



Local Government Performance Assessment

Kanungu District

(Vote Code: 519)

Assessment	Scores
Accountability Requirements	33%
Crosscutting Performance Measures	65%
Educational Performance Measures	80%
Health Performance Measures	52%
Water Performance Measures	42%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>Annual Performance Contract Submitted & received at MoFPED on 3/8/2018 which is outside the timeline date of 1st August 2018</p>	No
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>Consolidated Procurement Plan was accompanied to the performance contract 2018/2019</p>	No
Reporting: submission of annual and quarterly budget performance reports			
<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>Annual Performance report- Q4 was submitted to MoFPED and received on 28th August 2018 which is outside the timeline date of 31st July 2018</p>	No

<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> • If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). • If LG submitted late or did not submit at all, then it is not compliant. 	<p>Quarterly Budget performance report submitted as follows: Q1 dated 25/1/2018 Q2 dated 28/2/2018 Q3 dated 11/6/2018 Q4 dated 28/8/2018 which was outside the timeline date of 31st July 2018</p>	<p>No</p>
<p>Audit</p>			
<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a 'Response' (and provide details), then it is compliant • If LG did not submit a 'response', then it is non-compliant • If there is a response for all –LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>The district provided and submitted information to the PS/ST on the of implementation of findings of both Auditor General and the Internal Auditor General for the financial year 2016/2017 in a letter REF CR/115/1 dated 27th March, 2018 which was received by the Directorate of Internal Audit (MoFPED) on 29th March 2018 . All the 2 OAG audit issues and the 16 for the IAG were responded to in that response letter. 2 This was before the deadline of 30th April 2018 as required by the PFMA.</p> <p>Another submission of responses against the audit findings of the Internal Auditor General were submitted in a letter REF IIA50 /260/01 dated 27th March 2018 and was received by the MOFPED on 29th march 2018. All the 16 internal audit queries were responded to.</p>	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>The audit report for the FY 2017/18 was unqualified.</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>There is a functional physical planning committee as evidenced by minutes of 18/4/2018</p>	<p>1</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>There is no evidence that district submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD</p>	<p>0</p>

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>In the absence of a Physical Development plan, it was difficult to ascertain any consistency of planning with new infrastructure investments.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Action area plan prepared for the previous FY: score 1 or else 0 	<p>No evidence availed for assessment</p>	<p>0</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>From the approved annual work plan Education sector has priorities such as: Provision of 3 twin desks to primary schools, Construction of 5 stance lined Vip latrines at Nyakatare, Mafuga, Nyakishojwa, Bushora, Bushongye, Bugongi, and Rugando each at a cost of 19,000,000 pages 80 AWP.</p> <p>Under health sector Kanungu District planned to construct a standard VIP latrine at Kanungu HCIV and Kihihi HCIV</p> <p>Under works and technical services; Rehabilitation of chumbugushu GFS in kayonza sub county, Rehabilitation of Kyajura GFS in Kanyantorogo sub county, Rehabilitation of Inyweru GFS in mpugu sub county each at 90,112,000 page 86 AWP.</p> <p>All these are based on the outcomes of the budget conference held on 17th October, 2017</p>	2
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>Capital investments in the approved AWP for FY 2018/19 were derived from the five year Development Plan as shown here under:</p> <p>Construction of Classroom block, VIP pit latrines, Furniture provision to primary schools, Construction of OPD, health staff houses, and Latrines. Under water sector there was Construction of piped water schemes as a priority areas were found in the District Development Plan (2015/2016-2019/20-2020) (Pg.168,169,178,184,461) which demonstrates clear linkage.</p>	1

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>The project profiles were developed and discussed by the TPC for all investments as evidenced by meeting of 18th April 2018</p>	2
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1. 	<p>Annual Statistical Abstract with gender dis aggregated data was compiled and approved by the CAO on 04/1/2018 and was presented to the TPC of 29th January 2018</p>	1
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>There was evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council for example construction of Kinaba GFS, Design of 3 piped water systems, Protection of 4 springs, Page 20 AWP, Rehabilitation of Kamubuga hospital, page 48, Construction of 5 stance pit latrines at Kitariro, Karuhunda, Kibimbiri, Omumbuga, Nyarurambi, Mpambizo, Nyakashande, Nanunye, and Kiniabizo primary schools.</p> <p>Completion of teachers house at Rwanga primary school in Kihihi Town Council, Provision of 3 seater desks to the following primary schools; Kyatuhe, Butogota, Bushere, Kihihi, Nkunda SDA, Buremba, Kanyanshongi, Kiniaba, Nyakatare and Runyinya Primary Schools page 52 AWP were some of the infrastructure projects implemented that show linkage with the approved budget by the Council</p>	2

<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. <ul style="list-style-type: none"> o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>From the review of payments certificates for the following investments; Construction of Kinaba GFS phase 2 shs 72,345,800, Protection of 5 springs in 5 sub counties at shs 22,299,566, Construction of 5 stance VIP latrine at the following primary schools; Omumbuga at shs 17,208,694, Kaniabizo shs 16,533,990, Nyarurambi shs 17,549,267, Karuhinda shs 16,164,147, Kayonza shs 17,794,412 Nyakinoni shs 15,797,132, Namunye shs 16,200,692, Katariro shs 18,385,184, Supply of three seater twin desks to Nyakatare P/S shs 3,599,100, Nyamiramall P/S 3,798,900, Kihihi P/S SHS 3,591,000, Nkunda P/S shs 2996,000, Buremba P/S shs 4,995,000. Completion of 2 classroom blocks at Nyakagezi P/S shs 23,769,849. All these investments were completed as per work plan by end of FY. The District has already issued final certificates of completion indicating 100% execution.</p>	4
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>From the District Annual budget performance report a number of projects have been reported completed within the budget and these include: Supply of fabricated tables to district council hall shs 19,810,000, Supply of heavy duty banquet chairs to district council hall shs 12,579,000, Construction of Kinaba GFS phase 2 shs 72,345,800, Protection of 5 springs in 5 sub counties at shs 22,299,566, Construction of 5 stance VIP latrine at the following primary schools; Omumbuga at shs 17,208,694, Kaniabizo shs 16,533,990, Nyarurambi shs 17,549,267, Karuhinda shs 16,164,147, Kayonza shs 17,794,412 Nyakinoni shs 15,797,132, Namunye shs 16,200,692, Katariro shs 18,385,184, Supply of three seater twin desks to Nyakatare P/S shs 3,599,100, Nyamiramall P/S 3,798,900, Kihihi P/S shs 3,591,000, Nkunda P/S shs 2996,000, Buremba P/S shs 4,995,000. Completion of 2 classroom blocks at Nyakagezi P/S shs 23,769,849. All were completed within approved budget</p>	2
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2 	<p>Budget for O&M in FY 2017/2018 was UGX. 17,600,000 /=. 100% of the budget was spent on renovation of the District service Commission block according to the vouchers availed</p>	2
Human Resource Management			

