



Local Government Performance Assessment

Alebtong District

(Vote Code: 588)

Assessment	Scores
Accountability Requirements	50%
Crosscutting Performance Measures	65%
Educational Performance Measures	73%
Health Performance Measures	79%
Water Performance Measures	86%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>Alebtong District LG submitted the Annual Performance Contract for FY 2018/19 on 29th July, 2018 as per the submission schedule of MoFPED which was within the deadline of 1st August 2018 set by the MoFPED.</p> <p>Note: The PFMAA LG Budget guidelines require the submission to be by 30th June. However, this date was revised to 1st August 2018 as per the guidance from MoFPED.</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>The District LG submitted the approved Budget Estimates that included a Procurement Plan for the FY 2018/19 on 29th July, 2018. This date of submission was in line with the last official date of 1st August set by the MoFPED.</p> <p>Note: The PFMAA LG Budget guidelines require the submission to be by 30th June. However, this date was revised to 1st August 2018 as per the guidance from MoFPED.</p>	Yes
Reporting: submission of annual and quarterly budget performance reports			

<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>The Annual Performance Report for the FY 2017/2018 was submitted to MoFPED on 3rd September, 2018. This submission was outside the official date set in the PFMA Act, 2015 which requires LGs to make the submission on or before 31st July of every year.</p>	<p>No</p>															
<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> • If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). • If LG submitted late or did not submit at all, then it is not compliant. 	<p>Submission of the quarterly budget performance reports during FY 2017/2018 was as follows:</p> <table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Quarter</th> <th style="text-align: left;">Date of submission</th> <th style="text-align: left;">Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 01</td> <td>27th December, 2017</td> <td>No</td> </tr> <tr> <td>Quarter 02</td> <td>12th March, 2018</td> <td>No</td> </tr> <tr> <td>Quarter 03</td> <td>16th June, 2018</td> <td>No</td> </tr> <tr> <td>Quarter 04</td> <td>3rd September, 2018</td> <td>No</td> </tr> </tbody> </table> <p>The budget performance report of all quarters were submitted late contravening PFMA Act which requires these submissions to be made within the month following end of the quarter.</p> <p>It was largely claimed that the transition from OBT to PBS was still problematic but also that there was a challenge of adopting the change.</p>	Quarter	Date of submission	Reference	Quarter 01	27th December, 2017	No	Quarter 02	12th March, 2018	No	Quarter 03	16th June, 2018	No	Quarter 04	3rd September, 2018	No	<p>No</p>
Quarter	Date of submission	Reference																
Quarter 01	27th December, 2017	No																
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<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in line with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a 'Response' (and provide details), then it is compliant • If LG did not submit a 'response', then it is non-compliant • If there is a response for all –LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>There was no evidence by this assessment date that the CAO provided information to the PS/ST on the status of implementation of Internal Auditor General of Auditor General's findings for FY 2016/17 by the end February 2018 as required by PFMA s.11 2g). The reason given was that CAO had not received communication from PS/ST calling for this information.</p> <p>For Auditor general queries, number of queries raised by the Auditor general were 7. Number of queries cleared were 7 and no pending queries.</p> <p>There was no evidence by the assessment date that the CAO provided information to the PS/ST on the status of implementation of Internal Auditor General of Auditor General's findings for FY 2016/17 by the end February 2018 as required by PFMA s.11 2g). The reason given was that CAO had not received communication from PS/ST calling for this information.</p>	<p>No</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>Alebtong DLG obtained Unqualified Audit Opinion for FY 2017/2018</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>The District Physical Planning Committee was appointed by the CAO on 19th May, 2016 through a circular letter ref.CR/156/3. The membership was:</p> <ol style="list-style-type: none"> 1. Office of CAO, Chair 2. Ayela Joseph, Physical Planner-Secretary 3. Ocan Vincent Aporu, TC 4. Atalla Joan Angom, Environment Officer 5. Angulu Denis, Staff Surveyor 6. Otyeno Bonny, Ag District Engineer 7. Kissa Denis, Ag DEO 8. Oyuru Jennifer, Principal Agric. Officer 9. Auma D Vicky, Ag D/CDO 10. Dr Odung Tonny, Ag DHO <p>The following members were missing on the committee:</p> <ol style="list-style-type: none"> i) Natural Resources Officer ii) District Water Officer iii) Physical Planner in private practice <p>The committee was therefore not fully constituted in accordance with the law in force.</p>	<p>0</p>

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>There were only 3 sets of minutes for the District Physical Planning Committee seen by the PAT. The committee convened on 2nd November 2017, 13th November 2017 and 16th May 2018 respectively.</p> <p>It is only 1 set of Minutes, (for meeting held on 16h May 2018) that was submitted and acknowledged (by stamping on a copy that) by MoLHUD. There was no evidence that the other 2 sets were also submitted to MoLHUD as required</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>The District did not have an approved Physical Development Plan. For that reason consistency between infrastructure investments and the plan could not be established.</p>	<p>0</p>

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> Action area plan prepared for the previous FY: score 1 or else 0 	<p>A Physical Development (Detailed) Plan 2016-2026 for Alebtong Town Council was prepared and available in the LG.</p>	<p>1</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>The District budget conference was held on 31st October, 2017 in the District Council Hall attended by 102 participants. The report was signed by District Planner. Examples of priorities in the AWP based on the budget conference were:</p> <ul style="list-style-type: none"> i) Construction of 3 low cost staff house on page 4 of the report and page 8 of the AWP. ii) Construction of placenta pits at Angetta and Awei HC IIs on page 6 of the report and page 32 of the AWP. iii) Installation of metallic culverts at Aguru, Otoke swamps on page 11 of the report and page 58 of the AWP. iv) Rehabilitation of classroom blocks at Alebelebe and Arwot P/Schools on page 5 of the report and page 45 of AWP. 	<p>2</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>The capital investments in the approved Annual Work Plan for the FY 2018/2019 were derived from the approved Five-Year Development Plan as indicated in pages in the examples below:</p> <ul style="list-style-type: none"> i) Construction of 3 Units of low cost staff house on page 8 of the AWP and on page 307 of the 5 Yr. DDP. ii) 4 Classroom Block rehabilitation at Alebelebe P/S on page 45 of the AWP and on page 338 of the 5 Yr. DDP. iii) 4 Classroom Block rehabilitation at Arwot P/S on page 46 of the AWP and on page 338 of the 5 YR DDP. iv) 2 Classroom Block construction at Ogengo P/S on page 46 of the AWP and on page 337 of the 5 Yr. DDP. 	
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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>Project profiles were developed and appeared on pages 208 to 236 of the DDP of 2015/16-2019/20. The profiles were prepared as per LG Planning guidelines, presented to TPC for discussion and approval on 3rd May, 2018 under Min.05/04/2018 item No.5 of the Agenda.</p>	2									
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1. 	<p>The District Annual Statistical Abstract for 2017/18 was developed, produced and signed by Hon. Okello Denish Johnson, the (District LC V Chairman) and Mr Oketayot Christopher James- (the Stastician) on 10th April 20018.</p> <p>A Draft document was presented to TPC in their meeting of 11th January 2018 and discussed under Min. 05/01/2018 to support designing, planning, implementation e.t.c of LG programmes.</p>	1									
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>Infrastructure projects implemented by the Alebtong District in the FY 2017/2018 were derived from the Annual Work Plan and Budget approved by the LG Council reference pages as in the table below:</p> <table border="1" data-bbox="566 1859 1404 2139"> <thead> <tr> <th>Project</th> <th>Pg. In AWP</th> <th>Pg. in Budget</th> </tr> </thead> <tbody> <tr> <td>4 Classroom block renovation at Orupu P/S</td> <td>22</td> <td>157</td> </tr> <tr> <td>4 Classroom block renovation at Oboo P/S</td> <td></td> <td></td> </tr> </tbody> </table>	Project	Pg. In AWP	Pg. in Budget	4 Classroom block renovation at Orupu P/S	22	157	4 Classroom block renovation at Oboo P/S			2
Project	Pg. In AWP	Pg. in Budget										
4 Classroom block renovation at Orupu P/S	22	157										
4 Classroom block renovation at Oboo P/S												

		55	
22			
4 Classroom block renovation at Oyengolwedo P/S			21
		55	
2 Classroom block renovation at Angicakide P/S			
	55	22	
Completion of staff house at Aloï S/C HQs			
	86	6	
Reroofing of the procurement unit office			
		86	
6			
Completion of Aloï S/C HQs			
	86	6	
District HQs compound design and beautification			
	86	6	
Construction of Council Hall Podium			
	86	6	
Procurement of 1 motor cycle			
86	6		
Solar panel and accessories installation at the District HQs			
	86	6	
Furniture for Council Hall			
	86	6	
Furniture for ICT Resource Centre			
	86	6	
Procurement of the operational lamp for Alebtong HC IV			
	101		16
Completion of a Maternity Ward at Amugu HC III			
		101	
15			
Renovation of DHOs Office	102	17	
Completion of the District production office	149	12	
Solar installation at Plant Clic & production office	148	12	
Demonstration cage fishing farming at Awameri Dam	96	12	
22 deep borehole rehabilitation	113	30-31	
Drilling of 9 boreholes	112	30-31	
Construction of public latrine at Amugu market	112	30	
Construction of low cost sealing road around District HQs			153
26			
Rehabilitation of Tedwii-Orupu Road	157	26	

		<p>P/Maintenance of Obile-Ogowie-Amugu Road (13.4 km) 61 26</p> <p>Rehabilitation of Abongodyang-Awali junction Road 61 26</p> <p>Mechanized R/Maintenance Adwong-Amugu Road (8 km) 61 26</p> <p>Mechanized R/Maintence Olengo TC-Anara (9 km) 61 26</p> <p>Mechanized R/Maintenance ALE TCKOLWONGA-ALEBTONG TC (7 km) 61 26</p> <p>Mechanized R/Maintained Akula-Abia TC (16 km) 61 26</p> <p>Mechanized R/Maintenance Ajobi-Odeye Road (6.1 km) 61 26</p>	
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>All the 31 investment projects in the approved AWP for FY 2017/18 were completed. This was 100% completion rate. Examples of such projects were:</p> <ol style="list-style-type: none"> 1. Renovation of 4 classroom block at Arupu P/S 2. Construction and completion of Aloï S/C HQ office. 3. Construction and completion of maternity ward at Amugu HC III 4. Drilling of 9 boreholes. 	4
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>The District budget for the infrastructure investments for FY 2017/18 was shs.1,375,597,000 while actual implementation cost was shs.1,283,799,000. The implementation cost was shs.91,798,000 (6.67%) below the original budget.</p>	2

