



Local Government Performance Assessment

Lira District

(Vote Code: 531)

Assessment	Scores
Accountability Requirements	83%
Crosscutting Performance Measures	56%
Educational Performance Measures	79%
Health Performance Measures	69%
Water Performance Measures	82%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>Lira District submitted an Annual Performance Contract for FY 2018/19 on 26th July, 2018, a date that was within the time-frame set by the responsible Ministry. The LG therefore was compliant.</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>The LG submitted a Budget that includes a Procurement Plan for the forthcoming FY 2018/19 on 26th July, 2018. This date was before 1st August, a date set by the MoFPED. The District was therefore compliant with the directive set by the responsible Ministry.</p>	Yes

Reporting: submission of annual and quarterly budget performance reports

<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none">• If LG submitted report to MoFPED in time, then it is compliant• If LG submitted late or did not submit, then it is not compliant	<p>Lira District submitted the Annual Performance Report for the FY 2017/2018 on 26th July, 2018, a date before 31st July set in the PFMA Act, 2015.</p>	<p>Yes</p>
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<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> • If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). • If LG submitted late or did not submit at all, then it is not compliant. 	<p>Submission of the quarterly budget performance reports during FY 2017/2018:</p> <table border="1"> <thead> <tr> <th>Quarter</th> <th>Date of submission</th> <th>Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 01</td> <td>20th Dec. 2017</td> <td>No</td> </tr> <tr> <td>Quarter 02</td> <td>23rd Feb. 2018</td> <td>No</td> </tr> <tr> <td>Quarter 03</td> <td>29th April, 2018</td> <td>No</td> </tr> <tr> <td>Quarter 04</td> <td>13th Aug. 2018</td> <td>No.</td> </tr> </tbody> </table> <p>With exception of the 3rd quarter budget performance report which was submitted on time, similar reports for the rest of the quarters were submitted to the MoFPED late i.e. after one month following the end of the quarter.</p> <p>The LG attributed the delay in submission to the change from OBT to PBS as the reporting tool. The PAT was informed that the PBS had technical delays and the LG informed the MoFPED. The PAT however was not availed with documentary evidence to prove that the line ministry was in the know of the technical challenges faced by the LG during the online submission.</p>	Quarter	Date of submission	Reference	Quarter 01	20th Dec. 2017	No	Quarter 02	23rd Feb. 2018	No	Quarter 03	29th April, 2018	No	Quarter 04	13th Aug. 2018	No.	<p>No</p>
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Quarter 01	20th Dec. 2017	No																
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Quarter 03	29th April, 2018	No																
Quarter 04	13th Aug. 2018	No.																
<p>Audit</p>																		

<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a 'Response' (and provide details), then it is compliant • If LG did not submit a 'response', then it is non-compliant • If there is a response for all –LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>The LG provided information to the PS/ST on the status of implementation of Internal Auditor General or Auditor General's findings for the previous financial year 2016/2017 by end of February, 2018</p> <p>(A) Internal Auditor General FY 2016/2017</p> <p>Number of queries raised Number of queries cleared Number of queries pending</p> <p>5 5 Nil</p> <p>The CAO wrote to the PS/ST of the MoFPED (acknowledged "received" by the Directorate of Internal Audit) ref. CR/251/1 dated 16th April 2018 showing actions taken by him on audit queries raised by Internal Auditor during the FY ended 30th June, 2017.</p> <p>(B) Office of the Auditor General FY 2016/2017</p> <p>Number of queries raised Number of queries cleared Number of queries pending</p> <p>9 9 Nil</p> <p>The CAO responded to one written by PS/ST, MoFPED on 31st January, 2018 though a reply letter ref. CR/251/1 dated 23rd Feb.2018 detailing actions he had taken on the issues raised by Auditor General during the audit of financial statements for the year ended 30th June, 2017.</p>	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>Lira DLG obtained a Qualified "Except For" Audit Opinion for FY 2017/2018</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>CAO of the LG appointed a District Physical Planning Committee vide a circular letter ref. CR/156/8 of 5th October, 2017. The appointments were addressed to the respective offices and not individual persons occupying those offices. The offices were:</p> <ol style="list-style-type: none"> 1. Principal Administrative Secretary, Chairman 2. Physical Planner, Secretary 3. District Surveyor 4. The District Road Engineer 5. The District Education Officer 6. The District Agricultural Officer 7. The District Health Officer 8. The District Natural Resources Officer 9. The District Community Development Officer. <p>However, the committee lacked full membership of the following:</p> <ol style="list-style-type: none"> 1. A Surveyor in private practice 2. District water Engineer 3. District Architect 	0

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>The District Physical Planning Committee produced the 4 sets of minutes of their meetings and submitted them to MoLHUD via Lira Ministry Zonal Office who acknowledged receipt on the following dates (by stamping):</p> <p>24th December, 2017, 10th February, 2018, 16th June, 2018, and 11th September, 2018</p>	<p>1</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>At the time of assessment, the District did not have a final Physical Development Plan in place. What was available was a draft plan. However, it was noted that during the FY 2018/19, physical planning activities at the LLGs had been planned for.</p>	<p>0</p>

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> Action area plan prepared for the previous FY: score 1 or else 0 	<p>In the absence of a physical development plan, there were no action area plans in place. However, rural growth centres had already been identified and a report was available. At the time of the assessment, planning works had started in Amuca and Barapwo Parishes.</p>	<p>0</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>A budget conference for FY 2018/19 was held on 27th October, 2017 and a report signed by Mr Tivvu Mark, the CAO and Hon. Alex Oremo Alot, the LC V Chairman was produced and seen by PA Team.</p> <p>It was noted that the District priorities in the AWP for FY 2018/19 were based on outcomes of the budget conference as per the examples below:</p> <p>Examples of Priorities in AWP Item No. on Conference report</p> <p>Low cost sealing of Odokomit to Lira University Road at shs.233,179,569 against a budget of shs.235,133,000 under item 27 in the conference report</p> <p>Construction of Lango Diocese Hqs to British Cornern Road at shs. 184,300,000 under item 27 in the conference report</p> <p>Rehabilitation of 4 classrooms at Otara P/S at shs.59,640,451 against a budget of shs.50,000,000 under item 15 in the conference report</p>	<p>2</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>There was evidence seen by PAT that the capital investments in the approved Annual Work Plan for the current FY 2018/2019 were derived from the approved Five-Year Development Plan 2015/16-2019/20 as in the examples in table below:</p> <ol style="list-style-type: none"> 1. Maintenance of community access roads (99 bottle necks) on page 76 in the AWP and 152 in the 5 year development plan 2. Construction of piped water system at Aler RGC on page 86 in the AWP and 152 in the 5-year development plan 3. Construction of Agali Seed Sec. School on page 69 in the AWP and 151 in the 5 year DDP 4. Immunization, disease control and case management on page 57-61 in the AWP and 150-151 in the 5year development plan 	<p>1</p>
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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>District TPC meeting held on 27th February, 2018 discussed for all investments in the AWP as per LG Planning guidelines. It was item No. 6 on the Agenda. Discussed under Min. 6/TPC/02/2018.</p>	<p>2</p>
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> • Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making-maximum score 1. 	<p>The latest District Abstract signed by Hon. Alex Alot Oremo LC V Chairman was presented to the PA Team for review. It was presented to the TPC in their meeting of 31st May, 2018. Under Min. 4 © /TPC/05/2018 it was discussed and members noted how the document could be used by the committee to reach informed decisions.</p>	<p>1</p>

<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>Infrastructure projects implemented by the LG in the previous FY 2017/2018 were derived from the Annual Work Plan and Budget approved by the LG Council as per reference pages in the examples below:</p> <p>Rehabilitation of 8 classrooms at Abutoadi P/S on page 57 of AWP and 23 in budget Low sealing of Odokmit-Lira University Road on page 65 of AWP and 30 page of budget Construction of Ferro cement water tank at Agur H/C IV on page 69 of AWP and page 32 of budget Deep borehole drilling and installation at Alit on page 70 of AWP and page 33 of budget</p>	<p>2</p>
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. <ul style="list-style-type: none"> o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>Out of 65 investment projects in the FY 2017/18 approved AWP 63 were completed. This was 96.9% completion rate. The two(2) projects that were not completed were:</p> <ul style="list-style-type: none"> i) Rehabilitation of a four classrooms at Anyomorem P/S. It had been under budgeted for. However, it was rolled over to FY 2018/19 for completion ii) Electing an Arch at the main gate with a sign post of Lira LG. Works were affected by road construction by Lira Municipality under USMID. However, work on this project could be completed under the defect liability clause once road works were completed. 	<p>2</p>

<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>The budget for the infrastructure projects for FY 2017/18 was UGX 1,573,597,176 while actual implementation cost was UGX1,501,696,274. The variance was 4.56% the original budget.</p> $1,573,597,176 - 1,501,696,274 = 4.56\%$ $1,573,597,176$ <p>Examples of infrastructure projects were;</p> <ol style="list-style-type: none"> Rehabilitation of Ayel P/S at a cost of shs.32,335,108 against a budget of shs.40,000,000 Periodical mentainance of Apoka to Angolocom road at cot shs.94,500,000 against same budget Construction of Ferro Cement drain water tank at Alika HCII at shs.7,600,000 against a budget of shs.8,000,000 	<p>2</p>
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<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2 	<p>Budget for O&M for infrastructures in FY 2017/2018 was a small provision of UGX 2,100,000 in three departments.</p> <p>Actual expenditure on O&M during the same FY was UGX 1,095,000.</p> <p>Percentage 52.1%.</p> <table border="1" data-bbox="703 481 1409 985"> <thead> <tr> <th>Department</th> <th>Actual</th> <th>Budget</th> </tr> </thead> <tbody> <tr> <td>Administration</td> <td>0</td> <td>1,000,000</td> </tr> <tr> <td>Finance</td> <td>595,000</td> <td>600,000</td> </tr> <tr> <td>Health</td> <td>500,000</td> <td>500,000</td> </tr> <tr> <td>Total</td> <td>2,100,000</td> <td>1,095,000</td> </tr> </tbody> </table> <p>These figures were picked from the expenditure and analysis report annexed to the final draft accounts dated 10th September, 2018 on pages 2-9. The expenditure on infrastructure O&M was only captured as “others”</p>	Department	Actual	Budget	Administration	0	1,000,000	Finance	595,000	600,000	Health	500,000	500,000	Total	2,100,000	1,095,000	
Department	Actual	Budget																
Administration	0	1,000,000																
Finance	595,000	600,000																
Health	500,000	500,000																
Total	2,100,000	1,095,000																

Human Resource Management			
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has filled all HoDs positions substantively: score 3 	<p>The Customized Staff Structure was approved by Council vide Minute 4 (c)/LDLC/Dec/2016 in the meeting held on 22nd December, 2016. The approved structure was forwarded to the MoPS on 23rd March, 2017 by letter ARC135/306/01 and received by MoPS on 23rd March, 2016.</p> <p>The HoDs personal files reviewed indicated that 8 out of 9 departments excluding that of the CAO were substantively filled. They included the following:</p> <p>Chief Finance Officer; Ebonga Geoffrey contained under Lira DSC minute 156/2017 of the meeting held in July and August 2017 and appointed by CAO on 1st September, 2017 letter Ref. No. CR/160/1.</p>	0

Production and Marketing Officer; Okello Thomas appointed on transfer from Oyam on 20th February, 2017 by letter Ref. No. CR/161/1.