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<p>• Evidence that the LG has filled all HoDs positions substantively: score 3</p>	<p>• According to records found in the personal files, all HoDs positions are substantively filled at the LG of Kanungu district as at FY 2017/18. As per the staff structure, there are 11 HoDs and heads of units. In Kanungu district, all are substantively filled. This represents 100% of positions filled. Broken down as follows:</p> <p>? Chief Finance Officer (Karuhanga Pascal) – position is substantively appointed by letter dated 3/3/2008 signed by Kinyarutokye Moses under min DSC13/2008.</p> <p>? District Education Officer (Charles Sande) – position filled by appointment letter dated 16/06/2015, signed by Kayisa Crizestom under minute DSC/59/2015.</p> <p>? Principle HR Officer (Karema Richard) – position is substantively filled but as per letter dated 28.1.2015 signed by Kayise Crizestom and with min DSC11/2015.</p> <p>? District Internal Auditor position (Tweitiise Constance) – filled by appointment letter dated 14/10/2013 and signed by Kandole Simon under minute DSC/90/2013.</p> <p>? District Health Officer (Dr. Ssebude Stephen) – position substantively filled as per letter dated 4/6/2003 assigned by Byamungu Elias with ref DSC/41/2003.</p> <p>? District Production & Marketing (Turiyo Peter) – position is substantively filled as per letter dated 19.2.2009 signed by Byaruhanga Joseph under min DSC6/2009.</p> <p>? Senior Procurement Officer (Mujuni Erias) – position filled as per appointment letter 10/6/2013 and signed by Iriam Walter under min DSC39/2013.</p> <p>? Dist Community Development Officer (Namara Christopher) – position substantively filled as per appointment letter dated 3.3.2008 and signed by Kanyaruto Moses with min DSC24/2008.</p> <p>? District Engineer (Mutumba Eric) – Substantively appointed as per appointment letter dated 18/6/2015 and signed by Kayisa Crizestom under DSC minute 103/2015.</p> <p>? Natural Resources Officer (Mugabe Gregory) – position substantively filled as per letter dated 10/6/2013, signed by Iriama Walter under min 38/2013.</p> <p>? Trade and Industry... (Owomuhengyi Calebs) – position substantively filled as per letter dated 11/5/2018, signed by Begumya Ntaruwete under min 246/2018.</p>	<p>3</p>
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<p>• Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2</p>	<p>• Appraisals during FY 2017/18: All HoDs at Kanungu district local government were appraised during FY 2017/18 using standard guidelines from MoPS and appraisal reports verified were signed by various officers. This represents 100% of HoDs appraised. Appraisal dates for some of the HoDs were: 3/3/2008 (Chief Finance Officer) signed by Begumya Eriab, 16th June 2015 (Dist Education Officer) signed by Begumya Eriab, 10/6/2013 (Natural Resources Officer) appraisal report signed by Begumya Eriab, 30/8/2017 (Community Based Development Services) and appraisal report signed by Begumya Eriab, 4/7/2017 (Works Engineer) appraisal report signed by Begumya Eriab, 8/8/2017 (Dist. Health Officer) and appraisal report signed by Begumya Eriab. This representative sample of HODs indicates or confirms that all of them were appraised during the FY 2017/18.</p>	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<ul style="list-style-type: none"> • According to DSC minutes and submission list viewed (dated 15.12.17) in Kanungu district, a total of 50 positions were submitted to DSC for consideration of recruitment at DSC during FY 2017/18. Positions such as Development Officer, Thearter Assistant, Senior Fisheries Officer, Lab Technician, Parish Chiefs, Finance Officer, Assistant Engineering Officer etc were among the positions presented for recruitment. Submission lists were consulted and contained all the 50 candidates as considered for recruitment. New Vision advert of 15/2/2018 (for 44 positions) and internal advertisement such as that one dated 12/2/18 (for 8 positions) confirmed that all the 50 positions submitted were considered. Minute extracts arising out of DSC meeting considering these positions include the following: 110th session of 21st to 23rd of May 2018 DSC283/2018 for Finance Officer, DSC285/2018 for Community Dev Officer; 109th session of 7th to 16th May 2018 with DSC min 263/2018; 108th session of 24th to 27th April 2018 for Lab Technician with DSC243/2018 etc. Some of the appointment letters for the considered positions arising were dated 25.5.2018 for Comm Dev Officer DSC285/2018(1) and signed by Begumye Ntarwete, 23/5/2018 for Parish Chief Orishaba Brian DSC263/2018, letter of 11/5/2018 for Lab Tech (Byarugaba Obadia) DSC 243/2018 etc. These submissions viewed indicate that all the 50 positions were considered for recruitment. That is 100% 	2
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<ul style="list-style-type: none"> • Submission lists for confirmation viewed (dated 12.09.2018, 12.07.2018, 18.07.18, 28/2/2018, 30/1/2018, 2/3/2018, 7/11/2017 27/4/2018 and 5/10/2017. DSC meetings that considered these staff for confirmation include: session 103 of date 28th Aug to 6th Sept 2017 for Asst Vet Officers with DSC129/2017, session 104 of 2nd to 13th Oct 2017 for Educ Asst and session 105 of 14th to 15th Dec 2017 for Agric Officers with DSC 176/2017 etc. These submission lists from CAO's office and corresponding dates indicate that all the 73 staff submitted for confirmation. A list of confirmed staff during FY 2017/18 indicated that all 73 staff submitted were confirmed as per minute extracts indicated above. Thus 73 out of 73 represents 100% of staff confirmed during FY 2017/18. 	1
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<ul style="list-style-type: none"> • According to the submission list (dated 21/03/2018, 23/1/2018, 16/1/18) for each staff looked into for Kanungu district, 3 cases were submitted for disciplinary action. Disciplinary meeting minute extracts referenced as DSC258/2018 of meeting session 108 of 24th to 27th April 2018, DSC212/2018 of meeting session 106 of 29/01/2018, and meeting session 104 of 2nd to 13th Oct 2017 with min extract DSC161/2017. This verification indicates that 3 staff submitted were considered thus confirming that all the 3 were considered for disciplinary action during FY 2017/18. 	1

