/CFT.</p> <p>6. A total of 545 accountable persons were registered during the period under review</p> <p>7. 580 certificates of registration were issued while 502 were picked by the respective accountable persons.</p> <p>8. The accountable persons register was continually updated.</p> <p>9. 6 accountable persons enrolled on the goAML electronic platform</p> <p>10. 3 brochures developed to sensitize the public</p>
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws	
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption	
<p>6 risk based onsite and offsite inspections conducted (all regions, 195 m)</p> <p>160 compliance risk based reports reviewed .</p> <p>7 categories of accountable persons Sensitized and trained on risk based approach.</p> <p>8 AML/CFT/CPF public awareness campaigns undertaken</p>	<p>1. Joint Inspections were conducted on 6 Life Insurance Companies with IRA and also conducted a joint Inspection of one bank (Centenary) with Bank of Uganda.</p> <p>2. 25 compliance Risk Based reports were reviewed.</p> <p>3. 7 Categories of accountable persons were trained and sensitized on a risk-based approach that include; NGO's, MDI's and payment service providers/operators, Life Insurance companies, Asset Management companies, forex bureaus and banks. .</p> <p>4. 2 categories of LEAs & Competent authorities were trained and sensitized that include UPF and URA.</p> <p>5. Guidance was given to 200 accountable persons on AML/CFT.</p> <p>6. A total of 545 accountable persons were registered during the period under review</p> <p>7. 580 certificates of registration were issued while 502 were picked by the respective accountable persons.</p> <p>8. The accountable persons register was continually updated.</p> <p>9. 6 accountable persons enrolled on the goAML electronic platform</p> <p>10. 3 brochures developed to sensitize the public</p>

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Annual Planned Outputs		Cumulative Outputs Achieved by End of Quarter
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
6 risk based onsite and offsite inspections conducted (all regions, 195 m) 160 compliance risk based reports reviewed . 7 categories of accountable persons Sensitized and trained on risk based approach. 8 AML/CFT/CPF public awareness campaigns undertaken	1. Joint Inspections were conducted on 6 Life Insurance Companies with IRA and also conducted a joint Inspection of one bank (Centenary) with Bank of Uganda. 2. 25 compliance Risk Based reports were reviewed. 3. 7 Categories of accountable persons were trained and sensitized on a risk-based approach that include; NGO's, MDI's and payment service providers/operators, Life Insurance companies, Asset Management companies, forex bureaus and banks. . 4. 2 categories of LEAs & Competent authorities were trained and sensitized that include UPF and URA. 5. Guidance was given to 200 accountable persons on AML/CFT. 6. A total of 545 accountable persons were registered during the period under review 7. 580 certificates of registration were issued while 502 were picked by the respective accountable persons. 8. The accountable persons register was continually updated. 9. 6 accountable persons enrolled on the goAML electronic platform 10. 3 brochures developed to sensitize the public	
Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs		UShs Thousand
Item	Spent	
211106 Allowances (Incl. Casuals, Temporary, sitting allowances)	126,420.250	
221001 Advertising and Public Relations	15,000.000	
221002 Workshops, Meetings and Seminars	163,272.732	
221007 Books, Periodicals & Newspapers	3,865.500	
221011 Printing, Stationery, Photocopying and Binding	24,670.282	
221017 Membership dues and Subscription fees.	809.550	
224009 Classified Expenditure	250,000.000	
227001 Travel inland	122,230.660	
227004 Fuel, Lubricants and Oils	51,600.000	
228002 Maintenance-Transport Equipment	13,500.000	
Total For Budget Output		771,368.974
Wage Recurrent		0.000
Non Wage Recurrent		771,368.974
Arrears		0.000
AIA		0.000
Total For Department		771,368.974
Wage Recurrent		0.000
Non Wage Recurrent		771,368.974
Arrears		0.000
AIA		0.000

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Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	
Development Projects		
N/A		
	GRAND TOTAL	13,861,203.854
	Wage Recurrent	3,847,051.072
	Non Wage Recurrent	9,952,889.259
	GoU Development	61,263.523
	External Financing	0.000
	Arrears	0.000
	AIA	0.000

