



## Local Government Performance Assessment

Kakumiro District

(Vote Code: 614)

Assessment	Scores
Accountability Requirements	33%
Crosscutting Performance Measures	72%
Educational Performance Measures	74%
Health Performance Measures	33%
Water Performance Measures	80%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> <li>• From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and:               <ul style="list-style-type: none"> <li>o If LG submitted before or by due date, then state 'compliant'</li> <li>o If LG had not submitted or submitted later than the due date, state 'non-compliant'</li> </ul> </li> <li>• From the Uganda budget website: <a href="http://www.budget.go.ug">www.budget.go.ug</a>, check and compare recorded date therein with date of LG submission to confirm.</li> </ul>	<p>LG submitted APC on 8th August 2018 as per data at MOFPED and copy found at the District which was not within the extended MOFPED deadline of 1st August 2018.</p>	No
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> <li>• From MoFPED's inventory of LG budget submissions, check whether:               <ul style="list-style-type: none"> <li>o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant.</li> </ul> </li> </ul>	<p>LG submitted the budget that includes the procurement plan for FY 2018/19 on 8th August 2018 as per data at MOFPED.</p>	No
Reporting: submission of annual and quarterly budget performance reports			

<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> <li>• If LG submitted report to MoFPED in time, then it is compliant</li> <li>• If LG submitted late or did not submit, then it is not compliant</li> </ul>	<p>LG submitted APR on 20th August 2018 as per data at MOFPED.</p>	<p>No</p>
<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> <li>• If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available).</li> <li>• If LG submitted late or did not submit at all, then it is not compliant.</li> </ul>	<p>LG submitted the quarterly budget performance reports during FY 2017/2018 as hereunder:</p> <p>Quarter Date of submission Reference</p> <p>Quarter 01 12 /02/2018 As per MOFPED Data</p> <p>Quarter 02 15/03/2018 “</p> <p>Quarter 03 20/05/2018 “</p> <p>Quarter 04 20/08/2018 “</p>	<p>No</p>
<p>Audit</p>			
<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all find- ings where the Internal</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled “Actions to Address Internal Auditor General's findings”,</p>	<ul style="list-style-type: none"> <li>• The LG submitted the responses on the Internal Auditor General's report for the FY2016/17 on 14th November 2017 vide CR/D/102/1. The responses were received on 4th April 2018 by</li> </ul>	<p>Yes</p>

Audi- tor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.

Check:

- If LG submitted a 'Response' (and provide details), then it is compliant
- If LG did not submit a 'response', then it is non-compliant
- If there is a response for all –LG is compliant
- If there are partial or not all issues responded to – LG is not compliant.

MOPPED, Accountant General, IGG, MOLG and Auditor General.

- FIFTEEN Consolidated queries were raised and all were responded too and their status clarified as detailed below:

1- Local Revenue under collection. Cleared.

2-Un realised Donor Funds. Outstanding.

3-Funds not Accounted for Ugx 168,383,042. Cleared.

4-Administration Block Construction works- 13 Acres applied for from Bunyoro Kingdom.

5-Drug delivery Discrepancies. Pending.

6-Expired Drugs – Pending.

7-District Medicine and Vaccine Store- Pending.

8-Lack of BCG Vaccines Diluents -Pending.

9-Road Maintenance Kigoma Kasenyi Road- Pending.

10- Dysfunctional Boreholes at Kaguwa Village -Cleared

11-Kasitta Water Supply Documentation-Cleared.

12-Understaffing – Pending Clearance from Ministries of Finance and Public Service.

13-Financial Reporting- Staff Cost- Cleared.

14-Stores Management- Cleared

15-Lack of Land Title –

		<p>WIP Pending funds availability.</p> <ul style="list-style-type: none"> <li>• The LG submitted responses on the Auditor General's report for 2016/17 on 10th April 2018 which was received on 25th April 2018 by MOFPED, Auditor General and Parliamentary LGAC.</li> <li>• There were Four Queries Raised. These were responded too as detailed below: <ul style="list-style-type: none"> <li>• 1- Understaffing- Request to MOF for Clearance to recruit.</li> <li>• 2-Lack of Land Title – Process going on started with Surveying as per letter to Central Government for intervention, including Kingdom minutes for consent.</li> <li>• 3-Unrealised Donor Funds- It was a shared budget with mother District of Kibale not yet resolved.</li> <li>• 4-Expired Drugs-NDA Hoima and NMS contacted for help.</li> </ul> </li> </ul>	
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>The report from the Auditor General for the FY 2017/18, Local Governments Unqualified Audit Opinion Schedule for Hoima Branch No.37, for December 2018.</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> <li>• A functional Physical Planning Committee in place that considers new investments on time: score 1.</li> </ul>	<p>There was a functional physical planning committee as evidenced by :</p> <p>i. The formal appointment of the Physical Planning Committee, as found in a communication dated 05th September 2017 from the CAO appointing members to the Physical Planning Committee that was provided.</p> <p>ii. An attendance register for the meetings of the DPPC were availed.</p> <p>iii. The building plan register was found in place indicating a turnaround time of submissions of less than 30days. E.g. entry No.20(KDLG/20/2018 by Eaton Towers for the construction of a telecommunications mast at katatemwa in KAakindo Subcounty was submitted on 2nd May 2018 and approved on 16th May 2018 by the DPPC as found in the minutes of the meeting held on 16th May 2018 vide Min.07/May /2018/KDLG: Building Plans Approval</p>	1
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1.</li> </ul>	<p>The DLG submitted four (4) sets of Minutes of the District Physical Planning Committee meetings to the Ministry of Lands Housing and Urban Development as shown hereunder:</p> <p>i. Minutes of meeting held on 6th September 2018, submitted on 10th September 2018.</p> <p>ii. Minutes of 16th May 2018, submitted on 22nd May 2018.</p> <p>iii. Minutes of meeting held on 14th September 2017, submitted on 18th September 2017.</p> <p>iv. Minutes of meeting held on 24th May 2017, submitted on 25th May 2017.</p>	1

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> <li>• All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0</li> </ul>	<p>There was no Physical Development Plan for the District hence consistency could not be verified.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> <li>• Action area plan prepared for the previous FY: score 1 or else 0</li> </ul>	<p>No evidence of action area plan was provided for the previous FY.</p>	<p>0</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2.</li> </ul>	<p>Priorities in the AWP/Approved Budget Estimates for FY 2018/19 were based on the outcomes of the Budget Conference as found in the “Report on Budget Conference for FY 2018/19 held on 25th October 2017 submitted to CAO on 27th October 2017as shown hereunder:</p> <p>a) Education:</p> <p>a. Construction of a 2 classroom block at Rwenseera PS is a priority as found in the approved AWP FY 2018/19(Page 131) and in the Budget Conference report (Page 2. Annex 1).</p> <p>b) Health :</p> <p>a. Upgrading of Igayaza HC II to HC III is a priority as found in the approved AWP FY 2018/19(Page 47) and in the Budget Conference report (Page 3, Annex 7).</p> <p>c) Water:</p> <p>a. Construction of Kisiita piped water supply system phase iv as found in the AWP ( Page 145) and in the Budget conference at (Page 2, Annex 2)</p>	
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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1.</li> </ul>	<p>Capital investments in the approved AWP for FY 2018/19 were derived from the 5year DDP(2015/2016-2019/2020) as shown hereunder:</p> <p>1. Education:</p> <p>a. Construction of a 2 classroom block at Rwenseera PS as found in the AWP (Page 131) and DDP (Page 110).</p> <p>2. Health:</p> <p>a. Upgrading of Igayaza HC II as found in the approved AWP FY 2018/19(Page 47) and in the DDP (Page 108)</p> <p>Water:</p> <p>a. Construction of Kisiita piped water supply system phase (iv) found in the AWP (Page 145) and the DDP (Page 117).</p>	<p>1</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2.</li> </ul>	<p>Project profiles for FY 2018/19 were developed and discussed by TPC at its meeting of DTTPC held on 30th April 2018 under Min. 64/KDTTPC/April/2017/18: Discussion of the District Profiles for 2018/2019FY.</p>	<p>2</p>

<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> <li>Annual statistical abstract, with gender- disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1.</li> </ul>	<p>Annual Statistical Abstract of 2018 dated 2nd July 2018 with gender disaggregated data was compiled and presented to the DTTC at its meeting of 26th July 2018 under Min. 06/KDTTC/July/2017/18: Presentation and Discussion on the Annual Statistical Abstract 2017/2018FY.</p>	<p>1</p>
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2</li> </ul>	<p>All Infrastructure projects implemented by the LG in the previous FY 2017/2018 were derived from the approved Annual Work Plan and Budget as shown in the sampled projects hereunder:</p> <p>Education:</p> <p>e.g. Construction of a 2 classroom blocks at St. Noah Kasojo PS, Kyakuterekera PS, Kinunda PS found in the Approved Budget (Page 11) and Q4 performance report (Page 57).</p> <p>Health :</p> <p>e.g. construction of an outpatient department ward at Kabubwa HC(Phase 2) and completion as found in the approved budget (Page 13) and Q4 performance report (Page 62).</p> <p>Water:</p> <p>e.g. construction of piped water supply system as found in the approved budget (Page 16) and Q4 performance report (Page 101).</p>	<p>2</p>

<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY.</li> </ul> <ul style="list-style-type: none"> <li>o 100%: score 4</li> <li>o 80-99%: score 2</li> <li>o Below 80%: 0</li> </ul>	<p>Out of the 5 sampled investment projects (shown hereunder), all were implemented during the year under review representing 100% performance as found in the APR</p> <p>Education(4):</p> <p>e.g. Construction of a 2 classroom blocks at St. Noah Kasojo PS, Kyakuterekera PS, Kinunda PS. Latrine Construction at Kakumiro PS</p> <p>Health(1) :</p> <p>e.g. construction of an outpatient department ward at Kabubwa HC(Phase 2)</p>	<p>4</p>
<p>The LG has executed the budget for construction of investment projects and O&amp;M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2</li> </ul>	<p>According to the APR all investment projects were completed within approved budget as shown hereunder from the 5 sampled projects and in the overall budget performance of 81%(APR,Page 2):</p> <p>Education(4):</p> <p>e.g.</p> <p>i. Construction of a 2 classroom blocks at St. Noah Kasojo PS; Kyakuterekera PS; Kinunda PS. Budget (UGX. 297,484,926.6/=) and Expenditure(UGX. 291,160,000/=) 6% variance</p> <p>ii. Latrine Construction at Kakumiro PS Budget(UGX. 9,000,000/=) and Expenditure(UGX. 9,000,000/=) – no variance.</p> <p>Health(1) :</p> <p>e.g. construction of an outpatient department ward at Kabubwa HC(Phase 2);Budget (UGX. 128,441,072/=) and Expenditure (128,441,000/=)</p>	<p>2</p>