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<ul style="list-style-type: none"> Of the 48 staff verified as recruited during FY 2017/18, all of them entered salary payroll within 2 months of recruitment in Kanungu district. This is indicated by pay slips presented with run date of 28/6/2018, 28/2/2018, 28/1/2018 etc. For example, Niwagaba Ambrose was recruited on 23/5/2018 and accessed salary payroll by salary register run date of 28/6/2018, Tashobya Paul – IPPS 1028075 (Staff Surveyor) was recruited on 10/01/2018 and accessed salary payroll on 28/2/2018, Byarugaba Obadiah – IPPS 1027099 was recruited on 11/5/2018 and accessed salary payroll on 28/6/2018, Kamigisha Ritah – IPPS 1026464 was recruited on 25/5/2018 and accessed salary payroll of 28/06/2018 with DSC min 286/2018, Twebaze Fortunate – IPPS 1017307 (Physical Planner) was recruited on 13//4/2018 and accessed payroll of 28/6/2018 and Akakisiima Elizabeth (Anaesthetic Officer – IPPS 1017458) was recruited on 10/11/2017 with DSC141/2017(1) and accessed salary payroll of 28/1/2018. There was no evidence in form of staff pay slip presented to prove that staff no newly recruited staff during FY2017/18 accessed salary payroll within the first two months of recruitment. All the 48 new staff accessed salary payroll within the 2 months even earlier. 	3
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>Kanungu District LG submitted 11 cases of staff who retired during FY 2017/18. The list indicated that the 11 staff retired on different months within the FY17/18. For example, Mwesigwa James retired on 22.11.17 and accessed pension payroll on list run in May 2018 (ie 6 months later), Babumpabwire Africano retired on 24/7/2018 and has not yet accessed pension payroll as at Sept 2018, Nshekanabo Richard retired on 30/3/2018 and accessed pension payroll in run register of July 2018 (4 months later), Bamanya Goeffrey retired on 10/9/2017 and has not accessed pension payroll as at date of assessment in Sept 2018 (1 year of indefinite waiting) etc. For all these retired staff, there is no evidence to indicate that they have accessed pension payroll any earlier than 2 months of retirement. All of them had not accessed pension payroll yet by the time of assessment of FY 2017/18. Therefore, no staff accessed pension payroll within 2 months of retirement in Kanungu district. I.e 0 out of 11 is 0%.</p>	0
Revenue Mobilization			
<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. If the increase is from 5% -10 %: score 2. If the increase is less than 5 %: score 0. 	<p>While the LG collected UGX 384,837,300 in the FY 2016/17, there was an extraordinary collection of UGX 159,640,000 from the sale of harvested timber (read page 5 of the LG final accounts). The actual OSR of revenue from ordinary activities for the district LG was therefore UGX 225,197,300 excluding extraordinary items. The revenue therefore increased by 9% from UGX 225,197,300 in the FY 2016/17 to UGX 245,001,560 in the FY 2017/18. This is between 5% and 10% and is scored 2 points (Source: District financial statements for FY 2017/18).</p>	2

<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>The actual/budget revenue collection ratio for the FY 2017/18 was 36% (UGX 245,001,560/675,346,550). This resulted in a budget variance of 64% which is higher than 10%.(Source: budget and financial statements for FY2016/17)</p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>The financial statements for the FY 2017/18 indicated that Local Service Tax (LST) amounting to UGX 88,389,546 was collected at the District Level, out of which the UGX 63,275,388 was remitted to Lower LGs, which was 72% of the total LST. (Source: DLG accounts and the cash book for the FY 2016/17). This revenue included that of 4 TCs whose remission was 100%. The rest of the revenue was collected by the LLGs. This was higher than the statutory remission requirement of 65% (source: financial statements for FY 2017/18).</p>	<p>2</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>It was not possible to identify amount of money spent on councillor's emoluments and allowances because the accounts for the FY 2017/18 did not have such details. The Head of Finance tried to go back into their detailed computations of the accounts until he later assured me that he could not get the relevant information.</p>	<p>0</p>
<p>Procurement and contract management</p>			
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>The district had substantively appointed senior procurement officer (Mujuni Erias) appointment letter dated 10/06/13 under minute DSC39/2013 and procurement officer (Niwigasha Sam) appointment letter dated 14/03/2014 under Min DSC21/2014 both appointment letters were signed by CAO.</p>	<p>2</p>

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>For the 5 sampled projects there was evidence that the TEC produced reports for the four projects as shown below;</p> <ul style="list-style-type: none"> • Construction of 5 stance VIP latrines for Karuhinda and Omumbuga Primary Schools, the TEC produced evaluation reports on the same day 15th November 2017 under procurement reference number KANU519/WRKS/2017/18/00006 and KANU519/WRKS/2017/18/00012 respectively. • Construction of Kinaaba GFS, the TEC produced evaluation report on 12th January 2018 under procurement ref. number KANU519/WRKS/17-18/0003. • Renovation of Kambuga hospital phase 2, the TEC produced evaluation report on 8th February 2018 procurement ref. number KANU519/WRKS/2017/18/00002. <p>However there was no evidence of submission of reports to the contracts committee because LG PP form 17 was missing in all the files sampled.</p> <ul style="list-style-type: none"> • Construction of a mini Veterinary laboratory at the district office there was no proof of TEC report and submission. 	0
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>There was no evidence that the contracts committee considered the recommendations of the TEC since submission forms to the committee were missing in the procurement files however the contracts committee awarded as follows for the four of five sampled projects;</p> <ul style="list-style-type: none"> • Construction of 5 stance VIP latrines for Karuhinda and Omumbuga Primary Schools, the contracts committee awarded on 6th December 2017 under Min106/2017/2018 procurement ref. KANU519/WRKS/2017/18/00006 and KANU519/WRKS/2017/18/00012 respectively. • Construction of Kinaaba GFS, the contracts committee awarded on 20th February 2018 under minute number Min132/2017/18. • Renovation of Kambuga hospital phase 2, the contracts committee awarded on 21st February 2018 under minute number Min138/2017/18. <p>The fifth project (construction of a mini Veterinary laboratory at the district office) had no proof of any documentation.</p>	0
<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> • a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>There was evidence that the procurement and disposal plan for FY 2018/2019 availed which was signed by the CAO (undated) and received by PPDA on 31st July 2018 covers all Infrastructure projects in the approved AWP for the current FY 2018/2019.</p> <p>The LG procured as per the procurement plan in the previous FY 2017/18, all the sampled projects that were implemented existed in the procurement plan of the FY 2017/2018.</p>	2

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/ infrastructure by August 30: score 2 	<p>According to the procurement plan for the FY 2018/2019 which was received by PPDA on 31st July 2018 and approved by the CAO, there were 64 infrastructure projects (exclusive of road maintenance) and the LG had not yet prepared the bid documents for any of the projects by 30th August 2018.</p>	0
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>The LG had no contracts register for the previous FY 2017/2018 and procurement activity files were incomplete missing LG PP form 17 (submission to contracts committee) and minutes of TEC. There was no procurement activity file for construction of a mini laboratory at the district office.</p>	0
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>According to PPDA guidelines 2008, all works projects above 50 million open bidding should be used while those below 50 million selective bidding should be used.</p> <p>Construction of 5 stance VIP latrines for Karuhinda and Omumbuga Primary Schools, the procurement value was 18 million Ushs each and construction of a mini Veterinary laboratory the procurement value was 30,350,000Ushs and procurement method indicated in the procurement plan for the three projects was selective bidding which showed adherence to procurement thresholds.</p> <p>However construction of Kinaaba GFS and renovation of Kambuga hospital phase 2 procurement value was 134,945,364Ushs and 300 million Ushs respectively and method of procurement indicated in the procurement plan was national bidding, this did not indicate that the LG adhered to procurement thresholds for the two projects since the method of procurement did not specify which national. The above data was picked from the procurement plan of FY 2017/2018 received by PPDA on 16th August 2017 signed by acting CAO.</p>	0