<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<p>• Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2</p>	<p>Although there was no budgetary provision for O&M for the infrastructures in FY under review, the following payments were undertaken in this direction:</p> <ol style="list-style-type: none"> 1. Repair of solar systems at the Administration block VR. No. 7810 dated 05/08/2017 shs.1,250,000. 2. Repair and replacement of sanitary system in District HQs VR. No. 0547 dated 05/10/2017 for shs.465,000 3. Replacement of door locks for the toilets on VR. No. 0894 dated 06/02/2018 for shs.450,000 4. Repair of Administration Block VR.0316 dated 10/03/2018 for shs.150,000. 	<p>2</p>
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<p>Human Resource Management</p>			
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<p>• Evidence that the LG has filled all HoDs positions substantively: score 3</p>	<p>The Customized Staff Structure was approved by Council on 30th November, 2016 vide minute No. 22/3ADC/2016/17.</p> <p>The structure was forwarded to MoPS for approval on 12th December, 2016 and through letter Ref. ARC135/306/01 dated 13th January, 2017, MoPS approved Alebtong District Staff Structure.</p> <p>The Staff structure provided for Nine HoDs excluding that of Administration.</p> <p>The position of the CFO was substantively filled by; Andeoye Stephene appointed on promotion and transfer from Kotido DLG on 3rd May, 2013 by letter Ref. ADM/156/2 as directed by DSC 56/2013.</p> <p>The positions with officers in acting capacities were; Community Based Services, Health, Natural Resources, Works, Production and Education.</p> <p>The Assessment team further noted that although the Staff structure provided for position of HoD Trade, Commerce, Industry and Local Economic Development, there was no officer even in acting capacity.</p>	<p>0</p>

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>The HoDs were appraised by the CAO through filling of Performance Agreement at the start of FY 2017/18.</p> <p>The reviewed HoDs files all had Performance Agreements with clear targets signed by the CAO and individual HoDs. However save for the file of the CFO, the others did not have Performance reports to show the performance scores and CAO's comments.</p> <p>The CAO rated the CFO's performance excellent.</p>	<p>0</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>In Accordance with the authority under the provisions of article 200(1) of the Constitution of the Republic of Uganda 1995 and section 55 (1) of the Local Government Act, Cap 243 (as amended), Alebtong DSC held its 64th meeting held on 9th September, 2017, 16th September, 2017, 17th September, 2017 and on 20th September, 2017 under minute DSC/121/2017 considered all the 11 submitted staff to be offered appointment on probation as Education Assistant II Scale U7 with effect from the date of assumption of duty.</p>	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>During the FY under review, 148 staff were presented to DSC for confirmation.</p> <p>In Accordance with the authority under the provisions of article 200(1) of the Constitution of the Republic of Uganda 1995 and section 55 (1) of the Local Government Act, Cap 243 (as amended), Alebtong DSC held its 63rd DSC meeting held on; 25th August, 2017, 30th August, 2017, 11th September, 2017 and 14th September, 2017 and under minute; DSC/96/2017 confirmed all the 148 staff.</p>	<p>1</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>One case of forgery of a release letter for Dokolo DLG by Owani Jimmy; Education Assistant II was presented to the DSC.</p> <p>In Accordance with the authority under the provisions of article 200(1) of the Constitution of the Republic of Uganda 1995 and section 55 (1) of the Local Government Act, Cap 243 (as amended), Alebtong DSC held the 65th DSC meeting held on 7th through to 9th February, 2018 and under minute DSC/34/2018 terminated the probation appointment arising from minute DSC09/2017.</p>	1
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>The LG was using the IPPS which was updated on posting of the appointed staff.</p> <p>The appointed 11 Education Assistant II officers all accessed the Payroll in March, 2018 following their appointment on 20th November, 2017 as directed by DSC minute No. DSC/121-8/2017 and the subsequent posting on 24th January, 2018 as evidenced below;</p> <ol style="list-style-type: none"> 1. Odung Walter of IPPS No. 1018058 received UGX 469,335 as indicated on the March, 2018. 2. Aleng Morris, IPPS No. 1018073 and Ocato Morrish, IPPS No. 1018069 each received UGX 469,335 in March, 2018. 	3
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>Four staff retired during the FY 2017/18. None of the four accessed the payroll within a timeline of two months.</p> <p>The delay in accessing the payroll was attributed to the failure to fully decentralise the pension management which has caused procedural delays at MoPS during the auditing of the submitted files submitted by the LG.</p>	0
Revenue Mobilization			

<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% -10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>Total OSR for FY 2016/2017 was Shs112,351,963 (Page 20 of Audited Final Accounts FY2016/2017) ; while</p> <p>Total OSR for FY 2017/2018 was Shs 153,560,045 (Page 29 of Draft Final Accounts FY2017/2018). Hence there was an</p> <p>Increase of Shs...41,208,082 resulting into a</p> <p>Percentage of 37%</p> <p>Notes about Calculations</p> <ul style="list-style-type: none"> • Shs140,493,463(OSRFY2016/17) Minus Shs 28,141,500 (Disposal of Assets page 20 of Audited Accounts FY20162017) = Shs 112,351,963 (Net Amt OSR FY2016/17) • Increase Shs 41,208,082= Shs 153,560,04 (OSRFY 2017/2018) minus Shs 112,351,963 (OSRFY 2916/2017) • % increase= $\frac{\text{Shs } 41,208,082 \times 100}{\text{Shs } 112,351,963} = 36,6\% = 37\%$ <p>Percentage increase in OSR was 37%</p> <p>Reason advanced for increased Local Revenue Collections was that</p> <p>the Senior Accountant in Charge of Revenue and CFO were moving together with members of the Standing Committee for Finance and Administration in a bid to enhance Local revenue collections. This Strategy of involving the Political Leadership yielded results in the form of increased Revenue Collections.</p>	<p>4</p>
<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>Total Local Revenue Planned/Budgeted (Original not Revised budget) for FY 2017/2018 was Shs368,085,000 (Page 13 of Draft Final Accounts FY2017/2018); while .</p> <p>Total Local Revenue collected during FY 2017/2018 was Shs 153,560,045 (Page 29 of Draft Final Accounts FY 2017/2018)</p> <p>This resulted into a revenue collection ratio of 42% leaving a deficit of 58%= (100%-41%)</p> <p>The District Local Government Officials opposed the option of considering the Original Approved Budget revised yet there was a provision within the Law to do the same</p>	<p>0</p>

Local revenue administration, allocation and transparency

Maximum 4 points on this performance measure.

• Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2

Local Revenue collections subjected to sharing with LLGs was Shs55,601,150 (Page 29 of the Draft Final Accounts FY 2017/2018); The

amount of local revenue remitted to LLGs was Shs...4,500,000 as per Vr 0107 dtt 9th August,2017,Payee Manager DFCU Bank Lra Branch distributed to the following Sub counties thru' their bank A/Cs

Abako S/C = Shs 500,000

Aloi S/C = Shs 500,000

AmuguS/C = Shs 500,000

Apala S/C= Shs 500,000

Awei S/C Shs 500,000

Omoro S/C Shs 500,000

Alebtong TC Shs 500,000

Abla S/C Shs 500,000

Akura S/c Shs 500,000

Total 4,500,000

The District was Supposed to remit Shs 36,140747 (55,601,150 X65= Shs 36,140747

100

The District retained funds meant for LLG as follows; 36,140,747 - 4,500,000 equals to a net of 31,640,747. This was done to offset the funds that were being owed by the LLGs in accordance with section 85(3) of the Local Governments Act CAP243 as amended.

<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>Total expenditure on Council allowances during FY 2017/2018 Shs 88,709,000 (Extracted from 79Payment Vouchers Schedule dated 25th September,2018 Authenticated by CFO Stephen Andeyo Mob 0392,130,088, 0752,515,370)</p> <p>PAT picked a copy filed and came along with it,</p> <p>Percentage...79%.</p> <p>Notes</p> <p>% Exp of Total Council Expenditure=</p> <p>Shs 88,709,00 X 100= 79%</p> <p>Shs 112,351,963 OSR FY (2016/2017)</p> <p>Expenditure on Council allowances during FY2017/2018 of 79% was over and above the required 20% of the previous FY 2016/2017 local revenue realized.</p> <p>However, the district local Government was granted permission by the Minister of Local Government to spend a total of Shs 49,674,000 over and above 20% limit on emoluments and allowances as per letter dated 26th March 2018 referenced ADM/F.55/01. This was in accordance with Sections 4.4A of the First Schedule to the Local Governments Act CAP 243 as amended</p>	<p>0</p>
<p>Procurement and contract management</p>			
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>The LG did not have a Senior Procurement Officer and a Procurement Officer.</p> <p>At the time of the assessment, Okwir Isaac a SAS attached to the Land Board was caretaking the office following the assignment of duty letter referenced ADM/156/2 of 4th June, 2018</p>	<p>0</p>

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>The appointed EC for the LG Procurements for the FY 2017/2018 produced evaluation reports with technical and clear recommendations to the Contracts Committee as evidenced by;</p> <p>The completion of Sub County Chief's house at Aloï, the EC whose membership comprised of the; Owerá John Bosco, a Planner, Alany Jimmy Max Civil Engineer, Puche David a Senior Engineer and Otile Peter a Senior Accounts Assistant on 28th February, 2018 vide minute No. 28/2/2018 recommended to the CC the best evaluated bidder; Ms Nyonymac Enterprises Limited to be awarded the contract at UGX UGX 34,606,629</p> <p>The Construction of a 2 classroom block and supply of 72 three seater desks to Olaoilongo Community School was evaluated by; Owerá John Bosco, a Planner, Alany Jimmy Max Civil Engineer, Puche David a Senior Engineer and Otile Peter a Senior Accounts Assistant and in their report signed on 29th January, 2018, the EC members recommended that M/s Dreamers Enterprises Ltd the best evaluated bidder be awarded the contract by the CC at Contract price UGX 150,804,972</p>	<p>1</p>
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>The Contracts Committee considered recommendations of the EC and in all the awarded contracts, the PAT did not note any deviations from the EC recommendations, for instance:</p> <p>For the completion of Sub County Chief's house at Aloï, the EC on 28th February, 2018 vide minute No. 28/2/2018 recommended to the CC the best evaluated bidder; Ms Nyonymac Enterprises Limited to be awarded the contract at UGX UGX 34,606,629.</p> <p>The CC upheld the EC recommendations and in its meeting held on 5th March, 2018 through minute No. Aleb/cc/min/55/2018 awarded the tender to M/s Nyonymac Enterprises Limited.</p> <p>For the Construction of a 2 classroom block and supply of 72 three seater desks to Olaoilongo Community School, the EC on 29th January, 2018 recommended that M/s Dreamers Enterprises Ltd the best evaluated bidder be awarded the contract by the CC at Contract price UGX 150,804,972.</p> <p>During its sitting of 6th February, 2018 under minute No. Aleb/cc/min/44a/2018, the CC awarded the Contract to M/s Dreamers Enterprises Limited.</p>	<p>1</p>