<p>The LG has executed the budget for construction of investment projects and O&amp;M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has budgeted and spent at least 80% of the O&amp;M budget for infrastructure in the previous FY: score 2</li> </ul>	<p>The LG has budgeted and spent at least 80% as shown hereunder:</p> <ol style="list-style-type: none"> <li>Reviewed assets and projects in need of maintenance as found in the project profiles of 2017/18 e.g. routine manual maintenance of roads (Katikara-Kissiita, et.al) as found in the project profiles FY 2017/18(Page 11)</li> <li>The LG has costed the maintenance of these assets in the project profiles at UGX. 308,272,000/=)</li> <li>The LG has budgeted for O&amp;M for the above roads in the budget to the tune of UGX. 308,272,000/=) as shown on Page 65 of the APR.</li> <li>The LG spent on the above roads UGX. 304,099,000/= as found on Page 65 of the APR.</li> <li>The LG spent 99% of the O&amp;M budget as shown above.</li> </ol>	<p>2</p>
<p>Human Resource Management</p>			
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has filled all HoDs positions substantively: score 3</li> </ul>	<p>All heads of department positions are not filled substantively, they are filled in acting capacity. The District department heads positions provided for 8 positions in the FY 2017-2018</p> <p>Namely;-</p> <ol style="list-style-type: none"> <li>(Ag) District Natural Resources officer -Baguma Christopher</li> <li>(Ag) District community Development officer- Kyesa Bernard</li> <li>(Ag) District Engineer- Mukabya Robert</li> <li>(Ag) District Health officer- Senteza Robert</li> <li>(Ag) District Education officer- Baleke William</li> <li>(Ag) District production and marketing officer- Sserumaga Stephen</li> <li>(Ag) Chief Finance officer - Bigabwa Gladyce</li> <li>Deputy CAO- Not possted</li> </ol>	<p>0</p>

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2</li> </ul>	<ul style="list-style-type: none"> <li>All HoD's , had been appraised by CAO for the previous FY, as per the guidelines of MoPS (CIRCULAR STANDING INSTRUCTION NO1 OF 2016)</li> </ul> <p>Namely;-</p> <ol style="list-style-type: none"> <li>(Ag) District Natural Resources officer -Baguma Christopher</li> <li>(Ag) District community Development officer- Kyesa Bernard</li> <li>(Ag) District Engineer- Mukabya Robert</li> <li>(Ag) District Health officer- Senteza Robert</li> <li>(Ag) District Education officer- Baleke William</li> <li>(Ag) District production and marketing officer- Sserumaga Stephen</li> <li>(Ag) Chief Finance officer - Bigabwa Gladyce</li> </ol>	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that 100 % of staff submitted for recruitment have been considered: score 2</li> </ul>	<ul style="list-style-type: none"> <li>All submissions to DSC for recruitment during 2017-2018</li> <li>Had been considered</li> <li>Reference made to the declaration of vacancies CR/156 dated 21/5/2018 considered under DSC meeting s on 20th and 21st June 2018</li> </ul>	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that 100 % of positions submitted for confirmation have been considered: score 1</li> </ul>	<ul style="list-style-type: none"> <li>• All employees submitted for confirmation had been considered</li> <li>• Reference made to CR/159 dated 27/3/2015 and 21/5/2018 considered under DSC meetings on 20th and 21st June 2018.</li> <li>• and CAO's submission dated 29th Jan which was considered by the DSC in its sitting on 21st , 22nd and 28th march 2018 .</li> </ul>	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1</li> </ul>	<ul style="list-style-type: none"> <li>• There was a single disciplinary case submitted on 20th /9/2017 which was considered by the DSC in its meetings on 20th and 21st June 2018</li> </ul>	<p>1</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3</li> </ul>	<ul style="list-style-type: none"> <li>• There was no recruitment of new staff in the 2017-2018 FY except promotions within .</li> </ul>	<p>3</p>

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2</li> </ul>	<p>The District did not pay any pensioner in the previous financial year</p>	<p>0</p>
<p>Revenue Mobilization</p>			
<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>• If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4.</li> <li>• If the increase is from 5% -10 %: score 2.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<ul style="list-style-type: none"> <li>• The OSR revenue for 2016/17 was UGX 163,948,051 as shown in the Draft Financial statements prepared on 15th August 2018, and received by the Accountant General on 23rd August 2018 and Office of Auditor General of Hoima Branch on 28th August 2018.</li> <li>• Page 10, Statement of Financial Performance, Page 15, Statement of Appropriation Account and Page 23, Note 2: Local Revenue of the Final Accounts for FY 2017/18.</li> <li>• The Local revenue collected in FY 2017/18 was UGX. 172,426,880, this was an increase of UGX 8,481,829 (172,429,880-163,948,051), which translates to 5.1% increase. This is within the required range of 5%-10% increase. The revenue increased during the FY hence the LG was compliant.</li> </ul>	<p>2</p>
<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0.</li> </ul>	<ul style="list-style-type: none"> <li>• From the Draft financial statements 2017/18, page 10 &amp; 11 Statements of Financial Performance, page 15 Statement of Appropriation Account, page 23 Note 2: Local Revenue, and page 31 on Statement of Revenues Collected during the year, the Original Budget for Local revenue was projected at Ugx 186,854,00 and the Actual local revenue collection realised was Ugx 172,426,880. This translates into a revenue collection ratio of 92.3% which is within allowable range of +/- 10% range.</li> <li>• The LG is Compliant.</li> </ul>	<p>2</p>
			<p>2</p>

Local revenue administration, allocation and transparency

Maximum 4 points on this performance measure.

• Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2

• Sec 85 of LGA (2) "In rural areas, revenue shall be collected by the sub county councils, and a sub county council shall retain 65 percent, or any other higher percentage as the district council may approve, of the revenue collected by it and pass the remaining percentage over to the district"

• (4) "A district council may, with the concurrence of a sub county, collect revenue on behalf of the sub county council but shall remit 65 percent of the revenue so collected to the relevant sub county."

• In this regard to (4) above the DLG collected Local Service tax from District staff Payrolls and Private companies in the District. A portion based on the number of residents in each LLG that paid (i.e. 16,071,375) was remitted to the LLGs as follows :

- Kakindo Sub County 1,238,250
- Nkooko Sub County 1,535,625
- Mpasaana Sub County 585,000
- Kisiita Sub County 838,500
- Nalweyo Sub County 921,375
- Birembo Sub County 1,535,625
- Katikara Sub County 799,500

Kikwaya Sub County 648,375

- Kitaihuka Sub County 541,125
- Kakumiro Town Council 2,936,250
- Bwanswa Sub County 1,813,500
- Kijangi Sub County 302,250

• Also the LG collected Market dues which were remitted and shared as follows:

- Gross Invoices raised 38,340,000
- Actual Collection 19,520,000
- Less VAT paid to URA (2,977,627)
- 35% to District 5,789,931
- 65% to Sub Counties 10,752,542
- As detailed below :
- Kakindo SC 2,699,153



		<ul style="list-style-type: none"> <li>• Nkooko SC 550,847</li> <li>• Kisiita SC 1,762,712</li> <li>• Katikara SC 3,525,424</li> <li>• Kikwaya SC 330,508</li> <li>• Kijangi SC 991,521</li> <li>• Kasambua SC 892,373</li> <li>• LG was compliant.</li> </ul>	
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2</li> </ul>	<p>From the Draft financial statements of 2017/18 on page 23 (Note 2): Local revenue, page 31 Statement of Revenues collected during the year for 2016/17 was Ugx 163,948,051. (20% of this is Ugx 32,789,610.)</p> <p>The Actual Expenditure on Statutory bodies, page 11, Statement of Financial Performance and page 15, Statement of Appropriation Account, of the Draft financial statements, and Trial Balance, page 44, Ugx 369,825,184 was spent in total. This figure includes the GOU Grants to this sector.</p> <p>However the amount spent from Local revenue Funding Source: Local Revenue 03, Vote Cost Centre: 030100, 030600 &amp; 030700 and Expenses Account: Allowances 227001: 11,229,000</p> <p style="text-align: right;">221002: 280,000</p> <p style="text-align: right;">221011: 294,000</p> <p style="text-align: right;">227001: 18,955,600</p> <p style="text-align: right;">227004: 224,000</p> <p>TOTAL Expenditure 30,982,600</p> <p>Therefore <math>(30,982,600 / 163,948,051) = 18.9\%</math> is within the allowable limit of 20%. The LG is not spending above 20% and therefore is compliant.</p>	2
Procurement and contract management			

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2</li> </ul>	<p>The District doesn't have the position of a Senior Procurement Officer. Instead there is a procurement officer in an acting capacity recruited on 01/07/2017 under DSC Min. 26/KDSC/June/2017.</p>	<p>0</p>
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1</li> </ul>	<p>Reports of the Evaluation Committee were submitted to the Contracts Committee during FY 2017/2018 on the following dates, 29/09/2017 and 08/12/2017.</p>	<p>1</p>

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1</li> </ul>	<p>From the TEC and Contracts committee minutes, it was established that the Contracts Committee considered recommendations of the TEC for example;</p> <ol style="list-style-type: none"> <li>1. Renovation of classroom blocks at Kinunda P/S was recommended and awarded to Crystal Consult Ltd at a contract sum of 136,008,452/= under DCC Min.89/CC/2017/18/0006 during TEC and DCC meetings that sat on 18/09/2017 and 29/09/2017 respectively.</li> <li>2. Construction of VIP latrine at Kakumiro public P/S was recommended and awarded to Serv Electronics Ltd at a contract sum of 8,840,560/= under DCC Min.87/CC/2017/18 during TEC and DCC meetings that sat on 06/12/2017 and 08/12/2017 respectively.</li> <li>3. Drilling and Installation of 7 deep boreholes was recommended and awarded to PMP Holdings Ltd at a contract sum of 123,284,276/= under DCC Min.87/CC/2017/18/0008 during TEC and DCC meetings that sat on 18/09/2017 and 29/09/2017 respectively.</li> <li>4. Construction of phased water supply system in Kisiita was recommended and awarded to Daikam Technologies Ltd at a contract sum of 143,459,000/= under DCC Min.89/CC/2017/18/0007 during TEC and DCC meetings that sat on 18/09/2017 and 29/09/2017 respectively.</li> <li>5. Construction of Kabuubwa HC II was recommended and awarded to Hard rock Technical Services ltd at a contract sum of 124,096,470/= under DCC Min.89/CC/2017/18/0005 during TEC and DCC meetings that sat on 18/09/2017 and 29/09/2017 respectively.</li> </ol>	<p>1</p>
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<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2</li> </ul>	<p>a)The procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan an budget for example;</p> <ol style="list-style-type: none"> <li>1. Phased construction of the administration block appears on page 9 of PDU plan, page XVI of the budget and page 8 of the AWP.</li> <li>2. Construction of 2 classroom blocks at Rwensera, Kijjangi, Nalweyo and Nyakafunjo P/Ss and Construction of VIP latrines at Rwensera and Nalweyo P/Ss appears on page 42 of PDU plan, page XI of the budget and page 36 of the AWP.</li> <li>3. Rehabilitation of 8 boreholes and Phased piped water supply system at kisiita appears on page 55 of PDU plan, page XV of the budget and page 56 of the AWP.</li> <li>4. Drilling &amp; construction of 1o boreholes appears on page 53 of PDU plan, page XV of the budget and page 54 of the AWP.</li> </ol> <p>b) All procurements in previous FY were implemented according to plan, for example;</p> <ol style="list-style-type: none"> <li>1. Renovation of classroom blocks at Kinunda P/S appears on page 10 of the PDU plan.</li> <li>2. Construction of VIP latrine at Kakumiro public P/S appears on page 10 of the PDU plan.</li> <li>3. Drilling and Installation of 7 deep boreholes appears on page 17 of the PDU plan.</li> <li>4. Construction of phased water supply system in Kisiita appears on page 17 of the PDU plan.</li> <li>5. Construction of Kabuubwa HC II appears on page 9 of the PDU plan.</li> </ol>	
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<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/ infrastructure by August 30: score 2</li> </ul>	<p>The LG had not prepared 80% of the bid documents for all investment/infrastructure by August 30, I.e. All major projects' bid documents were submitted for approval to DCC on 05/09/2018 and an invitation to bid advert run on 08/09/2018. For example;</p> <ol style="list-style-type: none"> <li>1. Phased construction of the administration block</li> <li>2. Construction of 2 classroom blocks at Rwensera, Kijjangi, Nalweyo and Nyakafunjo P/Ss</li> <li>3. Drilling &amp; construction of 10 boreholes.</li> </ol>	<p>0</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2</li> </ul>	<p>Whereas the LG had an updated contract register, there were no complete procurement activity files for all procurements. I.e. No implemented project file had a completion certificate.</p>	<p>0</p>

