



Local Government Performance Assessment

Kamwenge District

(Vote Code: 518)

Assessment	Scores
Accountability Requirements	50%
Crosscutting Performance Measures	65%
Educational Performance Measures	62%
Health Performance Measures	77%
Water Performance Measures	65%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>The LG generated on line the Annual Performance Contract on 31st /07/2018 at 01.10 pm according to the data supplied on PBS at the LG planning unit. Although the submission was on time the LG faced challenges of coding donor funds on the PBS according to the planner, besides this training of the users at the LG was reported to have been insufficient thus affecting timely reporting.</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>There was evidence that the LG generated a Budget for the FY 2018/19 using the PBS dated 16th /07/2018 at 07.16. The budget did not include a procurement plan according to the information on the PBS at the LG planning unit. The procurement plan was generated on the 10th /8/2018 at 09.57. The LG attributed this to capacity gaps in the LG to generate documents using the PBS and delays in coding donor funds by MoFPED.</p>	No

Reporting: submission of annual and quarterly budget performance reports

<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>The LG submitted the Annual Performance Report for the previous FY 2017/2018 on 9th /08/2018 according to the PBS record at the planning unit. This delay was attributed to the capacity gaps at the LG.</p>	<p>No</p>															
<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> • If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). • If LG submitted late or did not submit at all, then it is not compliant. 	<p>The LG made Submissions of all quarterly budget performance reports during FY 2017/2018 using PBS from information seen at the LG Planning unit: the delays in submission of the quarterly report were attributed to the capacity gaps at the LG.</p> <table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Quarter</th> <th style="text-align: left;">Date of submission</th> <th style="text-align: left;">Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 01</td> <td>24th /1/2018</td> <td>PBS LG planning unit.</td> </tr> <tr> <td>Quarter 02</td> <td>23rd /2/2018</td> <td>PBS LG planning unit.</td> </tr> <tr> <td>Quarter 03</td> <td>13th /4/2018</td> <td>PBS LG planning unit.</td> </tr> <tr> <td>Quarter 04</td> <td>9th /8/2018</td> <td>PBS LG planning unit.</td> </tr> </tbody> </table>	Quarter	Date of submission	Reference	Quarter 01	24th /1/2018	PBS LG planning unit.	Quarter 02	23rd /2/2018	PBS LG planning unit.	Quarter 03	13th /4/2018	PBS LG planning unit.	Quarter 04	9th /8/2018	PBS LG planning unit.	<p>No</p>
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<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a 'Response' (and provide details), then it is compliant • If LG did not submit a 'response', then it is non-compliant • If there is a response for all –LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>A submission on the implementation status of audit Internal Auditor General recommendations for FY 2016/17 was made by the CAO to the PS/ST.</p> <p>The communication dated 02/01/17 (Ref. CR/106/3) was received at MoFPED on 12/02/18. Though it did not have a summary on the issues being responded to, it had several appendices showing actions that had been taken on IAG and AG recommendations for FY 2016/17.</p> <p>The communication was submitted before the February deadline.</p>	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>The district had an unqualified audit opinion for its FY 2017/18 financial report.</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>There was evidence of a functional physical planning committee which met 4 times on 14th/3/2018, 25th /7/2017, 18th/8/2017 and 2nd/8/2017. From the minutes, the committee had 12 members appointed on assignment of duties on the 1st /12/2016 in a letter signed by the CAO CR/209/5 with the physical planner as secretary and CAO as chairperson. 2 members in private practice had not been appointed.</p> <p>The physical planning committee had a plan register opened in 2012. In the FY 2017/18 04 plans had been received and processed. On average 10 members had attended the committee meetings</p> <p>From the register of plans there was evidence that all plans (new investments) had been approved beyond 30 days under min 5/DP/2018 dated 14th /3/2018.</p>	1
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>There was no evidence the LG submitted 4 copies of minutes of the Physical Planning Committee to MoLHUD. From the CAOs letter dated 04th/11/2017 ref CR/214/2 to the MoLHUD, 1 submission of minutes of the committee.</p>	0

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>The LG did not have a physical development plan therefore no consistency could be assessed.</p> <p>This was attributed to inadequate budget to develop a structural plan for the LG.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Action area plan prepared for the previous FY: score 1 or else 0 	<p>There was no evidence that the LG had detailed plans for the previous FY 2017/18. This was attributed to budgetary challenges.</p>	<p>0</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>There was evidence that priorities in the AWP for FY 2018/19 were based on outcomes of the budget conference. The budget conference report dated 17th/10/2017 signed by the CAO and planner was seen;</p> <ul style="list-style-type: none"> - Completion of education block, pg 21 BCR - Construction and rehabilitation of classroom, pg 21,22 BCR - Construction of latrines at 4 P/S and 2 secondary schools, pg 21,22 BCR - Construction of OPD and Ward at Kabambiro & Kanara HC11s, pg 27 BCR - Construction of staff house at Rukunyu HC 1V, pg 27 BCR - Construction of 3 stance latrines /Ecosan at Kanara, pg 26 BCR - Construction of 3 stance latrines at Buhanda market, pg 26 BCR - Procurement of 68 bags of fertilizer, pg 21 BCR - Construction of 5 valley dams, pg 21 BCR
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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>There was evidence that the investments in the Annual Work Plan for the current FY 2018/2019 were derived from the approved Five-Year Development Plan as seen on pg 102, pg 207,pg 101</p> <p>Differences had been discussed in the TPC dated 29TH/1/2018 Min 4/Kamwenge DTPC/January/ 2018 On profiles, signed by the population officer and chaired by the CAO.</p>	
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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>There was evidence that project profiles had been developed signed by sector heads and submitted to the TPC, meeting held on 29th /1/2018, min 4/Kamwenge DTPC/January/2018</p> <p>All investment profiles in the AWP were as per LG Planning guidelines and signed by the Head of Departments and attached in the annual work plan.</p>	<p>2</p>
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1. 	<p>A copy of the statistical abstract 2017/18 was seen dated may 2018, signed by the District Chairperson and CAO with gender disaggregated data on pg 23.</p> <p>There was no evidence of submission for approval by planner to the TPC, besides the statistician had not projected population data from the previous year to the current year, pg 8.</p> <p>Notably this was the second statistical abstract the district had developed.</p>	<p>0</p>

<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>There was evidence that Infrastructure projects implemented by the LG in the previous FY 2017/2018 were derived from the Annual Work Plan on, pg 69, pg 70, pg 85, pg 86, pg52 of the annual performance report and pg 28, pg29, pg36, pg15 of the annual budget.</p>	<p>2</p>
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. <ul style="list-style-type: none"> o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>There was evidence that investment projects were completed as per work plan by the end of the FY; From the records in the annual performance report the underlying were under performed</p> <ul style="list-style-type: none"> - Construction of 5 classroom & Provision of furniture and rehabilitation of latrines actual expenditure UGX 249,046,000, pg 69, 70 Q4 report. - Construction of latrines at RGCs actual expenditure UGX 16,500,000, pg85 Q4 report - Drilling and rehabilitation of boreholes actual expenditure UGX 142,428,000, pg86 Q4 report - Construction of piped water systems donor implemented/completed 100% pg86 Q4 report - Supply of pesticides to farmers, pg 52 Q4 report 	<p>2</p>

<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<ul style="list-style-type: none"> - From the annual performance report there was evidence that the investments in the FY 2017/18 had been completed within (- /+) 15% of the budget. From the sampled projects 1 was not implemented and the remaining underperformed compared to the approved budget as seen below. - Construction of classroom, Provision of furniture and rehabilitation of latrines actual expenditure UGX 249,046,000 thus 75% utilisation pg 69, 70 Q4 report. This was attributed to under release by MoFPED. Furniture provision, 4 class rooms and latrine construction were funded and completed at 100% - Construction of latrines at RGCs actual expenditure UGX 16,500,000 thus 100% utilisation pg85 Q4 report - Drilling and rehabilitation of boreholes actual expenditure UGX 142,428,000 thus 100% pg86 Q4 report - Construction of piped water systems donor implemented/completed 100% pg86 Q4 report - Supply of pesticides to farmers 200% pg 52 Q4 report 	2
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2 	<p>There was no evidence of a specific Budget for O&M for infrastructure in FY 2017/2018.</p> <p>The chief finance officer had compiled an asset register, although not all LG infrastructures had been captured in the assets register and costed.</p> <p>The LG had not prepared a maintenance plan for infrastructure that required maintenance. The LG had developed a maintenance policy to guide maintenance signed by the District chairperson and the CAO.</p> <p>From the record on the annual performance report there was no evidence of expenditure of O & M on infrastructural assets.</p>	0
Human Resource Management			

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled all HoDs positions substantively: score 3 	<ul style="list-style-type: none"> • Not all heads of departments are substantive. • The CFO and District Engineer are not substantive • The DCAO is substantive • The DEO is substantive under minute no 39/2008 • The DCDO is substantive under min no 150/2015 • The DNRO is substantive under min no 211/2009 • DPO is substantive under min no 151/2015 • DHO is substantive under min no 167/2015 • 75% of the heads of departments are substantive 	<p>0</p>
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<ul style="list-style-type: none"> • All heads of department were appraised 100% by the CAO. Edith Mutabazi • DCDO TUMUHAIRE GETRUDE ON 24/09/2018 • DCAO NSUBUGA ISA HOOD ON 3/9/2018 • DPO DR.KAMANYIRE ALFED 3/9/2018 • DNRO KASANGO WILLIAM 9/7/2018 • DE BAGUMA ANDREW 20/8/2018 • CFO BANGIRANA FRANK 6/8/2018 • DEO TUMWIRINGIRE ERIC 26/7/2018 • DHO DR MUCUNGUZI WILLIAM 28/8/2018 	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<ul style="list-style-type: none"> • Minutes of 182 meeting of the DSC held on 23/5/2018. DSC MIN 35/2018, CAO's SUB CR/156/3 DATED 19/2017. One position was considered • Minutes of the 133rd meeting of the DSC held on the 31/3/2018. 37 positions were considered .CAO's submission CR/156/5 DATED 14 /12/2017. Advertised in monitor 5/1/2018 • Minutes of the 138th meeting of the DSC held on 29/6/2018. CAO's sub CR/156/3. 28/5/2018. • Minutes of the 139th meeting of the DSC held on 29/6/2018. DSC min 240/2018. CAO'S sub CR/156/3 • All positions submitted for recruitment were considered 100% 	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<ul style="list-style-type: none"> • All staffs submitted for confirmation were considered. • CAO's submission Ref CR/159/1 DATED 19/01/2017. 72 staff were submitted for confirmation. • Minutes of the 126th meeting of the DSC held on 13/9/2017. 72 staff were considered for confirmation. le DSC min no 87/2017 to 118/2017 • CAO'S submission ref CR/159/1 DATED 11/9/2017, 88 staffs were submitted for confirmation • Minutes of the 127th meeting of the DSC held on 14/09/2017. All staffs submitted for confirmation were considered. le DSC MIN 126/2017 – DSC min no 161/2017 • Minutes of the 135 meeting of the DSC held on 14/05/2018, 107 staffs were confirmed. under CAO ' sub CR/159/1 • Minutes of 136 meeting of the DSC held on 28th may 2018. 3 staffs were confirmed. CAO's sub CR/159/1 DATED 22/05/2018 and 30/04/2018 	<p>1</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<ul style="list-style-type: none"> • There were no submissions from the CAO for disciplinary action therefore the district service commission did not handle any disciplinary case. 	<p>1</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<ul style="list-style-type: none"> • The new staff accessed the payroll. They were 63 staffs recruited in April and all of them were on the payroll 100% 	<p>3</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>None of the retired staff was able to access the pay roll in the required two months</p>	<p>0</p>
<p>Revenue Mobilization</p>			

<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% -10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>Kamwenge DLG local revenue performance for FY 2016/17 was Shs 563,773,032. Performance for FY 2017/18 was Shs 1,023,771,085.</p> <p>The increase in revenue performance was Shs 459,998,053, which was 81.6%.</p> <p>(Actual FY 2017/18 revenue was Shs 1,052,421,085 but contained Shs 28,650,000 from the sale of LG assets, which we netted off to remain with Shs 1,023,771,085).</p>	<p>4</p>
<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>Kamwenge DLG local revenue original budget for FY 2017/18 was Shs 772,208,000. Local revenue realised for the same year 2017/18 was Shs 1,023,771,085.</p> <p>The difference was Shs 251,563,085, which was 32.5% above the budgeted amount.</p> <p>This was well outside the +/-10% range.</p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>According to Kamwenge DLG financial statements, Shs 195,885,776 was received for LST and Shs 286,768,790 with respect to UWA funds of which 5% is supposed to be retained and 95% remitted to specific Sub counties bordering the National Parks.</p> <p>This assessment established that 95% of the UWA funds (Shs 277,335,891) was remitted to the Sub counties as required.</p> <p>However, no remittance was made of the LST funds as expected. The reason given was that the Sub counties presented some fake claims and the LG decided to work on the remittances for FY 2017/18 together with those of FY 2018/19.</p>	<p>0</p>