<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>The infrastructure projects in the FY 2018/19 Procurement and Disposal Plan (PDP) corresponded with those in the AWP, for instance; the Low cost sealing of 0.7km Odur-Yosam road on page 57 of AWP and PDP item No. 1 under the DDEG funded projects.</p> <p>The PDP for FY 2017/18 corresponded well with the respective AWP for example; item No. 31 of PDP; Rehabilitation of 4-classroom Block at Oboo Primary School appeared on page 22 under the Education Work Plan.</p>	<p>2</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/ infrastructure by August 30: score 2 	<p>The Assessment Team sampled and reviewed some projects for the FY 2018/19 and noted that the PDU had prepared their the Bid documents for instance;</p> <p>- the Low cost sealing of 0.7km Odur-Yosam road at UGX 356,426,000.</p>	<p>2</p>

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>The LG had a Vote book used as the Contracts Register capturing all the executed Contracts for FY 2017/2018.</p> <p>The register was up to date as it captured the Rehabilitated Akakowo Dam completed on 26th June, 2018.</p>	<p>2</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>All the procurements undertaken during the FY under review complied with Regulation 33(3) of the LGs (Public Procurement and Disposal of Public Assets) Regulations 2006 Thresholds for procurement of Works (1a and 1b), thresholds for procurement of supplies and services (2a and 2b), micro procurement (3) and community purchase (4). The LG used two procurement methods; Open Domestic and Restrictive/Selective bidding. This was evident from the sample of five indicated below;</p> <p>Open Domestic Bidding, i. e Procurements with Price above UGX 100M were;</p> <p>a) Completion of staff house at Aloi Sub County; Procurement Ref. No. Aleb/588/works/2017- 2018/00013 with Contract sum UGX 34,006,629 was advertised in the New Vision of Tuesday, January 31, 2017 page 47 .with a bid security of UGX 1.2M.</p> <p>b) Completion of two classrooms at Olaoilongo Community School under Procurement Ref. Aleb/588/Works/2016/2017/00048 advertised in the New Vision of Tuesday, January 31, 2017 with bid security of 3M.</p> <p>c) Construction of 2-classroom block and supply of 72 desks at Olaoilong Procurement Ref. No. Aleb/588//wrks/2017/2018/00006 advertised in the New Vision of 1st December, 2017 with bid security of UGX 2M.</p> <p>Restrictive/Selective Bidding was applied to;</p> <p>a. Procurement; Aleb/588/sup/2017/18/00016; Supply of 150 female goats to Amugu Sub County at contract price UGX 21M</p> <p>b. Procurement; Aleb/588/supplies/2017-2018/00021; Supply of 25 Oxen to Awei Sub County at Contract Price of UGX 24,125,000</p>	<p>2</p>

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates <p>for all projects based on technical supervision: score 2</p>	<p>There was evidence that the LG certified and provided detailed project information on all investments example;</p> <p>Construction of a 2-class room block at Anginakide P/S funded using SFG by Gudul Enterprises Ltd reference No. Aleb588/wks/2017-18/00001 at a contract price of Ugx.66,808,141</p> <ul style="list-style-type: none"> • Progress report and measurement sheet were dated 28th March,2018. • Interim Certificate No.1 issued on 28th March,2018 amounting to UGX 29,015,098 • Interim Certificate No.2 was issued on 11th June,2018 UGX 34,206,679 • Interim Certificate No.3 issued on 29th June,2018 UGX 3,586,464 <p>Construction of a 2-class room block at Adyanglim P/S funded using DDEG by Amen Enterprises Ltd Reference No. Aleb588/wkrs/2017-18/00002 at a contract price of Ugx.67,526,774</p> <ul style="list-style-type: none"> • Progress report and measurement sheet were dated 17th April, 2018. • Interim Certificate No.1 issued on 17th April,2018 amounting to UGX 29,662,840 • Interim Certificate No.2 was issued on 27th June,2018 UGX 31,254,560 • Interim Certificate No.3 issued on 29th June,2018 UGX 3,586,464 <p>Construction of low-cost sealing of Amuka road (0.47km) and Obote Avenue (0.54) by Bestways Contractors Ltd reference No. Aleb588/wkrs/2017-18/00005 at a contract price of UGX 336,078,722</p> <ul style="list-style-type: none"> • Progress inspection report was dated 17th May,2018 measurement sheet dated 16th May, 2018 • Interim Certificate No.1 issued on 17th May,2018 UGX 284,771,916 <p>Spot improvement of Teewao swamp on Akura-Alira P/S junction to Anyanga HCII by Twinex Investment Ltd reference price of UGX 16,885,900</p> <ul style="list-style-type: none"> • Progress inspection report was written on <p>21st May,2018</p> <ul style="list-style-type: none"> • Interim Certificate No.1 issued on 25th May,2018 UGX 16,885,900
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<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>There were no civil works projects for the current FY 2018/19 neither rolled over projects from FY 2017/18. However, during our field inspection some of the completed projects FY 2017/18 like the construction of a 2-class room block at Adyanglim P/S had no label and no information about project was displayed.</p>	<p>0</p>
<p>Financial management</p>			
<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>The District Local Government made monthly bank reconciliations and were up to date as at 31st August 2018 at the time of this Performance Assessment for the following sampled Cash books/bank /Accounts.</p> <ul style="list-style-type: none"> Works and Technical Services A/C No 01053500153140 at DFCU Lira LGMSD A/C 01983501001253 at DFCU Lira Education A/C No 01983501002602 at DFU Lira Community Based Services A/C 01933501001254 at DFCU Lira Natural Resources A/C N0 01983501001255 at DFCU.Lira. Danida A/C 01983501002793 at DFCU Lira . General Operations A/C01053500047533 at DFCU Lira. Health Department A/C Production Department A/C 120040163 at <p>Housing Finance Bank Lira</p> <ul style="list-style-type: none"> NUSAF 3A/C 019825010026 at DFCU Lira. Uganda Sanitation Fund A/C s2290512966 <p>At KCB Lira</p> <p>The District Local Government was operating a Manual Accounting system and the process of installing e net work cables for IFMS was on going at the District headquarters at the time of this Performance Assessment.</p>	<p>4</p>

<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>The District Local Government made timely payments of suppliers during the previous FY2017/2018. This was evidenced from the following sampled payment Vouchers.</p> <p>Voucher No 08/06/2018 dated 29th June,2018,Amount Shs. 947,520 paid to PN Akwanga Abac U Ltd Foundation Stores being payment of retention money for Supply of 144 3 seater Desks to Amuria, Awelokuricok , Amugu Quoran and Omarari Primary Schools</p> <ul style="list-style-type: none"> • Certificate issued on 29th June, 2018. • Request for payment made on 27th June,2018 • Request endorsed by the DEO on 29TH June, 2018. • Payment effected on 29th June, 2018. • Payment made promptly within a period of 2days. <p>VoucherNo22/06/2018,dated 26th une,2018,Amount Shs 140,814,382,paid to Ebowa Investments Ltd BOX 442,Lira for Drilling of 9 Boreholes.</p> <p>Certificate issued on 20th June,2018</p> <p>Request for payment made on 26th June,2018</p> <p>Request for Payment endorsed by the District water officer on 26th June, 2018.</p> <ul style="list-style-type: none"> • Payment effected on 26th June2018 • Payment effected promptly within a period of 6days. 	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The District had a Senior Internal Auditor in the names of Alex Ojom Mob 0785186176 as per Appointment letter dated 9th February,2018 Ref. ADM/156/2.</p> <p>Similarly, Alebtong District Service Commission in its sitting of 9th February, 2018 under Minute No, DSC/33/2018 had directed that Alex Ojom be Offered appointment on Promotion to the Post of Senior Internal Auditor.</p> <p>Additionally, on top of being a Senior Internal Auditor at the District, Alex Ojom was assigned to act as a District Principal Internal Auditor as per letter dated7th June,2018 Ref ADM/156/2</p>	<p>1</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>• LG has produced all quarterly internal audit reports for the previous FY: score 2.</p>	<p>Quarterly Internal Audit Reports for FY 2017/2018:</p> <table border="1"> <thead> <tr> <th>Quarter</th> <th>Date of report</th> <th>Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>19th Jan 2018</td> <td>CRD/D/207/2</td> </tr> <tr> <td>Quarter 2</td> <td>10TH Feb,2018</td> <td>None</td> </tr> <tr> <td>Quarter 3</td> <td>30th April 2018</td> <td>None</td> </tr> <tr> <td>Quarter 4</td> <td>10th September 2018</td> <td></td> </tr> </tbody> </table> <p>None.</p> <p>The functionality of the Internal Audit department was evidenced by the 4quarterly Internal Audit reports that were produced with copies to relevant Authorities including Ministry of Local Government, Office of the Auditor General among others</p>	Quarter	Date of report	Reference	Quarter 1	19th Jan 2018	CRD/D/207/2	Quarter 2	10TH Feb,2018	None	Quarter 3	30th April 2018	None	Quarter 4	10th September 2018		<p>2</p>
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<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>There was no documentary evidence that the LG had provided information to the Council and LG PAC on the status of implementation of internal audit findings for the FY2017/2018 i.e. follow up on audit queries from all quarterly audit reports</p> <table border="1"> <thead> <tr> <th>Number of queries raised</th> <th>Number of queries cleared</th> <th>Number of queries pending</th> </tr> </thead> <tbody> <tr> <td>12</td> <td></td> <td></td> </tr> <tr> <td>None</td> <td>12</td> <td></td> </tr> </tbody> </table>	Number of queries raised	Number of queries cleared	Number of queries pending	12			None	12		<p>0</p>						
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<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>There was evidence that Internal Audit reports for the FY 2017/2018 were submitted to the Accounting Officer and LGPAC respectively. However, there was no evidence that the Accounting Officer had taken action and LGPAC had reviewed them.</p> <p>FY 2017/2018:</p> <table border="1"> <thead> <tr> <th>Quarter</th> <th>Date of submission</th> <th>Reference for report review</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>30th October,2017</td> <td></td> </tr> <tr> <td colspan="3">None</td> </tr> <tr> <td>Quarter 2</td> <td>10th Feb,2018</td> <td>None</td> </tr> <tr> <td>Quarter 3</td> <td>39TH April,2018</td> <td></td> </tr> <tr> <td colspan="3">None</td> </tr> <tr> <td>Quarter 4</td> <td>10th Sep2018</td> <td>None</td> </tr> </tbody> </table>	Quarter	Date of submission	Reference for report review	Quarter 1	30th October,2017		None			Quarter 2	10th Feb,2018	None	Quarter 3	39TH April,2018		None			Quarter 4	10th Sep2018	None	<p>0</p>
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<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>There was an attempt to compile an Assets register but not conclusively done. The District Local Government had one Single Assets Register as opposed to the requirement of having three categories of Registers stipulated on page 167 to 168 of Local Governments Financial and Accounting Manual 2007. The district officials were taken mentored on how to compile the 3 required assets registers using the Formats outlined in the Local Governments Financial and Accounting Manual. 2007. The 3 categories of asset registers the Assesses were told to compile included register for Land And Buildings, Register for Motor Vehicles and Heavy Machinery. Register for Fixed Assists General. The District Local Government was still on a manual System and had not been connected to IFMS</p>	<p>0</p>																					
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>The District Local Government obtained Unqualified Audit opinion for FY 2017/2018</p>	<p>4</p>																					
<p>Governance, oversight, transparency and accountability</p>																								