Commercial Officer; Aloba Josephine appointed on 1st September, 2017 Ref. CR/161/1 as directed under DSC minute 96/2017,

Health Officer; Dr. Ocen Patrick Buchan appointed on Promotion on 5th June, 2017 by letter CR/161/1 as directed by under DSC minute 53/2017

Planning Officer; Omoo Henry appointed on accelerated promotion on 1st September, 2017, letter Ref. CR/160/1 as contained under DSC minute 153/2017

Education Officer; Ms Offungi Frances appointed on Promotion on 5th Jun3, 2017 by Letter Ref. CR/161/1 as directed under DSC minute No. 40/2017,

Community Based Officer; Anono Christine appointed on Promotion on Transfer of Services 27th July, 2018 Letter Ref. No. CR/160/1 as directed under DSC minute No. 1 (a) 2018.

Natural Resources Officer; Otiye Fabious appointed during restructuring by retention and accelerated promotion on 1st September, 2017 by letter Ref. No. CR/160/1 which was contained under DSC minute 154/2017.

The only position in acting capacity was that of the District Engineer.

The LG had made efforts since 2010 raising adverts e. g. for instance in the Daily Monitor of Wednesday, May 5, 2010 but did not attract an Engineer with the required qualification for the position.

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>Some of the HoDs were appraised through signing of FY 2017/2018 Performance Agreements which were on each individual files. The agreements were clearly endorsed by the CAO.</p> <p>The Assessment Team however noted that the CAO had not signed most of the Annual Performance Reports</p> <p>The Production and Marketing Officer's file had the Performance Report where the CAO indicated that he had met all the set targets in the Performance Agreement. The report was signed by CAO on 3rd July, 2018.</p> <p>The Performance Report on the CFO's file, the CAO noted that;</p> <ul style="list-style-type: none"> -the CFO had to regularly organise monthly departmental meetings -to always keep in command of delegated duties, and -to be strict and confident in his work. <p>The report was signed on 25th July, 2018.</p> <p>At the time of assessment, the performance reports of other Heads of departments were still in the CAO's office for endorsement at the time of the Assessment.</p> <p>The report was signed on 25th July, 2018.</p> <p>The performance reports for other HoDs of departments were still at the CAO's desk for endorsement at the time of the Assessment.</p>	<p>0</p>
<p>The LG DSC has considered all staff that have been submitted</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>During the FY 2017/2018, the LG recruited 30 positions. The DSC considered the staff during its various meetings for instance under minute 209/2017, ten (10) names of Parish chiefs were</p>	<p>2</p>

<p>for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>		<p>considered and on 19th January, 2018 by letter Ref. CR/156/9, Ongom Bob Churchill one of the 10 recruits was appointed by the CAO as was the case for all other parish chiefs.</p> <p>Other examples of appointments of FY 2017/18 included;</p> <ol style="list-style-type: none"> 1. Apio Susan, Education Assistant II was appointed on transfer on 15th May, 2018 as per letter Ref. CR/156/2 as directed by DSC 12/2018 2. Opio Esau considered under DSC minute 46/2018 and appointed on probation on 27th June, 2018 by letter Ref. CR/156/2 as Clinical Officer. 3. Ongom Daniel an Enrolled Nurse was appointed on probation on 27th June, 2018 by letter Ref. CR/156/2 as directed by DSC minute 47/2018, 4. Laboratory Assistant, Akello Sharon was appointed on probation on 27th June, 2018 by letter Ref. CR/156/2 as directed by DSC minute 48/2018, 5. Olwit Patrick Ogwang was appointed on promotion as Senior Inspector of Schools on 27th June, 2018 by letter Ref. CR/156/2 as directed by DSC minute 49/2018, 6. Opio Francis, a Staff surveyor was appointed on Probation on 27th June, 2018 by letter CR/156/2 as directed by DSC minute 50/2018. 7. Okello John Bosco a Senior Education Officer was appointed on Promotion on 27th June, 2018 by letter Ref. CR/156/2as directed by DSC minute 51/2018. 8. Senior Education Assistant, Muhindo Annet was appointed on transfer of service from Mpigi on 15th May, 2018 by letter Ref. CR/156/2as directed by DSC minute 13/2018, 9. Senior Education Assistant, Opio Jacinto was appointed on transfer of service on 15th May, 2018 by letter Ref. CR/156/2as directed by DSC minute 15/2018, 10. Education Assistant II, Auma Christine was appointed on transfer on 15th May, 2018 by letter Ref. CR/156/2as directed by DSC minute 16/2018, 11. Driver, Kasule Moses was appointed on transfer service on 15th May, 2018 by letter Ref. CR/156/2as directed by DSC minute 21/2018, 12. Education Assistant II, Akullo Catherine was appointed on transfer of service effective 1st December, 2017by letter Ref. CR/156/2as directed by DSC minute 215/2017. 	
<p>The LG DSC has considered all staff that have been submitted</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>On review of the submission book, a total of 67 positions were submitted and were confirmed by different meetings held by the DSC during the FY 2017/2018.</p>	<p>1</p>

for recruitment, confirmation and disciplinary actions during the previous FY.

Maximum 4 points on this Performance Measure.

DSC meeting held on 3rd – 4th April, 2018 confirmed Education Assistants under different minutes for instance; -

minute 05/2018 confirmed 8 Education Assistants,

minute 06/2018 confirmed 8 Education Assistants

minutes 07/2018 and 08/2018 each confirmed 1 Education Assistant

minute 29/2018 confirmed 2 health workers

minutes; 30/2018, 31/2018, 32/2018, 34/2018, 35/2018, 36/2018 and 38/2018 each confirmed one Education Assistant, making a total of seven.

During the DSC meetings held on; 28th November, 2018, 2nd and 5th December, 2017 confirmation was done;

Minutes 201/2017, 202/2017, 203/2017, 204/2017, 206/2017, 2011/2017, 212/2017, 213/2017, 2016/2017, 217/2017, 218/2017 and 2019/2017, one staff was confirmed in each meeting making a total of 12.

DSC meeting held on 21st – 22nd – 23rd August, 2017 confirmed staff submitted under different minutes for instance;

Minutes; 165/2017, 166/2017, 167/2017, 168/2017 and 169/2017, each confirmed one staff making a total of 5 staff confirmed.

Minute 170/2017 confirmed three staff

Minutes 171/2017, 172/2017, 173/2017, 174/2017, 175/2017, 183/2017, 185/2017, 186/2017,

		<p>187/2017, 188/2017 and 189/2017 each confirmed one staff making a total of 11 staff</p> <p>Minute 185/2017 confirmed 10 staff</p> <p>186 to 189/2017 each confirmed one person.</p>	
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>Four staff were submitted for disciplinary action;</p> <p>Ojok Tom Robert, a Head Teacher on 6th March, 2018 over poor public relations and failing to sign the interdiction letter</p> <p>Education Assistants II; Omara Leonard, due to absenteeism,</p> <p>Ataibu Polly Paul due to gross absenteeism since 2013</p> <p>Odong Francis due to abscondment from duty and teaching in Private Schools. The submission was on 6th February, 2018.</p>	1
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>The LG operated the IPSS and updated the Payroll promptly in line with the effective date of appointment of a particular staff as recommended by DSC. Lira DLG recruited 30 staff during the FY 2017/2018 and the recruited staff accessed the payroll in less than two months. For example, the CAO appointed Okello John Bosco as Education Officer on 1st June, 2018 as directed by DSC minute No. 51/2018. On the July, 2018 he was on the payroll under IPSS No. 682175.</p> <p>Ongom Bob Churchill and Onang Geoffrey were appointed on 1st December, 2017 as directed by DSC minute No. 209/2017 and on the January 2018 Payroll they appeared under IPSS No. 1019200 and 1019592 respectively</p>	3

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous <p>FY have accessed the pension payroll not later than two months after retirement: score 2</p>	<p>There were 10 staff retired in FY 2017/18. Their files were submitted to MoPS for instance;</p> <p>Amwonya Benson, Ogwal Godfrey, Okabo Tobam, Ekwong Patrick and Ocen Joseph files were submitted on 17th July, 2018</p> <p>Wanyenya Margret on 15th January, 2018 and Okao Lucky, Omara Augustine, Ariong John Peter and Ogwang Fred on 21st May, 2018</p> <p>By the assessment time, all the 10 retired staff had not accessed the payroll.</p> <p>According to the district authorities, the delay to get on the payroll was attributed to; Procedural delays in assessing and auditing the files at MoPS and MoFPED, missing documents and irregularities in filling documents and the decentralisation of pension management which increased the work load at the District</p>	<p>0</p>
<p>Revenue Mobilization</p>			