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2.</li> </ul>	<p>The LG adhered with procurement thresholds as evidenced below;</p> <ol style="list-style-type: none"> <li>1. Renovation of classroom blocks at Kinunda P/S - contract sum of 136,008,452/= open National bidding.</li> <li>2. Construction of VIP latrine at Kakumiro public P/S - contract sum of 8,840,560/= Selective bidding</li> <li>3. Drilling and Installation of 7 deep boreholes - contract sum of 123,284,276/= open National bidding.</li> <li>4. Construction of phased water supply system in Kisiita - contract sum of 143,459,000/= Open National bidding.</li> <li>5. Construction of Kabuubwa HC II - contract sum of 124,096,470/= Open National bidding.</li> </ol>	2
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates</li> </ul> <p>for all projects based on technical supervision: score 2</p>	<p>All works projects implemented in the previous FY were appropriately certified – interim certification for all projects was based on technical supervision. For example;</p> <ol style="list-style-type: none"> <li>1. Renovation of classroom blocks at Kinunda P/S – Engineer certified on 25/04/2018.</li> <li>2. Construction of VIP latrine at Kakumiro public P/S - Engineer certified on 23/02/2018</li> <li>3. Drilling and Installation of 7 deep boreholes - Engineer certified on 20/06/2018.</li> <li>4. Construction of phased water supply system in Kisiita - Engineer certified on 18/12/2017 and 27/02/2018</li> <li>5. Construction of Kabuubwa HC II - Engineer certified on 28/07/2018 and 12/03/2018.</li> </ol>	2

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2</li> </ul>	<p>There was no project implemented yet at the time of assessment.</p>	<p>0</p>
<p>Financial management</p>			

The LG makes monthly and up to-date bank reconciliations

Maximum 4 points on this performance measure.

• Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4

• The DLG had prepared Bank reconciliations for June July and August 2018 for all its Seventeen Bank Accounts at the time of assessment as detailed below:.

- 
- Kakumiro D General Fund Account
- Kakumiro D Administration
- Kakumiro D Finance Planning & Administration
- Kakumiro D Youth Livelihood
- Kakumiro D YLP Revolving Fund
- Kakumiro D UWEP Recovery
- Kakumiro D UWEP Enterprises
- Kakumiro D Statutory Bodies
- Kakumiro D Production
- Kakumiro D Health
- Kakumiro D Education
- Kakumiro D Works
- Kakumiro D Natural Resources
- Kakumiro D Community Based Services
- Kakumiro D Water
- Kakumiro D UNICEF
- Kakumiro D DEG
- The reconciliations were on file and fully authenticated.

The LG is compliant.



<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• If the LG makes timely payment of suppliers during the previous FY</li> <li>– no overdue bills (e.g. procurement bills) of over 2 months: score 2.</li> </ul>	<ul style="list-style-type: none"> <li>• From the sample of payments made during the financial year, Education department worth Ugx 117,887,250, Health Department worth Ugx 68,624,222 and Water and Sanitation Department worth Ugx 344,502,013. These payments were made within one month of requisitions being raised. The LG was compliant in this area.</li> </ul>	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has a substantive Senior Internal Auditor: 1 point.</li> <li>• LG has produced all quarterly internal audit reports for the previous FY: score 2.</li> </ul>	<ul style="list-style-type: none"> <li>• The DLG has a substantial District Principal Internal Auditor. In the names of Kyalisiima SD Job who was appointed on 30/6/2014 under minute DSC Min.43 (a) 2014.</li> </ul>	<p>1</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• LG has produced all quarterly internal audit reports for the previous FY: score 2.</li> </ul>	<p>The LG produced all Quarterly reports as follows :</p> <p>Quarter 1 on 30/10/2017</p> <p>Quarter 2 on 30/01/2018</p> <p>Quarter 3 on 30/04/2018</p> <p>Quarter 4 on 30/07/2018</p>	<p>2</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>The LGPAC has considered only two quarterly reports on the following dates:</p> <p>Quarter 1 PAC Sitting on 26/03/2018</p> <p>Quarter 2 PAC sitting on 06/06/2018</p> <p>Quarter 3 PAC sitting on 25/09/2018 but no report at time of Assessment,</p> <p>Quarter 4, PAC Not yet reviewed.</p> <p>The LG PAC has produced only two Report(s) for the first two Quarters, on 24th September 2018 and 13th June 2018. It's important to note that the quarter 1 report was made after six months after the meeting had taken place.</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1.</li> </ul>	<p>The reports were submitted to the LG Accounting Officer and LGPAC on the following dates:</p> <p>Quarter 1 on 02/11/2017</p> <p>Quarter 2 on 05/02/2018</p> <p>Quarter 3 on 02/05/2018</p> <p>Quarter 4 on 31/08/2018</p> <p>The LGPAC has reviewed only two Quarters. The first and second quarters on 26th March 2018 and 6th June 2018, reports were made on 24th September 2018 and 13th June 2018 for the two quarters respectively.</p> <p>Not reviewing Quarterly reports in a timely manner is making the role of PAC as an Accountability organ very irrelevant.</p> <p>The LGPAC needs to improve on its performance so that they can contribute positively as an Accountability arm of the District as by law established.</p>	<p>0</p>

<p>The LG maintains a detailed and updated assets register Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4</li> </ul>	<ul style="list-style-type: none"> <li>• The DLG maintains a detailed and updated Asset register as per format in the Accounting Manual.</li> <li>• All the Assets acquired during the FY2017/18 were posted in the Register at the time of assessment. All additions during the year, from the Draft financial statements (Page 24 Note 8: Consumption of Property, Plant and Equipment (Fixed Assets) and page 38 of : Summary statement of stores and other assets (physical assets) as at end of the year- June 2018) detailed below were all included :</li> <li>• Non Residential Buildings Ugx401, 926,342, Roads and Bridges Ugx 1,094,167,589, Office Equipment Ugx 77,599,000 Other Machinery and Equipment Ugx 624,905,225 and Furniture and fittings Ugx 32,600,000 all cumulatively totalling Ugx 2,231,198,156.</li> <li>• The LG was compliant.</li> </ul>	4
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> <li>• Unqualified audit opinion: score 4</li> <li>• Qualified: score 2</li> <li>• Adverse/disclaimer: score 0</li> </ul>	<p>The report from the Auditor General for the FY 2017/18, Local Governments Unqualified Audit Opinion Schedule for Hoima Branch No.37, for December 2018.</p>	4
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2</li> </ul>	<p>The LG meets and discusses service delivery related issues. At the 8th Session of the First Kakumiro District Council held on 22nd November 2017, it met and discussed service delivery issues e.g. under Min. 17/1KDLC/22/11/2017: Motions to Council (1) :Charging Policy. The Council in a bid to enhance its Local Revenue considered a new charging policy in line with its revenue enhancement plan.</p>	2