<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2 	<p>The District Council met and discussed service delivery related issues as evidenced in the minute extracts below:</p> <p>? On 27th July 2017 under Min.9/3ADC/2018/18 discussed: Standing committee report from Finance, Administration, Marketing and Natural Resources.</p> <p>? On 30th November 2017 under Min.29/3ADC/2017/18 discussed: Standing committee reports b) Works and Technical Services.</p> <p>? On 15th February 2018 under Min. 36/ADC/2017/18 discussed: Approval of additional funding to production department.</p> <p>? On 29th March 2018 under Min. 45/3ADC/2017/18 discussed: Laying of budget estimates for FY 2018/19</p> <p>? On 31st May 2018 under Min. 54/3ADC/2017/18 discussed: Nomination of 1 member of District Service Commission.</p> <p>Minutes were kept loosely on a file folder which exposed the documents to easy removal by unauthorised persons.</p>	<p>2</p>
<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that LG has designated a person to coordinate response to feedback (grievance /complaints) and responded to feedback and complaints: score 1. 	<p>In his letter ref CR 156/3 and dated 6th July, 2016 , the CAO appointed and assigned Mr Okello Delion the Assistant Records Officer, the duties of a District website Focal Point person to coordinate among others, response to grievances/complaints.</p>	<p>1</p>

<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>Although there were suggestion boxes outside the office of CAO, at the gallery and health offices, the complaints were being handled by the District Rewards and Sanctions Committee which would advise the management to take decision. However, it was noted that this committee was more concerned with the discipline of the civil servants and not complaints/grievances raised by the local citizens and therefore it was difficult to establish if there was existence of a proper system for recording, investigating and responding to grievances raised by the local citizens.</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>The District payroll and pensioner schedules for the month of August, 2018 were publicised on the public Notice Board found on the 2nd level of the main administration block. Similar documents for the previous months i.e. for July, 2018 were on a folder and were seen by the PAT.</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>At the time of this assessment, the procurement plan and schedules of awarded contracts, it was claimed, had been removed from the Notice Board at the offices of the Procurement Unit but removed documents could not be retrieved and for that reason there was no dependable evidence to prove that at one time there were displayed.</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>Dissemination of performance assessment results for 2016/17 was done by postings on the Notice Board on 1st level of the main administration offices. The following were examples displayed:</p> <ul style="list-style-type: none"> ? Annex 1: On compliance to Accountability Requirements where district was ranked No. 9 out of 138 entrants. ? Annex 2: On Cross-cutting Performance results where district was ranked 41 out of 138 entrants. ? Among the sector rankings, the Education performance assessment results, the district was ranked 24 out of 138 entrants. 	<p>1</p>

<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>There was evidence that the District communicated and explained guidelines, circulars and policies issued from the Centre as indicated in the examples below:</p> <p>i) A report compiled by the District Planner addressed to CAO entitled: Technical Backstopping of LLGs on work-planning, budget preparation and dissemination of DDEG guidelines dated 28th March, 2018 where 18 participants attended the two sessions.</p> <p>ii) Other issues were disseminated to CEOs of the LLGs attending TPC meetings for example in one held on 22nd March 2018 under Min.04/03/2018 in which IPFs from the Centre were disseminated. In another meeting of 23rd November, 2017 under Min. 05/11/2017 policy on Budget Framework Paper was disseminated.</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>A baraza was conducted on 8th December 2017 at Omoro P/S and a report was produced dated 18th December 2017 signed by Mr Aula James the District PAS entitled “Annual Marking Anti-Corruption Week”.</p> <p>Other feedback activity to the public was done through a Press Release Statement on 13th November 2017 in regard to the alleged discrepancies in the approved Budget Estimates for FY 2017/18. It was signed by Mr Mbaagwa M Abdunoor, the CAO then.</p>	<p>1</p>
<p>Social and environmental safeguards</p>			

The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles

Maximum 4 points on this performance measure.

• Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2.

There was no evidence that the Gender focal point officer provided guidance and support to sector departments. For instance, in all the following meetings there was no such guidance given: :-

- Minutes of 30th. August.2017 of Health, Education, Gender and Community Based Services
- Minutes of the 25th.Oct.2017 of Health, Education and Community Services
- Minutes of the 21st of May 2018, Health, Education, Gender and Community Development
- Minutes of Alebtong District Council of 31st. May. 2018.
- Minutes of Alebtong Dist. Council. Of 29th. 03. 2018. March. 2018-09-25
- Minutes of Alebtong District Council of the 27th. July.2018
- Unreferenced and loose copies of generic notes on gender mainstreaming seen.
- Budget Conference minutes of the 31st. October.2017 seen, no mention of the Community Development Sector nor contribution, in terms of neither guidance nor support seen in the minutes.
- The annual Monitoring Report seen was for Financial Year 2016/17
- Annual Community Based Services Department performance report for 2016/2017, dated 20th.07.2017 to the Chief Administrative Officer seen, (Dates were contradicting)

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implemented: score 2. 	<p>Total approved Budget for Community Based Services for the sector was shs 1,870,410,977/-</p> <p>The following were spent on strengthening womens' roles and address vulnerability:-</p> <ol style="list-style-type: none"> 1. Support women and PWDs with Income Generating Activities (agricultural supplies) as well as management skills at shs.1,515,065,308 picked on page 15-annex of the draft final accounts, for FY 2017/18 2. Workshop and seminars in gender- mainstreaming for CDWs in LLGs at shs.69,634,700 picked from the draft final accounts page 15-annex. 3. Un defined workshop and seminar at shs.275,000, shs.2,070,000, shs.2,300,000, shs.2,000,000 for agricultural supplies all appearing on pages 14& 15 of the draft final accounts FY 2017/18 <p>To calculate 90% spent;</p> <p>Shs.1,591,345,008/1,870,410,977x100=85%. This was less than the 90% required</p> <p>Financial year 2018/2019, the Community based department planned for the following:-</p> <ol style="list-style-type: none"> 1. Training PWDs on Project Management Skills 920,000 2. Economic support to 9 groups of PWDs at 23,985,000/- 3. Gender mainstreaming workshops 23, 154,000/-
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>From the following sampled projects there was no evidence of environmental screening or EIAs Undertaken:</p> <p>a) Construction of a meat and fish stall at Amugu market</p> <ol style="list-style-type: none"> 1.No screening files 2.1. No Monitoring reports 3.2. No ESMP Review 4.3. No Certification Forms <p>b) Road construction and rehabilitation from Tecwao swamp on Akura Alira Primary School junction</p> <ol style="list-style-type: none"> 1. No ESMP seen 2. No screening forms seen 3. No monitoring report seen <p>No certification by Environmental Officer</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>No evidence that the LG integrated environmental and social management and health safety plans in the contract bid documents. The following projects were sampled:-</p> <ol style="list-style-type: none"> 1. Construction of 2 stance VIP latrine at Abako S/C HQs. <ul style="list-style-type: none"> - No social management, health and safety plans seen in the contract Bid documents 2. Construction of a 2 stance VIP latrine at Amugu market <ul style="list-style-type: none"> - No social management, health and safety plans seen in the contract bid document. 3. Construction of a fish hatchery Phase 2 <ul style="list-style-type: none"> - No environmental and social management and health safety plans seen in the bid document sampled. 4. Excavation of 2 fish ponds at tangelo village Aloï <ul style="list-style-type: none"> - No social management and environment nor health safety guards seen in the bid documents seen. 5. Construction of a slaughter slab at and installation of 2 flag poles at Town Council. <ul style="list-style-type: none"> - No social management and environmental nor health of social safety plans seen in the bid document sampled. 	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>No proof of ownership was seen by the time PAT left the LG, much as they had promised to provide details of ownership for the following;</p> <ol style="list-style-type: none"> 1.Awei Subcounty HQs 2.Aloi SS 3.Apala SS 4. Amugo Agro Tech.Institute 5.Akii Bua SS <p>Institutions with Deed plans:-</p> <ol style="list-style-type: none"> 1. Alebtong civic Area 2. Amugu Subcounty HQs 3. Alebtong Health Centre iv 4. Amugu Health centre III 5. Apala Health Center III 6. Abako Health Centre III 7. Omoro Health Centre III 8. Alanyi St. Theresa Girls SS 9. Omoro SS 10. Abako Subcounty HQs 11. Amugo SS 	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>The following sampled completed projects did not have Environmental and Social mitigation Certification form completed and signed by the Environmental Officer and CDO.</p> <ul style="list-style-type: none"> - Rehabilitation of Awei Health centre 2 OPD block - Rehabilitation of 4 classroom block at Oboo Prim. School - Partial fencing of Omoro sub county headquarters - Road construction and rehabilitation from Tecwao swamp on Akura Alira Primary School junction - Construction of meat and fish stall at Amugu market 	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>Contract payment certificates seen did not have prior environmental and social clearance safeguards nor environmental completion certificates as seen in the following completed, and in use projects:-</p> <ul style="list-style-type: none"> - 2 stance VIP Latrine at Abako Sub county HQs. - 5 stance VIP latrine at Amugu market - Excavation of 2 fish ponds at Tangala village Aloï - Road construction of Obile to Amugu - Completion of sub County Chief house at Awei S/C. 	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>Only 2 reports for 2 projects dated 19th. September.2017 and 19th.October. 2017 made by the environmental officer seen.</p> <p>No completed checklists with deviations seen.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>LG budgeted for a head teacher and a minimum of Primary School Teachers for FY 2018/19. Total budget was shs.6,210,795,000. The budget covered 75 head teachers and 936 teachers.</p>	4
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>There were 75 government aided P/S. The LG had deployed a head teacher and minimum of 7 teachers per school for the FY 2018/19. For instance;</p> <ol style="list-style-type: none"> Odwe Julius Peter CR/D/00528 deployed to Alanyi P/S together with 19 teachers. Owera Charles CR/D/00951 deployed as head teachers to Amononeno P/S together with 14 teachers Otim Joel Magezi deployed as caretaker head teacher to Angoltok P/S along with 9 teachers Agel Moses CR/D/00877 deployed as head teacher to Abako P/S along with 14 teachers Odongo Mike deployed as head teacher to Tyengar P/S along with 14 teachers Jamtoo Nancy CR/D/01562 deployed as head teacher to Okut P/S along with 14 teachers. <p>LG had deployed a head teacher along with at least 7 teachers in each of the 75 Primary Schools.</p>	4