<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>For FY 2017/18, Shs 78,480,000 was spent on Council out of local revenue, with the most of the expenditures being on Councillor sitting allowances and transport.</p> <p>Payments were on the following voucher numbers: 7/5, 13/5, 11/6, 13/6, 23/6, 6/3, 11/2, 2/2, 27/11, 11/11, 1/9 and 6/10.</p> <p>Local revenue for FY 2016/17 was Shs 563,773,032.</p> <p>The proportion was 13.9%, which was well below the 20% limit.</p>	<p>2</p>
<p>Procurement and contract management</p>			
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<ul style="list-style-type: none"> - There WAS Evidence that Kamwenge DLG had the position of Senior Procurement Officer substantively filled under DSC Min. No. 277/2016, Correspondence Referenced CR/161/2 and Dated 17/March/2016. Mr. Crispus Wenger Muhame was the name of the Senior Procurement Officer. - There WAS Evidence that Kamwenge DLG had the position of Procurement Officer substantively filled under DSC Min. No. 15/2008, Correspondence Referenced CR/156/2 and Dated 01/April/2008. Mr. Ferdinand Tumusiime was the name of the Procurement Officer. 	<p>2</p>
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>There WAS Evidence that TEC Produced and Submitted Reports to the Contracts Committee for the previous FY (2017/2018 FY) as exemplified by the following projects:</p> <ul style="list-style-type: none"> - Construction of 3No. 5 Stance Lined VIP Latrine with Girls Shower Room at ZAITUNI P/S in Nkoma Sub county, MALERE P/S in Biguli Sub county and BUSIRIBA P/S in Busiriba Sub county under SFG (KAMW/518/WRKS/2017-2018/00028). The Assessor saw Bid Evaluation Report Designed by PPDA (LG Form 12: R(76), R(77), R(78), R(79), R(80), R(81)) that was Endorsed by Members of Technical Evaluation Committee on 09/January/2018. The TEC Members who signed on the Bid Evaluation Report were: District Water Officer, Assistant Engineering Officer – Civil, Senior Accounts Assistant; Assistant Procurement Officer. - Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at KITAKA P/S in Buhanda Sub-county under SFG (KAMW/518/WRKS/2017-2018/00026). The Assessor saw Bid Evaluation Report Designed by PPDA (LG Form 12: R(76), R(77), R(78), R(79), 	<p>1</p>

R(80), R(81)) that was Endorsed by Members of Technical Evaluation Committee on 09/January/2018. The TEC Members who signed on the Bid Evaluation Report were: District Water Officer, Assistant Engineering Officer – Civil, Senior Accounts Assistant; Assistant Procurement Officer.

- Construction of One Staff House with 4 Stance VIP Lined Latrine at RUKUNYU Health Centre IV under PHC (KAMW/518/WRKS/2017-2018/00031). The Assessor saw Bid Evaluation Report Designed by PPDA (LG Form 12: R(76), R(77), R(78), R(79), R(80), R(81)) that was Endorsed by Members of Technical Evaluation Committee on 09/January/2018. The TEC Members who signed on the Bid Evaluation Report were: District Water Officer, Assistant Engineering Officer – Civil, Senior Accounts Assistant; Assistant Procurement Officer.

- Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at BITOJO P/S in Biguli Sub-county under SFG (KAMW/518/WRKS/2017-2018/00027). The Assessor saw Bid Evaluation Report Designed by PPDA (LG Form 12: R(76), R(77), R(78), R(79), R(80), R(81)) that was Endorsed by Members of Technical Evaluation Committee on 09/January/2018. The TEC Members who signed on the Bid Evaluation Report were: District Water Officer, Assistant Engineering Officer – Civil, Senior Accounts Assistant; Assistant Procurement Officer.

- Construction of 2No. Slaughter Slabs at BIGODI and KANALA Trading Centers under PMG (KAMW/518/WRKS/2017-2018/00054). The Assessor saw Bid Evaluation Report Designed by PPDA (LG Form 12: R(76), R(77), R(78), R(79), R(80), R(81)) that was Endorsed by Members of Technical Evaluation Committee on 16/April/2018. The TEC Members who signed on the Bid Evaluation Report were: Assistant Engineering Officer – Civil, Senior Fisheries Officer, IT Officer; Assistant Procurement Officer.

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>There WAS Evidence that Kamwenge District Contracts Committee considered recommendations of the TEC and provided justifications for any deviations from those recommendations as exemplified by the following projects:</p> <ul style="list-style-type: none"> - Construction of 3No. 5 Stance Lined VIP Latrine with Girls Shower Room at ZAITUNI P/S in Nkoma Sub county, MALERE P/S in Biguli Sub county and BUSIRIBA P/S in Busiriba Sub county under SFG (KAMW/518/WRKS/2017-2018/00028). DCC considered and endorsed recommendations of TEC during 4th Meeting of 2017/2018 FY held on 17/January/2018 and attended by District Natural Resources Officer, District Community Development Officer, Finance Officer, Assistant District Health Officer; Senior Procurement Officer. DCC Minute: 043/CC/2017-2018. - Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at KITAKA P/S in Buhanda Sub-county under SFG (KAMW/518/WRKS/2017-2018/00026). DCC considered and endorsed recommendations of TEC during 4th Meeting of 2017/2018 FY held on 17/January/2018 and attended by District Natural Resources Officer, District Community Development Officer, Finance Officer, Assistant District Health Officer; Senior Procurement Officer. DCC Minute: 046/CC/2017-2018. - Construction of One Staff House with 4 Stance VIP Lined Latrine at RUKUNYU Health Centre IV under PHC (KAMW/518/WRKS/2017-2018/00031). DCC considered and endorsed recommendations of TEC during 4th Meeting of 2017/2018 FY held on 17/January/2018 and attended by District Natural Resources Officer, District Community Development Officer, Finance Officer, Assistant District Health Officer; Senior Procurement Officer. DCC Minute: 042/CC/2017-2018. - Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at BITOJO P/S in Biguli Sub-county under SFG (KAMW/518/WRKS/2017-2018/00027). DCC considered and endorsed recommendations of TEC during 4th Meeting of 2017/2018 FY held on 17/January/2018 and attended by District Natural Resources Officer, District Community Development Officer, Finance Officer, Assistant District Health Officer; Senior Procurement Officer. DCC Minute: 044/CC/2017-2018. - Construction of 2No. Slaughter Slabs at BIGODI and KANALA Trading Centers under PMG (KAMW/518/WRKS/2017-2018/00054). DCC considered and endorsed recommendations of TEC during 6th Meeting of 2017/2018 FY held on 25/April/2018 and attended by District Natural Resources Officer, District Community Development Officer, Finance Officer; Senior Procurement Officer. DCC Minute: 081/CC/2017-2018. 	<p>1</p>
			<p>2</p>

The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.

Maximum 2 points on this performance measure.

• a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for

the previous FY:
score 2

(a) There WAS Evidence that the procurement and Disposal Plan for the current year (2018/2019 FY) covered all infrastructure projects in the approved annual work plan and budget as exemplified by the following procurements that were indicated both in the Procurement Plan and in the approved annual work plan and budget for the current FY (2018/2019 FY):

- Construction of a Lined 5 Stance VIP Latrine and General Repair of Building at NYABANI Health Centre III in Nyabani Sub county under PHC Development (KAMW/518/WRKS/2018-2019/00022). (Pages 28 - 29 – Kamwenge DLG Approved Budget for 2018/2019 FY, Passed by Council, Min 43/KMG/COU/2017-2018, Dated 18/05/2018, Signed by LC V Chairperson, Signed by CAO, Output 088180 Health Centre Construction and Rehabilitation, 312101 Non Residential Buildings AND was also indicated as No.4 on Page 1 in Kamwenge District Procurement Plan for 2018/2019 FY, Submission Letter Dated 30/July/2018 and Referenced CR/2010/30, Signed by CAO, Received by PPDA Regional Office in Mbarara on 30/July/2018: Draft Procurement Plan for Goods, Works and Non Consultancy Services for 2018/2019 FY, Prepared by Head PDU).

- Construction of a 2 Classroom Block with a Store and Office including Furniture and a 5 Stance VIP Latrine at RWENJAZA P/S in Nyabani Sub-county under SFG (KAMW/518/WRKS/2018-2019/00018). (Pages 36 - 37 – Kamwenge DLG Approved Budget for 2018/2019 FY, Passed by Council, Min 43/KMG/COU/2017-2018, Dated 18/05/2018, Signed by LC V Chairperson, Signed by CAO, Output 078180 Classroom Construction and Rehabilitation, 312101 Non Residential Buildings; Pages 37 - 39 – Kamwenge DLG Approved Budget for 2018/2019 FY, Passed by Council, Min 43/KMG/COU/2017-2018, Dated 18/05/2018, Signed by LC V Chairperson, Signed by CAO, Output 078181 Latrine Construction and Rehabilitation, 312101 Non Residential Buildings AND was also indicated as No.12 on Page 2 in Kamwenge District Procurement Plan for 2018/2019 FY, Submission Letter Dated 30/July/2018 and Referenced CR/2010/30, Signed by CAO, Received by PPDA Regional Office in Mbarara on 30/July/2018: Draft Procurement Plan for Goods, Works and Non Consultancy Services for 2018/2019 FY, Prepared by Head PDU).

- Construction of KANARA Piped Water Supply System Phase I under DWSCG (KAMW/518/WRKS/2018-2019/00004). (Pages 54 - 55 – Kamwenge DLG Approved Budget for 2018/2019 FY, Passed by Council, Min 43/KMG/COU/2017-2018, Dated 18/05/2018, Signed by LC V Chairperson, Signed by CAO, Output 098184 Construction of Piped Water Supply System, 312104 Other Structures AND was also indicated as No.5 on Page 1 in Kamwenge District Procurement Plan for 2018/2019 FY, Submission Letter Dated 30/July/2018 and Referenced CR/2010/30, Signed by CAO, Received by PPDA Regional Office in Mbarara on 30/July/2018: Draft Procurement Plan for Goods, Works and

Non Consultancy Services for 2018/2019 FY, Prepared by Head PDU).

- Construction of a 2 Classroom Block with a Store and Office including Furniture and a 5 Stance VIP Latrine at RWEMIGO P/S in Kicheche Sub-county under SFG (KAMW/518/WRKS/2018-2019/00017). (Pages 36 - 37 – Kamwenge DLG Approved Budget for 2018/2019 FY, Passed by Council, Min 43/KMG/COU/2017-2018, Dated 18/05/2018, Signed by LC V Chairperson, Signed by CAO, Output 078180 Classroom Construction and Rehabilitation, 312101 Non Residential Buildings; Pages 37 - 39 – Kamwenge DLG Approved Budget for 2018/2019 FY, Passed by Council, Min 43/KMG/COU/2017-2018, Dated 18/05/2018, Signed by LC V Chairperson, Signed by CAO, Output 078181 Latrine Construction and Rehabilitation, 312101 Non Residential Buildings AND was also indicated as No.11 on Page 2 in Kamwenge District Procurement Plan for 2018/2019 FY, Submission Letter Dated 30/July/2018 and Referenced CR/2010/30, Signed by CAO, Received by PPDA Regional Office in Mbarara on 30/July/2018: Draft Procurement Plan for Goods, Works and Non Consultancy Services for 2018/2019 FY, Prepared by Head PDU).

- Construction of Doctor's House at RUKUNYU Health Centre IV in Kahunge Sub-county under PHC (KAMW/518/WRKS/2018-2019/00021). (Page 29 – Kamwenge DLG Approved Budget for 2018/2019 FY, Passed by Council, Min 43/KMG/COU/2017-2018, Dated 18/05/2018, Signed by LC V Chairperson, Signed by CAO, Output 088181 Staff Houses Construction and Rehabilitation, 312102 Residential Buildings AND was also indicated as No.3 on Page 1 in Kamwenge District Procurement Plan for 2018/2019 FY, Submission Letter Dated 30/July/2018 and Referenced CR/2010/30, Signed by CAO, Received by PPDA Regional Office in Mbarara on 30/July/2018: Draft Procurement Plan for Goods, Works and Non Consultancy Services for 2018/2019 FY, Prepared by Head PDU).