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Quarter 3

Quarter 4: Revised Workplan

Annual Plans	Quarter's Plan	Revised Plans
Programme:16 Governance And Security		
SubProgramme:01		
Sub SubProgramme:01 Directorate of Finance and Administration		
Departments		
Department:002 Human resource registry and security		
Budget Output:000005 Human Resource Management		
PIAP Output: 16060201 Human Resources Management Services provided		
Programme Intervention: 160602 Develop and implement human resource policies to attract and retain competent staff		
1. 20 Staff trained that include male, female and PWDs (127 million) 2. Payroll processed on time for all staff that include male and female staff. 3. Gratuity and NSSF payments for all staff that include male and female staff processed and paid on time.	5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.	5 staff trained that include Both Male and female (UGX 31 million). Payroll processed for all staff that include male and female. Gratuity payments done for all staff that include male and female.
Develoment Projects		
N/A		
SubProgramme:02		
Sub SubProgramme:03 Directorate of Systems Administration and Security		
Departments		
Department:001 Systems Administration and Security		
Budget Output:120007 Support services		
PIAP Output: 16071501 Strengthen system capacities to enable and harness benefits of coordinated private sector activities		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
40 reporting entities from all regions of Uganda onboarded on goAML. 400 documents scanned to the EDMS 14 licenses renewed. 1 Penetration test carried out for the ICT Software solutions and Networks. 1 security operating center (SOC) tool implemented.	100 documents scanned to the EDMS 3 licenses renewed. Provision of ICT reusables 1 Penetration test carried out for the ICT Software solutions and Networks. 1 Preventive Maintenance service works carried out 1 security operating center (SOC) tool implemented	100 documents scanned to the EDMS 3 licenses renewed. Provision of ICT reusables 1 Penetration test carried out for the ICT Software solutions and Networks. 1 Preventive Maintenance service works carried out 1 security operating center (SOC) tool implemented
Develoment Projects		
N/A		
SubProgramme:03		
Sub SubProgramme:06 Directorate of Legal, Corporate Services and International Relations		
Departments		
Department:001 Legal and Corporate Affairs		

Quarter 3

Annual Plans	Quarter's Plan	Revised Plans
Budget Output:460103 Legal Representation and Litigation services		
PIAP Output: 16060301 Appropriate international and regional laws harmonized and domesticated.		
Programme Intervention: 160603 Review and enact appropriate legislation		
6 FATF Technical Compliance recommendations re-rated from Partially compliant/Non compliant to compliant/Largely compliant. PF Law enacted. 11 ICRG actions implemented. Issuance of administrative sanctions fast tracked.	1 ICRG action item implemented. 6 FATF technical recommendations rerated from Non compliant/partially compliant to Largely compliant/compliant. Submit the draft PF law to FPC and the AML/CFT Taskforce. 1st , 2nd and 3rd Parliamentary readings of the PF law. Printing & Gazetting the Bills.	1 ICRG action item implemented. 6 FATF technical recommendations rerated from Non compliant/partially compliant to Largely compliant/compliant. Submit the draft PF law to FPC and the AML/CFT Taskforce. 1st , 2nd and 3rd Parliamentary readings of the PF law. Printing & Gazetting the Bills.
<i>Development Projects</i>		
N/A		
SubProgramme:05		
Sub SubProgramme:01 Directorate of Finance and Administration		
<i>Departments</i>		
Department:001 Accounts		
Budget Output:000014 Administrative and Support Services		
PIAP Output: 16070502 General administration and support services enhanced		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
Financial statements submitted. Quarterly and Annual performance reports prepared and submitted. Ministerial policy statement prepared and submitted. Budget framework paper prepared and submitted. 8 engagements with the public on ML/TF matters conducted.	Quarterly budget performance reports prepared and submitted. Quarterly FIA performance report prepared and submitted. 2 engagements with the public on ML/TF matters conducted regionally. 4 Board meetings facilitated. General administrative and support services provided to the authority for smooth execution of the mandate.	Quarterly budget performance reports prepared and submitted. Quarterly FIA performance report prepared and submitted. 2 engagements with the public on ML/TF matters conducted regionally. 4 Board meetings facilitated. General administrative and support services provided to the authority for smooth execution of the mandate.
<i>Development Projects</i>		
Project:1623 Retooling of Financial Intelligence Authority		
Budget Output:000003 Facilities and Equipment Management		
PIAP Output: 16070503 Retooling of Financial Intelligence Authority		
Programme Intervention: 160705 Improve the capacity and capability of the Security Sector through training and equipping personnel.		
7 Laptops procured. Boardroom Digital Collaboration Solution procured.	.	.
Sub SubProgramme:02 Directorate of Internal Audit		
<i>Departments</i>		
Department:001 Internal Audit		