<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision <ul style="list-style-type: none"> o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<p>LG had filled the structure over and above the primary teachers wage bill for FY 2018/19</p> <p>Primary Education wage allocation for Alebtong district for FY 2018/2019 was shs.6,210,795,000 picked from page 42 of the budget.</p> <p>Wage bill for primary teachers in post for FY 2018/2019 was shs.6,387,257,196</p> <p>%wage provision (posts filled for primary school teachers with a wage bill provision) = 102 %</p> <p>There was a shortfall of 2% of the wage budget for FY 2018/2018 amounting to 176,462,196/=</p> <p>The LG had notified Ministry of Finance about this problem on letter dated 27th August, 2018 on reference CR/D/1115/1 with a heading- shortfall in wage bill allocation.</p>	6
<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>LG had two positions of Inspector of Schools according to the approved staff establishment Ref. ARC135/306/01 and dated 13th January, 2017. Both positions were filled as follows;</p> <ol style="list-style-type: none"> 1. Olwit Moses Ocen – CR/D/01994 was Senior Inspector of Schools appointed on 3rd May, 2013 under the direction of DSC Minute no.69/2013. 2. Aloro Ario Rolex- CR/D/00323 was appointed Inspector of schools on 24th April, 2011 under the direction of Dokolo DSC minute no.030/2011 on behalf of Alebtong LG. 	6
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>The department had prepared and submitted a recruitment plan to HRM which was received by the registry on 8th January, 2018. The plan was declaring the following positions;</p> <ol style="list-style-type: none"> 1. Gap of 367 Education Assistants II 2. Gap of 63 head teachers 3. Gap of 15 head teachers <p>The position of inspector of schools was not included because it was already substantively filled.</p>	2

<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>The department had substantively all the tow positions of Inspector of schools and there was no need for a recruitment plan indicating the said position</p>	<p>2</p>
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Monitoring and Inspection

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>School inspectors had been appraised for FY 2017/18 as follows;</p> <ol style="list-style-type: none"> 1. Aloro Ario Rolex was appraised 1st July, 2018. He was commended for his dedication and commitment to work 2. Olwit Moses Ocen was appraised 1st July, 2018. 	<p>3</p>
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<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers <ul style="list-style-type: none"> o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<p>All head teachers had been appraised for Calendar year 2017. For Instance;</p> <ol style="list-style-type: none"> 1. Bua Charles of Amugo Quran P/S appraised 19th February, 2018. Comment was “satisfactory performance” 2. Akullo Milly of Apala P/S was appraised 14th February, 2018 3. Ogand Tom of Telela P/S was appraised on 15th January, 2018 4. Odyek Bernard of Alanyi P/S was appraised on 23rd January, 2018 5. Odwee Julius Peter was appraised on 17th January, 2018 6. Obira James of Amuria P/S was appraised 2nd February, 2018 7. Okonye Robert of Anara P/S was appraised on 23rd December, 2017 8. Aluka Jaspher of Awiny-Oru P/S was appraised 7th February, 2018 <p>All head teachers appraised in calendar year 2017 per statutory requirement.</p> <p>Sample= 8</p> <p>Appraised =8</p> <p>Percentage $8/8 \times 100 = 100\%$</p>	
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<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>The following circulars were seen in the circular file;</p> <ol style="list-style-type: none"> 1. Enforcement of standard operating procedure for private schools in Uganda dated 5th February, 2018. There was no evidence of dissemination FY 2017/18 2. Phasing out of implementation USE programme in private schools dated 19th January, 2018 3. Guidelines on school charges dated October 24, 2017 4. Enforcing closure of illegal schools dated 26th March, 2018 <p>The following circulars as picked at national level were not seen at the schools at the time of assessment. Circular of Stop Malaria, Circular on MDD in schools as well as Circular about Early closure and opening of schools. None of the schools visited had circular, guidelines or policies on their file. The following schools were visited and the said circulars above were not seen; Okut P/S, Tyengar P/S, Adyanglim P/S, Abako P/S as well as Alanyi P/S.</p>	<p>0</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>A meeting with head teachers to disseminate new supervision tool for SMC/PTA Chairpersons, Head Teachers and Deputy Head Teachers was held on 15th August, 2017. Under Minute no.4/2017, the DIS noted that head teachers should ensure that they have records of activities on file for easy access.</p> <p>On 4th August, 2018 the DEO met teachers to discuss conduct of mock exams</p>	<p>2</p>

<p>The LG Education De- partment has effectively inspected all registered primary schools²</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>Inspection report for third term 2017 was seen on file at the time of assessment. The report raised pertinent issues including; Abia p/S pupils were found sitting of the floor, the sign posts for almost all schools in the LG had become so old; making of lesson plans by teachers was inconsistent, amongst others.</p> <p>Amongst the recommendations were; surplus desks at Akwete P/S to be relocated to Abia P/S, time table to be available in all classes for easy follow-up, e.t.c</p> <p>Inspection report for term II, 2018 indicated that all 75 government aided schools were inspected. No evidence that any of the 45 community or licenced private schools were inspected. Inspection reports for private schools that were on file seen by PAT and dated 21st December, 2017, 20th February, 2018 were solely for licensing purposes of majorly Nursery Schools including; Holy Kids, New Trinity, Bright Junior, Omuka Highland, Mother Care and Awei Junior Nursery schools.</p> <p>No evidence of inspection of the above schools at least once per term in FY 2017/18.</p> <p>Only one inspection report was seen at Alanyi Primary School dated 28th June, 2018. At Abako P/S, the DEO visited 24th April, 2017 to undertake a consultative meeting with head teachers of Awei and Abako. This was outside the period of assessment thus cannot score. No inspection report seen at Adyanglim for period considered for assessment. No inspection report seen at Tyengar P/S. Last but not least, two inspection reports dated 2nd July, 2018, 12th July, 2017 were seen at time of assessment.</p> <p>For the sampled schools, the LG had not inspected all schools at least once each as per statutory requirement. To calibrate the inspection percentage;</p> $\frac{2}{5} \times 100 = 40\%$ <p>2 stood for number of schools inspected in sample</p> <p>5 stood for sampled schools</p>
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<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>A meeting held on 25th June, 2018 discussed inspection reports as follows;</p> <p>About inadequate desks in schools, head teachers were asked to repair all broken desks.</p> <p>Meeting held 2nd June, 2018, under minute 5/06/2018- the meet resolved that head teacher tracks and submit monthly reports about teachers. Minutes were no signed.</p> <p>Meeting held 15th September, 2018, under minute 5/09/2017. However, minutes were not signed.</p>	<p>4</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>Inspection reports were submitted to DES as follows;</p> <ol style="list-style-type: none"> 1. Term 2 submitted on 27th August, 2018 2. Term 3, 2017 & Term 1, 2018 submitted 23rd August, 2018 	<p>2</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>No evidence that this was seen by PAT during assessment.</p>	<p>0</p>

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>LG submitted data on list of schools at 75 consistent with PBS and EMIS dated 4th May, 2018</p>	<p>5</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>LG submitted data on enrolment at 134,114 consistent with PBS and EMIS dated 4th May, 2018</p>	<p>5</p>
<p>Governance, oversight, transparency and accountability</p>			

The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council

Maximum 4 for this performance measure

• Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2

There was evidence that the Council Committee responsible for education met and discussed service delivery issues, for instance;

1. Meeting held on 22nd December, 2017 under Min.16.17, members recommended that SMC should take up the mantle of monitoring absenteeism of teacher in their various schools, e.t.c. 7 committee members were in attendance.

2. Meeting on 25th October, 2017 under Min.12.1 discussed allocation of shs.182m to special needs for FY2017/18

3. Meeting on 22nd March, 2018 under Min.24.2 discussed third term 2017 school inspection report. Nine members were in attendance.

4. Meeting on 21st May, 2018 discussed budget scrutiny for the sector under Min.32.4.

The LGAC held meetings on dates 29th December, 2017 to deliberate on internal audit report for FY ended 2016/17. Some of the queries raised and PGPAC remarks were;

1. Funds amounting to shs.1,525,000 not fully accounted for by Otyeno Bonny. The officer did not appear before PAC and it was recommended the officer to be invited again.

2. Okello Bosco did not provide account on spending shs.3,814,000 meant to conduct DPAC sitting. Voucher to be brought to LGPAC for verification.

PAC only sat once in FY 2017/18 instead of once per quarter, reason being lack of funds to facilitate the committee sittings.