- Routine Mechanized Maintenance and Spot Gravelling of RUHIGA – KAMILA Road (13.6 Km) under URF (KAMW/518/WRKS/2018-2019/00005). (Pages 49 - 50 – Kamwenge DLG Approved Budget for 2018/2019 FY, Passed by Council, Min 43/KMG/COU/2017-2018, Dated 18/05/2018, Signed by LC V Chairperson, Signed by CAO, Output 048158 District Roads Maintenance (URF), 263206 Other Capital Grants AND was also indicated as No.32 on Page 4 in Kamwenge District Procurement Plan for 2018/2019 FY, Submission Letter Dated 30/July/2018 and Referenced CR/2010/30, Signed by CAO, Received by PPDA Regional Office in Mbarara on 30/July/2018: Draft Procurement Plan for Goods, Works and Non Consultancy Services for 2018/2019 FY, Prepared by Head PDU).

(b) There WAS Evidence that the LG made procurements in previous FY (2017/2018 FY) as per plan (adherence to the procurement plan) for the previous FY (2017/2018 FY) as

exemplified by the following procurements that occurred in the Procurement Plan, in the Annual Work Plan and Budget and in Referenced Procurement Files for the previous FY (2017/2018 FY):

- Construction of 3No. 5 Stance Lined VIP Latrine with Girls Shower Room at ZAITUNI P/S in Nkoma Sub county, MALERE P/S in Biguli Sub county and BUSIRIBA P/S in Busiriba Sub county under SFG (KAMW/518/WRKS/2017-2018/00028). (Pages 28 - 29 – Kamwenge DLG Approved Budget for 2017/2018 FY, Passed by Council, Min 53/COU/2016-2017, Dated 19/May/2017, Signed by LC V Chairperson, Signed by CAO, Output 078181 Latrine Construction and Rehabilitation, 312101 Non Residential Buildings AND was also indicated as No.6 on Page 2 in Kamwenge DLG Updated Procurement and Disposal Plan for 2017/2018 FY, Submission Letter Dated 18/April/2018 and Referenced CR/210/30, Signed by CAO, Kamwenge DLG Procurement Plan for Goods, Works and Non Consultancy Services for 2017/2018 FY, Prepared by Head PDU, Approved by Accounting Officer).

- Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at KITAKA P/S in Buhanda Sub-county under SFG (KAMW/518/WRKS/2017-2018/00026). (Pages 27 - 28 – Kamwenge DLG Approved Budget for 2017/2018 FY, Passed by Council, Min 53/COU/2016-2017, Dated 19/May/2017, Signed by LC V Chairperson, Signed by CAO, Output 078181 Latrine Construction and Rehabilitation, 312101 Non Residential Buildings; Pages 28 - 29 - Kamwenge DLG Approved Budget for 2017/2018 FY, Passed by Council, Min 53/COU/2016-2017, Dated 19/May/2017, Signed by LC V Chairperson, Signed by CAO, Output 078181 Latrine Construction and Rehabilitation, 312101 Non Residential Buildings AND was also indicated as No.3 on Page 2 in Kamwenge DLG Updated Procurement and Disposal Plan for 2017/2018 FY, Submission Letter Dated 18/April/2018 and Referenced CR/210/30, Signed by CAO, Kamwenge DLG Procurement Plan for Goods, Works and Non Consultancy Services for 2017/2018 FY, Prepared by Head PDU, Approved by Accounting Officer).

- Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at BITOJO P/S in Biguli Sub-county under SFG (KAMW/518/WRKS/2017-2018/00027). (Pages 27 - 28 – Kamwenge DLG Approved Budget for 2017/2018 FY, Passed by Council, Min 53/COU/2016-2017, Dated 19/May/2017, Signed by LC V Chairperson, Signed by CAO, Output 078181 Classroom Construction and Rehabilitation, 312101 Non Residential Buildings; Pages 28 - 29 - Kamwenge DLG Approved Budget for 2017/2018 FY, Passed by Council, Min 53/COU/2016-2017, Dated 19/May/2017, Signed by LC V Chairperson, Signed by CAO, Output 078181 Latrine Construction and Rehabilitation, 312101 Non Residential Buildings AND was also indicated as No.1 on Page 2 in Kamwenge DLG Updated

		<p>Procurement and Disposal Plan for 2017/2018 FY, Submission Letter Dated 18/April/2018 and Referenced CR/210/30, Signed by CAO, Kamwenge DLG Procurement Plan for Goods, Works and Non Consultancy Services for 2017/2018 FY, Prepared by Head PDU, Approved by Accounting Officer).</p> <p>- Construction of 2No. Slaughter Slabs at BIGODI and KANALA Trading Centers under PMG (KAMW/518/WRKS/2017-2018/00054). (Page 14 – Kamwenge DLG Approved Budget for 2017/2018 FY, Passed by Council, Min 53/COU/2016-2017, Dated 19/May/2017, Signed by LC V Chairperson, Signed by CAO, Output 018204 Livestock Health and Marketing, 224006 Agricultural Supplies AND was also indicated as No.10 on Page 3 in Kamwenge DLG Updated Procurement and Disposal Plan for 2017/2018 FY, Submission Letter Dated 18/April/2018 and Referenced CR/210/30, Signed by CAO, Kamwenge DLG Procurement Plan for Goods, Works and Non Consultancy Services for 2017/2018 FY, Prepared by Head PDU, Approved by Accounting Officer).</p> <p>- Drilling of 7 Deep Boreholes [2No. Motorized and 5No. Hand Pumps] under DWSCG (KAMW/518/WRKS/2017-2018/00024). (Page 36 – Kamwenge DLG Approved Budget for 2017/2018 FY, Passed by Council, Min 53/COU/2016-2017, Dated 19/May/2017, Signed by LC V Chairperson, Signed by CAO, Output 098183 Borehole Drilling and Rehabilitation, 312104 Other Structures AND was also indicated as No.4 on Page 2 in Kamwenge DLG Updated Procurement and Disposal Plan for 2017/2018 FY, Submission Letter Dated 18/April/2018 and Referenced CR/210/30, Signed by CAO, Kamwenge DLG Procurement Plan for Goods, Works and Non Consultancy Services for 2017/2018 FY, Prepared by Head PDU, Approved by Accounting Officer).</p>	
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/ infrastructure by August 30: score 2 	<p>For current FY (2018/2019), there was NO Evidence that the LG prepared 80% of the bid documents for all investment/infrastructure by August 30. ACTUAL Bid Preparation Dates were NOT available. The Assessor made a calculation based on the respective Dates of Approval of Individual Bid Documents and found that 14.3% of Bid Documents for 2018/2019 FY were Approved BEFORE August 30, 2018. The Calculation made by the Assessor was based on the following Projects and the respective Dates on which the Projects Bid Documents were approved by Kamwenge DLG Contracts Committee:</p> <p>- Construction of a Lined 5 Stance VIP Latrine and General Repair of Building at NYABANI Health Centre III in Nyabani Sub county under PHC Development (KAMW/518/WRKS/2018-2019/00022). Bid Document Approved by Kamwenge DLG Contracts Committee on</p>	<p>0</p>

24/September/2018, 3rd Meeting for 2018/2019 FY, Min 027/DCC/2018-2019.

- Construction of a 2 Classroom Block with a Store and Office including Furniture and a 5 Stance VIP Latrine at RWENJAZA P/S in Nyabani Sub-county under SFG (KAMW/518/WRKS/2018-2019/00018). Bid Document Approved by Kamwenge DLG Contracts Committee on 24/September/2018, 3rd Meeting for 2018/2019 FY, Min 027/DCC/2018-2019.

- Construction of KANARA Piped Water Supply System Phase I under DWSCG (KAMW/518/WRKS/2018-2019/00004). Bid Document Approved by Kamwenge DLG Contracts Committee on 24/September/2018, 3rd Meeting for 2018/2019 FY, Min 027/DCC/2018-2019.

- Construction of a 2 Classroom Block with a Store and Office including Furniture and a 5 Stance VIP Latrine at RWEMIGO P/S in Kicheche Sub-county under SFG (KAMW/518/WRKS/2018-2019/00017). Bid Document Approved by Kamwenge DLG Contracts Committee on 24/September/2018, 3rd Meeting for 2018/2019 FY, Min 027/DCC/2018-2019.

- Construction of Doctor's House at RUKUNYU Health Centre IV in Kahunge Sub-county under PHC (KAMW/518/WRKS/2018-2019/00021). Bid Document Approved by Kamwenge DLG Contracts Committee on 24/September/2018, 3rd Meeting for 2018/2019 FY, Min 027/DCC/2018-2019.

- Routine Mechanized Maintenance and Spot Gravelling of RUHIGA – KAMILA Road (13.6 Km) under URF (KAMW/518/WRKS/2018-2019/00005). Bid Document Approved by Kamwenge DLG Contracts Committee on 24/September/2018, 3rd Meeting for 2018/2019 FY, Min 028/DCC/2018-2019.

- Rehabilitation and Gravelling of NKOMA - KYEMPANGO Road (11.7 Km) in Rwamwanja Refugee Settlement under CRRF/UNHCR (KAMW/518/WRKS/2018-2019/00002). Bid Document Approved by Kamwenge DLG Contracts Committee on 24/July/2018, 1st Meeting for 2018/2019 FY, Min 016/DCC/2018-2019.

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure.

• For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements:
score 2

For previous FY (2017/2018 FY), there WAS Evidence that the LG had an Updated Contracts Register and had Completed Procurement Activity Files for all procurements as exemplified by the following:

- An Updated Contracts Register was seen by the Assessor at Kamwenge DLG PDU with a Starting/Opening Procurement Entry Titled 'Supply of Fuel, Oils, Lubricants and Motor Vehicle Servicing under Framework Contract FY 2017/2018' Referenced 'KMW/518/SUPLS/2017-2018/00001', Service Provider: M/s YAFA Company Limited P.O. 1434 Kamwenge, Date of Award: 16/August/2017 AND an Ending/Closing Procurement Entry Titled 'Collection of Revenue from Loading and Offloading in NKOMA – KATALYEBA Town Council' Referenced 'KMW/518/SRVCS/2017-2018/00001', Service Provider: Mr. Kamugisha Stanley, Date of Award: 29/June/2018, Contract Value: 4,000,000 UGX.

- Referenced and Completed Procurement Activity Files for all procurements were seen by the Assessor at the PDU.

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>For previous FY (2017/2018 FY), there WAS Evidence that the LG adhered to Procurement Thresholds as exemplified by the following procurements:</p> <ul style="list-style-type: none"> - Construction of 3No. 5 Stance Lined VIP Latrine with Girls Shower Room at ZAITUNI P/S in Nkoma Sub county, MALERE P/S in Biguli Sub county and BUSIRIBA P/S in Busiriba Sub county under SFG (KAMW/518/WRKS/2017-2018/00028). Contract Value: 51, 365, 400 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 05/December/2017, Daily Monitor Newspaper, Page 35. - Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at KITAKA P/S in Buhanda Sub-county under SFG (KAMW/518/WRKS/2017-2018/00026). Contract Value: 92, 204, 020 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 05/December/2017, Daily Monitor Newspaper, Page 35. - Construction of One Staff House with 4 Stance VIP Lined Latrine at RUKUNYU Health Centre IV under PHC (KAMW/518/WRKS/2017-2018/00031). Contract Value: 121, 903, 260 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 05/December/2017, Daily Monitor Newspaper, Page 35. - Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at BITOJO P/S in Biguli Sub-county under SFG (KAMW/518/WRKS/2017-2018/00027). Contract Value: 106, 436, 122 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 05/December/2017, Daily Monitor Newspaper, Page 35. - Construction of 2No. Slaughter Slabs at BIGODI and KANALA Trading Centers under PMG (KAMW/518/WRKS/2017-2018/00054). Contract Value: 11, 900, 000 UGX. Verified Procurement Method: Selective-Bidding, Letter of Invitation to Bid Dated: 07/March/2018, Signed by CAO. 	<p>2</p>
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 	<p>There WAS Evidence that all works projects implemented in the previous FY (2017/2018 FY) were appropriately certified – interim and completion certificates for all projects based on technical supervision as exemplified by the following projects:</p> <ul style="list-style-type: none"> - Construction of 3No. 5 Stance Lined VIP Latrine with Girls Shower Room at ZAITUNI P/S in Nkoma Sub county, MALERE P/S in Biguli Sub county and BUSIRIBA P/S in Busiriba Sub county under SFG (KAMW/518/WRKS/2017-2018/00028). Interim Payment Certificate No.1 - SFG for Civil Works; Issued on 04/May/2018; Prepared by Assistant Engineering Officer; Approved by Engineering Assistant 	<p>2</p>

(MoES), District Engineer, District Internal Auditor; Approved by District Education Officer on 11/May/2018; Approved by CAO on 16/May/2018.

- Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at KITAKA P/S in Buhanda Sub-county under SFG (KAMW/518/WRKS/2017-2018/00026). Interim Payment Certificate No.1 - SFG for Civil Works; Issued on 07/May/2018; Prepared by Assistant Engineering Officer; Approved by Engineering Assistant (MoES), District Engineer, District Internal Auditor, District Education Officer, CAO.