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates <p>for all projects based on technical supervision: score 2</p>	<p>For all the infrastructure projects implemented there was evidence of certification though not all projects were issued with both interim and completion certificates as indicated;</p> <ul style="list-style-type: none"> Construction of 5 stance VIP latrine for Karuhinda Primary School only one certificate was filed dated 01/05/18, amount due 16,164,147Ushs signed and approved by the CAO on 17/05/18. Construction of 5 stance VIP latrine for Omumbuga Primary School only one certificate was filed dated 06/04/18, amount due 17,208,694Ushs signed and approved by the CAO on 30/04/18. Construction Kinaaba GFS phase 2 only one certificate was filed dated 04/06/18, amount due 67,831,710Ushs signed and approved by the CAO on 11/06/18. Construction of a mini Veterinary laboratory at the district office no certificates were availed. Rehabilitation of Kambuga hospital phase 2 two certificates were filed, certificate No.2 dated 22/06/18 amount due 122,110,754Ushs signed and approved by CAO on 25/06/18 and certificate No.3 dated 03/09/18 amount due 42,048,710Ushs signed and approved by CAO on 12/09/18. <p>The above showed that the certification was not appropriate since one project was not certified at all.</p>	0
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>For all the five sampled infrastructure projects implemented in the previous FY 2017/2018 none had a site board.</p>	0
Financial management			
<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>The monthly bank reconciliation statements (BRS) for the FY 2017/18 were updated on the IFMIS system. However, there was no evidence of production of BRS for the months of July and August 2018. The CFO indicated that they still had issues in operating tier 1 of the IFMIS and that explained why they had not produced them for those months.</p>	0
<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>In the education, health and water sectors, all the 8 sampled payments were cleared on time (within 30 days) as provided for in the contracts ie there were no overdue payments.</p>	2

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The District Internal Auditor (Ms Constance Tweteise) was substantively appointed a Principal Internal Auditor (scale U2 Upper) by the District Service Commission under minute DSC. Min. No 90/2013 as per appointment letter dated October 14, 2013 signed by the Chief Administrative Officer. This position is higher than a Senior Internal Auditor position as per the LGPA Manual.</p>	<p>1</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The DIA produced 4 quarterly Internal Audit reports. First quarterly report was signed on 25th October 2017. The Second quarter report was dated 25th January 2018. The 3rd quarter report was dated 25th April 2018 and the 4th quarter report was dated 30th July 2018. All of the them were duly signed by the District Internal Auditor.</p>	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>The DIA gave information to LGPAC and Council on the implementation of the implementation of Internal Audit findings for the FY 2017/18 in her 4th quarter report (page 5) as a review of the previous findings.</p>	<p>2</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>There was evidence that internal audit reports for the FY 2017/18 were submitted to both AO and LGPAC on the following dates respectively : :</p> <p>1st quarter report on 31st /10/2017 and 31st /10/2017</p> <p>2nd quarter report on 30th /01/2018 and 31st /01/2018</p> <p>3rd quarter report on 30th /04/2018 and 30th /04/2018</p> <p>4th quarter report on 31st /07/2018 and 31st /07/2018</p> <p>There was evidence of review and follow up of all internal audit issues for the FY 2017/18. The LGPAC discussed the IA reports and submitted to Council reports dated as follows:</p> <p>1st quarter report dated 25th October 2017, 2nd quarter report dated 25th January 2018 and 3rd quarter report dated 26th April 2018.</p>	1
<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>There was evidence of updated registers one for land and buildings, for vehicles and heavy plant and General. The assets registers were all in accordance with the format in the LGFAM.</p>	4
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>The external audit report for the FY 2017/18 was unqualified.</p>	4
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2 	<p>The district availed a set of council minutes for the FY 2017/2018 as follows: 29/5/2018; 6/4/2018; 19/12/2017,27/10/2017,31/8/2017 and all the sets of minutes provide proof that Council met and discussed service delivery related issues including; Construction of 4 Classroom block at 8 Primary schools, Up grading of Kinaba HCII ,Construction of Nyanga OPD/ staff house,Monitoring of Bwindi hospital,Staffing of primary schools</p>	2

<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that LG has designated a person to coordinate response to feedback (grievance /complaints) and responded to feedback and complaints: score 1. 	<p>Mr Naturinda Amon Principal Human Resource Officer is the designated person to handle complaints as per the letter dated 2/7/2018 CR/150 signed by CAO</p>	<p>1</p>
<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The LG has no specified system for recording,investigating and responsonging to grievances</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>The payroll for July and the pensioner schedules for August 2018 were found on the public notice board at the administration block</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>Procurement plan for 2018/19 was found published at the District Notice Board. Notice of awarded contracts for 2017/2018 was found on display</p>	<p>1</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>The LG published the performance assessment results for FY 2016/17 on public Notice board by the Chief Administrative Officer on 8/8/2018</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>The District planner communicated and explained national guidelines and circulars as per the TPC minutes of 30/10/2017 under minute 64/oct 2017 and Budget conference of 17/10/2017.</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feedback on status of activity implementation: score 1. 	<p>LG conducted discussions with the public at Kanungu Town council play ground to provide feedback on the status of activity implementation as evidenced by the report dated 20th July 2018</p>	<p>1</p>
<p>Social and environmental safeguards</p>			
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>The CDO provided guidance to sector departments as evidenced in the report on gender mainstreaming workshop targeting community leaders and heads of sector departments held on 10th June 2018 at Holly cross guest house signed by the senior community development officer, report on mentoring and support supervision of lower local governments on gender mainstreaming and budgeting dated 13th May 2018 signed by the senior community development officer.</p>	<p>2</p>

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implemented: score 2. 	<p>The LG CDO had planned for household assessment of children with disabilities, FAL assessment and support supervision, court follow ups for juveniles, resettlement of abandoned children, monitoring of YLP among others as activities for the current FY 2018/2019 as evidenced in the AWP for the FY 2018/2019 which was generated on 03/08/2018 not signed.</p> <p>The previous year's budget was 1,066,159,000Ushs as evidenced under minute number Min83/May/2017 dated 30/05/17. The total expenditure as provided by the district community development officer and confirmed by the head of finance dated 25/09/18, amounts to 675,048,245Ushs which was 63.32% of the previous year's budget. This showed that less than 90% of the previous year's budget was implemented.</p>	0
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>No evidence of environmental screening was availed for all the sampled projects (Construction of 5 stance VIP latrines for Karuhinda and Omumbuga Primary Schools, Construction of Kinaaba GFS phase 2, renovation of Kambuga hospital phase 2 and construction of a mini Veterinary laboratory at the district office) however mitigation measures were planned and budgeted for as evidenced in the BOQs of sampled projects.</p>	0
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>There was proof of integration of environmental and social management and health and safety plans in the contract bid documents for all the projects implemented in the FY 2017/2018. This was evidenced in the BOQs of the sampled projects.</p>	1