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Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071502 Risk Reviews conducted to ensure effective governance, risk management and reliable controls		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
4 Audit Risk management assessments conducted 4 Risk Based Reports Produced Approved workplan by the Board Updated Risk Register 1 joint inspections conducted	1 Audit Risk management assessments conducted. 1 Risk Based Report produced. Risk register updated	1 Audit Risk management assessments conducted. 1 Risk Based Report produced. Risk register updated
<i>Development Projects</i>		
N/A		
Sub SubProgramme:04 Directorate of Analysis and Monitoring		
<i>Departments</i>		
Department:001 Strategic Analysis and Statistics		
Budget Output:000001 Audit and Risk Management		
PIAP Output: 16071503 Typology studies/risk assessment undertaken to identify trends and methods of Money laundering and Terrorism financing		
Programme Intervention: 160715 Strengthen research and development to address emerging security threats		
2 ML/TF typology studies conducted. 2 ML/TF risk assessment of sectors conducted. 7 Recommendations from ML/TF typology studies/risk assessments implemented. 30 Financial due diligence reports on investors produced. 2 reports disseminated.	Findings of ML/TF risk assessments /typology and other methods and trends reports disseminated to Stakeholders. Departmental staff trained with requisite FDD and strategic analysis skills	Findings of ML/TF risk assessments /typology and other methods and trends reports disseminated to Stakeholders. Departmental staff trained with requisite FDD and strategic analysis skills
PIAP Output: 16080813 Financial due diligence undertaken on investors		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
30 due diligence reports on prospective investors produced. Procedures/guidelines developed to be followed to conduct FDD. A mechanism established to acquire vital information to support FDD.	8 Financial due diligence on investors conducted Engagement of individuals/entities that have ability to collect secret information on subjects of FDD	8 Financial due diligence on investors conducted Engagement of individuals/entities that have ability to collect secret information on subjects of FDD
PIAP Output: 16080402 Improve public awareness of the dangers of financial crimes		
Programme Intervention: 160804 Monitoring of Government Programs for effective service delivery		
4 Public awareness campaigns conducted in all regions of Uganda.	1 regional Public Relations awareness campaign conducted	1 regional Public Relations awareness campaign conducted
Department:002 Operational analysis		

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Annual Plans	Quarter's Plan	Revised Plans
Budget Output:560019 Data Management and Dissemination		
PIAP Output: 16080805 Financial Intelligence provided to competent authorities to investigate and prosecute Money Laundering.		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
Analysis of 100% of all financial reports. Dissemination of at least 80 intelligence reports to LEAs. Respond to 100% of foreign FIUs requests within 15 days. Conducting 16 engagements with relevant LEAs on the use of financial intelligence information.	100% of financial reports analysed. 20 intelligence reports disseminated to LEAs. 100% of Foreign FIUs requests responded to within 15 working days. 100% of Egmont/ESAAMLG Head of Analysis activities actively involved in. 4 engagements held with relevant LEAs on the usage of Financial Intelligence information. 1 engagement held combing all relevant LEAs and Competent Authorities on the usage of financial intelligence. 1 Integrated FIA databases maintained. 1 Up to date databases including records filing and archiving maintained. 1 awareness engagement held with accountable persons on AML/CFT reporting. 1 report on vital information to support analysis produced.	100% of financial reports analysed. 20 intelligence reports disseminated to LEAs. 100% of Foreign FIUs requests responded to within 15 working days. 100% of Egmont/ESAAMLG Head of Analysis activities actively involved in. 4 engagements held with relevant LEAs on the usage of Financial Intelligence information. 1 engagement held combing all relevant LEAs and Competent Authorities on the usage of financial intelligence. 1 Integrated FIA databases maintained. 1 Up to date databases including records filing and archiving maintained. 1 awareness engagement held with accountable persons on AML/CFT reporting. 1 report on vital information to support analysis produced.
Develoment Projects		
N/A		
Sub SubProgramme:05 Directorate of Compliance and Training		
Departments		
Department:001 Compliance and Inspection		
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080504 AML/CFT compliance enforced		
Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations		
Issuance of administrative sanctions to non compliant accountable persons across the country.	Administrative sanctions issued to non compliant accountable persons in all regions of Uganda	Administrative sanctions issued to non compliant accountable persons in all regions of Uganda