- Construction of One Staff House with 4 Stance VIP Lined Latrine at RUKUNYU Health Centre IV under PHC (KAMW/518/WRKS/2017-2018/00031). Interim Payment Certificate No.1 for Civil Works; Issued on 07/May/2018; Prepared by Assistant Engineering Officer; Approved by In-Charge Rukunyu Health Centre IV on 09/May/2018; Approved by District Health Officer, District Engineer, District Internal Auditor, CAO on 10/June/2018.

- Construction of One Staff House with 4 Stance VIP Lined Latrine at RUKUNYU Health Centre IV under PHC (KAMW/518/WRKS/2017-2018/00031). Interim Payment Certificate No.3 for Civil Works; Issued on 21/June/2018; Prepared by Assistant Engineering Officer; Approved by District Engineer on 21/June/2018; Approved by In-Charge Rukunyu Health Centre IV on 02/July/2018; Approved by District Health Officer on 04/July/2018, Approved District Internal Auditor, Approved by CAO on 11/July/2018.

- Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at BITOJO P/S in Biguli Sub-county under SFG (KAMW/518/WRKS/2017-2018/00027). Interim Payment Certificate - SFG for Civil Works; Issued on 01/June/2018; Prepared by Assistant Engineering Officer; Approved by Engineering Assistant (MoES) on 01/June/2018, District Engineer, District Internal Auditor, District Education Officer, CAO.

- Construction of 2No. Slaughter Slabs at BIGODI and KANALA Trading Centers under PMG (KAMW/518/WRKS/2017-2018/00054). Interim Payment Certificate No.1 - PMG for Civil Works; Issued on 15/August/2018; Prepared by Assistant Engineering Officer; Approved by District Internal Auditor on 30/August/2018; Approved by District Engineer, District Production and Marketing Officer, CAO.

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>There was NO Evidence that all works projects for the current FY (2018/2019 FY) were clearly labeled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration as only ONE Works Project for the current FY (2018/2019 FY) had commenced construction by the time the Assessor visited the LG (Rehabilitation and Graveling of NKOMA - KYEMPANGO Road (11.7 Km) in Rwamwanja Refugee Settlement under CRRF/UNHCR (KAMW/518/WRKS/2018-2019/00002).</p>	<p>0</p>
<p>Financial management</p>			
<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>Kamwenge DLG is not yet on IFMIS and currently operates 24 bank accounts.</p> <p>As on the day of the assessment on 10/10/18 all the bank accounts were reconciled to the end of the year ended 30/06/2018.</p> <p>The reconciliations were also done up to date to 30/09/18, with a few to 31/08/18.</p>	<p>4</p>

The LG made timely payment of suppliers during the previous FY

Maximum 2 points on this performance measure

• If the LG makes timely payment of suppliers during the previous FY

– no overdue bills (e.g. procurement bills) of over 2 months: score 2.

Kamwenge DLG payments reviewed show that there were a number of instances where the district did not pay its suppliers within the 2 months limit, terms and conditions of the LG's contracts with the different suppliers of goods and services duly considered.

Examples of the payments reviewed are:

Payment of Shs 4,132,500 to Yafa Company Ltd for supply of fuel to Administration department. Invoicing 23/08/17 and payment 06/09/17 (13 days).

Payment of Shs 77,039 to UMEME Ltd for supply of power to the LG. Invoicing 02/08/17 and payment 24/08/17 (22 days).

Payment of Shs 2,860,000 to Phat Enterprises for supply of printed stationery to Administration. Invoicing 13/03/17 and payment 24/08/17 (5 months 11 days).

Payment of Shs 761,200 to The Leading Edge Ltd for supply of tyres to Administration. Invoicing 14/03/17 and payment 24/08/18 (5 months 10 days).

Payment of Shs 3,800,000 to Tamsak Development (U) Ltd for supply of stationery to Administration. Invoicing 13/11/17 and payment 20/12/17 (1 month 7 days).

Payment of Shs 8,646,000 for supply of stationery to Administration. Invoicing 20/10/17 and payment 06/02/18 (3 month 16 days).

Payment of Shs 6,875,000 to Phat Enterprises for supply of printed stationery to Finance. Invoicing 22/11/17 and payment 06/02/18 (2 months 14 days).

Payment of Shs 3,975,000 to Denika Building Company Ltd for supply of filing cabinets and cupboards to Planning Unit. Invoicing 12/06/17 and payment 07/11/17 (4 months 25 days).

Payment of Shs 3,800,000 to Tamsak Development Link (U) Ltd for supply of 4 tyres for Chairman's vehicle - Statutory Bodies. Invoicing 21/09/17 and payment 03/11/17 (1 month 12 days).

Payment of Shs 3,201,000 to Yafa Company Ltd for supply of fuel and lubricants to DEC members – Statutory Bodies. Invoicing 24/08/17 and payment 24/08/17 (0 days).

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<ul style="list-style-type: none"> • Kamwenge DLG Internal Audit department is headed by Grace Bagaya in substantive capacity. Per her appointment letter dated 09/04/18 (Ref. CR/160/1), she is a Principal Internal Auditor (DSC Minute No. 52/2018). 	1
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<ul style="list-style-type: none"> • The LG produced all the internal audit reports for the 4 quarters of FY 2017/18. Quarter 1 report is dated 31/10/17, quarter 2 dated 22/02/18, quarter 3 dated 25/06/18 and quarter 4 dated 28/08/18. 	2
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>Kamwenge DLG internal audit reports did not contain a tracker of action on previous unresolved audit recommendations.</p> <p>Neither did this assessment see any separate submission to the PAC and/or Council on the implementation status of previous internal audit findings.</p>	0

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>Kamwenge DLG FY 2017/18 Quarters 1-4 reports have receipt stamps confirming their delivery to CAO, LGPAC and Council. They were delivered on the following dates: Q1 on 23/02/18, Q2 on 13/03/18, Q3 on 25/07/18 and Q4 on 03/09/18.</p> <p>LGPAC minutes show that the PAC meeting which sat on 16/05/18 discussed outstanding queries from Quarters 1 and 2. Minutes also show that the PAC meeting of 13th and 14th September discussed Quarters 3 and 4 internal audit reports.</p>	<p>1</p>
<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>The district has a manual assets register, but it is not formatted as required by the accounting manual.</p> <p>The manual also contains information on land, buildings, furniture and motor vehicles, but most of these lack values, depreciation information, ownership information etc. and its not updated as required by the accounting manual.</p> <p>The LG needs to have the assets valued and the register updated, even if this means using the going market rates for values. Some of the information can also be obtained from the mother district of Bushenyi.</p>	<p>0</p>
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>The district had an unqualified audit opinion on its FY 2017/18 financial report.</p>	<p>4</p>
<p>Governance, oversight, transparency and accountability</p>			

The LG Council meets and discusses service delivery related issues

Maximum 2 points on this performance measure

• Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance

assessment results and LG PAC reports for last FY: score 2

There was evidence the LG Council met 6 times in meetings chaired by the speaker and discussed service delivery related issues as provided in the meetings below:

4th/9/2012, 24th/11/2017,
14th/2/2018,15th/3/2018,18/5/2018 and 28th/6/2018

A meeting chaired the speaker dated 28th/6/2018 min 51/KMG/COU/2017/18 on approval of grant aiding of 2 primary schools

Min 50/KMG/COU/2017/18 on approval of PWD committees

Min 52/KMG/COU/2017/18 on creation of new sub counties, TCs, parishes and villages

Council meeting dated 18th/5/2018 min 43/KMG/COU/2017/18 on approval of district budget estimates

Council meeting dated 14th/2/2018 min 26/KMG/COU/2017/18 on presentation of standing committee reports: committee of production and natural resource report dated 13th/2/2018 signed by committee chairperson to the speaker, a report of committee finance, works & administration dated 18th/5/2018 by the committee chairperson addressed to the speaker to council of 15th/5/2018

The LGPA was discussed in a council meeting dated 28th/6/2018 min 46/KMG/COU/2017/18 on matters arising from the communication of the woman member of parliament

However the LG PAC had not been discussed by council chaired by the speaker. From the schedules of the council the LGPAC report was scheduled in the council meeting dated 26th/10/2018 signed by the clerk to the council.

<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that LG has designated a person to coordinate response to feedback (grievance /complaints) and responded to feedback and complaints: score 1. 	<p>The letter of the CAO dated 16th/1/2018 designated Mr Bahemuka Levi the PAS to manage Complaints/ grievances.</p> <p>A feedback report signed by the Deputy CAO, clerk to council and internal auditor clarifying on complaints raised by the chairperson of Kicheche sub county was seen.</p>	<p>1</p>
<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>A complaints box for JLOs had been placed at the entrance of the administration block with instructions to complaints.</p> <p>Radio talk shows had been held on voice of Kamwenge as per the report dated 28th/6/2018 explaining government programs addressed to the CAO by the PAS</p> <p>An updated clients charter 2018/19 signed by the district chairperson and CAO detailing obligations and commitments of the LG to the citizens was seen.</p> <p>Integrity meetings were being used as per minutes of the integrity meeting dated 25th/9/2018 for quarter integrity meeting of Kamwenge district –issues on Kamwenge sub county. A report dated 13th/6/2018 on action paper for district integrity promotion forum signed by the director Rwenzori anti- corruption coalition.</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>There was evidence on the HR notice board at the administration block on display of Salaries and pensioner payroll for September 2018 with 2641 active staff and 145 pensioners signed by the HRO.</p>	<p>2</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>There was evidence of Displays of contract awards dated 2nd/8/2017 signed by the HPDU and the procurement plan on the procurement notice board at the entrance of to the water block.</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>There was no evidence that performance assessment results and implications were displayed on the general notice board at the administration block by the planner. However the TPC of 6th/9/2018 had discussed the results under min DTPC/SEPTEMBER/2018/Min 4 signed by the CAO.</p>	<p>0</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>There was evidence of communication by the CAO on DDEG guidelines to the TPC in a meeting dated 23rd /5/2018 min 5/DTPC/May/2018 on discussions of DDEG profiles and guidelines</p> <p>TPC meeting dated 29th/1/2018 chaired by CAO Min 5/Kamwenge DTPC/January/2018 on dissemination of HLG performance assessment guidelines.</p> <p>TPC dated 28th/12/2018 chaired by CAO min 5/Kamwenge/DTPC/December/2017 on presentation of gender mainstreaming notes by the DCDO.</p>	<p>1</p>

<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>There was evidence of a report dated 13th/6/2018 on action paper for the integrity promotion forum/meeting at the district.</p> <p>A report by PAS to CAO dated 28th/6/2018 on the radio talk show explaining execution of government programme.</p>	<p>1</p>
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Social and environmental safeguards

The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles

Maximum 4 points on this performance measure.

• Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2.

There WAS Evidence that the LG gender focal person provided guidance and support to sector departments to mainstream gender into their activities as exemplified by the following:

- The Assessor saw Kamwenge DLG Community Based Services Gender Mainstreaming Guidelines that were prepared by Senior Community Development Officer/Gender Focal Point Person and that were disseminated in a Correspondence Dated 28/December/2017. The Correspondence was addressed to The Chairperson LC V, CAO, Heads of Departments and Sector Heads.

- The Assessor saw Kamwenge DLG Community Based Services Minutes of Women Council V Meeting that took place on 11/June/2018 in the District Council Hall. The Minutes were compiled by Senior Community Development Officer/Gender Focal Point Person and were approved by Chairperson Women Council V. The Minutes indicated Gender Training for Gender Focal Point Persons and Community Based Services Staff during the Women Council Meeting. The Socialization Process of Women and Men was indicated to have been covered in Session 2 of the Training (WCV/MIN 17/2018 Gender Mainstreaming).

- The Assessor saw Kamwenge DLG Community Based Services Department Action Plan on Gender Mainstreaming for 2017/2018 FY that was prepared by Senior Community Development Officer/Gender Focal Point Person.

- The Assessor saw Kamwenge DLG Community Based Services Department Report on Radio Programme Activity on Women Funding Dated 28/June/2018, Compiled by Labor Officer, addressed to District Community Development Officer.

- The Assessor saw Kamwenge DLG Approved Budget for 2017/2018 FY, Passed by Council, Min 53/COU/2016-2017, Dated 19/May/2017 that had Work Plan 9 – Community Based Services on Pages 39 – 40 with the following details: Output 108107: Gender Mainstreaming (8,000,000 UGX – Local Revenue and Discretionary Fund, Page 39); Output 108109: Support to Youth Councils (10,185,000 UGX – Discretionary Fund, Page 40); Output 108110: Support to Disabled and the Elderly (43,422,000 UGX – Discretionary Fund, Page 40); Output 108114: Representation on Women Councils (16,748,000 UGX – Discretionary Fund, Page 40).