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>For all the sampled projects there was no evidence that they were implemented on land where LG had proof of ownership.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>For all the sampled projects there was no evidence that environmental and social mitigation certification forms were signed by the environmental officer and CDO.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>There was no evidence that environmental and social clearance was done for all the sampled projects.</p>	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>There was no evidence that the environmental officer and CDO report monthly therefore no completed check lists and observed deviations for all the four projects implemented.</p>	<p>0</p>
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Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>The teachers lists and the budget presented showed that there is minimum standard of budgeting for a head teacher and a minimum of seven teachers for all the primary schools with seven classes evidence seen in the structure of performance contract FY 2017/2018 generated on 17/04/2018 08:04</p>	4
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>Evidence from the PBS generated on 21st Sept 2018 lists showed 15 schools revealed that a head teacher and minimum of 7 teachers per school had been deployed for the current FY. For schools with less than 7 classes, there was evidence of a head teacher per school and at least a teacher per class. e.g. Makiro P.S and Rutugunda P.S</p>	4
<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision <ul style="list-style-type: none"> o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<p>Basing on the LG structure for primary teachers and the wage bill provisions of 11,662,923,000 the district has 1101 teachers, no recruitments of teachers done in the FY 2018/2019 and therefore all positions of primary are filled.</p> <p>Hence 100% of the structure for primary teachers with a wage bill provision for the current year has been filled</p>	6

<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>A review of the LG performance contract revealed that there was one budgeted position of a school inspector which was substantively filled.</p>	<p>6</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>There was no recruitment plan presented for the FY2018/19</p>	<p>0</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>There was no evidence of a recruitment plan presented for the FY 2018/19</p>	<p>0</p>
<p>Monitoring and Inspection</p>			

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<ul style="list-style-type: none"> • There are 3 School Inspectors in Kanungu district, as shown by the list of inspectors and personal files verified. It is evident through the appraisal reports verified, that all 3 school inspectors were appraised during FY 2017/18. Appraisal report for school Insp Shaban Adam was signed by James Kanyeihamba on 21/6/18, school Insp. Arineitwe Justine was appraised as per report dated 13/2/2018, School inspector Tuyishima Erasmus was a recent recruit and therefore not yet eligible for appraisal. Therefore 3 out of 3 school inspectors appraised represents a percentage of 100%. 	<p>3</p>
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<ul style="list-style-type: none"> • There are 135 Primary Schools in Kanungu district. A sample of 10% of 135 Primary schools was made to make 14 Primary Schools. Thus personal files of 14 Head Teachers of these schools were presented and analysed. Reviewing these personal H/Teachers' files, 14 appraisal reports were found. These included for example, for H/Teacher for Nyakagezi P. School (Atuheirw Nicholas) appraised by Bahamwenza Flugence on 10.5.2018, H/Teacher for Runyani P School (Katokye Ivan) appraised by Owomuhengyi Benon on 16/3/2018, H/Teacher of Kyajura PS (Musinguzi Babirigi Nathan) was appraised on 16/3/2018 by Mwesigye Kenneth, H/Teacher of Rubonwa PS (Tumwesigye Simon Phillip) was appraised on 21/4/2017 by Eyaru Richard, H/Teacher of Kyandago PS (Sunday John) was appraised on 7/3/2018 by Eyaru Richard, etc. This list of Head Teachers and appraisal reports and agreements shows that 14 out of 14 hence representing a total of 135 primary schools all appraised is a percentage of 100%. 	<p>3</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>There was evidence of one circular on school adherence 05/06/2018 communicated but not disseminated to the sampled schools, e.g. Makiro PS, Nyakitale PS, Kirima, Omumbuga PS</p>	<p>0</p>

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>The DEO's office had minutes of meetings where some circulars were discussed with head teachers, held on 14/06/2018,21/02/2018, but not all policies and guidelines were explained</p>	<p>0</p>
<p>The LG Education Department has effectively inspected all registered primary schools</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>There was evidence of inspections, for several licensed schools, with a report dated 06/09/2018 for 3rd term 2017,1st term 2018 1nd 2nd term 2018 signed by DES Mbarara (Mugume Stephen)</p>	<p>10</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>A review of minutes of departmental meetings held on 05/06/2018,min5/2018 29/07/2017,min10/17,16/01/2018,min1/2018 & 27/02/2018 min10/2018 had record of discussions of school inspection reports and recommendations for corrective actions</p>	<p>4</p>

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>Evidence was found of submission of school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES) dated 06/09/2018 that is for 3rd term 2017,1st term 2018,2nd term 2018</p>	<p>2</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>There was evidence provided that inspection recommendations are followed 08/02/2018 ref CR/252/1</p>	<p>4</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>The list of 134schools as submitted by the LG Education department (PBS generated by 17/04/2018 08:04) is consistent with EMIS obtained from the MoES.</p>	<p>5</p>

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>There was evidence that LG submitted consistent data on enrolment. The EMIS data obtained from the MoES indicates enrollment of while PBS showed total enrollment of 5,1594 pupils</p>	<p>5</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>Council Committee responsible for Education met on the following days; 7/12/2017; 28/8/2017; 29/3/2018, 29/3/2018 and discussed issues like construction of classroom block, Completion of classroom blocks, Completion of 5 stance VIP pit latrines, Provision of furniture to selected primary schools, Budget performance 2016/2017, discussion of workplans 2018/2019, and monitoring reports</p>	<p>2</p>
<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>In the council meeting of 29/3/2018² under minute 102/2017/19 the education sector committee presented issues that required approval of Council eg Consideration of budget estimates 2018/2019</p>	<p>2</p>

<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>There was evidence that majority of the schools had functional SMCs. The five sampled schools, had copies of minutes from meetings they held as per the dates below. These minutes were also found in the DEO's office:</p> <p>Rutugunda PS: 27/02/2018,</p> <p>Karuhinda PS: 26/03/2018 ,06/06/2018, 07/02/2017,16/03/2017</p> <p>Nyakatale: 25/08/2017,20/09/2017,03/05/2018,29,05,2018</p> <p>Makiro PS: 26/06/2018, 30/05/2018,17/11/2018,07/02/2018,</p> <p>Kirima PS: 10/11/2017, 25,07/2017</p>	5
<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all schools receiving non-wage recurrent grants <p>e.g. through posting on public notice boards: score 3</p>	<p>There was a list on the wall in the hall way to the DEO's office, with a list of schools and the amounts that each of the schools received as non-wage recurrent grants.</p>	3
Procurement and contract management			
<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements, to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>The education sector prepared and submitted their procurement plan to the Procurement Unit and received an acknowledgement on 10th April 2018</p>	4
Financial management and reporting			

<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3. 	<p>The LG education department certified and recommended payments to suppliers on time because the 3 sampled contracts (agreements) were paid for within 30 days stipulated in the contracts. These were: (1) Kineme Transporters Co-construction of VIP Latrines at Kitariro PS (PV-ED00935)-UGX 18,385,184 invoiced received on 8th May 2018 and paid on 30th May 2018 ie 22 days. (2) Prosper Engineering Ltd- construction of 2 classrooms at Nyakagezi PS. PV-ED00971- Invoice received by CAO on 8/06/2018 and paid on 19/06/2018 (UGX 23,769,849)- ie 11 days (3) Mabrows Contractors Ltd- 5 stand latrines at Namunye PS. Invoice received by CAO on 15/05/2018 and paid on 30/05/2018 -15 days</p>	<p>3</p>
<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>There was no evidence of departmental submission of the annual Performance report and other quarter reports to planning unit. However, using online reporting and the planner was able to consolidate the quarter 4 by 28/8/2018. The annual performance report was submitted later than the stipulated date</p>	<p>0</p>
<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>Education department had a total 5 audit queries in the first, second and fourth audit and responded to only one query as per letter on 30th May 2018 addressed to the CAO and not to the DIA.</p> <p>There is need for HODs to be compliant in timely responding to Internal Audit queries.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>The DEO presented a training report dated 05/06/2018, whose major content was about Menstrual Hygiene in schools. Participants of this training event were head teachers from schools in Kanungu District. The event took place at Kinkizi high school (stakeholders meeting) on the 12/07/2018</p>	<p>2</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>Guidelines were issued and during the training, mentioned in (i) above.</p>	<p>2</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>The Guidelines on gender composition for the SMCs say that the committee should have at least 2 females on the SMC team. Of the schools visted, Karuhinda PS,6males,3 females Makiro PS had 4males ,, PS had 4 females and 5 males and Rutugunda had two females while Kirima had 3 and 4 females, thus complying with the guidelines.</p>	<p>1</p>
<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>No evidence existed that guidelines on environmental management were disseminated and complied with.</p>	<p>0</p>