However, no evidence that performance assessment results were discussed during the committee sector meetings

The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council

Maximum 4 for this performance measure

• Evidence that the education sector committee has presented issues that require approval to Council:
score 2

The Committee responsible for Education had presented issues that required approval by Council in a report dated 25th May, 2018. Some of the issues presented included;

1. Renovation of 2 classrooms at Ogengo P/S at shs.78m.
2. Shs.62m be utilized to rehabilitate 4 classrooms at Alooi P/S

Council meeting on 31st May, 2018 under Min.53.4 upheld the recommendation of the sub-Committee to construct 2 classrooms at Ogengo P.7 school without furniture at shs.78m- instead of renovating 4 classrooms in the same school. Under Min.53.5, Council guided that 4 classroom block be rehabilitated in Alooi High P.7 school at 62m instead of 70m. Council upheld the recommendation. Under Min.53.27, council passed the education budget totaling to shs.10,557,832,000

<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>There was evidence all primary schools in the LG had functional SMCs. For instance;</p> <ol style="list-style-type: none"> 1. Abako P/S held meeting for 2nd term 2018 on 19th June, 2018. Under Min.2/2018/5 discussed formation of boarding committee, authorized watchman to take charge of stray animals, e.t.c . On 1st March, 2018 under MIN1/2018/3c discussed budget approval. 2. Amononeno P/S held meeting for Term Two, 2018 on 19th September, 2018. Discussed planning for term 2, amongst others. Meeting on 12th February, 2018 under Min.5/02/2018 discussed performance of school in PLE. Term 3, 2017 meeting held on 13th November, 2017 under min05/11/2017 discussed construction of teachers house, rehabilitation of one classroom block of 3 classes 3. Alanyi P/S held meeting on 15th June, 2018 to among others present financial report for term one under min4/1/18 4. Tyengar P/S SMC held meeting on 12th June, 2018 and received report on construction of teachers house- discussed under minute. 4/12/6/18, meeting held 13th February, 2018 discussed collection of teachers incentives from parents under min.4/13/2/18 5. Okut P/S SMC held meetings on 4th June, 2018 under min.06/Joint/June/2018 and discussed stray animals on the school premises, 7th December, 2017, 22 November, 2017, 4th December, 2017 under Min.05/5/2017. Amongst issues discussed include; construction of more teachers houses, report for 2nd term totaling to shs.572,000. 	<p>5</p>
<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all schools receiving non-wage recurrent grants <p>e.g. through posting on public notice boards: score 3</p>	<p>LG had publicized all the 75 schools receiving non-wage recurrent grants- through posting on notice board inside the administration block of the district. Post included releases for term 3, 2017 as well as term I & II, 2018. Post was signed by the DEO</p>	<p>3</p>
<p>Procurement and contract management</p>			

<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements,</p> <p>to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>Sector submitted procurement input to the Procurement Unit that covered all investment items in the approved sector AWP. The inputs were submitted 25th April, 2018 under letter dated 24th April, 2018. The Plan had 4 investment projects as below;</p> <ol style="list-style-type: none"> 1. Rehabilitation of 4 classroom block and installation of lightening arrestors- page 1 of 3 in procurement plan and page 142 of AWP 2. Rehabilitation of 4 classroom block at Alebelebe P/S- page 2 of 3 and page 142 of AWP 3. Construction of 2 classroom block at Agenga P/S- page 3 of 3 and page 142 of AWP 	<p>4</p>
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Financial management and reporting

<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3. 	<p>There was documentary evidence that that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: as per the following Sampled Payment Vouchers</p> <p>Voucher No 08/06/2018 dated 29th June, 2018 Amount Shs. 947,520 Payee PN AKWANGA ABAC (U) Ltd Foundation Stores.</p> <p>Purpose Payment for Retention Funds for supply of 144 3 -Seater Desks to Amuria, AWELOKURICOK ,Amugu Quoran and Omarari Primary Schools.</p> <ul style="list-style-type: none"> • Certificate issued on 29th June,2018 • Claim for Payment made on 27th June,2018 • Claim endorsed by the District Education Officer on 29th June 2018 • Payment effected on 29th June, 2018 • Payment effected promptly with a period of 2 days. <p>Voucher No 12/06/2018 dated 29th June, 2018, amount Shs. 33,754,332 Payee Paradym Investments Ltd Box 806 Mukono Tel 0392620211 Purpose Rehabilitation of 4classroom block at Oboro Primary School.</p> <ul style="list-style-type: none"> • Claim for payment made on 25th June,2018 • Certificate for work done issued on 29th June, 2018. • Claim endorsed by the District Education Officer on 29th June,2018 • Payment effected on 29th June,2018 • Payment effected promptly within a period of 4days.
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<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>The Ag. DEO prepared and submitted to Planner the Annual Performance Report dated 5th July, 2018.</p> <p>The quarterly reports were prepared and submitted to the Planner as follows;</p> <p>Q1 as submitted 11th October, 2017</p> <p>Q2 was submitted 9th January, 2018</p> <p>Q3 was submitted 12th April, 2018</p> <p>Q4 was submitted 5th July, 2018</p> <p>Same reports were submitted by PBS to Planner per statutory requirement</p>	<p>4</p>								
<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> If sector has no audit query score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 If all queries are not responded to score 0 	<p>There was no documentary evidence that the sector had provided information to the internal audit on the status of implementation of all audit findings for the FY 2016/2017</p> <table border="0" data-bbox="715 913 1342 1122"> <tr> <td data-bbox="715 913 1038 1016">Number of queries raised</td> <td data-bbox="1171 913 1342 981">Number of</td> </tr> <tr> <td data-bbox="715 949 916 1016">queries cleared</td> <td data-bbox="1171 949 1305 981">Number of</td> </tr> <tr> <td data-bbox="715 981 916 1016">queries pending</td> <td></td> </tr> <tr> <td data-bbox="762 1048 778 1079">3</td> <td data-bbox="1305 1048 1342 1115">0 3</td> </tr> </table> <p>LGPAC functionality was wanting as only one report on un-referenced letter dated 29th December, 2017 was availed to the Performance Assessment Team for Verification.</p>	Number of queries raised	Number of	queries cleared	Number of	queries pending		3	0 3	<p>0</p>
Number of queries raised	Number of										
queries cleared	Number of										
queries pending											
3	0 3										
<p>Social and environmental safeguards</p>											

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>The education department conducted a training workshop to disseminate guidelines to Senior a total of 150 Women/Men Teachers across all UPE schools in the district. Training took place on 16th April, 2018 at Abletong Primary School. The following thematic areas were covered during the training;</p> <ul style="list-style-type: none"> - Scope of guidelines - Intended users - National environmental health policy - Public Health Act 2000 - E.t.c <p>There was no evidence that the gender focal person was involved in planning and implementation of this exercise.</p>	<p>0</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>The same training above was used to issue guidelines on how to manage sanitation for girls. However, no evidence that guidelines on sanitation with PWDs were issued nor the gender focal person involved.</p>	<p>0</p>

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>SMCs met the guidelines on composition of gender. For instance;</p> <ol style="list-style-type: none"> Amononeno P/S Committee was appointed on 22nd January, 2016. The Committee had 13 members with 3 female members. Okut P/S P/S SMC with 13 members and 3 female committee members. Alanyi P/S SMC was appointed 22nd January, 2016 with 13 members including 3 female committee members Abako P/S had 13 committee members comprising of 3 female members, appointed 22nd January, 2016 Adyanglim P/S had 13 committee members with 2 female members, appointed 22nd January, 2016 <p>SMC term of office were almost expiring so the DEO had written a letter dated 10th September, 2018 informing stakeholders in the respective schools to start the process of nominating new SMC members- to replace the outgoing Committees</p>	<p>1</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>A letter written to all head teachers on 4th July, 2017 appealed to them to ensure that mitigation measures about the environment were put in place and they included;</p> <ul style="list-style-type: none"> Planting not less than 200 seedlings of different varieties of trees There was also a report on energy conservation issued in conjunction with the environmental officer Issued guidelines for the development of school talking environment 	<p>1</p>

<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>There was no evidence that infrastructure projects were screened before approval for construction using checklist for screening projects in the budget guidelines for completed projects in FY 2017/18</p>	<p>0</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 1 	<p>There was no evidence that mitigation plans were complied with.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>PHC wage allocation for Alebtong district for FY 2018/2019 was 1,050,363,000 (page 6 of the District Health work plan)</p> <p>PHC wage for health workers in post for FY 2018/2019 was 1,031,279,892</p> <p>%wage provision (posts filled for primary health care workers with a wage bill provision) = 98.2% resulting into a score of 8.</p> <p>There was a surplus of 1.8% of the wage budget for FY 2018/2018 amounting to 19,083,106/</p>	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>Alebtong DLG health department was human resource constrained. The staffing norm for PHC workers was 225 while those in post were only 131 representing staffing level of only 58%.</p> <p>Recruitment plan to cover the staffing gap was submitted by DHO on 12th May 2018 (submission letter not referenced) and was received by CAO on the same day of 12th May 2018.</p> <p>The recruitment plan included key critical cadres in health care service delivery. These were: A DHO, 1 Senior Medical Officer, 7 midwives, 7 Enrolled nurses, Aesthetic Officer among others.</p>	6

<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<p>Four (4) out of the 5 sampled health facilities in-charges (75%) were appraised in the financial year 2017/2018 as indicated below:</p> <ul style="list-style-type: none"> • In-charge Alebtong HC IV Dr. Jimmy Amuku had not been appraised because he had been Incharge of the health HC IV for only 4 months. The appraisal form of the previous incharge could not be traced. • In-charge Abako HC III Okoti Bonny was appraised on 30th July 2018. Appraiser’s comment “Due for confirmation” • In-charge Apala HC III, Oluma Tonny was appraised on 12th September 2018. <p>Appraiser’s comment “Hard working officer, recommended for promotion”</p> <ul style="list-style-type: none"> • In-charge Awei HCII, Loger Rebecca was appraised on 30th July 2018. Appraiser’s comment “Hard working officer” • In-charge Akora HCII Awilli Jennifer was appraised on 30th July 2018. Appraiser’s comment “Very committed and competent” 	
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The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.

Maximum 4 points for this performance measure

- Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4

The LG allocated health workers in line with lists submitted with the budget for FY 2018/2019 in PBS system.

Alebtong DLG had 1 HC IV, 4 HC IIIs and 10 HC IIs. The health department had a staffing norm of 225 staff of which 131 were in post with a gap of 94 health workers. The DHO had submitted a recruitment plan to fill 21 of the 94 vacant posts on 15th May 2018, (Letter not referenced).

The PAT reviewed approved staff list and compared it with the actual numbers of health workers at the health facilities

The PAT sampled the following facilities:

- Alebtong HC IV, 41 staff were deployed out of the 48 staffing norm
- Abako HC III 10 staff were deployed out of the 19 staffing norm
- Apala HC III: 14 were deployed out of the 19 staffing norm
- Awei HC II: 4 health workers were deployed out of the 9 required staffing norm.
- Akura HC III: 6 out the required 9 staff were deployed

At each facility, the staff list matched with the deployment list at DHO's office.