<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>• Evidence that LG has designated a person to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaints: score 1.</li> </ul>	<p>The LG designated a person to coordinate response to feedback as evidenced by the letter from the CAO appointing Mr. Magambo Willy / Ag. District Planner dated 1st July 2018.</p>	<p>1</p>
<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>• The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1</li> </ul>	<p>The LG had a specified system for recording and response as evidenced by the Grievances / Complaints system as found displayed on the Administration Block notice board.</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> <li>• The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2</li> </ul>	<p>The payroll for July 2018 was found on display at the administration block notice board. No evidence of a pensioner schedule was found published on the notice boards.</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the procurement plan and awarded contracts and amounts are published: score 1.</li> </ul>	<p>A procurement plan and notice of awarded contracts were found on display at the PDU/Administration notice board.</p>	<p>1</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1.</li> </ul>	<p>LG published the performance assessment results for FY 2016/17 as evidenced by the submission of the dissemination report to CAO from the Ag. District Planner dated 16th August 2018. The assessment results were disseminated to Heads of Department and DEC as seen in the attendance list.</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1</li> </ul>	<p>The HLG communicated and explained national guidelines and circulars as found in the “report on dissemination of DDEG Guidelines to Lower Local Governments”. The meeting attended by sub-county chiefs and Town Clerks representing 14 LLGs was held on 4th December 2017 and report submitted to CAO on by the Ag, District Planner.</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1.</li> </ul>	<p>No evidence was provided during the assessment time.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2.</li> </ul>	<p>The LG gender focal person and CDO provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities. For example;</p> <ul style="list-style-type: none"> <li>• During a TPC meeting held on 28/03/2018, a presentation on Gender mainstreaming was done.</li> <li>• An activity report dated 13/03/2018 was availed where CDOs in LLGs were also mentored in the same at their work stations.</li> </ul>	<p>2</p>
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implement-ted: score 2.</li> </ul>	<p>From the approved AWP page 85 &amp; 81;</p> <p>They planned to support women council activities and providing seed capital to UWEP groups.</p> <ul style="list-style-type: none"> <li>• They also planned to carry out awareness campaigns in gender to 4 LLGs and 3 marginalized group structures.</li> <li>• A Gender technical audit was planned as well in YLP and UWEP.</li> </ul> <p>However, during FY 2017/18, the LG budgeted to spend 5,063,000/= under gender mainstreaming and actually spent 2,530,000/= which is 50%.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1</li> </ul>	<p>Environmental screening was appropriate and carried out for implemented projects, for example EIA was done on the following dates;</p> <ol style="list-style-type: none"> <li>1. Renovation of classroom blocks at Kinunda P/S – 13/09/2017</li> <li>2. Construction of VIP latrine at Kakumiro public P/S – 13/09/2017</li> <li>3. Drilling and Installation of 7 deep boreholes – All done on 20/03/2018 at Rwamata B, Mboga, Nalweyo, seed school, Kyajawe A, Kijangi, Kyakajumbi and Mullula.</li> <li>4. Construction of phased water supply system in Kisiita – 10/01/2018</li> <li>5. Construction of Kabuubwa HC II -20/02/2017.</li> </ol>	<p>1</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1</li> </ul>	<p>The LG integrates environmental and social management and health and safety plans in the contract bid documents: For example;</p> <ol style="list-style-type: none"> <li>1. Renovation of classroom blocks at Kinunda P/S – provided for hand washing facility and lightening protection.</li> <li>2. Construction of VIP latrine at Kakumiro public P/S – provided for hand washing facility and site clearing.</li> <li>3. Drilling and Installation of 7 deep boreholes – provided for planting grass at all sites. i.e Rwamata B, Mboga, Nalweyo, seed school, Kyajawe A, Kijangi, Kyakajumbi and Mullula.</li> <li>4. Construction of phased water supply system in Kisiita – provided for general site clearance, cutting and disposal of trees.</li> <li>5. Construction of Kabuubwa HC II – provided for a hand wash basin, planting of trees and grass, construction of a 2 stance pit latrine.</li> </ol>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1</li> </ul>	<p>For all the projects implemented, the LG didn't provide proof of land ownership</p>	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1</li> </ul>	<p>All completed projects had Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO, for example forms were signed as follows;</p> <ol style="list-style-type: none"> <li>1. Renovation of classroom blocks at Kinunda P/S – signed on 19/06/2018</li> <li>2. Construction of VIP latrine at Kakumiro public P/S – signed on 19/06/2018</li> <li>3. Drilling and Installation of 7 deep boreholes – All forms to respective boreholes signed by Environment officer and CDO on 19/06/2018 and 16/06/2018 respectively</li> <li>4. Construction of phased water supply system in Kisiita – signed on 22/06/2018</li> <li>5. Construction of Kabuubwa HC II - signed on 20/06/2018</li> </ol>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1</li> </ul>	<p>All the contract payments certificated didn't include prior environmental and social clearance.</p>	<p>0</p>



<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1</li> </ul>	<p>The Environmental Officer and CDO monthly report was seen dated 01/06/2018 and 30/04/2018 for the water sources' projects i.e. the 7 bore holes and the Kisiita water supply system, it was also done for the construction of the VIP latrine at Kakumiro public P/S dated 01/06/2018, however it was not done for the rest of the other implemented projects.</p>	<p>0</p>
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Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4</li> </ul>	<ul style="list-style-type: none"> <li>Basing on the PBS data in the performance contract FY 2018/2019, pg 31, the LG budgeted for 591 primary teachers with a wage of 3.4 bn.</li> <li>According to performance contract FY 2018/2019, pgs 25-71, the staff/school's list indicate the LG operates with 82 P/s.</li> </ul> <p>On analysis <math>591/82 = 7</math> teacher on average, implying the district does not meets the minimum standards.</p>	0

<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4</li> </ul>	<ul style="list-style-type: none"> <li>• The teaching staffing status FY 2018/2019, letter submitted to CAO, ON 17/01/2018, basing on teacher – pupils ratio, indicated the structure of 790 staff; yet on post have 591, hence a gap of 199 teachers.</li> <li>• Using a random sampling through the staff lists in performance contract pgs 25-71, the following were selected against their deployment:-</li> <li>• Kasambya p7 sch, with 8 teachers, Milembo p7 sch- 8, Mulinga p7- 8, St Marys Muhumuza p7- 8, Kirunda p7- 7, Buruuko p6- 7, Burramagi p7- 8, Kyabasaija p7- 8, Nyamurama p7- 7, Nyabirungi P6- 6.</li> <li>• Schools like ; Kirunda, Nyamurama, and Nyabirungi do not have a minimum of a teacher per class.</li> <li>• The above data from schools’ staff lists, some schools lack a minimum of 7 teachers.</li> <li>• However, the sampled schools below: (Key:- SL- Staff List, and PV- Physical verification of deployment on ground)meets the minimum.</li> <li>- Kihumulo- SL 8- PV 8 ,</li> <li>- Kakumiro Public school- SL 8- PV 8,</li> <li>- Kisengwe- SL 9- PV 9.</li> </ul>	<p>0</p>
<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has filled the structure for primary teachers with a wage bill provision</li> <li>o If 100%: score 6</li> <li>o If 80 - 99%: score 3</li> <li>o If below 80%: score 0</li> </ul>	<ul style="list-style-type: none"> <li>• The wage bill of 3.4 bn, can only cater for 591 currently filled in the system; yet the structure requires 790 teachers.</li> <li>• <math>(591/790) * 100 = 74\%</math></li> </ul>	<p>0</p>

<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6</li> </ul>	<ul style="list-style-type: none"> <li>The adopted and approved staff structure for the LG dated 27/6/2017, The MoPS approved the LG establishment for inspectorate posts of FY 2017/2018, as 1 senior inspector, 2 School inspectors.</li> <li>The 2 posts of school inspectors are filled with:- 1. Mr Baleke William, 2. Kyofuna Mary.</li> <li>However the post of Senior Inspector is advertised to be filled internally by promotion. Evidence seen of a letter inviting candidates for interviews on 26/9/2018, is signed by CAO, and dated 14/9/2018.</li> </ul>	6
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> <li>Primary Teachers: score 2</li> </ul>	<ul style="list-style-type: none"> <li>Recruitment plan submitted indicated; 17/82 Positions for head teachers Vacant, 7/82 deputy head teachers vacant, 36/82 senior education assistants vacant, and 139/544 assistant education officers also vacant.</li> </ul>	2
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> <li>School Inspectors: score 2</li> </ul>	<ul style="list-style-type: none"> <li>Inspectors of schools' positions are now full , (Efforts to fill DIS by promotion is underway)</li> </ul>	2
Monitoring and Inspection			

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> <li>• 100% school inspectors: score 3</li> </ul>	<p>The 2 schools inspectors had been appraised by CAO ( The structure provides for 3 schools inspectors )</p>	<p>3</p>
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> <li>• Primary school head teachers o 90 - 100%: score 3</li> <li>o 70% and 89%: score 2</li> <li>o Below 70%: score 0</li> </ul>	<p>All primary school head teachers had been appraised DEO</p> <p>10 Primary school head teachers files were sampled out of 81 namely:-</p> <ol style="list-style-type: none"> <li>1 – Muyinda M Kenan – For Kyakapere PS</li> <li>2 – Namayanja Teo – For Mpesaana P S</li> <li>3 -Keiga Hamza – For Rwenseera PS</li> <li>4 –Kiiza Joseline – For Rwembuba PS</li> <li>5 –Kakonge David – For Kyalisuutu PS</li> <li>6 – Nakate Norah –For Kamusenene PS</li> <li>7 – Sentongo Vicent –For Npongo PS p</li> <li>8 –Nambozo Robinah – For Kitegura PS</li> <li>9 -Tugumisirize Abed – Nalweya PS</li> <li>10 –Asiimwe Grace – For Buruuko PS</li> </ol>	<p>3</p>

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1</li> </ul>	<ol style="list-style-type: none"> <li>1. Circular on unlicensed and un registered schools, dated 22/9/2017</li> <li>2. Verification of DL17, Teachers presence and time on task, dated 15/5/2018.</li> <li>3. Schools and other institutions calendar, 2018.</li> <li>4. Guidelines of school charges, dated 24/10/2017.</li> <li>5. MDD; Uganda national primary schools performing arts festival syllabus 2018, dated 17/4/2018.</li> <li>6. NAPE circular, on 30/5/2018</li> <li>7. Circular on making schools environmentally friendly.</li> </ol> <p>The schools visited, for circulars from MDAs as indicated below.</p> <ul style="list-style-type: none"> <li>• Among the school sampled and visited, the following circulars were found (Key for circulars- as serialized above)</li> <li>- Kihumulo p/s- 3, 5, 7</li> <li>- Kakumiro Public- 1, 2, 3, 5, 6, 7</li> <li>- Kisengwe- 2, 3, 5, 7</li> <li>- Kakumiro Blessed- 1, 5, 7,</li> </ul>	<p>1</p>
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<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2</li> </ul>	<p>Mechanisms of communication the department uses include; Meetings, DEOs Circulars, Workshops and Radio programs.</p> <ul style="list-style-type: none"> <li>• DEO – Head teachers' workshop at Uganda martyrs S.S on 28/5/ 2018; Disseminated Teachers presence and task on time. Minute 7/2018 on facilitators' agenda.</li> <li>• DEOs circular; To all head teachers of learning institutions: Observance and implementation of government policies and guidelines:- Adherence to school calendar, illegal boarding schools, Teachers presence and time on task. 55 participants attended and signed.</li> <li>• DEOs- head teachers meeting, Min.5/6/2018; Briefs from DEO: Upcoming activities; participation into extra co-curricular activities; MDD, ball games, and girl guide.</li> </ul>	<p>2</p>
<p>The LG Education De- partment has effectively inspected all registered primary schools<sup>2</sup></p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> <li>o 100% - score 12</li> <li>o 90 to 99% - score 10</li> <li>o 80 to 89% - score 8</li> <li>o 70 to 79% - score 6</li> <li>o 60 to 69% - score 3</li> <li>o 50 to 59 % score 1</li> <li>o Below 50% score 0.</li> </ul> </li> </ul>	<p>The local government operates 82 government aided schools and 48 licensed/registered private p/s, total to 110 school.</p> <ul style="list-style-type: none"> <li>• Inspection report for 3rd term 2017, received and signed by DEO on 15/1/2018 indicates- 168 schools inspected. The EXTRA 58 schools are part of the illegal schools enforcing to close or register or licence.</li> <li>• Term 1, 2018 report, received by DEO on 5/4/2018 shows – 118 schools. Extra 8 schools are those in process to license.</li> <li>- Term 1 2018 e- inspection report on 25/4/2018 indicates -26 schools.</li> <li>• Term 2 report 2018, on 2/7/2018, shows 134 schools. 24 extra school have same issue as above.</li> <li>• Therefore, average inspection is <math>(168+118+26+134)/3</math> terms = 148. Then <math>148/110= 135\%</math></li> </ul>	<p>12</p>