<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> •• If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% -10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>T</p> <p>Total of OSR for FY 2016/2017 was UGX 444,078,345 (Page 31 of Audited Final Accounts FY2016/2017)</p> <p>Total of OSR for FY 2017/2018 UGX 346,020,896 (Page 29 of the Draft Final Accounts FY20172018)</p> <p>Decrease: of UGX 97,997,449</p> $= \frac{(444,078,345 - 346,020,896)}{444,978,345} \times 100$ <p>Percentage Decrease. 22%</p> <p>Calculations</p> <p>Total Gross OSR FY 2016/2017 UGX 476,418,345 exclude UGX 32,400,000 (Nomination fees paid by Aspirants for Parliamentary Seats, District Chairpersons and District Councillors (UGX32,400,000 was District Share from Electoral Commission) (confirmed from Sen Accountant Ngoro Bernard Walter 0772901477) = UGX 444,018,345 (Net OSR FY 20162017)</p> <p>The main reason for the decline in local Revenue Collections in FY 2017/2018 was the Restructuring exercise at the District and Lower Level Local Governments levels where most of the Parish Chiefs were laid off due to lack of qualifications yet they were playing a big role in mobilising Local Revenue.</p>	<p>0</p>
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<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>Total Local Revenue Planned/Budgeted (Original not Revised budget) for FY 2017/2018 UGX 634,771,139 (Page 12 of Draft Final Accounts FY 2017/2018)</p> <p>Total Local Revenue collected during FY 2017/2018 was UGX 346,020,896</p> <p>% realisation= $346,020,896 \times 100$</p> <p style="text-align: center;">$634,771,139$</p> <p style="text-align: center;">$= 54.5\%$</p> <p>which indicates a Performance of 55%</p> <p>Deficit of 45% ($100\% - 55\%$)=45%</p> <p>The main reasons for not achieving 100% in Local revenue Collections FY 2017/2018 was :</p> <p>Restructuring exercise at the District and Lower Level Local Governments levels where most of the Parish Chiefs totalling 10 were laid off due to lack of qualifications yet they were playing a big role in mobilising Local Revenue.</p>	0
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>Local Revenue collections subjected to sharing with LLGs was UGX 173,388,213</p> <p>Amount of local revenue remitted to LLGs was UGX 74,429,740</p> <p>Therefore the Status of compliance was</p> <p style="text-align: center;">$= 74,429,740 \times 100$</p> <p style="text-align: center;">$173,388,213 = 43\%$</p> <p>Therefore 43% was remitted to LLGs instead of the required 65% as stipulated in 5th Schedule Section 20 of Local Governments Act CAP 243 (Version As at 31st December, 2010)</p>	0

<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>T</p> <p>Total revenue receipt for FY 2016/27 was UGX 444,018,345 (page 31 of audited final accounts FY 2016/17)</p> <p>Total expenditure on council allowances during FY 2017/2018 was UGX 130,918,286</p> $=130,918,286 \times 100$ $444,018,345 = 29\%$ <p>The Council allowances were therefore 29%.</p> <p>Total Council expenditure of 30% allowances was higher than 20% of the Local Revenue realized in FY 2016/3017</p> <p>However, the District Local Government was granted permission by the Minister of Local Government to spend a total of Shs 28,796,331 as per a letter dated 13th September, 2017 on Council Allowances Ref. MC-22</p> <p>However, the District Local Government was granted permission by the Minister of Local Government to spend a total of Shs 28,796,331 as per a letter dated 13th September, 2017 on Council Allowances Ref. MC-22</p>	<p>0</p>
<p>Procurement and contract management</p>			

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>The DLG positions of Senior Procurement Officer and Procurement Officer were substantively filled.</p> <p>The Senior Procurement Officer; Mr. Ebil Patrick as directed by DSC minute No. 85/2017 was appointed by the CAO through Retention on Promotion on 1st September, 2017 by letter Ref. No. reference letter no. CR/160/1</p> <p>The Procurement Officer; Ms Adong Roseline as directed by DSC minute No. 86/2017 was too appointed through Retention on Promotion on 1st September, 2017 by letter Ref. No. reference letter no. CR/160/1</p>	<p>2</p>
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>The LG Procurements for the FY 2017/2018 were properly evaluated and the Evaluation Committees presented their recommendations to the Contracts Committee as evidenced by;</p> <p>Drilling, casting and installation of 12 deep boreholes (Lot 1 (6 boreholes; LIRA531/Wrks/17-18/00001 (a)) and Lot 2 (6 borehole; LIRA531/Wrks/17-18/00001 (b)). For each lot, five bidders were responsive, i. e; Ms East Africa Boreholes Ltd, UGX 121,292,200, Ms Icon Projects Ltd UGX 126,283,600, Ms Galaxy Agrotech (U) Ltd UGX 110,714,680, Ms Ebowa Investments Ltd UGX 139,300,000 and Ms SIRI Balaji Industries EA UGX 108,470,320.</p> <p>Both lots were evaluated by; Omara DJ Oredi (Education Officer), Etap Resty Okuja (Accountant) and Francis Ariong (civil engineer) as per the report dated 12th September, 2017.</p>	<p>1</p>

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>The Contracts Committee considered recommendations of the EC and in all the awarded contracts, the PAT did not note any deviations from the EC recommendations. For instance: The EC recommended to the Contracts Committee that Lot 1 ((Drilling of 6 boreholes; LIRA531/Wrks/17-18/00001) be awarded to Ms Ebowa Investments Ltd for being the most responsive bidder and Lot 2 be awarded to Ms East African Boreholes Ltd for being the most responsive bidder.</p> <p>The Contracts Committee during its 3rd meeting held on 15th September, 2017 through minute 003/DCC3/2017/18 adopted the recommendations of the EC and awarded the two Contracts.</p>	<p>1</p>
<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> • a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>The FY 2018/19 Procurement and Disposal Plan and the AWP were in conformity, for example the completion of renovation of 4 classrooms including office space at Abutoadi Primary School item No. 42 of the PBS General Procurement Portal printed Procurement and Disposal Plan under the Education Sector and in the AWP it was item No. 312101-1 to 3.</p> <p>The Procurements for FY 2017/18 corresponded well with respective AWP for example; item 41 on page 2 of the Procurement and Disposal Plan; Low Cost Sealing of Boroboro-Lira-Soroti road which appeared on page 65 of the AWP as item 7 (a)</p>	<p>2</p>

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/ infrastructure by August 30: score 2 	<p>There was no evidence that the LG had prepared 80% of the bid documents for all investment/infrastructure for FY 2018/19. Documents seen were at preparatory stage and had parts of the bid document like Bills of Quantities (BoQs) as follows; Installation of Aber solar water supply system</p> <ol style="list-style-type: none"> 1. Construction of 5-stance drainable latrine at Barapwo P/S 2. Construction of 2-classroom block Canon Lawrence Dem.Primary School 3. Construction of Library block at Amach Primary School 4. Construction of 5-stance lined pit latrines at different schools 	<p>0</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>Lira DLG operated the GPP which had all the Contracts uploaded and all the Contracts were also uploaded on to the IFMIS as per evidence of the Print- out dated 11th September, 2018 and endorsed by the Head of PDU and CAO</p>	<p>2</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance</p>	<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>All the procurements undertaken during the FY2017/2018 were in harmony with Regulation 33(3) of the LGs (Public Procurement and Disposal of Public Assets) Regulations 2006 Thresholds for procurement of Works (1a and 1b), thresholds for procurement of supplies and services (2a and 2b), micro procurement (3) and community purchase (4). The LG used two procurement methods; Open Domestic and Restrictive/Selective bidding. This was evident from the sample of five procurements below;</p> <p>Open Domestic Bidding, i. e Procurements with</p>	<p>2</p>

measure.

Price above UGX 100M were;

a) Drilling, casting and installation of 12 deep boreholes (Lots 1; Lira531/wrks/17-18/00001 (a) and Lot 2; Lira531/wrks/17-18/00001 (b)) with a bid security of UGX 2,340,000 per each lot was advertised in the Red Pepper News Paper of Monday, August 14, 2017 page 9 and an addendum extending the bid submission date from 1st to 5th September, 2017 was in the Red Pepper News Paper of Wednesday, August 30, 2017.

b) The same advertisement contained procurement No. Lira531/wrks/17-18/00008; Rehabilitation of District Council Hall with a Bid security of UGX 1,060,000,

c) The Monday, August 14, 2017 Red pepper advert also carried procurement No. Lira531/wrks/17-18/00004 with bid security UGX 1,804,000

d) Procurement No. Lira531/wrks/17-18/00002; the Low Cost sealing of Boroboro to Lira Soroti Road with bid security UGX 3.6M

Restrictive/Selective Bidding was applied to;

e) Procurement Lira531/wrks/17-18/000012; Construction of 4-stance drainable Latrine at Ocamonyang Primary School whose bidding process was as follows;

i. Record of issue of solicitation documents (PP Form 31) showing the Date Notice Published as 22nd October, 2017. The form indicated that 3 potentials bidders picked from the Pre-qualification list; Kiwede Holding Ltd, Oyere Investment Co. Ltd and Badi Papi Co. Ltd were contacted by Lira DLG to express interest on 6th October, 2017 and 10th October, 2017 respectively

ii. Record of Receipt of Bids (PP Form 10) indicated two bids submitted on 13th October, 2017 by Oyere Investment and Badi papi Co. Ltd and were received by Adong Roseline Show the Procurement Officer and Dr. Ocan P. B.

iii. Record of Bid opening (LG PP Form 10) was on 13th October, 2017; Oyere Investment Co. Ltd quoted UGX 19,999,880 and Badi Papi who quoted UGX 18,878,820

On 20th November, 2017, the best evaluated for the Construction of Ecosan, Drainable and VIP latrines FY 2017/18 was Ms Badi Papi Company Ltd who is bidder No. 15 Annex 01 of the Pre-qualification list FY 2017/18. The Contracts Committee's decision to award was on 30th October, 2017 and was in

		adherence to the recommendations of the EC and the Contract was signed on 27th November, 2017.	
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates <p>for all projects based on technical supervision: score 2</p>	<p>Works projects implemented during FY 2017/2018 had proper certification for example;</p> <ul style="list-style-type: none"> • Low cost sealing of Odokomit-Lira University road constructed by Ms CME Enterprises Ltd at UGX 233,179,569 had Technical report and interim certificate No.1 dated 20th December,2017 amounting to Ugx.112,267,780 and a completion certificate was issued on 20th April,2018 amounting to Ugx.233,179,569 • Low cost sealing of Lira-Boroboro road constructed by Ms Empa Associates Ltd at UGX 199,234,394 had a Technical report and interim certificate No.1 date 22nd May,2018 amounting to Ugx.127,424,838, interim certificate No.3 dated 12th December,2018 amounting to Ugx.15,945,587 the final certificate was in the process of being prepared. • Drilling and installation of 6 boreholes by Ms Ebowa Investments Ltd at UGX 111,228,000. Only one completion certificate dated 13th March, 2018 was issued for the whole payment there was no interim certificate. 	2
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>Works projects for FY 2018/19 had not yet started implementation phase. The projects which were rolled over from FY 2017/18 and were to be completed in the FY 2018/19 had not been labelled at the time of assessment. The ongoing projects included;</p> <ul style="list-style-type: none"> • Renovation of 4 classroom block at Ayel P/S by MS Ngica Ngica Co. Ltd • Renovation of 4 classroom block at Anymrem P/S by M/S Todi Investments. Ltd • Erecting arch with a sign post of Lira DLG at the LG main gate funded from Force Account of the LG 	2
Financial management			

<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>The LG made monthly reconciliations as at 30th June, 2018 as evidenced by the following Sampled bank Accounts;</p> <p>Treasury Single Account (TSA) maintained with Bank of Uganda</p> <p>NUSAF 3 Account no 007011020000735, maintained with DFCU Lira Branch.</p> <p>Global Fund Account No 0145067446200 maintained with DFU Lira Branch,</p> <p>UNICEF Account No 1010501103 maintained with Centenary Rural Development Bank, Lira Branch</p> <p>However, with exception of TSA account, monthly bank reconciliation of the rest of bank Accounts were not up to date at the time of assessment. for the period from July 2018 to August, 2018</p>	<p>0</p>
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The LG made timely payment of suppliers during the previous FY

Maximum 2 points on this performance measure

- If the LG makes timely payment of suppliers during the previous FY
 - no overdue bills (e.g. procurement bills) of over 2 months: score 2.