Monitoring and Supervision

The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities

Maximum 6 for this performance measure

- Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3

Copies of the following guidelines were received by DHO's office in financial year 2017/2018 and were available in the sampled health facilities as indicated below: The visited health facilities were

- Alebtong HC IV
- Apala HC III
- Akure HC II
- Awei HC II
- Abako HC III
- Approached to healthcare waste management, second edition 2013 was available at Aleptong HC IV, Abako HC III and Apala HC III
- Introduction of Rota virus vaccine dated 15 March 2018 was available in all facilities.
- Service standards and service delivery standards for the health sector July 2016 was available in all health facilities
- Immunization in Practice for Uganda, June 2017 was available in all health facilities
- Prostate cancer, Information, Education and Communication for health workers, first edition November 2017 was available at Aleptong HC IV, Abako HC III and Apala HC III
- Childhood cancer, Information, Education and Communication for health workers, first edition April 2018 was available at Aleptong HC IV, Abako HC III and Apala HC III
- Breast cancer, Information, Education and Communication for health workers, First edition December 2017 was available at Aleptong HC IV, Abako HC III and Apala HC III
- Prostate cancer, Information, Education and Communication for health workers, First edition November 2017, was available at Aleptong HC IV, Abako HC III and Apala HC III
- Uganda Clinical guidelines, 2016 was available in all health facilities

Therefore, there was evidence to show that policies, guidelines and circulars from the center are received at the health facilities

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<ul style="list-style-type: none"> The DHT together with health unit incharges held a meeting on 30th May 2018. Among issues that discussed were the health care waste management guidelines as evidenced from the quarterly DHMT report dated 30th May 2018, minute 03/5/18: Communication from the chair. 	<p>3</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>Alebtong District had 1 HC IV and no hospital.</p> <p>The PAT looked at evidence that the DHT had conducted 4 quarterly integrated technical support supervision visits to the health HC IV.</p> <p>Only 3 out of the required 4 integrated technical support supervision reports to the HC IV for the four quarters were presented with clear action points on gaps identified during the support supervision.</p> <p>Reports were submitted on the following dates.</p> <p>Quarter 1: 27th October 2018</p> <p>Quarter2: 7th January 2018</p> <p>Quarter3: 22nd April 2018</p> <p>Quarter4: 17th July 2018</p> <p>Therefore, the DHT conducted all the 4 mandatory technical support supervision visits to the HC IV (at least once each quarter) during financial 2017/2018</p>	<p>3</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>Four HSD quarterly technical support supervision reports were available at DHO's office.</p> <p>The reports indicated that quarterly support supervision visits had been conducted as follows:</p> <p>Q1: 10th August 2017</p> <p>Q2: 30th January 2018</p> <p>Q3: 27th February 2018</p> <p>Q4: 30th May 2018</p> <p>However, PAT while visiting the sampled health facilities established that a few technical support supervision visits had been conducted by the HSD as indicated below:</p> <p>Abako HC III was supervised in quarters 3 and 4</p> <p>Awei HC II was supervised in quarters 3 and 4</p> <p>Akora HC II was supervised during quarter 4 only</p> <p>Apala HC III was supervised during quarter 4 only</p> <p>The evidence was derived from the MOH facility supervision book at each health unit.</p> <p>So, out of the 12 technical support supervision visits that were expected in financial 2017/2018 (4 visits for each of the 4 facilities) there was evidence that the HSD conducted only 6 translating into 58%</p>	<p>0</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<p>There was evidence that quarterly DHT support supervision reports were discussed to make recommendations.</p> <p>For example:</p> <p>During support supervision for quarter 3, Apala HC III was visited on 31st April 2018. The supervising team found gaps in leadership of the facility and recommended transfer of the incharge to a health Centre IV where he would gain more leadership skills. The supervising team had also noted shortage of human resource in some facilities which warranted redeployment of staff. A DHT Meeting was held on 30th May 2018 and discussed the supervision findings and under minute 6/5/18: Reactions and way forward, it was resolved that DHO writes to CAO about redeployment of staff.</p>	<p>4</p>

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6 	<p>Following the DHT Meeting which was held on 30th May 2018 and discussed the supervision findings and under minute 4/6/18: Reactions and way forward, and it resolved that DHO writes to CAO about redeployment of staff, the DHO wrote to CAO on 6th August 2018 and requested him to effect transfer of staff as per the transfer schedule which was attached. The DHO cited minute 4/6/18 as evidence that DHT had discussed staff redeployment based on the need to improve service delivery in Alebtong district.</p>	<p>6</p>
<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>Alebtong DLG had 13 health facilities that benefited from PHC. Of the 13 health facilities, 10 were public, 2 were PNFPs and 1 was PFP.</p> <p>The August HMIS reports were submitted between 3rd-7th September 2018. Reports were entered into DHIS2 system for health reporting. All facilities benefitting from PHC (13) reported HMIS data into DHIS2 and the list of health facilities that reported was consistent with the list of facilities in the PBS system for Alebtong district.</p>	<p>10</p>
<p>Governance, oversight, transparency and accountability</p>			

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>Four Social Services committee reports were presented and health related issues were discussed in two out of the four meetings during FY 2017/2018.</p> <ul style="list-style-type: none"> During quarter 1, the committee sat on 30th August 2017 and under minute 5ADHECBSC/17/5, the committee discussed the need to fast track the promotion of health staff who were qualified to take up key vacant positions. The second and third quarter meetings were held on 22nd December 2017 and 22nd March 2018 respectively but health issues were not discussed (Only education sector issues were discussed). During quarter 4, the committee sat on 29th March 2018 and under minute 32/ADHECBSC/2/18, a health budget worth 2,899,448,000/ was approved by the committee. <p>Therefore, there was evidence that LG committee responsible for health met and discussed service delivery issues.</p>	<p>2</p>
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>On 29th March 2018 and under minute 32/ADHECBSC/2/18, a health budget worth 2,899,448,000/ was approved by the social services committee and on 17th May 2018, Secretary for finance presented health budget to council .The budget was passed by council on 21st May 2018 under Minute 45.3/ADHECBSC/17/18</p>	<p>2</p>

<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 6 • If 80-99 %: score 4 • If 70-79: %: score 2 • If less than 70%: score 0 	<p>The PAT visited 5 sampled health facilities and established that HUMC's sat as indicated below:</p> <ul style="list-style-type: none"> • Aleptong HC IV: HUMC sat twice, that is during quarter 1 and 4 only • Abako HC III: HUMC Sat in all quarters • Apala HC III: HUMC Sat twice that is quarter 3 and quarter 4. • Awei HC II: The HUMC sat in all quarters. • Akura HC II: Sat in all quarters <p>In the 5 health facilities, 20 (5x4) HUMC meetings were expected. However, only 16 were conducted translating into 80% hence justifying a score of 4.</p>	<p>4</p>
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>A list of PHC recurrent non-wage beneficiaries was displayed at the district health notice-board, signed and stamped by CAO on 28th May 2017.</p>	<p>4</p>
<p>Procurement and contract management</p>			
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The procurement plan for health department for this financial year was submitted on 27th April 2018, within the recommended deadline of 30th April.</p>	<p>2</p>

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>The health department submitted a procurement request for FY 2017/2018 for to PDU ON 24th April 2017.</p> <p>For FY 2018/2019, the procurement request was submitted to PDU on 27th April 2018.</p> <p>The health department prioritized renovating the ART clinic at Alebtong HC IV and Renovation of the Children's ward at Alebtong HC IV as the main capital projects for the current financial year.</p>	<p>2</p>
<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>There was documentary evidence that the DHO/MHO (as per contract) certified and recommended suppliers timely for payments as per the following Sampled Payment Vouchers. Voucher No33/6/18 dated 29th June, 2018, amount Shs 5,292,281 was paid to Alebtong Builders Ltd Box 7190 Kampala. Purpose was renovation of DHO's Office</p> <ul style="list-style-type: none"> Certificate No. 2 issued on 27th June,2018 Claim for payment made on 27th June,2018 DHO endorsed the Claim on 27th June, 2018 Payment effected on 29th June,2018. Payment effected promptly within a period of 2 days. <p>Voucher No 26/5/18 dated 27th May, 2018, amount Shs 8,719,201 paid to Albtong Builders Ltd Box 7190. Purpose was renovation of Maternity Ward at Amugu HCIII. Certificate of work done issued on 21th May, 2018. Claim for Payment made on 15th May 2018. Claim was endorsed by the DHO on 21st May, 2018. Payment was effected on 22nd May, 2018. Payment effected promptly.</p>	<p>4</p>
<p>Financial management and reporting</p>			

<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>The department submitted annual performance report for the previous FY 2017/2018 on 10th July 2018 (evidence from PBS to show date of submission to district planner).</p> <p>Submission of quarterly reports to Planner during FY 2017/2018 was as follows (Information source PBS system):</p> <ul style="list-style-type: none"> 1st Qtr on 12th October, 2017. deadline was end of October 2017 hence submitted on time. 2nd Qtr on 10th January, 2018, deadline was end of January 2018 hence submitted on time 3rd Qtr was submitted on 5th April 2018, deadline was end of April 2018 hence submitted on time. 4th Qtr was submitted on 9th July 2018 and the deadline was end of July 2018 hence submitted on time. 	<p>4</p>
<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> If sector has no audit query: Score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points If all queries are not responded to Score 0 	<p>There was no documentary evidence that the sector had provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p>	<p>0</p>
<p>Social and environmental safeguards</p>			

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>Not all the following sampled health facilities had the required 30% (and above) of female composition on HUMC:</p> <p>Alebtong HC IV 3/9=33%</p> <p>Abako HC III 2/7 =29%</p> <p>Apala HC III 3/7 =60%</p> <p>AkUra HC II 2 /5 =40%</p> <p>Awei HC II=3/5= 60%</p>	<p>0</p>
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>Both at DHO's office and at health facilities that were visited by PAT, there was no evidence that Alebtong DLG issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women.</p>	<p>0</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>No evidence that health facility projects were screened before approval for construction as there were no screening forms or screening reports available.</p>	<p>0</p>

<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 2 	<p>No evidence to show that the environmental officer or DCDO conducted site visits during the execution of capital projects under health.</p>	<p>0</p>
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4. 	<p>The DHO's office communicated to health facility incharges through a letter dated 27th April 2018; about health care waste management. While communicating to the incharges, the DHO requested them to pick copies of the health care waste management guidelines entitled "Approaches to health care waste management, health workers' guide 2013"</p> <p>There was evidence in the distribution book at DHO's office to show that incharges received the copies of the above guidelines.</p> <p>The PAT visited 5 health facilities to establish presence of the medical waste management. The health facilities were:</p> <ul style="list-style-type: none"> Alebtong HC IV Abako HC III Apala HC III AkUra HC II Awei HC II <p>The guidelines were in place at each of the following health facilities</p> <p>Therefore, there was evidence that all sampled health facilities in Alebtong district had medical waste management guidelines version 2013.</p> <p>Something to note however was that the guidelines were not easily accessible by all health workers. In some facilities, the guidelines were locked up in stores while in other facilities, they were at the homes of incharges.</p>	<p>4</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>The DWO targeted allocations to sub-counties with safe water coverage below the district average of access coverage of 95%. Only Awei sub county was below the District average with 91% and was targeted in FY 2018/19 giving 100% allocation. The targeted sub counties were; • Abia sub County with a coverage of 95% • Abako with a coverage of 95% • Amugu sub county with a coverage of 95%. • Awei sub county with a coverage of 91%. • Akura sub county with a coverage of 95%. • Apala sub county with a coverage of 95%. • Alebtong T/C sub county with a coverage of 95%. • Omoro sub county with a coverage of 95%. The planned development budget for the water sector for FY: 2018/19 was Ugx.243,163,463 from the approved work plan and budget 2018/19 page 167.</p>	10