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4</li> </ul>	<ul style="list-style-type: none"> <li>• Inspection report, 2nd term, on 2/7/2017: Errant teachers need be reported to DEOs office in time.</li> <li>- Department meeting on 4/4/19; Inspector's briefs, Min.23/4/2018; Conduct of female teachers applying maternity leave in an orderly manner during the term, which affects studies.</li> <li>- Workshop for all female teachers was organized on 24/6/2018; Areas addressed include: Leave management, Family planning &amp; nutrition,</li> <li>• Inspection report 3rd term on 29/12/2017; Recommendations for best performing head teachers and teachers; a list of teachers awarded with certificates</li> <li>• Department meeting on 17/1/2018; Min. 5/1/2018; Senior inspectors' report:- Indisciplined head teachers and teachers; Resolved to invite them for guidance and counseling: Atugonza Rita and Ayebale S. from Kakumiro public school, and Agaba John of Kyakalangala p/s.</li> <li>• Ayebale transferred to Kyakalangula and Agaba to Kisijja p/s.</li> </ul>	<p>4</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2</li> </ul>	<ul style="list-style-type: none"> <li>• Inspection reports for term 2 of 2018 submitted to DES on 30/6/2018. Received and signed by secretary and acknowledgement form issued.</li> <li>• Term 2 report 2018, submitted on 30th, April 2018.</li> <li>• Term 3 also submitted on same date 30/4/2018.</li> </ul>	<p>2</p>



<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the inspection recommendations are followed- up: score 4.</li> </ul>	<ul style="list-style-type: none"> <li>• On 14/3/2018, at Kisengwe p/s, the inspector of schools recommended that they should organize CPD (continuous professional development course) and make instructional materials.</li> <li>- On 16/3/2018 the head teacher addressed it through writing to DEO, and He granted the activity on 20/3/2018.</li> <li>• On 7/8/2017, inspector advised Kakumiro blessed school to register or else be closed.</li> <li>- On 24/1/2018 evidence of recommendation and approval letter for the Kakumiro blessed school to the commissioner of education for registration of the school, was signed by His worship the Mayor of Kakumiro Town Council.</li> </ul>	4
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> <li>o List of schools which are consistent with both EMIS reports and PBS: score 5</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• PBS generated list of schools submitted to MOES for IPFs 2018/2019, by March 2018 indicate 82 schools which is consistent with the numbers from MOES EMIS data.</li> </ul>	5
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> <li>• Enrolment data for all schools which is consistent with EMIS report and PBS: score 5</li> </ul>	<ul style="list-style-type: none"> <li>• Enrollment data in DEOs office used for IPFs for UPE disbursement for FY 2018/19 indicate 41,474, while EMIS data from MOES shows 40,997.</li> </ul>	0

Governance, oversight, transparency and accountability

<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2</li> </ul>	<p>At the Sitting of the General Purpose Standing Committee held on 15th August 2017, the Committee discussed service delivery issues under Min. 04/GPC 24/08/2017(3): Reports from Sectors, it considered among others performance review for previous financial year where 60 desks were procured, completion of construction of a 2 classroom block at Kyakuterekera PS and St.Noah Kasojo PS</p>	<p>2</p>
<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the education sector committee has presented issues that require approval to Council: score 2</li> </ul>	<p>At the 7th Session of the First Kakumiro District Council held on 24th August 2017, it met and discussed recommendations from the General Purpose Standing Committee under Min. 05/1KDLC/24/08/2017(2): Recommendations from Standing Committees. The Council considered the request for approval of Construction of a 5 Stance VIP latrine with a urinal earlier planned to be at St.Noah Kasojo PS be relocated to Binikira PS.</p>	<p>2</p>

<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> <li>• 100% schools: score 5</li> <li>• 80 to 99% schools: score 3</li> <li>• Below 80 % schools: score 0</li> </ul>	<ul style="list-style-type: none"> <li>• The current SMCs are established and appointed by DEO on 18/11/2016 under Min. no. 4/GPC/11/2016, that sat on 10/11/2016, as the Education Act warrants.</li> <li>• All schools sampled (Kakumiro Public, Kakumiro blessed, Kisengwe, and Kihumuro p/s) Held SMC meetings and discussed resource issues in all their meetings ranging from Fundraising, UPE budget approvals, Expenditures accountabilities for UPE, PTA, and donor grants etc as indicated below. (Selected/Sampled one meeting (term 3, 2017 in all schools) as representative for SMC meeting, out of the 3 mandatory meetings in a year to check if resource related issues discussed.)</li> <li>• Kisengwe p/s SMC meeting on 28/11/2017, Min. 9/1/2017: UPE release for quarter 1 2.6m, PTA collection 4.5 and accountability given.</li> <li>• Kakumiro blessed SMC on 15/1/2018, Min.3/1/2018; Proprietor to help the head teacher to make the budget to avoid misuse of school funds.</li> <li>• Kihumuro p/s SMC on 13/10/2017, Min. 5&amp;6/10/2018; revealed low payments for PTA, and parents contribution for Toilet Papers to improve on pupils sanitation.</li> <li>• Kakumiro Public SMC on 28/9/2017, Min.4/2017; Received UPE funds, declared PTA contribution, and expenditure given.</li> </ul>	
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<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has publicised all schools receiving non-wage recurrent grants</li> </ul> <p>e.g. through posting on public notice boards: score 3</p>	<ul style="list-style-type: none"> <li>• UPE grant IPFs submitted using PBS for 82 p/s, indicate budget of 444,593,157 for FY 2018/2019.</li> <li>• UPE release to p/s for term 1, 2018, for 82 schools worth 139,692,474.</li> <li>• Both lists were displayed at the district notice boards</li> <li>• However the schools sampled and visited, had displayed UPE grants as evidenced below: <ul style="list-style-type: none"> <li>- Kihumuro p/s 3rd term 2017, received 2m, Term 1 and 2 of 2018 same amount.</li> <li>- Kakumiro Public received 1.665m for each of the 3 terms.</li> <li>- Kisengwe p/s received 2.55m for each of the 3 terms</li> </ul> </li> </ul>	<p>3</p>
<p>Procurement and contract management</p>			

The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements, to the Procurement Unit that cover all items in the approved Sector annual work plan and budget

Maximum 4 for this performance measure

- Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4

Among the projects undertaken by the department FY 2017/2018, Assessor sampled three: St Noah classroom construction, Kakumiro public p/s 5 stance Latrine project, and supplies of desks for Kabuubwa were sampled to check for procurement requisitions.

- The approved AWP for 2017/2018, signed by C/person on 25/7 2017, Pgs 11-13, indicates Department planned projects to include; Construction of classroom block at St. Noah Kasojo worth 73.7m. Construction of 5 stances Latrine at Kakumiro Public school worth 9m. Furniture for Kabuubwa p/s worth 3.7m.

- Procurement requisitions were all from the items budgeted for in FY 2017/2018, as shown below.

- St Noah Kasojo 2 classroom block construction project was initiated by DEO on 7/8/2017, submitted to and signed by CFO on 7/8/2017 and then COA on 8/8/2017; worth 73.7m.

- Kakumiro public p/s 5 stance latrine requisitions initiated by DEO on 7/8/2017, CFO also signed on same date and finally CAO on 8/8/2017.

- Supply and delivery of 36 desks for Kabuubwa p/s; DEO on 7/8/2017, CFO on 7/8/2017, and CAO on 8/8/2017; worth 3.6m.

- All procurement requisitions were in time before 30th April.

Financial management and reporting

<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3.</li> </ul>	<ul style="list-style-type: none"> <li>• From the sampled payments made during the year to various vendors worth Ugx 117,887,250, which was spent on:</li> <li>• Kirombe Nyaruzinga Construction CO.Ltd: Construction of a 2 classroom block with an office and store at Kyakuterekera Primary School in Kisiita Sub County, VR.No.3275 &amp; 1799.</li> <li>• Richat Partners Ltd: Construction of classroom block with an office and store at St Noah Kasojo Primary School in Bwanswa Sub County, VR.No.4085.</li> <li>• Serve Electronics Ltd: Construction of 5 stance with Urinal and a curtain wall at Kakumiro Primary School, VR.No.1780 &amp;1781.</li> <li>•</li> <li>• All these payments were made on time and mostly within a week after requisition for payment was raised.</li> </ul>	<p>3</p>
<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4</li> </ul>	<p>The department did not submit to the Planner the annual performance report for the previous FY 2017/201 by mid July as evidenced by the PBS submission notification dated 4th September 2018.</p>	<p>0</p>

<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</li> <li>o If sector has no audit query score 4</li> <li>o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2</li> <li>o If all queries are not responded to score 0</li> </ul>	<p>Two Queries</p> <ul style="list-style-type: none"> <li>• (1) Non Accountability of advance worth 510,000. Responded on 16/8/2018.</li> <li>• (2) Incomplete works at Kinunda Primary School. Defects notified to Contractor on 21st May 2018.</li> <li>• All the above queries were responded to.</li> </ul>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.:</li> </ul> <p>Score 2</p>	<ul style="list-style-type: none"> <li>• Report on workshop for female teachers training on 29/6/2018, which was conducted on 27/6/2018. Among the facilitators were Gender focal person- CDO; Trained on Gender based violence in schools and homes, and girl child empowerment. The DHO handled; Menstrual management and body changes, and roles and responsibility of senior woman/man teachers.</li> </ul>	<p>2</p>

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2</li> </ul>	<ul style="list-style-type: none"> <li>• Organized workshop for female teachers on 29/6/2018; Among others, sensitized on Menstrual hygiene, tips girls and women should know on menstrual hygiene.</li> <li>• Circular from DEOs office; To all Heads of all institutions in KDLG; Identification, Assessment and placement of learners with SNE In all schools in the district.</li> <li>• Report on monitoring of SNE on schools compliance towards inclusive education, on 4/4/2018; Supported by World Vision Uganda.</li> </ul>	<p>2</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the School Management Committee meets the guideline on gender composition: score 1</li> </ul>	<ul style="list-style-type: none"> <li>• Basing on schools sampled and visited, the results indicate that SMCs do not conform to gender guidelines of 1/3 of members on the founding body to be females, as shown below.</li> <li>• Kakumiro public school has 1 female out of 6 members. Kisengwe- 2/6, Kakumiro Blessed – 2/6, and Kihumuro p/s 2/6.</li> </ul>	<p>0</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1:</li> </ul>	<ul style="list-style-type: none"> <li>• Circular from Natural resources department; To all heads of institutions, through DEO, on 10/1/2018: Making schools environmentally friendly; Issues include; Tree planting; Proper waste management; Formation of environmental clubs; Integrating of environmental issues in teaching and learning events.</li> </ul>	<p>1</p>