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Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080504 AML/CFT compliance enforced		
Programme Intervention: 160805 Strengthen and enforce Compliance to accountability rules and regulations		
6 risk based onsite and offsite inspections conducted (all regions, 195 m) 160 compliance risk based reports reviewed . 7 categories of accountable persons Sensitized and trained on risk based approach. 8 AML/CFT/CPF public awareness campaigns undertaken	1 Risk Based offsite and onsite inspection conducted in Eastern and Northern Uganda (Ugx 19 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT that include men, women, youth and elder persons. 1 sensitization drive conducted In Northern Uganda (Ugx 10million). 2 engagements held with supervisory bodies held 138 accountable persons per category enrolled on the goAML electronic platform. 2 AML/CFT/CPF public awareness campaigns undertaken. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material). 1 article developed and prepared on AML/CFT/CPF. 1 TV/Radio awareness programs coordinated targeting all Ugandans. 1 supervisory/ regulatory bodies trained and sensitized.	1 Risk Based offsite and onsite inspection conducted in Central and Western Uganda (Ugx 48 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT. 1 sensitization drive conducted in Eastern Uganda (Ugx 24 million). 2 engagements held with supervisory bodies held. 138 accountable persons per category enrolled on the goAML electronic platform that include entities from Central region, Northern region, Eastern and western regions. 2 AML/CFT/CPF public awareness campaigns undertaken in Central and western regions. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material) . 1 article developed and prepared on AML/CFT/CPF 1 TV/Radio awareness programs coordinated targeting all Ugandans . 1 supervisory/ regulatory bodies trained
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
6 risk based onsite and offsite inspections conducted (all regions, 195 m) 160 compliance risk based reports reviewed . 7 categories of accountable persons Sensitized and trained on risk based approach. 8 AML/CFT/CPF public awareness campaigns undertaken	1 Risk Based offsite and onsite inspection conducted in Eastern and Northern Uganda (Ugx 19 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT that include men, women, youth and elder persons. 1 sensitization drive conducted In Northern Uganda (Ugx 10million). 2 engagements held with supervisory bodies held 138 accountable persons per category enrolled on the goAML electronic platform. 2 AML/CFT/CPF public awareness campaigns undertaken. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material). 1 article developed and prepared on AML/CFT/CPF. 1 TV/Radio awareness programs coordinated targeting all Ugandans. 1 supervisory/ regulatory bodies trained and sensitized.	1 Risk Based offsite and onsite inspection conducted in Eastern and Northern Uganda (Ugx 19 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT that include men, women, youth and elder persons. 1 sensitization drive conducted In Northern Uganda (Ugx 10million). 2 engagements held with supervisory bodies held 138 accountable persons per category enrolled on the goAML electronic platform. 2 AML/CFT/CPF public awareness campaigns undertaken. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material). 1 article developed and prepared on AML/CFT/CPF. 1 TV/Radio awareness programs coordinated targeting all Ugandans. 1 supervisory/ regulatory bodies trained and sensitized.