The LG made timely payment of suppliers during the previous FY 2017/2018 as per sampled following payment Vouchers;

a) Voucher No Works /Feb18/12 dated 20th February, 2018 for UGX 30,400,000 payee Bamuni Services U Ltd purpose Drilling and Installation of 3 Shallow wells at Alenga, Ongura Ateti Otor Kedi Villages.

? Certificate made issued on 5th February,2018

? Request/Claim for payment by the Contractor made on 8th February 2018 on 5th February 2018

? Request/Claim for Payment endorsed by District water officer on 8th February,2018.

? Payment effected on 20th February, 2018

Payment made promptly within a period of 2weeks

? Payment Voucher DHS/MAR/18/01 of UGX 16,181,047 paid to Ms ICSO Services U Ltd for renovation of District Vaccines Stores at the Health Department.

? The claim was made on 26th February, 2018,

? DHO endorsed the Claim on 1st March, 2018

? Payment effected on 15th March, 2018.

Payment was effected in a timely manner within a period of 2 weeks.

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The District Local Government had a substantive Principal Internal Auditor in the names of Mike Okidi (Mob 0772587544) as per appointment letter dated 1st Sept,2017 Ref CR/D/18336</p>	<p>1</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>Quarterly Internal Audit Reports for FY 2017/2018:</p> <p>Q1 8th November, 2017</p> <p>Q2 15th February, 2018</p> <p>Q3 15th May, 2018</p> <p>Q4 15th August , 2018</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>Information to Council & LG PAC on Internal Audit Queries raised during FY 2017/2018</p> <p>. Number of queries raised were 22, number of queries cleared were 17 and number of queries pending 5</p> <p>LGPAC did not follow up on audit queries from all Quarterly Audit Reports FY 2017/2018</p>	<p>0</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>Action by the Accounting Officer, LG PAC on Internal Audit Queries raised during FY 2017/2018:</p> <p>Submission date for Q1 8th November, 2017</p> <p>Submission date for Q2 15th February, 2018</p> <p>Submission date for Q3 15th May, 2018</p> <p>Submission date for Q4 15th August , 2018</p>	<p>0</p>
<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>The District Local Government maintained an updated Assets Register covering Land and Buildings, Fixed Assets General Motor Vehicles and Heavy Plants. Formats as Stipulated in Local Governments Financial and Accounting Manual 2007 (Page 167- 168) were adhered to.</p> <p>The District Local Government maintained Assets Registers using the Manual System.</p>	<p>4</p>
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>Lira District obtained a Qualified " Except for" Audit opinion for FY 2017/2018</p>	<p>2</p>

Governance, oversight, transparency and accountability

<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2 	<p>Lira District Council met and discussed service delivery related issues as provided in the minutes below:</p> <table border="0"> <thead> <tr> <th>Date</th> <th>Minute No.</th> </tr> </thead> <tbody> <tr> <td>11/09/17</td> <td>4/LDLC/ Sept/2017</td> </tr> <tr> <td colspan="2">Expansion of Municipal boundary for acquisition of a city status</td> </tr> <tr> <td>28/11/17</td> <td>5b/LDLC/Nov/2017</td> </tr> <tr> <td colspan="2">Report of Finance and Administration Committee</td> </tr> <tr> <td>23/01/18</td> <td>6/LDLC/ Jan/2018</td> </tr> <tr> <td colspan="2">Committee reports of Ed & Health, Lira University, Uganda Technical College, Lira</td> </tr> <tr> <td>29/03/18</td> <td>6/LDLC/Mar./2018</td> </tr> <tr> <td colspan="2">Laying of 2018/19 budget Estimates</td> </tr> <tr> <td>28/05/18</td> <td>5b/LDLC/May?2018</td> </tr> <tr> <td colspan="2">Executive Committee Business: Approval of Council Budget 2018/19.</td> </tr> </tbody> </table> <p>As evidenced in table above, Council discussed service delivery related issues like finance Educational Institutions, Budgets, etc.</p>	Date	Minute No.	11/09/17	4/LDLC/ Sept/2017	Expansion of Municipal boundary for acquisition of a city status		28/11/17	5b/LDLC/Nov/2017	Report of Finance and Administration Committee		23/01/18	6/LDLC/ Jan/2018	Committee reports of Ed & Health, Lira University, Uganda Technical College, Lira		29/03/18	6/LDLC/Mar./2018	Laying of 2018/19 budget Estimates		28/05/18	5b/LDLC/May?2018	Executive Committee Business: Approval of Council Budget 2018/19.		<p>2</p>
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<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that LG has designated a person to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaints: score 1. 	<p>In a letter issued by CAO, Mr Okol Etwop Leo, the Health Information Assistant was assigned the duty to coordinate responses and responded to feedback and complaints raised by citizenry.</p>	<p>1</p>																						
<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>There was no documented system for recording, investigating and responding to grievances which was displayed and made publically available. Individual cases were being dealt with in an ad-hoc manner.</p>	<p>0</p>																						

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>Copies of payroll for traditional civil servants and pensioners for the month of August 2018 were displayed on the Council Notice Board found at the reception of the main office block. The print off was dated 23rd August, 2018.</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>Procurement plan and awarded contracts were seen displayed on the Council Notice Board outside the main office block. Some of the awarded contract witnessed had the following details:</p> <p>i) Partial completion of Aler Solar Power Water Scheme awarded to Allianz Ltd. At shs.144,000,000</p> <p>ii) Low cost sealing of Odokmit to Lira University Road awarded to CME Enterprises Ltd. at shs.289,420340</p> <p>Low cost sealing Lira-Boloboloto Soroti Road awarded to Empa Associates Ltd. At UGX 183,282,969</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>There was no evidence availed to the PA Team to show that performance assessment results and implications were published for public consumption.</p> <p>The District communication officer conceded that the results were also not posted on the District website.</p>	<p>0</p>

<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>The District had in place a registration book in which policies, circulars, and guidelines from the Centre for dissemination to LLGs and units are recorded. The documents were signed for by the in-charge officers.</p> <p>In addition, communities were being sensitised on the subject matter in the documents through meetings and training Sessions. For example a report on dissemination of HUMC guidelines prepared on 8th August 2017 and forwarded to DHO. The report was trace through the central registry where it was received on the same date stamped.</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feedback on status of activity implementation: score 1. 	<p>It was claimed and indeed consented to by the District LC V that barazas were conducted but there was no evidence by way of reports or minutes on the proceedings of such sessions.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>Apart from supporting the development and publication of ordinance on district cultural action plan, there was no evidence that the gender focal person and CDO had provided guidance and support to sector departments. The ordinance on cultural action plan was passed under Min.4 (b)/TPC/05/2018 on District Cultural Action Plan (Meant to address mistreatment of women and children in the guise of culture)-A gender based violence Ordinance past and published in Ug. Gazette on 8th December, 2017.</p> <p>No evidence of guidance and support by the gender focal point person to sector departments to mainstream gender, vulnerability and inclusion into their activities seen in the departmental meeting minutes reviewed by the Assessment Team:</p> <p>Minutes of the Social Welfare Committees without guidance from the Gender Focal Point Officer seen:-</p> <ol style="list-style-type: none"> 1. Minutes of the 19th and 27th February, 2018 2. Minutes of the 14th and 15th November, 2017 3. Minutes of the 17th and 18th. May, 2018 <ol style="list-style-type: none"> 2. Minutes of the 14th and 15th November, 2017 3. Minutes of the 17th and 18th. May, 2018 	<p>0</p>
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<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implemented: score 2. 	<p>T</p> <p>The gender focal person and CDO had planned a minimum of 2 activities for FY 2018/19 to strengthen women's roles and address vulnerability and social inclusions.</p> <p>Planned activities for financial year 2018/19:-</p> <ul style="list-style-type: none"> i). Support to women IGP in Work plan ii). Training of staff in gender mainstreaming iii). Support to youth empowerment <p>The following vouchers on expenditures of FY 2017/2018 were seen:-</p> <ol style="list-style-type: none"> 1. Disbursement of funds to PWDs, UGX 8,100,000/-Voucher No. CBS/18/10 for income generation. 2. Payment of funds for outreach activities on Gender Based violence, Community outreaches. UGX 1000,000 Voucher No. CBS/Dec 171/06 3. Training of FAL Instructors at UGX 3,900,000 on 29th November, 2017. <p>These activities appeared in the Annual Progress report, the Quarterly progress reports, the Narrative of quarter 3 of Quarterly Community Based Services Performance Report, pages 28, 94, 95, 96, 97, 98, 99, 100, 101 -2017/2018.</p>	
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>Some environmental screening/ EIAs were were redimentary done for example;</p> <p>Monitoring Report for low cost sealing of Odokomit to Lira University Rd. , citing and drilling of deep boreholes at Barorwe village, Barnyang village, Acandano, Ader, Olero, and Alira Trading Center, renovation of 4 classroom block at Otara Primary school, 2 stance pit latrine with bath shelters at Barapwoo Health Centre III.</p> <p>Environmental screening appeared to be done but it was insufficient. Further, all the screening reports were signed in the same month of June, 2018 irrespective of when the project was completed.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>The following projects were sampled;</p> <ul style="list-style-type: none"> i) Construction of VIP latrine at Amai Prim. School (No health and Safety Plans seen) ii) Construction of latrine at Aburukuli (No health and safety Plans seen) iii) Construction of Agurudia VIP latrine (No health and safety Plans seen) <p>There was no evidence that the LG had integrated environmental and social management issues in contract bid documents</p>	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>The following District Local Government projects were seen with Land ownership:-</p> <ol style="list-style-type: none"> 1. Lira District Local Government Head quarters SL/53718 plot 15 Block2 Elupe Okwangole 2. Wiodyek Primary School SL55791 3. Olaka Primary School SLS55793 4. Ongica Health Centre (ii) 5. Agricultural show ground SL55590 Ajal James Road 6. Aler Farm SL 51326 7. Lango cultural Center SL/55394 8. Ober Primary School SL/55526 9. Ayira Primary School SL 55525 10. Teokole Primary School SL s55494 11. Cattle Deep at Apado Parish SL/ 53120 12. Lira cattle crush, plot 4c, Ayira Parish SL/56124 	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>Projects below did not have Environmental and social mitigation measures in place:</p> <ul style="list-style-type: none"> -Renovation of 8 classroom block at Abutadi. - Rehabilitation of Immunisation block at Ogur, - Construction of staff house at Abolet P/S, - Completion of staff house at Ololango P/S, - Construction of 5 stance drainable toilet at Gomi. 	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>No payment Certificates and prior environmental and social clearance for the following completed projects not seen:-</p> <ul style="list-style-type: none"> - Completion of staff house at Ololango P/S, - Construction of 5 stance drainable toilet at Gomi Prim. Sch. <p>Renovation of 4 classroom blocks at Otara Prim School.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>Environmental report for planned projects 2017/18, status of projects, pictorial representation with deviations and corrective actions taken were seen. Report was signed by the Environmental Officer of Lira.</p>	<p>1</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>LG budgeted for a head teacher and a minimum of 7 teachers per school for FY 2018/19. The budget covered 93 head teachers and 1157 primary teachers. The budget was Shs.9,080,717,000. The budget was signed by the LCV Chairperson and the CAO on 12th July, 2018</p>	4
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>The LG has deployed 86 head teacher out of the planned 93 primary schools as well as at least 7 teachers as indicated in the sampled schools;</p> <ol style="list-style-type: none"> Alobet P/S has one head teacher by name of Ongora Eliot (CR/D/14170) and 10 teachers. Amuca P/S has Ario Benson (CR/D/13518) as head teacher and 27 teachers Barapwo P/S has Ogwal Amay (CR/D/18416) and 24 teachers Gura P/S has Olobo Bosco (CR/D/11483) and 16 teachers Okille P/S has Ogwal Sammy has CR/D/15992) and 9 teachers. <p>Staff list dated 9th July, 2018, referenced CR/D/300 and addressed to CAO</p>	4