<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>No evidence was provided</p>	<p>0</p>
<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 1 	<p>No evidence was provided</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<ul style="list-style-type: none"> • Review of the performance contract (generated on 21/09/2018 11:13) and approved structure revealed that there are 463 established position of primary health workers filled. • Review of wage IPFs (generated on 19/07/2018) revealed that there are 463 positions of health worker with a wage bill provision of 5,961,972,000/= for the year 2018/19 • Hence 100% of the structure for primary health workers with a wage bill provision from PHC wage for the current FY has been filled 	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<ul style="list-style-type: none"> • There was a staff establishment and recruitment plan for the year 2018/19. • Review of the recruitment plan revealed that 307 vacant positions of primary health care workers had been included. • There was a submission letter from the DHO to the Principle Human Resource officer dated and received by the HRM on the 26/05/2018 	6
<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In- charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<ul style="list-style-type: none"> • There are 2 Health Centre 4s in Kanungu district with In-charges as follows: <ul style="list-style-type: none"> i. In-charge of Kanungu HC4 (Dr. Baluku Julius Brown) has appraisal report/agreement found in his personal file. It indicates that he was appraised by Kasuaha Daniel on 25/09/2018. ii. Kihihi HC4 is headed by Dr. Asimwe Joshua. There was no evidence showing that this in-charge was appraised during FY 2017/18. It therefore means that the in-charge of this HC4 was not appraised. One out of 2 HC4s appraised indicates a percentage of 50%. 	0
<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<ul style="list-style-type: none"> • The number of midwives and enrolled nurses deployed at Kanyantorogo HC III (3 enrolled nurses, 3 enrolled midwives & 2 clinical officers), Bihomborwa HC II (3 enrolled nurse), Kihihi HC IV (5 enrolled nurse & 7 enrolled midwife), Kirima HC III (5 enrolled nurse & 3 enrolled midwife) as counted on the health worker`s list on deployment are consistent with the staff Lists submitted with the budget of 2018/19 (generated on 17/04/2018 08:04). 	4
Monitoring and Supervision			

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>There was no evidence (a communication letter) to indicate that the DHO communicated ALL of the following guidelines issued by the national level in the FY 2017/18:</p> <ol style="list-style-type: none"> Ministry of Health Guidelines for Local Government Planning Process Health Sector Supplement – 2017 Ministry of Health, Sector Grant and Budget Guidelines to Local Governments FY 2018/19 Ministry of Health, Policy Strategies for Improving Health Service Delivery 2016-2021 	0
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>The minutes of the meeting with health facility in-charges (DHTM) held on the 27/02/2018 had no record of the DHO explaining these guidelines, policies, circulars issued by the national level among other thing.</p>	0
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>DHT Integrated support supervision reports FY presented for Q1, Q2, Q3 and Q4. All reports indicated that Kanungu HC IV and Kihiki HC IV had been supervised however no record was made of supervision of Buyindi Community PNFP hospital at least once in each quarter.</p>	0
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> If 100% supervised: score 3 80 - 99% of the health facilities: score 2 60% - 79% of the health facilities: score 1 Less than 60% of the health facilities: score 0 	<p>The DHT provided evidence (copies of support supervision reports for Kinkizi East and Kinkizi West HSDs that indicated that less than 60% of lower level health facilities were supervised during the FY 2017/18</p> <p>Kinkizi West HSD had only two reports (Q3 & Q4)</p> <p>Kinkizi East HSD had only one report out of the 4 mandatory quarterly reports</p>	0

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<p>The HSDs did not provided the 4 mandatory quarterly integrated support supervision reports for the FY 2017/18. Hence no reports to enable the making of recommendations in each quarter.</p>	<p>0</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6 	<p>DHT meetings did not have record of discussion of neither the DHT nor HSD integrated support supervision reports. Hence no recommendations to be followed upon.</p>	<p>0</p>
<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>The lists of health facilities receiving PHC funding (in PBS) is consistent with the list received from MoH (health facilities reporting 2018/19).</p> <p>All 27 health facilities in PBS (generated on 17/04/2018 08:04) are also on the HMIS list from MOH.</p>	<p>10</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>Council Committee responsible for health met on 29/3/2018,28/8/2017,4/12/2017 discussed service delivery issues forexample repairing the graunded ambulance,Monitoring reports,discussion of workplan and Nutrition action plan and budget</p>	<p>2</p>

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>From the minutes dated 29/5/2018 the health sector presented issues that require council approval like the Budget estimates for 2018/2019 and Nutrition action plan for 2018-2022</p>	2
<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> If 100% of randomly sampled facilities: score 6 If 80-99 %: score 4 If 70-79: %: score 2 If less than 70%: score 0 	<p>HUMCs were not fully functional as not all sampled HFs held the four mandatory HUMC meetings and meetings minutes presented.</p> <p>Kanungu HC IV presented only 3 sets of HUMC meeting minutes</p> <p>Kihihi HC IV presented only 2 sets of HUMC meeting minutes dated 14/02/2018 and 21/06/2018</p> <p>Kirima HC III presented only 1 set of HUMC meeting minute dated 22/03/2018</p> <p>Kanyantorogo HC III presented only 3 sets of HUMC meeting minutes</p> <p>Bihomborwa HC II presented only 1 set of HUMC meeting minute dated 22/11/2017</p>	0
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>There was posting on the public notice board at the DHOs office of a list of all health facilities receiving PHC non-wage recurrent grants</p> <p>All HFs visited (Kanungu HC IV, Kihhi HC IV, Kirima HC III, Kanyantorogo HC III & Bihomborwa HC II) had a posting of the PHC non-wage recurrent grant received.</p>	4
Procurement and contract management			
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The DHO provided a copy of the procurement plan There was also evidence (a submission letter to the CAO) indicating that this plan was submitted to CAO and copied to the PDU on the on the 13th April 2018. There is evidence (a received stamp) that this was received on the 13th April 2018</p>	2