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implemented: score 2. 	<p>- There WAS Evidence that gender focal point had planned activities for current FY (2018/2019 FY) to strengthen women's roles. The Assessor saw Kamwenge DLG Approved Budget for 2018/2019 FY, Passed by Council, Min 43/KMG/COU/2017-2018, Dated 18/May/2018, Signed by Chairperson LC V, Signed by CAO, that had Community Based Services Section on Pages 60 – 63 of LG Approved Budget Estimates with the following planning activities: Output 108107: Gender Mainstreaming (3,500,000 UGX – Discretionary Fund, Page 61); Output 108109: Support to Youth Councils (17,000,000 UGX – Discretionary Fund, Page 62); Output 108110: Support to Disabled and the Elderly (35,000,000 UGX – Discretionary Fund, Page 62); Output 108114: Representation on Women Councils (450,000,000 UGX – Discretionary Fund [30,000,000 UGX] 282101 Donations [420,000,000 UGX], Page 62).</p> <p>- There was NO Evidence that more than 90% of previous year's budget for gender activities was implemented since Allocations that were made for Gender Activities for FY 2017/2018 were utilized at 55% Level according to computation based on validated Expenditure Vouchers that were retrieved from the Accounts Department by the Gender Focal Point Person.</p>	
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>There WAS Evidence that environmental screening or EIA where appropriate, was carried out for activities, projects and plans as exemplified by the following:</p> <ul style="list-style-type: none"> The Assessor saw Environmental and Social Screening Form (ESSF) for Rehabilitation of Nyabani Health Centre III Dated 27/September/2017 and Signed by District Natural Resources Officer. The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of Doctor's House Dated 27/September/2017 and Signed by District Natural Resources Officer. The Assessor saw Environmental and Social Screening Form (ESSF) for Routine Mechanized Maintenance of BIGODI – BUSIRIBA – BUNOGA Road (16.6 Km) Dated 18/September/2017 and Signed by District Natural Resources Officer. The Assessor saw Environmental and Social Screening Form (ESSF) for Routine Mechanized Maintenance of RUHIGA - KAMILA Road (15 Km) Dated 18/September/2017 and Signed by District Natural Resources Officer. The Assessor saw Environmental and Social Screening Form (ESSF) for Routine Mechanized Maintenance of RUHANGURA - BWERA Road (15 Km) Dated 19/September/2017 and Signed by District Natural Resources Officer. The Assessor saw Environmental and Social Screening Form (ESSF) for Routine Mechanized Maintenance of RWENTUHA - MAYHORO Road (23 Km) Dated 19/September/2017 and Signed by District Natural Resources Officer. The Assessor saw Kamwenge DLG Report on the Screening Exercise of Water Resources Dated 27/February/2016 and Referenced NR/8/1, Signed by Head Natural Resources Department, Copied to District Engineer, and applicable to Mini Piped Water Supply System at KANARA to be implemented in 2018/2019 FY. 	<p>1</p>
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>There was NO Evidence that the LG integrated environmental and social management plans in the contract bid documents.</p>	<p>0</p>
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>There WAS Evidence that all projects were implemented on land where the LG had proof of ownership by way of a land title or agreement with land owners as exemplified by the following:</p> <ul style="list-style-type: none"> - The Assessor saw a Land Title for NKOMA Sub county Headquarters with the following details: LAF LWFP/2285/K, Certificate of Title, Freehold Register, Volume KBO 36, Folio 11, Block 34, Plot 8 at Bisozi, 14.173 Ha, Kibaale, Kamwenge; Dated 28/09/2018. - The Assessor saw a Land Title for RUKUNYU Health Centre IV with the following details: LAF LWFP/3166/K, Certificate of Title, Freehold Register, Volume KBO 23, Folio 13, Block 31, Plot 17 at Rukunyu, 15.7840 Ha, Kibaale, Kamwenge; Dated 7/June/2018. - The Assessor saw a Land Title for District Headquarters with the following details: LWFP/1832/K, Certificate of Title, Freehold Register, Volume 1454, Folio 5, Plot 2 – 22 Nkongoro Road at Kankarara, 7.711 Ha, Kibaale, Kamwenge; Dated 13/December/2013. - The Assessor saw a Land Title for KAHUNGE Sub county Headquarters with the following details: LWFP/2383/K, Certificate of Title, Freehold Register, Volume HQT 476, Folio 8, Block 31, Plot 12 and 13 at Rukunyu LC I, 2.3930 Ha, Kibaale, Kamwenge; Dated 15/June/2015. - The Assessor saw a Land Title for NTARA Sub county Headquarters with the following details: LWFP/2850/K, Certificate of Title, Freehold Register, Volume HQT 848, Folio 6, Block 76, Plot 2 at Kyotamusana, 17.2280 Ha, Kibaale, Kamwenge; Dated 29/June/2016. - The Assessor saw a Land Title for KICHECHE Sub county Headquarters with the following details: LWFP/2712/K, Certificate of Title, Freehold Register, Volume HQT 615, Folio 11, Block 106, Plot 7 and 6 at Kicheche, 12.3820 Ha, Kibaale, Kamwenge. 	
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>There WAS Evidence that all completed projects had Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer as exemplified by the following:</p> <ul style="list-style-type: none"> - The Assessor saw Certification of Environmental Compliance to Mitigation Measures for Construction of 2 Classroom Block with Office, Store, 5 Stance Pit Latrine, Furniture at BITOJO P/S in Biguli Sub county Dated 15/June/2018 and Referenced NR/13/4, Signed by Head Natural Resources Department. - The Assessor saw Certification of Environmental Compliance to Mitigation Measures for Construction of 2 Classroom Block with Furniture at KITAKA P/S in Buhanda Sub county Dated 15/June/2018 and Referenced NR/13/4, Signed by Head Natural Resources Department. - The Assessor saw Certification of Environmental Compliance to Mitigation Measures for Construction of Staff House at RUKUNYU Health Centre IV in Kahunge Sub county Dated 12/June/2018 and Referenced NR/13/4, Signed by Head Natural Resources Department. - The Assessor saw Certification of Environmental Compliance to Mitigation Measures for Construction of Facilities at NEW EDEN P/S and NYANGA P/S in Kamwenge District under the support of Global Partnership for Education (GPE) - Lot One Dated 05/April/2018 and Referenced NR/13/4, Signed by Head Natural Resources Department. 	<p>1</p>
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>There WAS Evidence that Contract Payment Certificates included prior environmental and social clearance as exemplified by the following:</p> <ul style="list-style-type: none"> - Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at KITAKA P/S in Buhanda Sub-county under SFG (KAMW/518/WRKS/2017-2018/00026). The Assessor saw Contract Payment Certificate Dated 22/June/2018, Signed by District Natural Resources Officer on 26/June/2018, Signed by Senior Community Development Officer/Gender Focal Point Person. - Construction of 2 Classroom Block with an Office including Furniture and 5 Stance Lined VIP Latrine at BITOJO P/S in Biguli Sub-county under SFG (KAMW/518/WRKS/2017-2018/00027). The Assessor saw Contract Payment Certificate Dated 22/June/2018, Signed by District Natural Resources Officer on 22/June/2018, Signed by Senior Community Development Officer/Gender Focal Point Person. - Construction of One Staff House with 4 Stance VIP Lined Latrine at RUKUNYU Health Centre IV under PHC (KAMW/518/WRKS/2017-2018/00031). The Assessor saw Contract Payment Certificate Dated 10/June/2018, Signed by District Natural Resources Officer on 21/July/2018, Signed by Senior Community Development Officer/Gender Focal Point Person. 	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>There WAS Evidence that Environmental Officer and CDO Monthly Report included a) completed checklists b) deviations observed with pictures c) corrective actions taken as exemplified by the following:</p> <ul style="list-style-type: none"> - The Assessor saw Kamwenge DLG Correspondence with Photographs, Addressed to CAO Dated 07/June/2018, Referenced NR/16/1(a); Subject: Monitoring Report on the Environmental and Social Compliance of the BITOJO P/S Construction Project, Signed by Head Department of Natural Resources, Signed by Gender Focal Point Person. - The Assessor saw Kamwenge DLG Correspondence with Photographs, Addressed to CAO Dated 08/June/2018, Referenced NR/16/1(a); Subject: Monitoring Report on the Environmental and Social Compliance of the Staff House Construction Project at RUKUNYU Health Centre IV, Signed by Head Department of Natural Resources, Signed by Gender Focal Point Person. 	<p>1</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>The LG budgeted for a Head teacher and a minimum of 7 teachers for FY 2018/19 to the tune of 9,270,508,794/= for the 1,458 teachers in the 85 public schools as per Performance contract CR/103/1 dated 7/8/2018.</p>	4
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>The LG has deployed a Head teacher and a minimum of 7 teachers per school for the current FY as per list of schools and staff lists. In visited schools, at Kamwenge Railways P.S there was 1 Head teacher and 10 teachers for the 449 pupils. In Mirambi P.S, there was a Head teacher and 10 teachers for the 146 pupils. Kahungye P.S had a Head teacher and 12 teachers for the 871 pupils while Kyabenda P.S had a Head teacher and 11 teachers for the 638 pupils.</p>	4

<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision <p>o If 100%: score 6</p> <p>o If 80 - 99%: score 3</p> <p>o If below 80%: score 0</p>	<p>The LG has filled the structure for primary teachers with a wage provision by 1,431 teachers out of the 1,458 teachers representing 98% of the structure.</p>	<p>3</p>
<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>The LG has substantively filled the positions of school inspectors as per staff structure of 3. There are 3 Inspectors; Mugisha Mulinde Apolo, Barinaitwe Stephen, ad Nuwamanya John.</p>	<p>6</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>The LG has submitted a recruitment plan to HRM for the current FY to fill positions of the following; 4 Head teachers, and 10 Education Assistants as per letter dated 26/6/2018</p>	<p>2</p>

<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>The LG has not submitted a recruitment plan to HRM for the current FY to fill positions of Inspectors since they were already filled.</p>	<p>2</p>
<p>Monitoring and Inspection</p>			
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<ul style="list-style-type: none"> • The inspectors of schools were appraised • Mugisha mulinde apollo CRD/ 10509 ON 29/08/2018 • NUWAMANYA JOHN CRD/10408 • BARINAITWE STEPHEN CRD /10081 ON 15/08/2018 	<p>3</p>

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<ul style="list-style-type: none"> • All primary school head teachers were appraised 100%. Some examples are • BASIME CLARE KITEERA PS • TUSIIME ANGELLA MWORRA 'A' PS • KATUNGWENSI KOKONGE J KANTOZI PS • MUGABE JOSEPH IRYANGABI PS • KATUSHABE SCOVIA NEW EDEN NTUNGAMO PS • TUMUHIMBISE NABOTH LYAKAHUNGA PS • TUMUHAISE .S. RICHARD KAMUSENENE PS • MUCUNGUZU BENSON KAMWENGE 'R' PS • MWESIGYE STEPHENSON BUTEBA PS • TUSIIME ANGELO MWORRA'B' PS • TUMWESIGYE PAUL ST PIO PS 	<p>3</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>The LG has not communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools. The LG had received the circular on School calendar dated 2/10/2017 ref ADM/235/296/01. The school calendar was only seen at Kyabenda P.S and the Head teacher had obtained a copy from Kyabenda S.S. The circular on stop malaria and the one on performing arts were not received and therefore not communicated.</p>	<p>0</p>

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>The LG held meeting for Head teachers on 15/8/2018 and under Min 9/18d among others explained and sensitized teachers on the guidelines, policies, circulars issued by the national level. 139 Head teachers were in attendance.</p>	<p>2</p>
<p>The LG Education Department has effectively inspected all registered primary schools</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>Not many private and public schools have been visited at least once per term and reports produced. In Term 3 of 2017, 101 public schools and 19 private schools had been visited. In Term 1 of 2018, 141 public schools and 28 private schools were visited, while in Term 2 of 2018, 145 public and 39 private schools were visited. In total 473 inspections were carried out instead of the expected 699 inspections representing 68% of the inspections. In visited schools all schools had been visited at least once per term as follows; Kamwenge Railways was inspected on 1/6/2018, 18/9/2018, 12/2/2018 and only 1 inspection reports produced. Mirambi was inspected on 12/7/2018, 21/6/2018, 23/4/2018, 28/2/2018 and in all circumstances no inspection reports were made. Kahunge was inspected on 13/7/2018, 13/6/2018, 3/4/2018, 9/4/2018, 27/2/2018, and there was no inspection report made on all these dates. Kyabenda had been inspected on 7/6/2018, 25/4/2018, 10/1/2017 although no inspection reports were available on file.</p>	<p>3</p>