<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<p>There were 7 vacancies for head teachers out of 93 primary school teachers but they were not advertised because there was no wage bill from central government to recruit. Similarly, there were 1157 primary teachers according to staff establishment letter dated 26th July, 2018. No efforts had been made to fill the vacant positions because of the lack of a wage bill. Indeed, a simple calculation indicated that the budget for FY 2018/19 was only enough to pay 1,157 teachers against the staff establishment of 1,892 teachers in Lira LG.</p> <p>The wage bill performance was thus;</p> <p>Budgeted/Actualx100</p> <p>=9,080,717,000/9,080,717,000x100= 100%</p>	<p>6</p>
<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>LG had two positions of Inspector of schools and were all substantively filled as follows;</p> <ol style="list-style-type: none"> 1. Olwit Patrick Ogwang appointed as Senior inspector of Schools on letter dated 27th June, 2018 ref.CR/D/156/2 by DSC minute no.49/2018 2. Omara Ajegeti appointed as Inspector of Schools on letter dated 29th December, 2018 Ref.CR/D/18347 by DSC minute no.49/2018 	<p>6</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>No recruitment plan had been submitted for FY 2018/19 to cover teachers because there was no wage bill provision</p>	<p>0</p>

<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>The department did submit a plan for recruitment of the Senior Inspector of Schools for FY 2017/18 because all positions were substantively filled.</p>	<p>2</p>
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Monitoring and Inspection

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>Inspector of Schools were appraised as follows;</p> <ol style="list-style-type: none"> 1. Omara Djejeti Orech was appraised by DEO on 16th July, 2018. 2. The Senior Inspector of Schools was only appointed to this position 27th July, 2018, so the officer will be due for appraisal July, 2019. 	<p>3</p>
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<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<p>Sampled files of 5 head teachers were appraised as follows;</p> <ol style="list-style-type: none"> 1. Ojok Chrisostom- CR/D/11672, Head teacher at Ongologom P/S was appraised on 9th January, 2018 2. Okwero Tommy-CR/D/12602 of Cannon Lawrence P/S was appraised on 29th December, 2017 3. Ogwang mary Grace-CR/D/12402 of Owinyo P/S was appraised on 29th December, 2017 <p>Arimo Boniface of Boke P/S, Okeny Godfrey of Acinikor P/S, Akidi Secondina of Burlobp P/S, Apio Susan of Akore P/S, Oliva Moses of Adekokwor P/S as well as Okello Seith Peter of Akia P/S were all appraised on 29th December, 2018.</p>	<p>3</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>There was evidence that the LG Education department had communicated some guidelines, policies, circulars issued by the national level in the previous FY. For examples;</p> <p>Guidelines on schools charges was disseminated 24/10/2017, enforcing closure of illegal schools circular was disseminated 26/3/2018, adherence to school calendar circular on ref.ADM/137/235/01 dated 9/05/2017 was re-distributed 31st January, 2018, guidelines on Creating a Gender Response Learning Environment- had no accompanying letter but was distributed to head teachers staring 24th January, 2018</p> <p>These guidelines were seen at sampled schools visited.</p>	<p>1</p>

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>The LG Education Department held meetings with primary school teachers and among others sensitized them on guidelines, policies and circulars through a number of meetings which include; meeting held with head teachers on 31st January, 2018 to explain guidelines on the following;</p> <ul style="list-style-type: none"> - Dissemination on support supervision - Dissemination of PLE results <p>Another meeting with head teachers about performance appraisals held on 2nd march, 2018.</p>	2
<p>The LG Education Department has effectively inspected all registered primary schools</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>There was evidence that the DEO's office inspected primary schools as PAT was able to see inspection reports in file as follows;</p> <ul style="list-style-type: none"> - Inspection report for Term I, 2018 was prepared on 25th April, 2018. The report details that all 218 public, private and registered community schools were inspected in Term I, 2018. - Term III, 2017 inspection report details that 114 P/S were inspected. The report was dated 7th November, 2018the inspection report. The report shows that 93 public schools, 18 private schools and 3 community schools were inspected. - Term II, 2018 inspection report dated 10th August, 2018 details that 213 schools in total were inspected. <p>There was evidence that schools visited during the assessment were inspect as PAT was able to see either reports or entries of inspectors in the visitors books as follows;</p> <ol style="list-style-type: none"> 1. At Barapwo P/S, PAT saw one inspection report dated 31st July, 2018. It had comments like; personnel managed was good, school was organized, financial management appeared well managed, e.t.c 2. At Ongiga P/S, three rounds of inspections were made on; 17th July, 2018, 27th march, 2018 and 12th July, 2017. 3. At bar P/S, one inspection report dated 12th July, 2017 was seen 4. At Omach P/S, no inspection reports were seen as we were told that the file was locked away in the 	8

		<p>Library. However, there was evidence that the DEO had visited the school for in term II for inspection on 7th July, 2018 as evidenced by the entry in the visitor's book. Similarly Bua Richard, an associate assessor visited the school for support supervision on 16th July, 2018</p> <p>5. At cannon Lawrence P/S, there was one inspection reports for term I, 2018 dated 14th March, 2018.</p> <p>However, no stand alone inspection report for either community schools or the private schools was seen at the time of assessment.</p> <p>The PAT was thus unable to ascertain whether community and private schools were inspected at least once per term. Since there were 93 government schools, 48 private and licensed schools as well as 3 community schools, we can calibrate the inspection rate as follows;</p> <p>$93/114 \times 100 = 81\%$</p>	
<p>LG Education department has discussed the results/ reports of school inspec- tions, used them to make recommendations for corrective actions and fol- lowed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>There was evidence that the department had discussed school inspection reports as follows;</p> <p>On 11th October, 2017, department meeting discussed; cases of pregnancy in 4 primary schools as raised in the earlier inspection report. A recommendation was made to follow up with the perpetrator. The illegal boarding section at Gura P/S be closed, request partners to support the repair of girls pit latrine and recruitment of more teachers.</p> <p>On 25th April, 2018, department discussed Term II as follows, renovation of classroom at Anai P/S, re-roofing of Akore P/S and supply of infant desks. This was discussed under min4/June/2018</p> <p>On 13th July, 2018 under min.13/7/2018, department meeting discussed- closure of illegal schools in the district, DEO to write to indiscipline teachers whose cases had been referred to that office.</p>	<p>4</p>

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>Inspection reports were submitted to DES regional office in Gulu as follows;</p> <p>Term III FY 201/18 submitted 6th July, 2018</p> <p>Term II FY 2017/18 submitted 3rd September, 2018</p> <p>Term I FY 2017/ 18 submitted 6th July, 2018</p>	<p>2</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>There was evidence that inspection recommendations were followed up as follows;</p> <p>Meeting held on 11th October, 2017 under min.30/11/October/2017 resolved that the boarding section where 2 girls got pregnant be closed. Consequently, a letter dated 12th December, 2017 and addressed to the head teacher of Oketekwor P/S directed that the illegal boarding section be closed immediately.</p> <p>On 13th July, 2018, a warning letter was sent to Awilo P/S teachers who had been reported by the head teacher for being indisciplined.</p> <p>A letter dated 19th June, 2018 under ref.CR/30514 directed the directors of Bafa P/S to close the multiple schools they were operating within a single premises.</p>	<p>4</p>

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>LG had submitted accurate/consistent data of list of schools. This was done online by the District Planner at 14.46hrs on 26th July, 2018. PBS submissions of 93 schools were made. No EMIS reports were submitted.</p>	5
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>LG had submitted accurate/consistent data on enrolment. This was done online by the District Planner at 14.46hrs on 26th July, 2018. PBS submissions of 82,519 pupils were reported. No EMIS reports were submitted.</p>	5
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>The Council Committee responsible for Education met and discussed service delivery issues as follows;</p> <p>Met on 24th August, 2017 and discussed absenteeism by teachers and a defilement case registered in 3 schools. These were discussed under MIN4/EDHE/A/2017</p> <p>Met on 7th December, 2017 to discuss reported cases of 2 pregnant girls at Adekokwor P/S- under MIN5/EDHE/DEC//2017</p> <p>However, performance assessment results were not discussed</p>	0

The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council

Maximum 4 for this performance measure

• Evidence that the education sector committee has presented issues that require approval to Council: score 2

The education committee presented issues that required approval of Council as follows;

Presented FY 2018/19 sector budget of Shs.17,029,832,000 for approval on 28th May, 2018 under Min5b./LDLC/5:/2018

On 29th August, 2017 under min4/LDLG/8/2017 discussed and sought approval for the renovation of classroom block at Hyel , Anyomorem, Aler and Otara primary schools as well as construction of 2 classroom block at Acamonyang P/S.