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>There was a copy of form PP1 with PD entry name and code – Kanu519. Location of delivery – Kambuga Hospital, (Subject of procurement – Renovation of Kambuga Hospital Phase II) was submitted by DHO to the PDU. It was approved for procurement by the head of finance on the 7th September 2017 and was signed for confirmation of funding by the CAO on the 18th September 2017.</p>	2
<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>The DHO certified and recommended payments to suppliers on time because the only 2 supplies certified and recommended suppliers within 30 days as per the contracts as indicated below:</p> <p>(1) Nob & JM Ltd- UGX 340,000 on -PV-HE002213 (Fuel supply). Invoiced on 6/12/2017 and paid on the same day</p> <p>(2) NWSC UGX 200,000 (PV-HE00160) invoiced 5th and 27th October and paid 29th October 2018 (2 days).</p> <p>Health did not have any construction contracts in the FY 2017/18.</p>	4
Financial management and reporting			
<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>There was no evidence of departmental submission of the annual Performance report and other quarter reports to planning unit. However, the LG was using online reporting and the planner was able to consolidate the quarter 4 by 28/8/2018. The annual performance report was submitted later than the stipulated date</p>	0
<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> If sector has no audit query: Score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points If all queries are not responded to Score 0 	<p>The LG health department had 2 audit findings in the 2nd quarter and 3rd quarter of the FY 2017/18 from internal audit. These queries were responded to in letters dated 4th May 2018 signed by the DHO and another one dated 17th May 2018 signed by the Principal Health Inspector respectively.</p>	2
Social and environmental safeguards			

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>All Health Unit Management Committees (HUMCs) at the sampled health facilities met the gender composition as per guidelines (i.e. minimum of 30% females on the HUMC).</p> <p>Kihihi HC IV (4 female & 4 male)</p> <p>Kirima HC III 2 (2 female & 4 male)</p> <p>Kanyantorogo HC III (3 female & 4 male)</p> <p>Bihomborwa HC II (2 female & 4 male)</p>	<p>2</p>
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>There was no evidence LGs had issued guidelines on how to manage sanitation in health facilities (No communication letter from the LG was provided)</p> <p>None of the visited HFs had guidelines on how to manage sanitation in health facilities</p> <p>Toilets at Kanungu HC IV, Kirima HC III and Kanyantorogo HC III were not separated (labeled for Male and Female)</p>	<p>0</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>The health department implemented one health facility infrastructure projects at Kambuga Hospital (Renovation of Kambuga Hospital Phase II). However there was no evidence (screening checklist and a risk mitigation plan) provided to indicate that health facility infrastructure projects are screened before approval for construction.</p>	<p>0</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 2 	<p>No site visit or inspection reports by the district EO or CDO for the health facility infrastructure project (Renovation of Kambuga Hospital Phase II) as there were was no risk mitigation plan developed in the first place</p>	<p>0</p>
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4. 	<p>All HFs visited (Kanungu HC IV, Kihhi HC IV, Kirima HC III, Kanyantorogo HC III & Bihomborwa HC II) had a chart on medical waste management guidelines pinned in either the labor ward, laboratory or treatment room</p>	<p>4</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>Data from MIS reports at the Ministry of Water and Environment and the District Water Office indicated that the average safe water coverage for Kanungu District for FY 2017/18 was 91% The Sub-counties with safe water coverage below the District average were Nyakanoni (45%), Kihihi (65%) and Nyanga (66%)</p> <p>From the Annual Work plans and PBS for FY 2018/19, out of the total Sector Development Grant of UGX 274,434,000 /=, the total budget allocation to Sub-counties below the District average was UGX 5,000,000/= representing 2% of the total Sector Development Grant and was distributed as follows:-</p> <p>Nyakanoni S/C: UGX 5,000,000/=</p> <p>Kihihi S/C: UGX 0</p> <p>Nyanga S/C: UGX 0</p>	0
<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY. <ul style="list-style-type: none"> o If 100 % of the water projects are implemented in the targeted S/Cs: Score 15 o If 80-99%: Score 10 o If 60-79: Score 5 o If below 60 %: Score 0 	<p>The review annual progress report for FY 2017/18 prepared by the District Water Office revealed that the following projects were implemented:</p> <ul style="list-style-type: none"> • Construction of Kinaba Gravity Flow Scheme. • Protection of 5 No. water springs. • Supply and installation of one communal Rain Water Harvesting Tank. • Design of one piped water supply scheme. <p>Out of the above projects, only the protection of water spring at Mwanhjari in the Sub-county of Nyanga with safe water coverage below the District average was implemented which represented in capital terms, about 3% of the water projects implemented.</p>	0
Monitoring and Supervision			

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<p>The review of the annual progress report for 2017/18 revealed that the District Water Office had constructed the Kinaba Gravity Flow Scheme, constructed Rain Water Harvesting Tank at Katiba in Kayonza Sub-county, protected five water springs at Kibale II village in Kambuga Sub-county, at Maroko village in Rugyeyo Sub-county, at Mafue village in Rutega Sub-county, at Ahakitikye village in Kanyantorogo Sub-county and at Mwanhjari village in Nyanga Sub-county. The availed periodic inspection reports clearly indicated that all the new projects were regularly supervised and monitored. Data from MIS reports at the Ministry of Water and Environment and at the District Water Office indicated that there were functional 990 No. protected springs, 45 No. shallow wells, 32 No. deep boreholes, 102 Rain Harvesting Tanks and 562 Public Tap-stands. Monitoring reports on the files indicated that about 264 water supply points were every Quarter during the FY 2017/18 monitored and supervised by the District Water Office supported by Extension Staff located at the respective Sub-counties. It is therefore estimated that about 1,056 out of 1,731 functional water supply points were monitored and supervised which represented about 61%.</p>	5
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>The District Water Office submitted FORM 1 (Data Collection Form for Point Water Sources) and FORM 4 (Source Functionality, Management and Gender) to the Ministry of Water and Environment for capture in the MIS. The list of the water facilities reported in the PBS were consistent with MIS records at the Ministry of Water and Environment and included:</p> <ul style="list-style-type: none"> • Construction of Kinaba Gravity Flow Scheme. • Protection of 5 No. water springs. • Supply and installation of one communal Rain Water Harvesting Tank. • Design of one piped water supply scheme. 	5
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>List of water facilities indicated in the Ministry of Water and Environment MIS reports were consistent with those in PBS as follows:</p> <ul style="list-style-type: none"> • Construction of Kinaba Gravity Flow Scheme. • Protection of 5 No. water springs. • Supply and installation of one communal Rain Water Harvesting Tank. • Design of one piped water supply scheme. 	5
Procurement and contract management			