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>There is evidence that the Education Department had discussed school inspection reports and used reports to make recommendations for corrective action as reflected in Departmental meetings held on 13/8/2018 under Min 5/2018 where poor performing teachers to be sanctioned and joint Head teachers supervision monitoring of schools, were discussed.</p>	<p>4</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>Acknowledgement letters from DES were available at the Department as evidence of submission of inspection reports to DES and they were dated 29/6/2018 for Q1, Q2, and Q3.</p>	<p>2</p>

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>There is evidence that Inspection recommendations are followed up from Head teachers met at visited schools as follows; Kamwenge Railways – smartness of teachers, pit latrine construction, and lesson planning Mirambi – hand washing facility, clearance of grass in school compound, and lesson planning Kahunge – use of instructional materials, lesson plans, talking compound, and talking office Kyabenda – renovations of classrooms and teachers’ resource centre</p>	<p>4</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>The LG has not submitted accurate / consistent data pertaining to list of schools in the district. The LG has 233 schools (148 public and 85 private) while EMIS data indicates a total of 249 schools.</p>	<p>0</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>The LG had not submitted accurate/consistent enrolment data. The LG has a total of 70,239 pupils while EMIS data indicates a total of 91,791</p>	<p>0</p>

Governance, oversight, transparency and accountability

<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>The DEO presented to the Education sector committee his report on 10/5/2018 under Min 10/KMG/SC/2017/18 sanctions on drunkenness among teachers in schools, school feeding as well as a 19-clause Draft Education Ordinance were discussed.</p>	<p>2</p>
<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>There is evidence that the Education sector committee on.18/5/2018 under Min 43/KMG/COU/2017/18 presented to Council matters of construction of school projects for primary schools for approval.</p> <p>The Departments Work Plan and budget were approved on 18/5/2018 under Min 43/KMG/COU/2017/18</p>	<p>2</p>

<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>There was evidence of functional SMCs of school files at the DEOs office where 120 out of 148 public schools (81%) had held SMC meetings and submitted their SMC minutes to the DEO's office. In visited schools, SMC meetings had been held as follows;</p> <p>Kamwenge – 2/5/2018, 21/3/2018, and 13/1/2017</p> <p>Mirambi – 14/6/2018, 1/3/2018, and 15/1/2017</p> <p>Kahunge – 20/9/2018, 4/6/2018, and 9/2/2018</p> <p>Kyabenda – 16/8/2018, 24/7/2018, and 13/1/2017</p>	<p>3</p>
<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all schools receiving non-wage recurrent grants <p>e.g. through posting on public notice boards: score 3</p>	<p>The LG has publicised all schools receiving non-wage recurrent grants through posting on Department's notice board. In visited schools, Kamwenge P.S had the non-wage recurrent grants for Term 2 2018 of 1,900,000/= displayed in Head teachers office. In Mirambi P.S the non-wage recurrent grants were displayed in the Head teachers office. 700,000/= had been received for Term 3. In Kahunge P.S the non-wage recurrent grants were displayed in the Head teachers office and 2,900,000/= had been received for Term 3, while in Kyabenda, the non-wage recurrent grant was displayed in the Head teacher's office. 1,600,000/= had been received for Term 1.</p>	<p>3</p>
<p>Procurement and contract management</p>			

<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements,</p> <p>to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>The sector had submitted procurement input to Procurement Unit covering all investment items in the approved Sector annual work plan and budget by April 30th. The Plan was submitted on 17/4/2018.</p>	<p>0</p>
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Financial management and reporting

<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3. 	<p>Kamwenge DLG Education department payments reviewed show that the department endeavoured to timely certify and pay contractors. Examples of payments include the following:</p> <p>Payment of Shs 41,978,311 to Galizooka Mutegyeki Tom & Co Ltd for construction of a 2 classroom block and 5 stance latrine at Kitaka P/S. Invoicing 07/05/18, certification 11/05/18 and payment 16/05/18 (9 days).</p> <p>Payment of Shs 15,503,430 to Israh Investments Ltd for construction of a 5 stance latrine with girl's washing room at Busiriba P/S. Invoicing 23/04/18, certification 11/05/18 and payment 16/05/18 (23 days).</p> <p>Payment of Shs 15,733,235 to Tamsak Development Link (U) Ltd for construction of a 2 classroom block with office, 5 stance VIP latrine and supply of 36 twin desks at Bitojo P/S. Invoicing 23/04/18, certification 11/05/18 and payment 16/05/18 (23 days).</p> <p>Payment of Shs 23,779,773 to Denka Building Company Ltd for construction of two 5 stance VIP latrines at Mahyoro Moslem P/S and Rwemigo P/S. Invoicing 23/04/18, certification 11/05/18 and payment 16/05/18 (23 days).</p> <p>Payment of Shs 15,733,235 to Tamsak Development Link (U) Ltd for construction of a 2 classroom block with office, 5 stance VIP latrine and supply of 36 twin desks at Bitojo P/S. Invoicing 15/03/18, certification 09/04/18 and</p>	<p>3</p>
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		<p>payment 11/04/18 (26 days).</p> <p>Payment of Shs 8,594,055 retention to Vesta Enterprises Ltd for construction of 3 two classroom blocks, 5 two stance latrines and supply of desks to schools. Invoicing 23/03/18, certification 26/03/18 and payment 27/03/18 (4 days).</p> <p>Payment of Shs 2,485,434 retention to Denka Building Company Ltd for completion of 3 five stance latrines at Mugombwa P/S, Iryangabi P/S and Kicheche P/S. Invoicing 15/11/18, certification 08/06/18 and payment 20/12/18 (1 month 5 days).</p> <p>Payment of Shs 9,691,045 to Denka Building Company Ltd for construction of two 5 stance VIP latrines at Mahyoro Moslem P/S and Rwemigo P/S. Invoicing 22/05/18, certification 05/06/18 and payment 08/06/18 (16 days).</p> <p>Payment of Shs 46,644,829 to Tamsak Development Link (U) Ltd for construction of a 2 classroom block with office, 5 stance VIP latrine and supply of 36 twin desks at Bitojo P/S. Invoicing 22/05/18, certification 01/06/18 and payment 08/06/18 (16 days).</p>	
<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>. The annual performance report for the previous FY was submitted to the Planner for consolidation on 9/8/2018 according to received stamp by Planner's office.</p>	<p>0</p>

<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>Kamwenge DLG Education Department had some internal audit queries in FY 2017/18. Though effort was made to respond the queries, there are some which remained by the close of the year.</p> <p>One such a query was in the Quarter 1 report – about training and management capacity challenges with UPE schools, with a recommendation of giving induction to head teachers. This was later on done.</p>	
Social and environmental safeguards			

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>The LG Education department had not disseminated guidelines on gender.</p>	<p>0</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>The LG Education department had disseminated guidelines on sanitation in a Head teachers meeting held at Kichwamba in preparation of celebrations for the International Water Day on 28/9/2018 under Min 3/2018. 62 teachers were in attendance.</p>	<p>2</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>The SMCs meet the guideline on gender composition. In visited schools the following females were on the SMCs representing the Foundation body as follows:-</p> <p>Kamwenge – Allen Bagezi Kamusime, Constance Bangirana, and Evas Maniragaba</p> <p>Mirambi – Faridah Nuwagaba, Nuliat Kabanda, and Hawa Suwed</p> <p>Kahunge – Gertrude Mbabazi, and Consolata Nyakairu</p> <p>Kyabenda – Ketra Akorinako, and Juliet Nyakaratsi</p>	<p>1</p>

<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>The LG Education department in collaboration with Environment department had not issued guidelines on environment management. Letter NR/8/1 from the Environment Officer on mapping of 24 schools to be provided with trees was dated 2/12/2016 thus falling out of the assessment period. The planting was also scheduled for March 2017.</p> <p>In visited schools, at Kamwenge, there is a forest of 200 eucalyptus trees, 1 compost pit, and 3 tippy-taps in the compound. At Mirambi, there is tree planting, school fencing, and water connection. At Kahunge, there is tree planting, a coffee plantation, a compost pit, and a Health and Safety Club. In Kyabenda there is a compost pit, coffee and banana plantations .</p>	<p>0</p>
<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>School infrastructure projects have been screened before approval for construction of 5 stance latrines at 6 schools and 2 classroom blocks and latrines at Bitojo and Kitaka primary schools as per Environment Officer’s report of 7/10/2017</p>	<p>1</p>
<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 1 	<p>The Environment Officer had visited the sites to check whether mitigation plans are complied with as per site visit report of 5/6/2018 with regard to a 7-classroom block, administration block and two 5-stance latrines each for Nee Eden P.S. and Nyanga P.S</p>	<p>1</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<ul style="list-style-type: none"> • The LG has filled all the 416 out of the 440 positions (94.5%) provided for in the Wage Bill of 2018/2019. • Examined are the following Sources of information; Health department staff establishment list report as at the 31st August 2018, the approved structure from Ministry of Public Service (MOPS) and the revised wage bill estimates circular (Ref. HRM 155/222/02, Annex 1B page 1 of 4) from PSST/MOFPED (authored by Kenneth Mugambe) dated 20th March 2018 amounting to UGX.3, 990,805,350 provided for all the 440 staff positions. 	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<ul style="list-style-type: none"> • The department submitted a Recruitment Plan to the CAO authored by Dr. MUCUNGUZI WILLIAM (DHO) dated the 13th October 2017 referenced MED/105/4 for five critical cadres in the health department (1 Senior Medical Officer, 1 Senior Nursing Officer, 1 Senior Environmental Health Officer, 2 Nursing officers (psychiatry) with a wage bill of UGX. 64, 715, 184. 	6

The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted

Maximum 8 points for this performance measure

Evidence that the all health facilities in-charges have been appraised during the previous FY:

- o 100%: score 8
- o 70 – 99%: score 4
- o Below 70%: score 0

- All the health facility in charges were 100%
- BIGODI HCII TUMUSHABE GEORGE ON 31/07/2018
- BIGULI HCIII AKAMUMPA FORTUNE 24/07/2018
- BIHANGA HCII RUGASIRA MOSES ON 16/07/2018
- BUHANDA HCII KIRABO AGNES ON 29/09 2018
- BUKURUNGU HC II TADEO TUMWEBAZE ON 29/09/2018
- BUNOGA HC III MBABAZI DENIS
- BUSIRIBA HCII AINEMBABAZI SARAH
- BWIZI HC III TUMUSHABE HOPE
- KABAMBIRO HCII TUMUISE CHRISTINE
- KABINGO HCII BWAMBALE J. KIKUMBWA
- KAKASI HCII KYOMUKAMA LEONARD
- KAMWENGE HCIII KATEMBWE MWESIGWA
- KANARA HCII TWINOMUSHA SANTRINA
- KICHECHE HC III KAGORO DEOGRATIUS
- KIMULI KIDONGO HCII KOBUSINGYE SCOVIA
- KIYAGARA HCIIMUTHABALI JOBSON
- KIZIBA HCII KANKUNDA CAROLINE
- KYAKARAFI HC II MWESIGWA AMBROSE
- MALERE HCII MWEBESA AMBROSE
- NKONGORO HCII KWEZI MOSES
- NTARA HCIV OMIA FREDRICK
- NTONWA HCII MUTUUZO BEATRICE
- NYABBANI HCIII OWOMUGISHA JOSEPH
- RUKUNYU HC IV BAHIZI ARCHBALD
- RWAMWANJA HCIII KAITIRIMA GAD
- RWENJAZA HC II NYAKWEZI AGNES

<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<ul style="list-style-type: none"> The department deployed staff as per the list submitted and this was consistent with the staff found at the health units visited. Evidenced from the staff posting list as of 30th July 2018 and verification of the staffing at the health facilities of: <ul style="list-style-type: none"> BIGODI HCIII, KABAMBIRO HCII, RUKUNYU HCIV, PADRE PIO HCIII & KAMWENGE HCIII visited on the 8th October 2018. The posting were as follows: <p>BIGODI HCIII 18</p> <p>KABAMBIRO HCII 9</p> <p>RUKUNYU HCIV 98</p> <p>KAMWENGE HCII 20</p> <p>PADRE PIO 39</p> The posting list at the DHO's office corresponded to the staff at the Health Centres visited as per the daily monthly wage/attendance registers and duty Rosters and head counts for those present on duty. The same was with the PBS extract for August 2018. 	<p>4</p>
<p>Monitoring and Supervision</p>			
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<ul style="list-style-type: none"> There was no evidence that the DHO ever communicated and distributed Guidelines from the MOH (The Ministry of Health Guidelines for Local Government Planning process-Health Sector Supplement-2017, Sector Grant and Budget Guidelines to LGs 2018/2019 & Ministry of Health, Policy Strategies for improving health Service Delivery 2016-2021) with allegations that they had not received these documents from the Ministry of Health as observed with other LGs already assessed. 	<p>0</p>