<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1</li> </ul>	<ul style="list-style-type: none"> <li>• Environmental and social safeguards assessment form for St Noah construction project, signed by EO and CDO on 13/9/2017.</li> <li>• Kakumiro public p/s; Environment and social safeguards screening form for 10 stance latrine, was signed on 17/11/17 by EO and DCDO.</li> </ul>	<p>1</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 1</li> </ul>	<ul style="list-style-type: none"> <li>• Monitoring report against environment and social management plan for the construction of 2 classroom block at St Noah Kasojjo p/s was signed on 12/12/2017, by EO and DCDO.</li> <li>• Monitoring report for projects under Education department, against Environment and Social concerns; Mitigation measures, and Implementation progress for construction of 10 stance latrine at Kakumiro public, was signed on 1/6/2018, by EO and DCDO.</li> </ul>	<p>1</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> <li>• More than 80% filled: score 8</li> <li>• 60 – 80% - score 4</li> <li>• Less than 60% filled: score 0</li> </ul>	<ul style="list-style-type: none"> <li>• MoH approved structure provides for 265 posts (Including DHO's Office) to operate at 100% capacity.</li> <li>• DHO's staff list with stamp dated 29th September 2017 showed 150 posts filled. This meant that 115 posts were vacant in relation to the approved MoH staffing norms. This is 55.8% posts filled</li> </ul>	0
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<ul style="list-style-type: none"> <li>• Recruitment Plan for FY 2018/19 from health department with stamp dated 15th May 2018 was availed at the time of assessment. The plan showed 145 positions filled with 134 positions vacant.</li> </ul>	6

The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted

Maximum 8 points for this performance measure

Evidence that the all health facilities in-charges have been appraised during the previous FY:

- o 100%: score 8
- o 70 – 99%: score 4
- o Below 70%: score 0

All the 15 health unit in charges had been appraised by DHO for the FY 2017-2018. 10 Charges' personal files were sampled,

Namely:-

- 1 – Dr Tuhaise Judith –For Kakumilo HC IV
- 2 – Katuramu A –For Masaka HC III
- 3 –Musaazi Moses –For Kyabasaija HCIII
- 4 –Gamukama Clever –For Kasambya HC III
- 5 –Sanyu Alibankaho –For Nalweya HC III
- 6 –Katusabe Jasinta –For Bilembo HC II
- 7 – Dr Byaruhanga Hagreaves –For Kakindo HC IV
- 8 – Nangonzi Winfred –For Buyaza HCIII
- 9 -Twomonday Moses –For Kasita HC III
- 10 –Mathina Agnes –For Kasengwe HC II

<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4</li> </ul>	<p>3 out of the 5 sampled facility staff lists had deviations from that at the DHO and no justification was given at the time of assessment.</p> <ol style="list-style-type: none"> <li>Kasambya HCIII staff list FY 2018/19 was availed with 8 staff recorded as attached to the facility. The staff list availed at DHO's office list stamped 5th July 2018 recorded 10 staff deployed to this facility.</li> <li>Birembo HCII staff list dated 1st July 2018 was availed with 3 staff. Staff list at DHO's office stamped 5th July 2018 showed 3 staff deployed to this facility.</li> <li>Igayaza HCIII staff list dated 31st July 2017 was availed with 9 staff attached. DHO's staff list stamped 5th July 2018 showed 9 staff deployed.</li> <li>Kakindo HCIV staff list 2018 was availed with 30 staff attached. DHO staff list stamped 5th July 2018 indicated 32 staff deployed to this facility.</li> <li>Kakumiro HCIV staff list dated 5th July 2018 showed 26 staff attached to the facility. DHO's staff list stamped 5th July 2018 showed 31 staff deployed.</li> </ol>	<p>0</p>
<p>Monitoring and Supervision</p>			
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3</li> </ul>	<ul style="list-style-type: none"> <li>Strategy for Improving Health Service Delivery 2016-2021 was availed.</li> <li>Guidelines for the Local Government Planning Process Health Sector Supplement 2016 was availed</li> <li>No evidence from the DHO to show communication of the guidelines &amp; policies to facilities was availed at the time of assessment</li> </ul>	<p>0</p>

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the DHO/ MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3</li> </ul>	<ul style="list-style-type: none"> <li>No evidence from DHO at the time of assessment.</li> </ul>	<p>0</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>Out of the 3 supervision reports availed, no HCIV was recorded as supervised</p> <ul style="list-style-type: none"> <li>The district has 1 HSD (Bugangaizi HSD) and the total number of HFs (Including PNFPs) that receive PHC NWR herein are 19 (Gov't – 2HCIVs, 5HCIIIs, 7HCIIIs &amp; PNFP - 2HCIIIs, 3HCIIIs).</li> <li>Q1 joint support supervision reports for two individual facilities dated 03/08/2017 &amp; 11/08/2017 were availed. 2HCIIIs were visited.</li> <li>Q2 joint support supervision reports for four individual facilities dated 12/12/2017 &amp; 18/12/2017 were availed. 3HCIIIs &amp; 1HCII were visited.</li> <li>Q4 support supervision report with stamp dated 22nd May 2018 was availed. 4HCIIIs and 1HCII were visited.</li> </ul>	<p>0</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has super-vised lower level health faci- ties within the previous FY:</p> <ul style="list-style-type: none"> <li>• If 100% supervised: score 3</li> <li>• 80 - 99% of the health facilities: score 2</li> <li>• 60% - 79% of the health facilities: score 1</li> <li>• Less than 60% of the health facilities: score 0</li> </ul>	<ul style="list-style-type: none"> <li>• The district has 1 HSD (Bugangaizi HSD) and the total number of HFs (Including PNFPs) that receive PHC NWR herein are 19 (Gov't – 2HCIVs, 5HCIIIs, 7HCIIIs &amp; PNFP - 2HCIIIs, 3HCIIIs).</li> <li>• Kakindo HCIV is the main headquarter for the HSD and they had all the four quarterly support supervision reports. However, since the HSD is huge, the supervisory role is shared with Kakumiro HCIV which supervises 9 facilities and Kakindo HCIV supervises 10 facilities.</li> </ul> <p>Kakindo HCIV</p> <p>Q1 support supervision report dated 5th Nov 2017 was availed with 10 facilities visited.</p> <p>Q2 support supervision report dated 12/2/18 was availed with 12 facilities visited.</p> <p>Q3 support supervision report dated 5th April 2018 was availed with 12 facilities visited.</p> <p>Q4 support supervision report dated 25th July 2018 was availed with 11 facilities visited.</p> <p>Kakumiro HCIV</p> <p>Q2 report for support supervision carried out from 24th to 25th Jan 2018 was availed with 9 facilities visited.</p> <p>Q3 report for support supervision carried out from 27th to 28th June 2018 was availed with 10 facilities visited.</p>	<p>3</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4</li> </ul>	<ul style="list-style-type: none"> <li>• DHT minutes for meeting held on 21st August 2017 were availed. DHT minutes dated 03/07/2018 were availed</li> <li>• No evidence, at the time of assessment, that the DHT had discussed the supervision reports.</li> </ul>	<p>0</p>

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the recommendations are followed</li> <li>– up and specific activities undertaken for correction: score 6</li> </ul>	<ul style="list-style-type: none"> <li>No evidence, at the time of assessment, that the DHT had followed up the recommendations and that specific activities were undertaken for corrective action</li> </ul>	0
<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> <li>List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10</li> </ul> </li> </ul>	<p>The list of facilities receiving PHC funding wasn't consistent</p> <ul style="list-style-type: none"> <li>The district has 1 HSD (Bugangaizi HSD) and the total number of HFs (Including PNFPs) that receive PHC NWR herein are 19 (Gov't – 2HCIVs, 5HCIIIs, 7HCIIIs &amp; PNFP - 2HCIIIs, 3HCIIIs).</li> <li>List of facilities to receive PHC NWR for FY2018/19 with DHO stamp dated 10th July 2018 was availed with 19 facilities (Including PNFPs) to receive a total of UGX 179,241,118</li> <li>The PBS LG Approved Budget Estimates FY 2018/19 generated on 03/08/2018 were availed. It listed only 14 facilities and the total PHC NWR was 224,051,000</li> </ul>	0
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2</li> </ul>	<p>At the Sitting of the General Purpose Standing Committee held on 09th November 2017, the Committee discussed service delivery issues under Min. 09/GPSC 09/11/2017(5): Reports from Sector; ,it considered among others the assignment of DHT to the health facilities, delivery of drugs by NMS and ownership of institutional land for health facilities.</p>	2

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the health sector committee has presented issues that require approval to Council: score 2</li> </ul>	<p>At the 7th Session of the First Kakumiro District Council held on 24th August 2017, it met and discussed recommendations from the General Purpose Standing Committee under Min. 05/1KDLC/24/08/2017(2): Recommendations from Standing Committees. The Council considered the request for approval of District delegation to meet the Minister of Finance Planning and Economic Development with regard to the increase the wage allocation for PHC salaries for health workers.</p>	<p>2</p>
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<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> <li>• If 100% of randomly sampled facilities: score 6</li> <li>• If 80-99 %: score 4</li> <li>• If 70-79: %: score 2</li> <li>• If less than 70%: score 0</li> </ul>	<p>3 out of the 5 facilities sampled had the four quarterly mandatory meetings. This is 60% fulfillment</p> <ul style="list-style-type: none"> <li>• Kasambya HCIII HUMC list had 6 members (1 female &amp; 5 males). Q1 minutes dated 24/7/17 were availed, No budget and resource issues were discussed. Q2 minutes dated 22/12/17 were availed, No budget and resource issues were discussed. Q3 minutes dated 8/3/18 were availed, No budget and resource issues were discussed. Q4 minutes dated 11/06/18 were availed, No budget and resource issues were discussed.</li> <li>• Birembo HCII HUMC list had 9 names (4 females &amp; 5 males). 6 (2 females &amp; 4 males) of these are approved as per the guidelines. Q2 minutes for meeting held on 10/1/18 were availed, Budget &amp; resource issues were discussed under Min III &amp; IV. Q3 minutes for meeting held on 28/5/10 were availed, Budget &amp; resource issues were discussed under Min II &amp; IV. Q4 minutes for meeting held on 6/7/18 were availed, budget &amp; resource issues were discussed under MIN IV.</li> <li>• Igayaza HCIII HUMC list dated 24/7/17 availed had 7 members (3 females &amp; 4 males). Approved by guidelines are 6 (3 females &amp; 3 males). Q1 minutes for meeting held on 20/10/17 were availed, resource issues were under minute IV. Q2 minutes for meeting held on 27/11/17 were availed, resource issues were under "discussions". Q3 minutes dated 16/2/18 were availed, budget &amp; resource issues under MIN IV. Q4 minutes dated 1/6/18, budget &amp; resource issues under MIN VI.</li> <li>• Kakindo HCIV HUMC list 2018 had 7 members (3 females &amp; 4 males). However, the approved is 9 members. Q1 minutes for meeting held on 2/10/17 were availed, resource issues were under minute 03/08/2017. Q2 minutes for meeting held on 13/01/18 were availed, budget &amp; resource issues were under minute 02/01/2018 &amp; minute 05/01/2018. Q3 minutes for meeting held on 6th April 2018 were availed, budget &amp; resource issues were under minute 03/04/2018. Q4 minutes for meeting held on 19/6/18 were availed, budget &amp; resource issues were under minute 03/06/2018 &amp; minute 05/06/2018</li> <li>• Kakumiro HCIV HUMC members list dated 15th February 2018 had 11 members (4 females &amp; 7 males). Q3 minutes dated 19/3/18 were availed, resource issues were under minute THREE.</li> </ul>	
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<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 4</li> </ul>	<ul style="list-style-type: none"> <li>A list of 19 (Gov't – 2HCIVs, 5HCIIIs, 7HCIIIs &amp; PNFP - 2HCIIIs, 3HCIIIs) to receive PHC NWR in FY 2018/19 with DHO stamp dated 10th July 2018 was displayed on the public notice board at the DHO's office.</li> </ul>	<p>4</p>
<p>Procurement and contract management</p>			
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2</li> </ul>	<p>Procurement plan was submitted later than April 30, 2018</p> <ul style="list-style-type: none"> <li>A Procurement Plan for FY 2018/19 with DHO stamp dated 5th July 2018 was availed. The Plan had 11 investment items listed for procurement.</li> </ul>	<p>0</p>
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2.</li> </ul>	<ul style="list-style-type: none"> <li>PP1 forms for FY 2018/19 investment menu items were not availed at the time of assessment</li> </ul>	<p>0</p>