<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>The sector submitted in put for the District Procurement Plan to PDU that cover all investment items in the approved Sector annual work plan and budget on 14th May 2018 which was beyond the stipulated timeline.</p>	<p>0</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>There was evidence in form of signed letters that Contract Managers were appointed by the Chief Administrative Officer to manage WSS contracts. E.g. for the construction of Kinaba Gravity Scheme Phase II, the District Engineer was appointed Contract Manager in a letter dated 6th March 2018. Regarding the construction of Rain Harvesting Tank at Katiba, the District Engineer was appointed Contract Manager in the letter dated 2nd April 2018. As for the protection of five water springs, the appointment letter was dated 3rd January 2018. Contract Management Plans were prepared and the review of the sampled WSS projects revealed that supervision visits were conducted on monthly basis.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If water and sanitation facilities constructed as per design(s): score 2 	<p>Detailed designs for Kinaba Gravity Flow Scheme were approved by the Ministry of Water and Environment. Modular designs for the point water sources and public sanitation facilities were approved by the District Engineer. Approved designs for water supply and sanitation facilities were availed to the Assessor and construction progress reports were reviewed. The Assessor also inspected the Kinaba Gravity Flow Scheme, three protected springs at Mwanhjari village in Nyanga Sub-county, at Mafuga village in Rutega Sub-county and at Kibale II village in Kambuga Sub-county and a Rain Water Harvesting Tank at Kaitaba in Kayonza Sub-county. All of the water supply facilities were found functioning satisfactorily as per engineering designs.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If contractor handed over all completed WSS facilities: score 2 	<p>Certificate of Substantial Completion of works and Hand-over reports were available on files. E.g. Under Procurement Reference KANU 519/WRKS/2017 - 18/00014 for the construction of Katiba Rain Water Harvesting Tank, contracted to M/s Devine Consults Ltd, Certificate of Completion was signed by all parties on 29th May 2018 and under Procurement Reference KANU 519/WRKS/2017 - 18/00003 for the construction of Kinaba Gravity Flow Scheme , contracted to M/s Daikam Technologies Ltd, Certificate of Practical Completion was signed by all parties on 4th June 2018.</p>	<p>2</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>Sampled Interim Payment Certificates showed that the District Water Officer had duly certified the Interim Payment Certificates. E.g. Under Procurement Reference No. KANU 519/WRKS/2017 -18/00003 for the construction of Kinaba Gravity Flow, Substantial Payment Certificate was duly certified by the District Water Officer on 11th June 2018. Under Procurement Reference KANU 519/WRKS/2017 -18/00014 for the construction of Katiba Rain Water Harvesting Tank, Lump Sum Contract Certificate was duly certified by the District Water Officer on 31st May 2018.</p> <p>Projection Completion Reports were prepared, certified and filed appropriately. E.g. Under Procurement Reference KANU 519/WRKS/2017 -18/00014 for the construction of Katiba Rain Water Harvesting Tank, contracted to M/s Devine Consults Ltd, Certificate of Practical Completion was signed by all parties on 29th May 2018 and under Procurement Reference KANU 519/WRKS/2017 -18/00003 for the construction of Kinaba Gravity Flow Scheme, contracted to M/s Daikam Technologies Ltd, Certificate of Practical Completion was signed by all parties on 4th June 2018</p>	2
<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>- The LG Water department certified and recommended payments to suppliers on time as provided for the contracts</p> <p>- A sample of 3 payment vouchers and contracts showed that all payments were certified and paid within a maximum of 30 days provided for in the contract as indicated below.(1) Derine Consults Ltd- PV-wk00208 amounting to UGX 22,610,000 for supply and installation of Katiba Rain Harvesting Tanks- invoiced on 30/05/2018 and paid on 12/06/2018 (13days) (2) Daikam Technologies Ltd - construction of Kinaba GFS -PV-wk00233 amounting to UGX 67,831,710, invoiced on 11/06/2018 and paid on 18/06/2018 (7 days) (3) Mabrows Contractors Ltd- PV-wk00207- Protection of 5 springs amounting to UGX 18,897,938, invoiced on 29/05/2018 and paid on 12/06/2018 (14 days).</p>	3
Financial management and reporting			
<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The District Water Office submitted to the District Planner the annual performance report for the FY 2017/18 on 20th July 2018. The Quarter 1 performance report was submitted on 16th October 2017, the Quarter 2 performance report was submitted on 18th January 2018, Quarter 3 performance report was submitted on 4th May 2018 and Quarter 4 including annual performance report was submitted on 20th July 2018. Therefore the annual performance report was submitted later than the stipulated timeline..</p>	0

<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year If sector has no audit query score 5 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>The LG Water department had one query in the first quarter report and one in the 4th Quarter internal audit findings for the FY 2017/18. There was no evidence of responses toward these queries.</p>	<p>0</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>The Kanungu District Water and Sanitation Coordination Committee held meetings on 20th September 2017, 20th December 2017, 29th March 2018 and 27th June 2018 to discuss water supply and sanitation issues and prepared submissions to the Standing Committee for Works and Technical Services held meetings on 15th August 2017, 29th September 2017, 1st December 2017 and 26th April 2018 and discussed among others issues the report from the District Water and Sanitation Coordination Committee.</p>	<p>3</p>
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>The Kanungu District Council meetings were held on 31st August 2017, 27th October 2017, 19th December 2017, 23rd January 2018, 6th April 2018 and 29th May 2018, where the Standing Committee for Works and Technical Services presented the report which contained among others water supply and sanitation issued which required approval from the Council..</p>	<p>3</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>The was evidence that the AWP, budget and the Water Development grant releases and expenditures were clearly displayed on the District Notice Boards as per the PPDA Act. There was no evidence that advocacy meetings were held.</p>	<p>0</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>The sampled water supply projects were the Kinaba Gravity Flow Scheme, three protected springs at Mwanhjari village in Nyanga Sub-county, at Mafuga village in Rutega Sub-county and at Kibale II village in Kambuga Sub-county and a Rain Water Harvesting Tank at Kaitaba in Kayonza Sub-county . All the above projects were clearly labeled indicating the name of the project, date of construction, the contractor and source of funding.</p>	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>Information on tenders and contract awards (indicating contractor name /contract and contract sum) were displayed on the District Notice Boards.</p>	<p>2</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>Application letters from communities for water supply facilities together with the minutes of the meetings held by communities were submitted to the District Water Office for action and were properly filed.</p>	<p>1</p>

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii) carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>There was evidence that O & M funds were being collected by Water and Sanitation Committees and the sampled water supply facilities were properly maintained and functioning satisfactorily. Quarterly reports from Extension staff (Health Assistant and a Community Development Officers) on functionality of Water User Committees and software activities implemented were available on files. Communities being served by protected springs and boreholes were charged UGX 500/= per household per month. Whereas for gravity flow schemes, communities were charged UGX 1,000/= per household. Each Water and Sanitation Committee kept a book where records of funds received and spent are maintained..</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was no evidence that environmental screening had been conducted on all WSS projects.</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>Since all projects were not initially subjected to environmental screening, environmental concerns could not be ascertained.</p>	<p>0</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>Out of the sampled construction and supervision contracts, only one for the construction of Kinaba Gravity Flow Scheme has a clause on environmental protection. The rest of the contracts did not have that clause..</p>	<p>0</p>
<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>Review of information contained in FORM 4 (Source Functionality, Management & Gender) and the Annual Progress Reports revealed that at least 50% WSCs are women and at least one occupied a key position (chairperson, secretary or treasurer) as per the sector critical requirements.</p>	<p>3</p>
<p>Gender and special needs-sensitive sanitation facilities in public places/ RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>There was one existing public sanitation facility at Katete Sub-county weekly market which was not marked to give direction as to which stances were for men, women and PWDs.</p> <p>Due to financial constraints no public sanitation facilities were constructed since FY 2015-16.</p>	<p>0</p>