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<ul style="list-style-type: none"> The DHO held meetings with In-Charges (termed as performance review meetings) on the 11/4/2018, 8/2/2018 & 16/11/2018 and discussed various issues (performance reviews & action points developed). . However, there was no evidence that these particular guidelines, policies & circulars were explained to the Health Unit In-Charges especially these particular three circulars & policies. (The Ministry of Health Guidelines for Local Government Planning process-health Sector supplement-2017; Ministry of Health, Sector Grant and Budget Guidelines to Local Government FY 2018/19 & Ministry of Health , Policy Strategies for improving health Service Delivery 2016/2021) 	<p>0</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<ul style="list-style-type: none"> The DHT supervised the RUKUNYU HCIV on the 31/12/2017, 14/10/2017, 28/5/2018 & 28/2/2018 The DHT supervised NTARA HCIV on 28/5/2018, 7/2/2018 The supervision was done by DR. MUCUNGUZI WILLIAM, BAHIREIRA SYLVIA, KAHIRITA SAM & AMPAIRE RODGERS among others). Evidence was the support supervision log book of the RUKUNYU HCIV examined on the 8th October 2018 at the Health Unit. The DHT support supervision reports dated the 10/7/2018, 17/4/2018, 4/10/2017 & 28/12/2017. Support supervision schedule for 2017/2018 viewed from the DHO's files. However, it did supervise NTARA HCIV only twice and not all the quarters as required. 	<p>0</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<ul style="list-style-type: none"> • There was evidence that RUKUNYU HCIV (the rank of HSD) did supervise the lower health units. • This was evidenced by the supervision log books of the following Health Units visited on the 8th October 2018. <ul style="list-style-type: none"> • KAMWENGE HCII was supervised by RUKUNYU HCIV on the 2/3/2018 done by AKAMUMPA FORUNATE FROM RUKUNY HCIV), 16/3/2018 done by TUMUHIMBISE AIDAH, KEMBABAZI AISHA & TURIAMUREEBA VINCENT FROM RUKUNY HCIV) • PADRE PIO HCIII supervised by RUKUNYU HCIV on the 14/12/2017 done by KOMWOREKO VIRGINIA), 22/2/2018 done by AKAMUMPA FORTUNATE & on the 16/3/2018 done by TURIAMUREEBA VINCENT & KEMBABAZI AISHA. • BIGODI HCIII was supervised by RUKUNYU HCIV on the 2/3/2018 done by TURIAMUREEBA VINCENT & OTESINGONSI MOSES, on the 16/3/2018 done by AKAMUMPA FORTUNATE, OTESINGONSI MOSES & TURIAMUREEBA VINCENT and on the 8/6/2018 done by TIMBIGAMBA RUTH, MUGARURIRWE CALEB & AKMUMPA FORTUNATE. • This was evidenced for the Health Centres visited that only BIGODI HCIII, PADRE PIO HCIII & KAMWENGE HCIII and KABAMBIRO HCIII was not supervised therefore three out four(75%) were supervised. 	
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<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<ul style="list-style-type: none"> There were four quarterly support supervision reports dated the 28/12/2017 of ref MED/213/3 authored by DR.WILLIAM; 4/10/2017 ref MED/160/1 compiled by SYLVIA BAHIREIRA,10/7/2018 authored by KAHIRITA SAM & 17/4/2018 authored by KAHIRITA SAM. The support supervision reports were discussed in meetings with Health Unit In-Charges & DHT meetings. The evidence was the examined minutes of meetings with In-Charges held on the 11/4/2018, 8/2/2018 & 16/11/2018 where discussions were done and action points were developed for corrective actions to be taken. The DHT meetings held on the (20/5/2018-EPI focal person observing the need to update health facilities' micro plans & mapping), (10/5/2018- to follow-up to review health service delivery performance based on the MCH & HIV/AIDS indicators observed), 17/4/2018-Results Based Financing (RBF) focal person emphasizing the need to train the DHT members to ease support supervision) & (18/9/2017-minute 4/DHT/SEP/2017 KABUGA HCII reporting being irregular, a committee to be set up to review reports & concern about the low support supervision of DHT to lower health units) among others discussed issues in the reports. 	4
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the recommendations are followed <p>– up and specific activities undertaken for correction: score 6</p>	<ul style="list-style-type: none"> The comments on the supervision log books examined in the Health Units visited showed recommendations made by the DHT and HSD supervisors. There was evidence that the recommendations were followed up and specific activities under taken for correction as evidenced in the meetings with In-charges and the DHT meetings where action points were developed on what had to be undertaken. 	6

The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH

Maximum 10 for this performance measure

- Evidence that the LG has submitted accurate/consistent data regarding:

- o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10

- The LG (health department) provided information regarding the list of Health facilities receiving PHC funding consistent with the MOH (health facilities reporting)/DIS2 and the PBS.

- A list of 33 Health Facilities (two HCIV-twelve HCIIIs & nineteen HCII) receiving PHC funding as per the list availed by the DHO's office.

- Of these, six are PNFP namely; PADRE PIO HCIII, KABUGA HCIII, KYABENDA HCIII, KICWAMBA HCII, MABALE COU HCII & KAKASI HCII.

- The evidence was the examined minutes of meetings with In-Charges held on the 11/4/2018, 8/2/2018 & 16/11/2018 where discussions were done and action points were developed for corrective actions to be taken.

- The DHT meetings held on the (20/5/2018-EPI focal person observing the need to update health facilities' micro plans & mapping), (10/5/2018- to follow-up to review health service delivery performance based on the MCH & HIV/AIDS indicators observed), 17/4/2018-Results Based Financing (RBF) focal person emphasizing the need to train the DHT members to ease support supervision) & (18/9/2017-minute 4/DHT/SEP/2017 KABUGA HCII reporting being irregular, a committee to be set up to review reports & concern about the low support supervision of DHT to lower health units) among others discussed issues in the reports.

Governance, oversight, transparency and accountability

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<ul style="list-style-type: none"> The Committee on Social Services met during the financial year on the 20/11/2017, 7/2/2018, 14/6/2018 & 10/5/2018. MIN5/SCM/FEB/2017/18(F)-DHO's report, special thanks/appreciation message to BAYLOR UGANDA for the support to the department MIN10/SCM/NOV/2017/18-DHO's report, funds not received in time, blood donation day be organised on International PWD day-3/12/2017. MIN10/KSSC/2018(B)-DHO's report, reallocation of some funds from RUKUNYU HCIV development budget to rehabilitate NYABANI & from Latrine construction projects to the completion of KABINGO HCII. 	<p>2</p>
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<ul style="list-style-type: none"> The Committee on Social Services after meetings presented reports to Council for approval. This was evidenced with submissions by the Chairperson of the committee (Hon Councillor KENYONYOZI EFRANCE) to Speaker for council session of 4/9/2017 for committee which sat on the 30/8/2017; council session of the 24/11/2017 and committee which sat on the 20/11/2017; dated the 14/2/2018 for the council session of the 14/2/2018 and committee which sat on the 7/2/2018 & dated the 18/5/2018 for the council session of the 18/5/2018 and committee which sat on the 10/5/2018. 	<p>2</p>

<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 6 • If 80-99 %: score 4 • If 70-79: %: score 2 • If less than 70%: score 0 	<ul style="list-style-type: none"> • All the health facilities visited (BIGODI HCIII, KABAMBIRO HCII, KAMWENGE HCIII, RUKUNYU HCIV & PADRE PIO HCIII have HUMCs in place. • RUKUNYU HCIV HUMC met on the 24 /4/2018, 29/7/2017, 15/2/2018 & 22/12/2017 and discussed Results Based Financing (RBF) funds of UGX. 46M, 45M & 40M received in the third, second & first quarters respectively from BTC, Sub grants from Baylor Uganda, report from the In-Charge of required budget of UGX.4.5 Billion to complete infrastructure in the Health Unit among others. • KABAMBIRO HCII HUMC met on the 18/5/2018, 3/12/2017 & 11/7/2017 where they discussed construction of OPD,PHC 2nd quarter funds amounting to UGX.700,000 utilisation, reduction of PHC funds in the first quarter from UGX.790,000 to UGX.750,000, review and approval of the 2017/2018 annual work plan/budget, upgrading of the health unit to HCIII etc. • KAMWENGE HCIII HUMC 27/8/2017, 4/4/2018, 3/5/2018 & 27/6/2018 discussed PHC accountability, upgrading of facility to HCIV, giving information on PHC funds to members of the Committee, report on drug supply after every two months & adequate staffing reported at the Health Unit, funding for deliveries from Baylor to be deposited in the Baylor account instead of the PHC account etc • BIGODI HCIII HUMC met on the 12/3/2018 (approved activities for February and March 2018), 15/2/2018 (approved work plan for 2017/2018), 22/11/2017 & 15/7/2018(Baylor allocation of UGX. 7,495,000 for HIV/AIDS) and discussed budget for PHC & Baylor funds for 2nd Quarter, 	<p>6</p>
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non- wage recurrent grants e.g. through posting on public notice boards: score 4 	<ul style="list-style-type: none"> • The department did publicise the PHC funding to the 33 health units on the notice boards at the district headquarters examined, there was evidence that the lists of units receiving PHC funding were displayed. • This was evidenced with the lists viewed at the health units comprising of 27 Government Health Units & 6 PNFPs. 	<p>4</p>
<p>Procurement and contract management</p>			

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<ul style="list-style-type: none"> The department submitted procurement requests for the financial year 2018/2019 to the PDU way beyond the required deadline of the 30th April 2018. The 2018/2019 procurement plan was submitted on the 9/8/2018 by DR. MUCUNGUZI WILLIAM (DHO) to the PDU and received by MUHAME CRISPUS (HEAD OF PDU) on the same date. The procurement request using the PPI forms for computer accessories and assorted stationery at estimated cost of UGX.5,070,000 was submitted on the 17/7/2018 The procurement requests (using PP! Form) for the renovation of NYABANI HCIII, including five stance latrines as an estimated cost of UGX.84,000,000; construction of KABAMBIRO HCII at a cost of UGX.440,000,000; construction of doctor's house at RUKUNYU HCIV at UGX. 130,000,000; procurement of fuel, oil & lubricants at UGX.16,000,000; procurement of tyres & tubes for the Toyota Land Cruiser at UGX.10,000,000, internet data for modems of 180GB at UGX.2,700,000; repair maintenance of vehicles at UGX.4,000,000; repair & maintenance of 6 desk top computers & repair and maintenance of motor cycles at UGX. 2,000,000 were submitted on the 15/7/2018. 	<p>0</p>
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<ul style="list-style-type: none"> For 2017/2018, the department submitted procurements (using PP! Forms) after the required deadline of 30th September 2017. The evidence was the PP1 forms for fuel and lubricants submitted of UGX.3,500,000 & UGX.4,000,000 submitted by BAHIREIRA SYLVIA & AMPAIRE RODGERS on the 9/3/2018 & 23/11/2017. The procurement plan though was submitted on the 6/4/2017. 	<p>0</p>

The LG Health department has certified and initiated payment for supplies on time

Maximum 4 for this performance measure

- Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4.

Kamwenge DLG Health department payments reviewed show that the department endeavoured to timely certify and pay contractors. Below is the only certified payment the LG health department made:

Payment of Shs 13,040,327 to Byaruhanga Kaid Construction Company Ltd for completion of the General Ward and water born toilet at Ruhunyu HV-IV. Invoicing 28/08/17, certification 25/06/123/08/17 and payment 23/08/17 (3 days).

Payment of Shs 7,890,066 to Israh Investments Ltd for construction of a patients' kitchen at Rukunyu HC-IV. Invoicing 30/06/18, certification 10/08/18 and payment 23/08/18 (1 month 23 days).

Payment of Shs 21,525,042 to Kosail Team Ltd for construction of a mothers' waiting shade and office at Rukunyu HC-IV. Invoicing 04/08/17, certification 10/08/17 and payment 23/08/17 (19 days).

Payment of Shs 38,696,513 to Vesta Enterprises Ltd for construction of a 4 stance flash toilet and 2 housing units at Rukunyu HC-IV. Invoicing 10/07/17, certification 18/07/17 and payment 02/08/17 (22 days).

Payment of Shs 23,350,050 to Twagira Yasin for completion of the children's ward at Rukunyu HC-IV. Invoicing 11/09/17, certification 13/09/17 and payment 14/09/17 (3 days).

Payment of Shs 3,466,191 retention to Kosail Team Ltd for construction of a mothers' waiting shade and office at Rukunyu HC-IV. Invoicing 22/01/18, certification 05/02/18 and payment 06/02/18 (14 days).

Payment of Shs 41,429,500 to Twagira Yasin for completion of the children's ward at Rukunyu HC-IV. Invoicing 24/11/17, certification 26/09/17 and payment 11/12/17 (17 days).