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Annual Plans	Quarter's Plan	Revised Plans
Budget Output:000023 Inspection and Monitoring		
PIAP Output: 16080812 AML/CFT/CPF compliance enforced in accordance to the relevant laws		
Programme Intervention: 160808 Strengthen the prevention, detection and elimination of corruption		
6 risk based onsite and offsite inspections conducted (all regions, 195 m) 160 compliance risk based reports reviewed . 7 categories of accountable persons Sensitized and trained on risk based approach. 8 AML/CFT/CPF public awareness campaigns undertaken	1 Risk Based offsite and onsite inspection conducted in Eastern and Northern Uganda (Ugx 19 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT that include men, women, youth and elder persons. 1 sensitization drive conducted In Northern Uganda (Ugx 10million). 2 engagements held with supervisory bodies held 138 accountable persons per category enrolled on the goAML electronic platform. 2 AML/CFT/CPF public awareness campaigns undertaken. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material). 1 article developed and prepared on AML/CFT/CPF. 1 TV/Radio awareness programs coordinated targeting all Ugandans. 1 supervisory/ regulatory bodies trained and sensitized.	1 Risk Based offsite and onsite inspection conducted in Eastern and Northern Uganda (Ugx 19 million). 40 compliance Risk Based reports reviewed. 2 Categories of accountable persons trained and sensitized on a risk-based approach. 2 categories of LEAs & Competent authorities trained and sensitized. Guidance given to 200 accountable persons on AML/CFT that include men, women, youth and elder persons. 1 sensitization drive conducted In Northern Uganda (Ugx 10million). 2 engagements held with supervisory bodies held 138 accountable persons per category enrolled on the goAML electronic platform. 2 AML/CFT/CPF public awareness campaigns undertaken. 1 category of awareness materials developed to sensitize the public. (Brochures, banners, digital material). 1 article developed and prepared on AML/CFT/CPF. 1 TV/Radio awareness programs coordinated targeting all Ugandans. 1 supervisory/ regulatory bodies trained and sensitized.
Develoment Projects		
N/A		

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

V4: NTR Collections, Off Budget Expenditure and Vote Cross Cutting Issues

Table 4.1: NTR Collections (Billions)

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Table 4.2: Off-Budget Expenditure By Department and Project

VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Table 4.3: Vote Crosscutting Issues

i) Gender and Equity

Objective:	Gender and equity mainstreaming in FIA operations
Issue of Concern:	Marginalization of vulnerable groups nationally
Planned Interventions:	Mainstreaming gender and equity issues in planning and budgeting instruments. Provide appropriate facilities for PWDs. Mainstream gender and equity issues in departmental reporting. Conducting public awareness campaigns in all regions of Uganda.
Budget Allocation (Billion):	0.040
Performance Indicators:	Level of compliance of FIA to gender and equity budgeting
Actual Expenditure By End Q3	0.04
Performance as of End of Q3	Incorporated gender and equity issues in Budget instruments and received a certificate of conformity from equity opportunities commission indicating FIA had complied by 68%
Reasons for Variations	None

ii) HIV/AIDS

Objective:	Reduce the spread of HIV/AIDS among FIA staff
Issue of Concern:	The government target of eradicating HIV/AIDS in the population is not yet met.
Planned Interventions:	Rolling out of the implementation of the approved HIV/AIDS policy. Conducting HIV/AIDS Sensitization sessions for all staff. Providing staff with protective gear such as condoms (male/female).
Budget Allocation (Billion):	0.010
Performance Indicators:	Number of HIV/AIDS sensitisation sessions for all staff conducted.
Actual Expenditure By End Q3	0
Performance as of End of Q3	Sensitisation was not done
Reasons for Variations	Budget constraints

iii) Environment

Objective:	To mainstream environmental concerns during office operations
Issue of Concern:	Environmental degradation
Planned Interventions:	Proper waste disposal in office. Promotion of energy use efficiency through use of renewable energy like natural lighting and air conditioning. Embracing the use of digital communication and workflows to reduce paper trail & paper waste management.
Budget Allocation (Billion):	0.010
Performance Indicators:	Number of offices using renewable energy
Actual Expenditure By End Q3	0.01
Performance as of End of Q3	Proper waste disposal was adhered to; Use of electronic means of communication was emphasised that minimised on paper trail; There was use of natural lighting/ renewable energy
Reasons for Variations	None

iv) Covid

Objective:	To reduce the spread of COVID 19 among staff
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VOTE: 129 Financial Intelligence Authority (FIA)

Quarter 3

Issue of Concern:	New COVID 19 variants are coming up
Planned Interventions:	Develop and update Standard Operating Procedures to safe guard staff against the spread of COVID-19. Ensure a safe work environment by provision of COVID-19 essentials such as office sanitizers, disinfectants, masks for guards and visual information.
Budget Allocation (Billion):	0.010
Performance Indicators:	No of staff sensitised on measures and prevention of spread of COVID 19
Actual Expenditure By End Q3	0.1
Performance as of End of Q3	COVID 19 essentials were procured that include sanitizers; Continuous sensitisation of staff on COVID 19; Implementation of COVID 19 SOPs
Reasons for Variations	none