On 23rd January, 2018 under Min.6/LDLC/Jan/2018 discussed and passed a resolution to close all illegal boarding sections in primary schools. It also resolved to have all teachers reside within the school compound, where accommodation was available as well as having all stakeholders to mobilize school parents send their to school all children who have reached schooling age.

<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>All sampled schools had fully constituted SMCs which were functional as shown from the following minutes;</p> <ol style="list-style-type: none"> 1. Canon Lawrence Demonstration SMC had 12 members and was functional per the SMC and held meetings on; 22nd June, 2018 to approve Term II UPE budget under minute 5(a)/6/2018, 1st August, 2018 disciplinary meeting on Ogwal Horace under min3a/2018, SMC/PTA Executive meeting for Term II, 2018 and presentation of financial report held on 23rd August, 2018 under min05/2018. 19 members attended. Finance Committee of the SMC sat on 26th July, 2018 to discuss budget for 2nd term, 2018 under min04/2018 2. Amach P/S SMC had 13 members and held meeting as follows; 13th March, 2018 for budget approval term II, 12th September, 2017 for budget approval term III of 2017, 16th June, 2017, 3rs March, 2017, 12th June, 2018 all for budget presentations and approval. 3. Bar P/S SMC had 13 members and held meetings as follows; 20th March, 2017 for budget approval of term II, 2017, 14th June for budget approval of term III, 2017, 21st August, 2018 for budget approval for term III, 2018 4. Ongiga P/S SMC had 13 members and held meetings as follows; 4th August, 2018 to pass budget for term III, 2018, 2nd February, 2017 to approve budget for term I, 2017, 26th June, 2017 to approve budget. 5. Barapwo P/S SMC had 13 members and held meetings as follows; 22nd March, 2017 to meet teachers and discuss education issues, 7th June, 2018 to discuss discipline of teachers at school, 16th February, 2018- SMC/PTA presentation of financial report- term I <p>All sampled schools had submitted copies of SMC minutes to DEO's office as required.</p>
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<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3 	<p>The LG had publicized all the 93 schools (from Abala P/S to Wiodyek P/S) receiving non-wage recurrent grants on the notice board. However, it was noted that the notice board was inside the department premises thus making it difficult for the public to access especially on weekends and after office hours. Likewise, the date of publicization was not indicated</p>	<p>3</p>
<p>Procurement and contract management</p>			
<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements, to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>The sector submitted procurement input to the procurement Unit under letter dated 23rd April, 2018 Ref. CR/D/300. Some of the procurement requisitions contained in the plan included;</p> <ol style="list-style-type: none"> Construction of latrines in different schools budget at UGX .96,783,000 Procurement of furniture budgeted at UGX 1,245,000 Class construction and renovation budget at UGX 287,818,000 	<p>4</p>
<p>Financial management and reporting</p>			
<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3. 	<p>There was proper certification of sector works for example; the DEO certified the claim raised by Ms Wangi General Enterprises U Ltd on 17th November, 2017 on the same day of claim. The payment via PV 44 dated 28th November, 2017 of UGX 23,049,251 was effected on 22nd November, 2017.</p>	<p>3</p>

<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>LG Education department had submitted annual quarterly reports and annual report to the Planning Unit as follows;</p> <p>Annual report</p> <p>Q1 report submitted 11th January, 2018</p> <p>Q2 submitted 19th February, 2018</p> <p>Q3 submitted 28th April, 2018</p> <p>Q4 submitted 13th August, 2018, well beyond the statutory date of 15th July.</p>	<p>0</p>
<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>Action on queries raised by the Internal Auditor during FY 2016/2017 were 1. Action queries responded to 1. No pending queries.</p> <p>The Education Sector had acted on the recommendation of internal audit report and LGPAC cleared the Query as per page 4 of third Quarter report FY 2016/2017 dated 20th July, 2017 Ref CR/2/214/8</p>	<p>2</p>
<p>Social and environmental safeguards</p>			

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>The was no satisfactory evidence seen at time of assessment</p>	<p>0</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>The LG had disseminated guideline on creating gender responsive learning environment to 78 teachers. However, PAT did not see evidence that the department in collaboration with gender department had issued and explained guidelines on how to manage both sanitation for girls and PWDs in primary schools.</p>	<p>0</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>There was evidence that SMCs met the gender requirements as follows;</p> <ol style="list-style-type: none"> Cannon Lawrence Dem School had two ladies names of Joan Akot and Lucy Akello Amach P/S had two ladies by names of okwakor Margaret and Onwec Jacinta Bar P/S had two ladies namely; Hellen Akor and Anna Akot Ongiga P/S had Teddy Anyok and Jannet odyeny Barapwo P/S had two ladies namely; Erina Okello and Milly Otima 	<p>1</p>

<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>No evidence to that the department in collaboration with environment department has issued guidelines on environmental management</p>	<p>0</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>The following school infrastructure for example was undertaken during the FY 2017/18;</p> <ol style="list-style-type: none"> 1. Construction of 2 classroom block with an office at Abongorwot P/S. <p>The department presented some paper work for what they termed to screening documents by the environment officer but all were signed the same day, and more so the screening done well after the construction of the school.</p> <p>PAT was unconvinced that screening of projects was undertaken.</p>	<p>0</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 1 	<p>No evidence that the environmental officer and the CDO had visited the sites to check whether the mitigation plans were complied with.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>Lira district health department has a staffing norm of 298 staff. Out of these, 257 (86.2%) are in post.</p> <p>PHC wage allocation for the district FY 2018/2019 2,642,320,530/</p> <p>PHC wage for the 257 health workers in post for FY 208/2019 is 2,343,044,004/</p> <p>%wage provision (posts filled for primary health care workers with a wage bill provision for current financial year =88.7% resulting into a score of 8.</p> <p>There is a surplus of 11.3% of the wage budget this financial year.</p>	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>Recruitment plan for health workers was submitted by DHO to CAO (Reference 156/1) and was received on the same day of 25th June 2018. Vacant positions for primary health care workers in the recruitment plan are health inspector, office typist, senior Nursing officer, vector control officer and office attendant.</p>	6

The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted

Maximum 8 points for this performance measure

Evidence that the all health facilities in-charges have been appraised during the previous FY:

- o 100%: score 8
- o 70 – 99%: score 4
- o Below 70%: score 0

All health facilities in-charges were appraised in the financial year 2017/2018.

Examples on file reviewed were:

- In-charge Amach HC IV Mary Frances Okello was appraised on 9th July 2018. Appraiser's comment "Continue with good work"
- In-charge Barapwo HC III, Ocen Geoffrey was appraised on 3rd July 2018. Appraiser's comment "Good performance"
- In-charge Bar HC III, Okullo Michael was appraised on 4th July 2018. Appraiser's comment "keep it up"
- In-charge Anyangatir HCIII, Okao Benard was appraised on 23rd July 2018. Appraiser's comment "Improve on communication and time management"
- In-charge Ongica HC Mbaiti Judy Wanjiku was appraised on 10th July 2018. Appraiser's comment "Continue with good work"

The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.

Maximum 4 points for this performance measure

- Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4

The LG allocated health workers in line with lists submitted with the budget for FY 2018/2019 in PBS system.

We reviewed the approved staff list and compared it with the actual numbers of health workers at the health facilities

The PAT sampled the following facilities:

- Amach HC IV, 40 staff were deployed out 47 required staffing norm
- Barapwo HC III 17 staff were deployed out of the 19 required staffing norm
- Bar HC III: 17 were deployed out of the 19 required staffing norm
- Anyangatir HC III: 16 health workers were deployed out of the 19 required staffing norm.
- Ongica HC III: 18 out the required 19 staff were deployed

At each facility, the staff list matched with the deployment list at DHO's office.

Monitoring and Supervision

The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities

Maximum 6 for this performance measure

- Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3

DHO's office received the following guidelines from the Ministry of Health during financial year 2017/2018 (The dates at which DHO's received the guidelines could not be verified).

- Uganda National HTS policy and implementation guidelines 2016
- Essential drug List for Uganda
- Uganda Clinical guidelines 2016
- Health sector quality improvement framework and strategic plan;2015/16-2019/2010

On 28th May 2018, the DHO communicated to health facility in charges through writing (Ref CR206/1) inviting them to pick the guidelines. The DHO reported that it is common practice to write to in charges to come and pick materials, guidelines or any supplies when they are available at the DHO's office. However, no record of any other letter could be traced inviting in charges to pick any item from the DHO's office

From the 5 sampled health facilities) see list below), all the guidelines presented above were seen.

The following facilities were visited:

- Amach HC IV
- Barapwo HC III
- Bar HC III
- Anyangatir HC III
- Ongica HC III

Therefore, there is evidence that the DHO communicated and issued guidelines from the center to health facility in charges.