Payment of Shs 13,290,000 to Vesta Enterprises Ltd for construction of a staff house at Rukunyu HC-IV. Invoicing 07/05/18, certification 09/05/18 and payment 18/05/18 (11 days).

Payment of 16,631,218 to Vesta Enterprises Ltd for practical completion of civil works at construction works on 2 housing units, a 4 stance flash toilet at Rukunyu HC-IV. Invoicing 23/03/18, certification 23/03/18 and payment 04/04/18 (11 days).

<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>There was evidence on the PBS data indicating the department had not submitted the annual performance report for the previous FY 2017/2018 to the planner by mid July,</p> <p>Submission of quarterly reports to Planning unit for consolidation during FY 2017/2018 is as below:</p> <table border="1"> <thead> <tr> <th>Quarter</th> <th>Date of submission</th> <th>Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>18th /12/2017</td> <td>PBS LG planning data</td> </tr> <tr> <td>Quarter 2</td> <td>12th /2/2018</td> <td>PBS LG planning data</td> </tr> <tr> <td>Quarter 3</td> <td>25th /4/2018</td> <td>PBS LG Planning data</td> </tr> <tr> <td>Quarter 4</td> <td>18th/8/2018</td> <td>PBS LG Planning data</td> </tr> </tbody> </table>	Quarter	Date of submission	Reference	Quarter 1	18th /12/2017	PBS LG planning data	Quarter 2	12th /2/2018	PBS LG planning data	Quarter 3	25th /4/2018	PBS LG Planning data	Quarter 4	18th/8/2018	PBS LG Planning data	<p>0</p>
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<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> If sector has no audit query: Score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points If all queries are not responded to Score 0 	<p>Kamwenge DLG Health department did not have any audit queries in the FY 2017/18.</p>	<p>4</p>															
<p>Social and environmental safeguards</p>																		

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<ul style="list-style-type: none"> The compositions of the HUMCs of all the five health units visited (BIGODI HCIII (3 women & 2 Men), RUKUNYU HCIV (4 females & 5 males) , KABAMBIRO HCII (1 females & 2 males), PADRE PIO (3 females & 4 males) & KAMWENGE HCIII (2 females & 2 males) meet the gender composition as per guidelines (i.e. minimum 30% women). 	2
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<ul style="list-style-type: none"> The LG did not formally issue guidelines on how to manage sanitation in health facilities including separating facilities for men and women. 	0
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<ul style="list-style-type: none"> In the financial year 2017/2018, there were capital projects for health department. The environment officer did carry out project environmental and social safeguards screening using and developed environmental % social safeguards mitigation plans to address the potential environment & social adverse effects. The Environmental & Social Safeguards screening forms (ESSSFs) were prepared by ANTHONY KALYEGIRA (the Senior Environment Officer) on the 25/5/2018 for the construction of a General & Maternity wards, two five stance latrines & two water tanks at BISOZI HCIII at UGX. 580,000,000. The Environmental & Social Safeguards Mitigation Plan (ESSMP) was prepared by ANTHONY KALYEGIRA costing UGX. 29,000,000 being 5% of the project cost of UGX.580,000,000. A correspondence to the Rugege Focal Point person by the Head of Natural Resources to ensure the operationalisation of the ESSMP was made on the 21/3/2018. 	2

<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: <p>Score 2</p>	<p>There was no evidence that the Environment and Community Development officers visited the project sites to check whether the mitigation plans were complied with.</p>	<p>0</p>
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: <p>score 4.</p>	<ul style="list-style-type: none"> Guidelines on waste management were distributed to health units as evidenced from the copies of the guidelines seen at the health units visited. The Health units visited displayed the Waste Segregation Charts at the Health Units critical areas (mainly Laboratories, maternity wards). Evidence was copies of guidelines on Approaches to Health Care Waste Management 2013. 	<p>4</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<ul style="list-style-type: none"> - From the DWO Summary of the safe water coverage submitted to Chief administrative officer showing the average safe water coverage of the district (80%) and each of the sub counties was presented and also was compared with computed safe water coverage from MoWE. <p>From the AWP 2018/2019 submitted to MoWE it was established that a budget of 533,831,870m was allocated to water projects and it was also found out that 2 sub counties were found to be below average safe water coverage of the district and these were Nyabani 74% and Bwizi 58% it was found out that the district water office did not allocate any funds to the sub counties having the safe water coverage below the district average safe water coverage.</p>	0
<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY. <ul style="list-style-type: none"> o If 100 % of the water projects are implemented in the targeted S/Cs: Score 15 o If 80-99%: Score 10 o If 60-79: Score 5 o If below 60 %: Score 0 	<ul style="list-style-type: none"> - From the DWO progress reports submitted to MWE on 30/7/2018, It was established that a total of 7 water Projects were implemented and out of 7 projects 1 was implemented in the sub county below the average district safe water coverage Which constitutes 14% and this was sitting and drilling supervision in Bwizi sub county 	0
Monitoring and Supervision			

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<ul style="list-style-type: none"> - From the Annual work plan of 2017/2018 it was established that 7 projects were planned for and also implemented and supervised and monitored in the same financial year. <p>From the monitoring report file, monthly reports on supervised and monitored projects were available and these included;</p> <ul style="list-style-type: none"> - A report on the construction supervision of water points during construction dated on 22/12/2017 - A report on supervision and technical monitoring on the construction of water supply and sanitation facilities dated May 2018 and these included all the drilled bore holes. - Progress reports on drilling bore holes dated Jan 2018. - On 23/4/2018 was compiled. 	<p>15</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>From the Work plans and reports obtained from the DWO, that were submitted to MoWE on 30/7/2017 and it was established that the all the water facilities existed in all the three reports which are PBS, progress reports, MIS for form 4. These included drilling and sitting of bore holes, rehabilitations of bore holes and construction of the toilet</p>	<p>5</p>

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>From the MIS data established from the MoWE and DWO it was established that water facilities in MIS reports were also in PBS report these included bore hole rehabilitation, siting and drilling of bore holes and toilet construction.</p>	<p>5</p>
<p>Procurement and contract management</p>			
<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>From the DWO a copy of the district consolidated procurement plan was available and the district water office submitted to PDU on 9/4/2018</p>	<p>4</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>From the DWO, it was established that there was no contract management plan in place for the projects implemented</p>	<p>0</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If water and sanitation facilities constructed as per design(s): score 2 	<p>From the sampled projects of bore holes of kebisinga iv, Rutete, kanyonza, it was established that the constructions were done as per designs. They a handle, drainage channel, apron the wells were functioning and all in good condition and functioning.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>From the DWO it was established that they were hand over reports of the completed projects by the contractors. These included</p> <ul style="list-style-type: none"> Report on consultancy services for siting and drilling supervision of 7 bore holes by Aquatec enterprises dated july 2018 Report on drilling and test pumping, water quality analysis, casting and installation of 5 hand pumps and 2 production wells by KLRs-Uganda Ltd dated on 8/7/2018 Design report for the solar powered water supply system by GETS Technology services dated August 2018 	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>From the DWO, copies of certificates obtained were certified by the DWO. This included</p> <p>Payment certificate of 170,257,967 for drilling of bore holes which are both hand pumps and motorized dated on 7/9/2017 and the completion report attached dated 4/9/2018.</p>	<p>2</p>

<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>Kamwenge DLG Water department payments reviewed show that there were a number of instances when the department did not timely certify contractors' work and some payments delayed. Terms and conditions of the LG's contracts with the different suppliers of goods and services duly considered.</p> <p>Examples of payments reviewed are:</p> <p>Payment of Shs 72,533,154 to Richo Investments Ltd for rehabilitation of Rukooko Gravity Flow Scheme. Invoicing 08/06/17, certification 20/06/17 and payment 24/08/18 (16 days).</p> <p>Payment of Shs 19,765,000 to Gist Technologies Ltd for design and documentation of two mini solar piped water supply systems at Kanara. Invoicing 31/10/17, certification 15/12/17 and payment 06/02//18 (3 months 6 days).</p> <p>Payment of Shs 19,200,000 to Aquatech Enterprises (U) Ltd for design, sitting and drilling supervision of 8 boreholes. Invoicing 29/01/18, certification 06/03/18 and payment 27/03/18 (1 month 28 days).</p> <p>Payment of Shs 94,715,540 to Sumadhura Technologies Ltd for borehole drilling, test pumping, casting and installation. Invoicing 01/02/18, certification 12/03/18 and payment 27/03/18 (2 months 26 days).</p> <p>Payment of Shs 23,400,000 to Aquatech Enterprises (U) Ltd for design, sitting and drilling supervision of 8 boreholes. Invoicing 22/07/17, certification 04/09/17 and payment 08/11/17 (3 months 14 days).</p> <p>Payment of Shs 170,257,967 to Sumadhura Technologies Ltd for borehole drilling, test pumping, casting and installation. Invoicing 29/08/17, certification 04/09/17 and payment 08/11/17 (2 months 9 days).</p>	<p>0</p>
<p>Financial management and reporting</p>			

<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<table border="1"> <thead> <tr> <th>Quarter</th> <th>Date of submission</th> <th>Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>2/2018</td> <td>PBS</td> </tr> <tr> <td>Quarter 2</td> <td>12/2/2018</td> <td>PBS</td> </tr> <tr> <td>Quarter 3</td> <td>13/4/2018</td> <td>PBS</td> </tr> <tr> <td>Quarter 4</td> <td>8/8/2018</td> <td>PBS</td> </tr> </tbody> </table>	Quarter	Date of submission	Reference	Quarter 1	2/2018	PBS	Quarter 2	12/2/2018	PBS	Quarter 3	13/4/2018	PBS	Quarter 4	8/8/2018	PBS	<p>0</p>
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Quarter 3	13/4/2018	PBS																
Quarter 4	8/8/2018	PBS																
<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> If sector has no audit query score 5 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>Kamwenge DLG Water function did not have any audit queries in the FY 2017/18.</p>	<p>5</p>															
<p>Governance, oversight, transparency and accountability</p>																		

<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>From the clerk to council, a copy of the report from works and technical services having water sector issues including rehabilitation of Nganika GFS and sector budget was submitted to sectoral committee on 10/5/2018 .</p> <p>This was discussed and recommended to council by the committee on 10/5/2018 Under MIN32/KMG/FAW/2017/2018</p>	<p>3</p>
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>On 18/5/2018 the council sat and approved the recommendation of the sectoral committee under MIN 43/KMG/COU/2017/2018</p>	<p>3</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>From the district notice board, it was established that quarterly releases of funds were displayed and these included Quarter1 192, 294,834 dated 23/8/2018 and were discussed in the advocacy meetings.</p>	<p>2</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>From the sampled projects of Katogo, Rutete and kebisingo IV were found to be well labeled</p>	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>From the district notice board, it was established that all tenders and contract award for the projects implemented were displayed on 17/1/2018 and these included Drilling of 7 bore holes 2 production and 5 hand pumps at a cost of 143,360,560 and the contractor was M/S KLR Uganda LTD.</p> <p>Consultancy services for by Ms GETS technical services ltd at a cost of 71,366,400</p>	<p>2</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>From the DWO- Application file, it was established that the village application form were filled</p> <ul style="list-style-type: none"> - Kebisingo village was filled and applied for a water source on 10/2/2017 and paid 200,000 as capital contribution - Kabangi LCI applied for a water source on 15/3/2017 - Kanyonza II Village applied for kitojo water source on 17/12/2017 and paid 200,000 as capital contribution of 200,00 with a receipt number 4809 attached. 	<p>1</p>

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii(carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>From the DWO in the water and sanitation committee file, it was established that there were minutes of the WSC meeting held on 10/4/2018 discussing on collection of user fees for O&M</p> <p>Contribution toward O&M Evidence by a payment receipt no. 104 of 65,000 paid by Karokarungi shallow well for repair.</p> <p>Minutes for rugarama II bore hole dated 5/4/2018.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>From the DWO in the water and sanitation committee file, it was established that they were reports for environmental screening for all the projects implemented these included Rugaramall, Kasorora, Kabingo,Mpnga,busrirba bore holes. This report was dated December 2017</p>	<p>2</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>There were no unacceptable environmental concerns</p>	<p>1</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>From the DWO, it was established from the contract for the drilling of 7 deep bore holes in BOQs ITEM 2.2,2.3 were addressing the environmental concerns which provided for clearing the site and remove the excavated soil</p>	<p>1</p>
<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>From the DWO, it was established that they were reports showing the composition of WSC and for Karokarungi Bore hole, it has 7 committee members with 5 women and occupying key positions of Vice C/Person, secretary, and treasure</p> <p>Rugarama Bore hole has 7 members and 4 are women with key positions</p> <p>Kakindo bore hole has 9 members and 5 are women with key positions</p>	<p>3</p>
<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>It was established that the 3stance VIP latrine of Katogo has adequate access, with separate stance for men and women</p>	<p>3</p>