<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4.</li> </ul>	<ul style="list-style-type: none"> <li>From the sample of payments made worth Ugx 68,624,222 to the following vendors:</li> <li>Hard Rock Technical Services: Construction of an outpatient department at Kabuubwa Health Centre, Phase II in Nkooko Sub County, VR. No. 3527 &amp; 3426.</li> <li>BAMU General Construction: Retention for works done at Kabuubwa Health Centre II in Nkooko Sub County.</li> <li>All the above payments were made not more than a month after the requisitions were made.</li> </ul>	<p>4</p>
<p>Financial management and reporting</p>			
<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4</li> </ul>	<p>The department did not submit to the Planner the annual performance report for the previous FY 2017/201 by mid July as evidenced by the PBS submission notification dated 2nd September 2018.</p>	<p>0</p>

<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> <li>• If sector has no audit query: Score 4</li> <li>• If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points</li> <li>• If all queries are not responded to Score 0</li> </ul>	<ul style="list-style-type: none"> <li>• Four queries:</li> <li>• (1) Non accountability of Advances worth SHS 2,587,000 for Workshop in Buliisa. Responded and dropped by LGPAC.</li> <li>• (2) Advance to M/S Mwesigye Fred Ugx 5,690,000. Accountability submitted on 31/5/2018 and cleared by LGPAC.</li> <li>• (3) Fuel of 4,000,000 Un accounted for. No Response.</li> <li>• (4) Un Occupied staff house at Kakindo Health Centre IV. No response.</li> <li>•</li> </ul> <p>At the time of Assessment two queries had not been attended too by the District Health Department. 3 &amp; 4 above.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> <li>• Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2</li> </ul>	<p>2 out of the 5 facilities sampled fell short of the minimum composition required</p> <ol style="list-style-type: none"> <li>1. Kasambya HCIII HUMC list had 6 members (1 female &amp; 5 males). This is 20% composition</li> <li>2. Birembo HCII HUMC list had 9 names (4 females &amp; 5 males). 6 (2 females &amp; 4 males) of these are approved as per the guidelines. This is 33.3% composition</li> <li>3. Igayaza HCIII HUMC list dated 24/7/17 availed had 7 members (3 females &amp; 4 males). Approved by guidelines are 6 (3 females &amp; 3 males). This is 50% composition</li> <li>4. Kakindo HCIV HUMC list 2018 had 7 members (3 females &amp; 4 males). However, the approved is 9 members.</li> <li>5. Kakumiro HCIV HUMC members list dated 15th February 2018 had 11 members (4 females &amp; 7 males). This is 36.4% composition</li> </ol>	<p>0</p>

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2.</li> </ul>	<ul style="list-style-type: none"> <li>No evidence was availed at the time of assessment.</li> </ul>	<p>0</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2</li> </ul>	<ul style="list-style-type: none"> <li>The procurement plan FY 2017/18 was availed. It had one infrastructure project for construction of OPD at Kabuubwa HCII.</li> <li>The project screening form with DNRO stamp dated 20th February 2017 was availed. A management plan, dated 13th December 2017, for the likely environmental and social impacts was made and mitigation measures identified for each impact.</li> </ul>	<p>2</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 2</li> </ul>	<ul style="list-style-type: none"> <li>A monitoring report for Kabubwa Health Cetre II dated 21st June 2018 was availed signed by both the Environment Officer and Ag. DCDO</li> </ul>	<p>2</p>

<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"><li>• Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4.</li></ul>	<ul style="list-style-type: none"><li>• No evidence was available at the time of assessment</li></ul>	<p>0</p>
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Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY:               <ul style="list-style-type: none"> <li>o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10</li> <li>o If 80-99%: Score 7</li> <li>o If 60-79: Score 4</li> <li>o If below 60 %: Score 0</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• Kakumiro has low safe water coverage – with barely 1 in 3 (35%) of district’s rural residents covered – about half the national average</li> <li>• Five sub-counties are below the district average: Birembo 33%; Kakindo 11%; Kisiita 19%; Mpasana 22%; and Nkooko 23%</li> <li>• As per FY 208/19 approved workplan (dated August 8, 2018), all the low-coverage sub-counties are targeted:               <ul style="list-style-type: none"> <li>i. Birembo: borehole (BH) installation (1 No.)</li> <li>ii. Kakindo: BH installation (3 No.); BH rehabilitation (2 No.)</li> <li>iii. Kisiita: piped water supply (PWS) phase IV; BH installation (1 No.)</li> <li>iv. Mpasana: feasibility study for PWS; BH rehab (1 No.)</li> <li>v. Nkooko: BH rehabilitation (1 No.)</li> </ul> </li> <li>• Investments in the low-coverage sub-counties amount to UGX 289 million, which is 60.3% of the FY 2018/19 water and sanitation conditional grant (UGX 479 million)</li> </ul>	4

<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p>	<ul style="list-style-type: none"> <li>• Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY.</li> </ul>	<ul style="list-style-type: none"> <li>• The LG reported FY 2017/18 achievements via quarter 4 report dated August 8, 2018</li> </ul>
<p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> <li>o If 100 % of the water projects are implemented in the targeted S/Cs:</li> </ul> <p>Score 15</p> <ul style="list-style-type: none"> <li>o If 80-99%: Score 10</li> <li>o If 60-79: Score 5</li> <li>o If below 60 %: Score 0</li> </ul>	<ul style="list-style-type: none"> <li>• As per aforesaid progress report, five capital projects were implemented in FY 2017/18, viz.: <ul style="list-style-type: none"> <li>i. Drilling and installation of 7 No. deep BHs</li> <li>ii. Drilling and pump-testing of 2 No. production wells</li> <li>iii. Rehabilitation of 10 No. BHs</li> <li>iv. Construction of Kisiita PWS Phase III</li> <li>v. Construction of two public sanitation facilities (PSFs)</li> </ul> </li> <li>• As per aforesaid reports, the low-coverage sub-counties were catered for via the water grant as follows: <ul style="list-style-type: none"> <li>i. Birembo: BH installation (1 No.); BH rehabilitation (1 No.)</li> <li>ii. Kakindo: BH installation (3 No.); BH rehab (1 No.)</li> <li>iii. Kisiita: PWS Phase III; BH rehab (1 No.)</li> <li>iv. Mpasana: BH installation (2 No.); BH rehab (1 No.); PSF (1 No.)</li> <li>v. Nkooko: BH rehab (1 No.)</li> </ul> </li> <li>• In capital terms, more than three-quarters of FY 2017/18 WSS projects were implemented in the low-coverage sub-counties</li> </ul>
<p>Monitoring and Supervision</p>		



<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> <li>• If more than 95% of the WSS facilities monitored: score 15</li> <li>• 80% - 95% of the WSS facilities - monitored: score 10</li> <li>• 70 - 79%: score 7</li> <li>• 60% - 69% monitored: score 5</li> <li>• 50% - 59%: score 3</li> <li>• Less than 50% of WSS facilities monitored: score 0</li> </ul>	<ul style="list-style-type: none"> <li>• Implementation monitoring reports for the FY 2017/18 WSS investments (presented in PM #2) were reviewed: <ul style="list-style-type: none"> <li>i. BH rehabilitation (by Art Centre Ltd): progress report dated June 4, 2018 authored by the District Engineer</li> <li>ii. BH drilling (by PMP Ltd): progress report dated May 24, 2018 authored by the DWO</li> <li>iii. Production well drilling (by Galaxy Agritech): status report dated March 30, 2018 prepared by the DWO</li> <li>iv. Kisiita PWS (by Daikam Ltd): inspection report dated March 5, 2018 authored by Head of Internal Audit</li> <li>v. PSFs (by Trurt Ltd and Art Centre Ltd): construction progress report dated June 12, 2018 prepared by DWO</li> </ul> </li> <li>• 5 out of 5 Projects: 100%</li> </ul>	<p>15</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the district has submitted accurate/consistent data for the current FY: Score 5</li> <li>• List of water facility which are consistent in both sector MIS reports and PBS: score 5</li> </ul>	<ul style="list-style-type: none"> <li>• As intimated in Performance Measure #2, Kakumiro LG reported all its FY 2017/18 achievements in Q4</li> <li>• The Q4 report dated August 8, 2018 highlighted achievements in relation to BH installation and rehabilitation, production well drilling, and construction of PWS public sanitation facilities</li> </ul>	<p>5</p>

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>List of water facility which are consistent in both sector MIS reports and PBS: score 5</li> </ul>	<ul style="list-style-type: none"> <li>The list of FY 2017/18 achievements is consistent with both MWE and PBS records as follows: <ul style="list-style-type: none"> <li>i. Drilling and installation of 7 No. deep BHs</li> <li>ii. Drilling and pump-testing of 2 No. production wells</li> <li>iii. Rehabilitation of 10 No. BHs</li> <li>iv. Construction of Kisiita PWS Phase III</li> <li>v. Construction of two public sanitation facilities (PSFs)</li> </ul> </li> <li>The relevant MoWE MIS file: Quarterly Achievements FY 2017/18</li> </ul>	5
Procurement and contract management			
<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<ul style="list-style-type: none"> <li>The following WSS investments are planned for FY 2018/19: <ul style="list-style-type: none"> <li>i. Installation of 10 No. BHs</li> <li>ii. Rehabilitation of 8 No. BHs</li> <li>iii. Construction of Kisiita PWS Phase IV</li> <li>iv. Construction of 5-stance VIP facilities at Kisenge and Mpasana</li> <li>v. Feasibility Study and Design for Mpasana PWS</li> </ul> </li> <li>All procurement requisitions for FY 2018/19 investments were raised by DWO on April 9, 2018; approved by the CFO and confirmed by CAO</li> <li>PDU records confirm submission of PRs within the April 30 deadline</li> </ul>	4