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO/ MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<ul style="list-style-type: none"> •DHMT monthly and quarterly meetings' report and Quarterly DHT/HSD supervision reports did not show any evidence that guidelines from Ministry of health were explained to health facility in charges. • There was no evidence at the visited health facilities that issued guidelines were discussed at any fora. 	<p>0</p>
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<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>There were 2 HC IVs but no district hospital in Lira district. . The two HC IV's are Amach HC IV and Ogur HC IV.</p> <p>Support supervision reports to the two HC IV for the four quarters of financial year 2017/2018 were seen with clear action points on gaps identified during the support supervision.</p> <p>Support supervision reports were submitted on the following dates.</p> <p>Quarter 1: Support supervision conducted on 5th October 2017 and report submitted and received by CAO on 24th October 2017. One of the gaps identified was that some nursing staff were not outing on uniforms. The nursing officer in charge of the unit was tasked to ensure that nurses put on the uniform</p> <p>Quarter2: Support supervision conducted 28th-29th December 2017 and report submitted and received by CAO on 104h Jan 2018. One of the gaps identified was long patient waiting time and Incharge was tasked to ensure that patients are attended to on time.</p> <p>Quarter3: Support supervision conducted between 28th-29th March 2018 and report submitted and received by CAO on 16th April 2018. The Incharge was tasked to ensure that health facility compound is maintained slashed since it was bushy at the time of the visit.</p> <p>Quarter4: Support supervision conducted 26th - 27th July 2018 and report submitted and received by CAO on 10th July 2018. The supervisors recommended that Incharge submits staff appraisal forms as not all had been appraised.</p> <p>Therefore, all the two health centers were supervised on a quarterly basis by the DHT</p>	
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<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>Quarterly HSD Support supervision reports indicate that 4 supervision visits were conducted as follows:</p> <p>Quarter 1: 15th-19th October 2017</p> <p>Quarter 2: 14th – 19th January 2018</p> <p>Quarter 3: 12th-13th April 2018</p> <p>Quarter 4: 23rd -30th July 2018</p> <p>Each of the following sampled health facility was supposed to be visited four times, once quarterly.</p> <ul style="list-style-type: none"> • Barapwo HC III • Bar HC III • Anyangatir HC III • Ongica HC III <p>However, Barapwo HC III was visited once during 4th Quarter hence only 3 out of 4 health facilities were supervised as required. This translates into a percentage of 75%.</p>	<p>1</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<ul style="list-style-type: none"> • The DLG prepared four Quarterly support supervision visits. All the 4 supervisions were conducted, evidenced by presence of supervision reports and supervision comments in the health facility supervision books. <p>The DHO convened four quarterly DHMT meetings on 26th October 2017 for quarter 1, 6th March 2018 for quarter 2, 19th April 2018 for quarter 3 and 4th September 2018 for quarter 4.</p> <p>However, there was evidence, in all the quarterly DHMT meetings minutes that support supervision findings were discussed and recommendations made for corrective action</p>	<p>0</p>

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6 	<p>Quarterly DHMT meetings and supervision reports for FY 2017/2018 were reviewed by PAT. However, there was no evidence to link supervision findings to corrective action. The DHT did not prioritize gaps found during support supervision as an agenda item during quarterly review meetings and subsequent support supervision undertakings .</p>	<p>0</p>
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The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH

Maximum 10 for this performance measure

- Evidence that the LG has submitted accurate/consistent data regarding:
 - o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10

Lira DLG has a total of 27 health facilities that benefited from PHC funding.

The August HMIS reporting details for 2017/2018 were obtained from the database at Ministry of Health which receives HMIS records from each Health Facility in the country electronically, every month.

The HMIS Reports for the 4 quarters of FY 2017/2018 show that the List of Health Units provided by the DHO's Office tallied with those from the HMIS Reports at the Ministry of Health. The reports were sent to MOH electronically

The August HMIS reports were submitted on time between 4th – 7th August, 2018. Reports were entered into DHIS2 system for health reporting. All facilities benefitting from PHC (27) were reported in DHIS2.

For health facilities that were sampled, submission of HMIS reports was as follows:

- Amach HC IV submitted on 6th September 2018
- Barapwo HC III submitted on 4th September 2018
- Bar HC III submitted on 5th September 2018
- Anyangatir HC III submitted on 7th September 2018
- Ongica HC III submitted on 7th September 2018

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>.Three Social services committee reports were presented and all had health related issues discussed. Social services committee did not discuss health related issues in Quarter 3 citing an urgent need to discuss education emerging issues during the meeting.</p> <ul style="list-style-type: none"> During quarter 1, the committee sat on 24th August 2017 and under minute EDHE/August/17, passed a supplementary budget of 111,986,950/ meant for malaria prevention and control in the district. During quarter 2, the committee sat on 17th October 2017 and discussed human resource for health where suggestions to recruit health workers to fill vacant posts were discussed. This was under minute EDHE/October/17 During quarter 4, the committee sat on 15th May 2018 and under minute EDHE/May/18, the health budget was passed. <p>Therefore, there is evidence that LG committee responsible for health met and discussed service delivery issues.</p>	<p>2</p>
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>On 15th May 2018, the Health budget was passed by the social services committee under minute EDHE/May/18. The committee prioritized renovating of Doctors house at Amach HC IV over renovating OPD unit at Ngetta HC III. On 28th May, 2018, Secretary for health presented health budget to council and the budget was passed on the same day of 28th May 2018.</p>	<p>2</p>

<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 6 • If 80-99 %: score 4 • If 70-79: %: score 2 • If less than 70%: score 0 	<p>The following facilities held HUMC meeting in 4th quarter and discussed operational issues at health facilities as well as discussing health facility budget related issues as indicated below:</p> <ul style="list-style-type: none"> • Bar HC III-27th April 2018 • Barapwoo HC III 2nd July 2018 • Ongica HC III 19th July 2018 • Anyangatir HC III 19th July 2018 • Amach HC IV 13th June 2018 <p>According to the Uganda guidelines on health unit management committees issued by the department of quality assurance, Mistry of health (2003) HUMC are supposed to sit quarterly with a minimum of 4 sittings in a year. However, there was no evidence that ONGICA HC II held HUMC meeting for quarter 1.</p> <p>Similarly, there was no evidence that Anyangatir HC III held quarter 1 and quarter 3 HUMC meetings since the assessment team could not access the meeting minutes or HUMC members' attendance list to that effect. Therefore, in 3/5 (60%) of the facilities, there is evidence that HUMC sat to discuss health facility business on a quarterly basis</p>	<p>2</p>
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>A list of PHC recurrent non-wage beneficiaries was displayed at the district health notice-board, signed and stamped by DHO on 2nd August 2018.</p>	<p>4</p>
<p>Procurement and contract management</p>			

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The procurement plan for health department was submitted on 6th /6/2018, later than the recommended deadline of 30th April</p>	<p>0</p>
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>The procurement request form for FY 2017/18 was submitted on time (10th August 2017) before the deadline (end of first quarter). No reference</p> <p>The procurement request form for health department for the FY 2018/19 was submitted on 17th August 2018 ahead of the deadline of September 2018.</p>	<p>2</p>

The LG Health department has certified and initiated payment for supplies on time

- Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4.

The following projects were executed and DHO certified and recommended payments to contractors in FY 17/18.

- Construction of 2 stance pit latrine at Kachung HC II

Request for payment submitted On 22nd February 2018, certificate prepared on 22nd February 2018 and payment made on 7th March 2018 as per voucher no Voucher number 17312552

- Construction of twin staff house at Abalang HC II.

Request for payment submitted On 6th June 2018, certificate prepared on 11th June 2018 and payment made on 25th June 2018 as per voucher no Voucher number 18389110

- Completion of placenta pit at Kachung HC II

Request for payment submitted On 18th June 2018, certificate prepared on 19th June 2018 and payment made on 22nd June 2018 as per Voucher number HEA/25/06/18/04

The LG Health department had certified and initiated payment for suppliers on time

Maximum 4 for this performance measure

The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit

Maximum 4 for this performance measure

- Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4

Lira Health department submitted the annual performance report FY 2017/2018 on 22nd July 2018 (Late submission) via PBS

Submission of quarterly reports to Planner during FY 2017/2018 was as follows (Information source PBS system):

- 1st Qtr on 26th October, 2017. deadline was submitted on 7th October 2017 which was a late submission
- 2nd Qtr on 22nd February, 2018, deadline was submitted on 7th January 2018 which was a late submission
- 3rd Qtr on 24th April, 2018 deadline was submitted on 7th April 2018 which was a late submission
- 4th Qtr on 31st August, 2018, deadline was submitted on 7th July 2018 which was a hence late submission

There was evidence of submission of quarterly performance reports as well as annual performance report for health. All information was picked from the PBS system. All the quarterly reports and the annual performance report were submitted late, beyond the recommended deadlines as indicated above. The reason for this was that the Chief Finance officer had not provided reconciled financial performance for the health sector by the time reporting was required to be made (7th of the month following a quarter under review)

<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> • If sector has no audit query: Score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points • If all queries are not responded to Score 0 	<p>The audit queries were 2 which included the following</p> <ol style="list-style-type: none"> 1. Unaccounted for fund totaling UGX.33,065,000 quarter 3 internal audit report FY2016/17 the funds were accounted for and the query was cleared by LGPAC on 28th May, 2017 under minute 5/Lira/LGPAC/2016/17. 2. Unaccounted for funds totaling UGX.56,294,000 quarter 4 internal audit FY2017/18 report and the funds were accounted for and the query was cleared by LGPAC in a referenced report dated 20th July, 2017 under minute 7/Lira/LGPAC/2017/18 	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>Not all the following sampled health facilities had the required 30% and above of female composition on HUMC:</p> <p>Amach HC IV 4 out of 9 = 44%</p> <p>Bar HC III 2 out of 7 = 29%</p> <p>Barapwoo HC III 3 out of 7 = 43%</p> <p>Anyangatir HC III 3 out of 7 = 43%</p> <p>Ongica HC III 2 out of 7 = 29%</p>	<p>0</p>

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>The district health inspector of Lira district issued a letter to health facility in charges on how to manage sanitation in health facilities. The letter is dated 14th February 2018 ref CR/358/1 entitled “sanitation in health facilities”</p> <p>There was evidence that health facility in charges had received the communication and the letter could be traced at the health facilities visited.</p> <p>For verification purposes, PAT visited the following facilities.</p> <ul style="list-style-type: none"> Amach HC IV Barapwo HC III Bar HC III Anyangatir HC III Ongica HC III <p>In each of the above health facilities, the letter was available, however, the dates on which the in charges received the communication could not be established.</p>	<p>2</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>There was no evidence that health facility infrastructure had been screened before approval for construction as there were no screening forms on file for the three health capital projects during financial year 2017/2018</p> <ul style="list-style-type: none"> Renovation of district vaccine store Rehabilitation of immunization block at Ogur HC IV Construction of a two stance pit latrine at Barapwo HC III 	<p>0</p>

<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 2 	<p>The environmental and community development officer conducted a supervision of the sites that were being constructed. The supervision was conducted between 5th October to 5th November 2017 and submitted report to CAO on 15th November 2017.</p> <p>The report indicated that the following sites were supervised:</p> <ol style="list-style-type: none"> 1. Construction of a twin staff house at Abalang HC II. 2. Construction of a placenta pi at Kachung HC II. 3. Construction of a 2 stance VIP pit latrine at Kachung HC II with two bath shelters. <p>Since there was no screening for the projects in the first place, PAT considered that the supervision report was not founded on evidence hence no score.</p>	<p>2</p>
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4. 	<p>Posters for medical waste management were present in all health facilities visited. These were:</p> <ul style="list-style-type: none"> • Amach HC IV • Barapwo HC III • Bar HC III • Anyangatir HC III • Ongica HC III <p>The posters were delivered by Ministry of Health directly to the health facilities via National Medical Stores.</p> <p>Therefore, there is evidence that all the 5 sampled health facilities in Dokolo district have medical waste management guidelines.</p>	<p>4</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub- counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>The DWO targeted allocations to sub-counties with safe water coverage below the district average of 93%. There was one sub county which was below average and 4 sub counties were targeted in FY 2018/19 giving more than 100% allocation hence the score of 10 points.</p> <p>The targeted sub counties were;</p> <ul style="list-style-type: none"> • Amach sub County with a coverage of 90% <p>The planned development budget for the water sector for FY 2018/19 was Ugx.494,810,000 from the approved work plan and budget 2018/19 page 19.</p>	10

The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)

Maximum 15 points for this performance measure

- Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY.