<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY. <ul style="list-style-type: none"> o If 100 % of the water projects are implemented in the targeted S/Cs: <p>Score 15</p> o If 80-99%: <p>Score 10</p> o If 60-79: <p>Score 5</p> o If below 60 %: <p>Score 0</p> 	<p>There was evidence according to the inspection and monitoring, quarterly reports and field visits that the district Water department implemented all the budgeted water projects in all the targeted sub-counties during FY 2017/18 giving the Water Department 100% implementation rate. The following was extracted from those reports and the following was observed; In Omoro sub county Okom P/S and and Abongonyeke borehole each constructed at a cost of Ugx.22,818,995 In Abako sub county Ogol borehole was constructed at a cost Ugx. 22,818,995 In Amugu sub county Aluga LCI was constructed at a cost of Ugx. 22,818,995 and construction of a 5-stance latrine at Amugu market at a cost of Ugx.15,522,829 In Abia sub county Bedi woro boreholes was constructed at a cost of Ugx. 22,818,995 In Apala sub county Olailongo borehole was constructed at a cost of Ugx. 22,818,995 In Aloi sub county Erupe borehole was constructed at a cost of Ugx. 22,818,995 In Awei sub county Aminomugu borehole was constructed at a cost of Ugx. 22,818,995 In Akura sub county Adagnyeko borehole was constructed at a cost of Ugx. 22,818,995</p>	
Monitoring and Supervision			

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<p>There was evidence that the district Water department had monitored each of WSS facilities; Inspection report dated 25th September,2017: Inspection report of Borehole rehabilitation by Ilodo Holdings (U) Ltd Inspection report dated 12th October,2017: Inspection report of Borehole rehabilitation Inspection report dated 24th March, 2018: Inspection report of Borehole rehabilitation. Inspection report dated 28th March, 2018: Inspection report of Borehole rehabilitation. Inspection report dated 7th May,2018: Inspection report of Latrines constructed in RGCs Spot Inspection report dated 9th May, 2018 of Latrines under construction and the following sites were visited;5-stance latrine at Amugu Market, 2-stance latrine at Agurudenge P.7 in Abia sub county.</p>	<p>15</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>There was evidence that the district had submitted consistent data reports on the following dates; • Quarter 1 data submitted on: 3rd November,2017, • Quarter 2 data submitted on: 20th February,2018, • Quarter 3 data on submitted on 3rd April,2018 and • Quarter 4 data submitted on 30th July,2018 to the Permanent Secretary Ministry of Water and Environment Water facilities which were found to be consistent in both sector MIS reports and PBS were; • Okom P/S source in Alolololo parish and Abongonyeke source in Angetta parish all in sub county • Agol source in Olyet parish in sub county Abako sub County • Aluga LCI source in Omee parish Amugu sub county. • Bedi woro source in Abia parish Abia sub County. • Olailong source in Olailongo parish Apala sub County. • Erute source in Anara parish Aloi sub County. • Aminomugu source in Owalo parish Awei sub County. • Adagnyeko source in Akura parish Akura sub County.</p>	<p>5</p>

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>There was evidence that the sector has submitted in put for the district procurement plan to PDU and was received on 26th April, 2018. For example S/N 04 to Drilling 9 boreholes each at Ugx.22,500,000 and was planned to be executed in quarter 2 and 3.</p>	<p>5</p>
<p>Procurement and contract management</p>			
<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>There was evidence that the sector has submitted in put for the district procurement plan to PDU and was received on 26th April, 2018. For example S/N 04 to Drilling 9 boreholes each at Ugx.22,500,000 and was planned to be executed in quarter 2 and 3.</p>	<p>4</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>The contract manager prepared a contract management plan for FY2017/18 dated 23rd November, 2017 and conducted monthly site visits for the different WSS. For instance;</p> <p>(i) Inspection report dated 25th September, 2017 in respect of Borehole rehabilitation at Adwongpurmot village in Abako sub county constructed by Ilodo Holdings (U) Ltd (ii) Inspection report dated 12th October, 2017 for a Borehole rehabilitation at Adwir village in Omoro sub county constructed by Ilodo Holdings (U) Ltd. (iii) The inspection report dated 9th May, 2018 in respect of a 5-stance vip Latrine constructed at Amugu trading center by Samu traders and Contractors co. Ltd. (iv) The inspection report dated 9th May, 2018 in respect of siting and drilling of boreholes within sub counties of Aloi, Abia, Akura, Aparara, Awei, Amugu and Abako by Aquatech Enterprises Enterprises Ltd. (iv) The inspection report dated 28th March 2018 was in respect of nine (9) Borehole drilling in different sub counties by PMP Holdings and M/s Balaji Ltd.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If water and sanitation facilities constructed as per design(s): score 2 	<p>Sampled water and sanitation facilities were constructed per design. For example;</p> <ul style="list-style-type: none"> Construction of Deep borehole in Abunga corner source. Construction of Deep borehole at Ogol source. <p>The facilities were functional to the required objective that is supply of water to the community and hygiene requirement besides the technical requirement.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>There was evidence that the contractors handed over completed WSS facilities as follows;</p> <ul style="list-style-type: none"> The handover report by Samu trading and construction co. Ltd handed over a project after construction of a latrine at Amugu market contract reference No. Aleb588/wkrs/17-18/00019 dated 20th June, 2018 The handover report by Ilodo Holdings (U) Ltd handed over a project of the rehabilitation of boreholes and protection of Spring wells contract reference No. Aleb588/wks/17-18/00004/03 dated 20th June, 2018 The handover report by Ebowa Investment Ltd handed over a project after Drilling and Installation of deep boreholes Lot 1 contract reference No. Aleb588/wks/17-18/00019 dated August 2018 	<p>2</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>There was evidence that the DWO appropriately certified all WSS projects and issuance of the payment certificates thereof. For example;</p> <ul style="list-style-type: none"> A completion certificate for the construction of 9 boreholes drilling and installation was prepared and issued on 30th June, 2018 by the DWO/DE to Ebowa Investments Ltd. Handover report by the DWO dated 25th May,2018 for the construction of 9 boreholes by Ebowa Co.Ltd 	<p>2</p>
<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>There was evidence that the DWOs timely certified and recommended suppliers for payment.</p> <ul style="list-style-type: none"> Claim request by Aquatech Enterprises (U) Ltd for supervision of borehole construction payment request was made on 20th April,2018 and certified by the DWO on 21th May,2018 within 3 days Request for payment for the construction of protection of spring wells by Ilodo Holdings (U) Ltd payment request was made on 6th March,2018 and certified on 7th March,2018 <p>All payments were made within 30 days which was timely payment.</p>	<p>3</p>
<p>Financial management and reporting</p>			
<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The department submitted the annual performance report for the previous FY 2017/2018 the following dates;</p> <p>Quarter Date of submission Reference Quarter 1 11th October 2017 Submission schedules Quarter 2 12th January, 2018 Submission schedules Quarter 3 10th April, 2018 Submission schedules Quarter 4 11th July, 2018 Submission schedules</p> <p>The water department submitted the annual performance report for the previous FY 2017/2018 to the Planner in the stipulated timeline of mid-July.</p>	<p>5</p>

<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>There was evidence that the water committee sought approval from Council on; 31st May, 2018 under minute Min 53.16 and issues discussed included the approval of the budget estimate FY 2018/19 but with some changes in terms of locations of some of the water sources like construction of VIP latrine was relocated to Agoro P/S from Acura trading Centre</p>	<p>3</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>There was evidence of display of AWP, budget and the Water Development grant releases and expenditures on the district notice boards as per the PPDA Act. The information which was found displayed on the main district notice board was quarter 1 FY 2018/19 releases pinned on 17th July,2018</p>	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>There was no evidence that the visited water sources (Boreholes) were clearly labeled example; • Construction of Deep borehole in Agol village in Olyet parish Abako sub county • Construction of Deep borehole in Apala sub county at Olailongo village. These projects only had the date of construction and name of the water source this made the water department score zero.</p>	<p>0</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>There was evidence to the effect that the LG publicized information on tender awards on 20th December,2018 on the subject of the best evaluated bidder and the following information was found; Bid awarded to Ebowa Investments Ltd under open domestic bidding for siting, drilling and installation of 5 deep boreholes at a contract price of Ugx.92,470,700 with reference No. Aleb588/wks/17-18/00003.</p>	2
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>There was evidence that communities applied for water/public sanitation facilities as per the sector critical requirements example; • An application from Amuria village Aloi sub County dated 10th February, 2018 requesting for a borehole in their community. • An application requesting for a borehole at Oker village Amugu parish Amugu sub county dated 13th March,2018 • An application requesting for a borehole for Ogol village Olyet parish Awei sub county dated 9th April,2017</p>	1
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii(carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>The Water and Sanitation Committees were functional according to the community payment vouchers seen towards operation and maintenance of the boreholes in their communities the sampled vouchers included; Payment receipt No.0159 of Abako sub county dated 1stDecember,2018 paid for Alimoku village amounting Ugx.17,000. Payment receipt No.0093 of Abako sub county dated 12th January, 2018 paid for Atali borehole village amounting Ugx.17,000. Payment receipt No.0100 of Abako sub county dated 1st August, 2018 paid for Aminagoa P/S amounting Ugx.17,000.</p>	2
Social and environmental safeguards			

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was evidence that environmental screening for all projects and EIAs were conducted for all WSS projects. For example;</p> <ul style="list-style-type: none"> On 24th April,2018 Environmental screening was conducted for Abia sub county signed by the Environment Officer On 25th April,2018 Environmental screening was conducted for Awei and Olyet sub counties signed by the Environment Officer On 26thApril,2018 environmental screening was conducted for siting, drilling and installation of deep borehole in Omoro and Angetta sub counties signed by Environment Officer 	<p>2</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>There was no evidence availed to PAT that follow up support was provided in case of unacceptable environmental concerns in the water sector.</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>Construction and supervision contracts had a clause on environmental protection e.g. for the Drilling and Installation project of 5-boreholes Lot 1 it is item 1.8 in the B.O.Q</p>	<p>1</p>

<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>There was no documentary evidence availed to PAT at the time of assessment concerning to gender equity in the membership of the WSC.</p>	<p>0</p>
<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>Public sanitation facilities had adequate access and separate stances for men, women and PWDs. Examples; the visited public facility; the Construction of a 5-stance VIP latrine at Amugo market in Amugo sub county shown that the water department had adhered to gender and PWDs.</p>	<p>3</p>