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2</li> </ul>	<ul style="list-style-type: none"> <li>• The DWO was appointed Contract Manager WSS projects highlighted in Performance Measures #2 and #4 on the following dates: <ul style="list-style-type: none"> <li>i. Kisiita PWS Phase III: December 2, 2017</li> <li>ii. BH drilling and installation: March 8, 2018</li> <li>iii. Production wells: February 23, 2018</li> </ul> </li> <li>• Regular implementation monitoring (site) visits are conducted as highlighted in performance measure 3</li> <li>• Minutes of meetings to review of select projects were seen: <ul style="list-style-type: none"> <li>i. BH rehabilitation: meeting held May 25, 2018 at Mpasana</li> <li>ii. BH installation: meeting held April 11, 2018 at Nalweyo SS</li> </ul> </li> <li>• Participants in the site meetings included local leaders, LG technical staff, respective contractors (presented in Performance Measure #3) and beneficiaries.</li> </ul>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• If water and sanitation facilities constructed as per design(s): score 2</li> </ul>	<ul style="list-style-type: none"> <li>• The bidding document for the 7 No. BHs issued on August 11, 2017 detailed technical specifications for the same</li> <li>• Field assessment was conducted for three BHs in Kakindo sub-county, and two public sanitation facilities: one at District HQ, other at Mpasana market</li> <li>• It was established the WSS facilities were built as per designs</li> <li>• Details of assessed WSS facilities are presented in Performance Measure 11</li> </ul>	<p>2</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• If contractor handed over all completed WSS facilities: score 2</li> </ul>	<ul style="list-style-type: none"> <li>• At the time of assessment, the reviewed WSS facilities were within the defects liability period</li> <li>• Practical completion reports (dates in subsequent section) for aforesaid facilities are on file</li> <li>• Field assessments confirmed beneficiary communities handle routine O&amp;M of the WSS facilities via WSCs (details of WSCs presented in Performance Measure 14)</li> </ul>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2</li> </ul>	<ul style="list-style-type: none"> <li>• The Contract Manager (DWO) certified WSS facilities upon completion – and filed reports dated as follows: <ul style="list-style-type: none"> <li>i. Kisiita PWS Phase III (by Daikam Ltd)– October 17, 2017</li> <li>ii. 2 No. production wells (by Galaxy Agritech) – May 25, 2018</li> <li>iii. 7 No. BH installation (by consultant Aquatech Ltd) – June 2018</li> <li>iv. 10 No. BH rehabilitation (by Art Centre Ltd) – June 20, 2018</li> <li>v. Public sanitation facility at Mpasana (by Trurt Ltd) – June 26, 2018</li> </ul> </li> </ul>	<p>2</p>

The district Water department has certified and initiated payment for works and supplies on time

Maximum 3 for this performance measure

- Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points

- From the sampled payments made during the year worth Ugx 344,502,013 to various vendors here below :

- Art Centre Contractors and Suppliers Ltd: Rehabilitation of 10 boreholes in various Sub counties, Rukanga and Kijwenge / Nalweyo Sub County, Kyakajoro / Kisiita Sub County, Nkwira/Kasambya Sub County, Kitabona /Katikara Sub County, Kyema / Kakindo Sub County, Kisiija / Birembo Sub County , Kisungu/ Mpasana Sub County, Kitaihuuka/ Kitaihuka Sub County and Ndeibwe / Bwanswa Sub County. VR. No.2608.

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- Galaxy Agrotech U Ltd: Drilling and test pumping of 2 production boreholes in Mpasana Sub County. VR.No.3687.

- PMP Holdings Ltd: Drilling 7 deep boreholes in various Sub counties ; Lwamate/Mpasana Sub County, Katoma / Kikwaya Sub County, Mbooga / Kikwaya Sub County, Kyajawe / Mpasana Sub County, Nyallagoro / Birembo Sub County, Nalweyo/ Nalweyo Sub County and Kijangi/ Kijangi Sub County. VR. No.2603.

- Trust Suppliers and Logistics Ltd: Construction of a five stance VIP Latrine with Urinal and a Curtain wall at Mpasana Market in Mpasana Sub County. VR.No.2637.

- All these payments were made on time and mostly within a week after requisition for payment was raised.

Financial management and reporting

<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Plan- ning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5</li> </ul>	<p>The department did not submit to the Planner the annual performance report for the previous FY 2017/201 by mid July as evidenced by the PBS submission notification dated 30th August 2018.</p>	<p>0</p>
<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> <li>If sector has no audit query score 5</li> <li>If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3</li> </ul> </li> <li>If queries are not responded to score 0</li> </ul>	<ul style="list-style-type: none"> <li>Two Queries <ul style="list-style-type: none"> <li>(1) Un accounted for funds ugx 1,876,000 for assessment of water facilities in Health Centers. Responded and cleared by LGPAC</li> <li>(2) Non Functional boreholes. Responded and cleared by LGPAC.</li> </ul> </li> <li>These queries were responded too by the Water office and cleared by LGPAC.</li> </ul>	<p>3</p>
<p>Governance, oversight, transparency and accountability</p>			

<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3</li> </ul>	<p>At the Sitting of the General Purpose Standing Committee held on 24th and 29th May 2018, the Committee discussed service delivery issues under Min.20/GPSC 29/05/2018(1)(a):Scrutiny of Budget for FY 2018/19, it considered among others stakeholder coordination through the District Water and Sanitation coordination meetings, construction and rehabilitation of boreholes and the training of private sector(hand pump mechanics, caretakers and scheme attendants) in preventive maintenance and hygiene promotion.</p>	<p>3</p>
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the water sector committee has presented issues that require approval to Council: score 3</li> </ul>	<p>At the 11th Session of the First Kakumiro District Council held on 30th May 2018, it met and discussed recommendations from the General Purpose Standing Committee under Min. 43/1KDLC/30/05/2018(2) (iv): Recommendations from Standing Committees. The Council considered the request for approval of construction of 10 boreholes in the next FY at a cost of UGX. 23,000,000/= at selected sites in the following sub-counties: Kakindo(5),Birembo(2),Katikara(2) and Bwanswa(1).</p>	<p>3</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> <li>The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2.</li> </ul>	<ul style="list-style-type: none"> <li>At the time of assessment, the LG notice board had neither information on the Water department's AWP/budget, nor on grant releases</li> </ul>	<p>0</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> <li>All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2</li> </ul>	<ul style="list-style-type: none"> <li>The sampled projects are furnished with required details as follows: <ul style="list-style-type: none"> <li>i. Borehole #1: Mbooga Village, Kakindo sub-county; DWD No. #60237; Funding: Poverty Action Fund; Date: 12.05.18</li> <li>Contractor: PMP Holdings Ltd</li> <li>ii. Borehole #2: Katooma-Kyakajumbi Village, Kakindo sub-county; DWD No. #60232; Funding: Poverty Action Fund; Date: 13.05.18; Contractor: PMP Holdings Ltd</li> <li>iii. Borehole #3: Kijangi Village, Kakindo sub-county; DWD No. #60243; Funding: Poverty Action Fund; Date: 10.06.18</li> <li>Contractor: PMP Holdings Ltd</li> <li>iv. 5-stance lined VIP latrine at Mpasana market</li> <li>Funding: PAF; Date: FY 2017/18; Contractor: Trurt Ltd</li> <li>v. 5-stance lined VIP latrine at District HQ</li> <li>Funding: PAF; Date: FY 2017/18; Contractor: Art Centre Ltd</li> </ul> </li> </ul>	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2</li> </ul>	<ul style="list-style-type: none"> <li>At the time of assessment, an invitation for bids published September 10, 2018 was displayed on the notice board</li> <li>Bids were solicited for the following services: <ul style="list-style-type: none"> <li>i. Rehabilitation of 8 No. BHs in various sub-counties (Ref: KAKU614/0018)</li> <li>ii. Construction of Kisiita PWS Phase IV (Ref: KAKU614/0015)</li> <li>iii. Installation of 5 No. BHs in various sub-counties (Ref: KAKU614/0017)</li> </ul> </li> </ul>	<p>2</p>



<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1</li> </ul>	<ul style="list-style-type: none"> <li>Community applications for the sampled WSS facilities are on file. Successful applications for FYs 2017/18 and 2018/19 include: <ul style="list-style-type: none"> <li>i. Kijanji (Kakindo sub-county): request by Head Teacher for BH dated May 2, 2017</li> <li>ii. Nalyewo SS (Nalweyo sub-county): request for BH by Head Teacher dated July 19, 2017</li> <li>iii. Mitembo P/S (Kasambya sub-county): request for BH repair dated June 5, 2018</li> <li>iv. Kihuna LCI (Kakindo sub-county): request for BH repair dated May 2, 2018</li> </ul> </li> </ul>	<p>1</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&amp;M funds, ii) carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they have an M&amp;E plan for the previous FY: score 2</li> </ul> <p>Note: One of parameters above is sufficient for the score.</p>	<ul style="list-style-type: none"> <li>All the sampled WSS facilities are well-fenced, indicating respective WSCs are functional</li> </ul>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2</li> </ul>	<ul style="list-style-type: none"> <li>Environment and Social Screening Forms (reports)</li> <li>The forms for BH installation were prepared by the Environment Officer on March 20, 2018</li> <li>Mitigation measures proposed during screening include fencing BH sites, constructing soakaway pits, backfilling, among others</li> </ul>	<p>2</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1</li> </ul>	<ul style="list-style-type: none"> <li>Compliance to mitigation measures was documented by the Environment Officer on two occasions: <ul style="list-style-type: none"> <li>Environmental Monitoring Report dated June 1, 2018</li> <li>Environment and Social Certification Forms prepared June 19, 2018</li> </ul> </li> <li>The aforesaid reports confirmed redressal of environmental concerns</li> </ul>	<p>1</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that construction and supervision contracts have clause on environmental protection: score 1</li> </ul>	<ul style="list-style-type: none"> <li>The bidding document for the 7 No. BHs issued August 11, 2017 provides for tree planting, drainage and fencing all the sites</li> <li>The successful bidder (PMP Holdings Ltd) was bound by the aforesaid document</li> </ul>	<p>1</p>
<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3</li> </ul>	<ul style="list-style-type: none"> <li>WSCs for newly completed facilities were established in March 2018</li> <li>A report prepared by the Assistant DWO (Mobilisation) dated same month was reviewed</li> <li>The report details composition and position of the WSCs</li> <li>Of the sampled facilities, women make up at least half of the members of committees</li> <li>Treasurer and/or secretary roles are the most common positions of women – with the Katooma WSC having women in chairperson and secretary roles</li> </ul>	<p>3</p>

<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3</li> </ul>	<ul style="list-style-type: none"> <li>• Kakumiro LG built two public sanitation facilities (PSFs) in FY 2017/18, and have planned two this FY</li> <li>• Three 5-stance PSFs were reviewed: Katikara Market (built FY 2016/17), and the two built FY 2017/18 – one at the District HQ and Mpasana market</li> <li>• All the three PSFs are sex-separated, and are easily accessible by PWDs</li> </ul>	<p>3</p>
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