- o If 100 % of the water projects are implemented in the targeted S/Cs:

Score 15

- o If 80-99%: Score 10

- o If 60-79: Score 5

- o If below 60 %: Score 0

There was evidence that the district Water department implemented all the budgeted water projects in all the targeted sub-counties with safe water coverage below the District average and they included giving 100% implementation rate hence the score of 15 points;

In Lira sub county Bung, A borehole was constructed at a cost of Ugx. 21,000,000

In Aromo sub county Aloc A borehole was constructed at a cost Ugx. 21,000,000 and Akore borehole were constructed each at a cost of Ugx. 21,000,000.

In Agweng sub county Amiadyel borehole was constructed at a cost of Ugx. 21,000,000

In Ogur sub county Lwabarlongo was constructed at a cost of Ugx. 21,000,000

Adekokwor sub- County Amoolet boreholes was constructed at a cost of Ugx. 21,000,000

In Amach sub county Bungamon was constructed at a cost of

Ugx. 21,000,000

In Agali sub county Ocamonyang p/s boreholes were constructed each at a cost of Ugx.21,000,000

In Agali sub county Ocamonyang p/s boreholes were constructed each at a cost of Ugx.21,000,000

The district Water department carries out monthly monitoring of project investments in the sector

Maximum 15 points for this performance measure

Evidence that the district Water department has monitored each of WSS facilities at least annually.

- If more than 95% of the WSS facilities monitored: score 15
- 80% - 95% of the WSS facilities - monitored: score 10
- 70 - 79%: score 7
- 60% - 69% monitored: score 5
- 50% - 59%: score 3
- Less than 50% of WSS facilities monitored: score 0

There was evidence that the district Water department monitored each of WSS facilities;

Quarter 1-report on 17th February,2018:

The sources visited include; Amiyadyel, Acake BH, Omonanyiragedo, ,Diicunyi BH, Lwarbalongo BH, Barkwac BH, Akore BH.

Quarter 2-report on 17th April,2018: The sources visited include;

Olonglango A BH, Ocamonyag BH, Alinger BH, Alik T/C BH, Obalako spring.

Quarter 3-reort on 26th March,2018: The sources visited include;

Construction of spring well at Ongura A, Drilling and Installation of bore hole at Cura primary school.

Quarter 4-report on 26th June,2018: The sources visited include;

Spring protection at Olero village,

Rehabilitation of Alik BH at Anyomorem village,

Drilling and Installation of BH at Cura primary school,

Construction of shallow well at Ongura A

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>There was evidence that the district submitted consistent data reports to the Permanent Secretary Ministry of Water and Environment on the following dates;</p> <p>? Quarter 1 data submitted on: 27th October, 2017,</p> <p>? Quarter 2 data submitted on: 30th January, 2018,</p> <p>? Quarter 3 data on submitted On 28th April, 2018 and</p> <p>? Quarter 4 data submitted on 25th July, 2018</p>	<p>5</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>Water facilities which were found to be consistent in both sector EMIS reports and PBS were;</p> <ul style="list-style-type: none"> • Bicunyi in Otara parish, Acake in Walela Parish • Alit in Anyomore parish, Apuru in Orit Parish, • Barkwac in Adoa parish, Amuca p/s in Barobora west, • Ayira in Ayira parish, Alinga in Baya Parish, • Okile P/S in Okile Parish. 	<p>5</p>
<p>Procurement and contract management</p>			

<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>There was evidence that the sector submitted input for the district procurement plan to PDU that covered all investment items in the approved Sector annual work plan and budget on 12th April,2017</p>	<p>4</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>There was no evidence that the Contract Manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan.</p> <p>The visited sites did not have evidence of the existence of the contract management plan.</p>	<p>0</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If water and sanitation facilities constructed as per design(s): score 2 	<ul style="list-style-type: none"> • The construction of the sampled Water and sanitation facilities e.g. Deep borehole in A model village, • Deep borehole in Bung A village, • Deep borehole in Akore T/C Aloc A borehole • 5-Stance drainable latrine at Canon Lawrence p/s revealed adherence to the stipulated designs. • The facilities were functional to the required objective that is supply of water to the community and hygiene requirement besides the technical requirement. 	<p>2</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>There was evidence that the contractor handed over all completed WSS facilities example the handover report by EBOWA Investments Ltd after the construction of 6 Boreholes dated January 2018</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>There was evidence that the DWO appropriately certified all WSS projects and issuance of the payment certificates thereof for example</p> <p>? a measurement sheet for the works of borehole silting, drilling and installation was prepared on 13th March, 2018 an interim certificate No.1 prepared on 23rd January, 2018</p> <p>? Reports on technical completion of Rehabilitation of 5 Deep Boreholes well dated 6th February, 2018 hence the 2 points.</p>	<p>2</p>

<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>There was evidence that the DWOs timely certified and recommended suppliers for payment.</p> <ul style="list-style-type: none"> Claim request by Allianz Ltd for supply of pump parts payment request was made on 18th December, 2017 and certified by the DWO on 20th December, 2018 within 2 days Request payment for the protection of 7 spring wells by Jamoba Enterprises Ltd payment request was made on 29th January, 2018 and certified on 2nd February, 2018 within 3 days. Claim request by EBOWA Investments Ltd for the construction of 6 boreholes payment request was made on 11th January, 2018 and certified by the DWO on 20th December, 2018 within 2 days <p>All payments were made within 30 days which was timely payment.</p>	<p>3</p>
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Financial management and reporting

<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The department submitted the annual performance report for the previous FY 2017/2018 the following dates;</p> <table border="1"> <thead> <tr> <th>Quarter submission</th> <th>Date of Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 1 2018</td> <td>11th January, Submission schedules</td> </tr> <tr> <td>Quarter 2 2018</td> <td>19th February, Submission schedules</td> </tr> <tr> <td>Quarter 3 2018</td> <td>19th February, Submission schedules</td> </tr> <tr> <td>Quarter 4 2018</td> <td>13th August, Submission schedules</td> </tr> </tbody> </table> <p>The water department submitted the annual performance report for the previous FY 2017/2018 to the Planner beyond the required date of mid-July hence the score zero.</p>	Quarter submission	Date of Reference	Quarter 1 2018	11th January, Submission schedules	Quarter 2 2018	19th February, Submission schedules	Quarter 3 2018	19th February, Submission schedules	Quarter 4 2018	13th August, Submission schedules	<p>0</p>
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Quarter 4 2018	13th August, Submission schedules												

<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year If sector has no audit query score 5 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>The DLG took action on all the queries raised by the Internal Auditor during FY 2016/2017:</p> <p>Number of queries raised Number of queries cleared Number of queries pending</p> <p style="text-align: center;">1</p> <p style="text-align: center;">1 0</p>	3
Governance, oversight, transparency and accountability			
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>The Council committee responsible for water held meetings on 25th August, 2017 and the main issue discussed was;</p> <ul style="list-style-type: none"> The status of the water facilities in the LG in each sub county under minute 4/Wrks/Aug/2017. <p>The Council committee meeting held on 8thDecember,2017 discussed issues on;</p> <ul style="list-style-type: none"> Planning monitoring and supervision for water sector projects under minute 3/Wrks/Dec/2017. <p>The Council committee meeting held on 17thMay,2018 discussed issues on;</p> <ul style="list-style-type: none"> Budget allocation to water sector under minute 6/Wrks/May/2018/19 	3

<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>There was evidence that the water committee sought approval from Council on 28th May, 2018 for approval of water sector budget under minute No. 5c</p>	<p>3</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>There was no evidence of display of AWP, budget and the Water Development grant releases and expenditures on the district notice boards as per the PPDA Act</p>	<p>0</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>There was evidence that the visited/sampled water sources (Boreholes) were clearly labelled;</p> <ul style="list-style-type: none"> At the village source of Amodel constructed by East Africa Ltd FY:2017/18 funded by DWSCG and completed on 9th February, 2018 and Bung A water source was constructed by Ebowe Investment Ltd funded by DWSCG FY:2017/18 completed on 3rd January, 2018 Aloc A water source was constructed by Ebowe Investment Ltd funded by DWSCG FY:2017/18 completed on 4th January, 2018 	<p>2</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>There was evidence to the effect that the LG publicized information on tender awards on 20th August, 2018 about the best evaluated bidder and the following information was found;</p> <p>Bid awarded to East Africa Ltd under open domestic for siting, drilling and installation of 4 boreholes at a contract price of Ugx.81,467,200 with reference No. Lira531/wrks/18-19/00001.</p>	<p>0</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>There was no evidence that communities apply for water/public sanitation facilities as per the sector critical requirements.</p>	<p>0</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii(carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>There Water and Sanitation Committees were functional as evidenced by payment of Ugx.200,000 general receipt No.5259 dated 9th January, 2018 for Lwarbalongo village and General receipt No.2963 paid on 22nd November, 2018 towards capital contribution for a deep BH construction at Ocamonyng Primary School.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>Environmental screening and EIAs was conducted for WSS projects as required example; on 7th June, 2018 environmental screening was conducted for siting, drilling and installation of deep borehole in Alira village.</p>	<p>2</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>Limited attempts were made for follow up support in case of unacceptable environmental concerns for example; the monitoring report for compliance to environmental issues was carried out though not dated and certification for compliance were issued for the project of Drilling and Installation of 6 boreholes dully signed by the District Environmental Officer on 23rd June,2018.</p>	<p>1</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>There was no evidence that construction and supervision contracts have clause on environmental protection.</p>	<p>0</p>

<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>There was no evidence to show gender equity in the membership of the WSC;</p> <p>The sampled files of;</p> <ul style="list-style-type: none"> • Ololango A source • Baloko village • Alit village • Apur village <p>The sampled files showed village members who attended the training but not necessarily WSCs as required.</p>	<p>0</p>
<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>There was evidence that Public sanitation facilities had adequate access and separate stances for men, women and PWDs examples of the facilities visited included;</p> <ul style="list-style-type: none"> • 5-stance drainable latrine which was clearly marked 	<p>3</p>