



## Local Government Performance Assessment

Luwero District

(Vote Code: 532)

Assessment	Scores
Accountability Requirements	83%
Crosscutting Performance Measures	65%
Educational Performance Measures	51%
Health Performance Measures	50%
Water Performance Measures	48%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> <li>• From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and:               <ul style="list-style-type: none"> <li>o If LG submitted before or by due date, then state 'compliant'</li> <li>o If LG had not submitted or submitted later than the due date, state 'non-compliant'</li> </ul> </li> <li>• From the Uganda budget website: <a href="http://www.budget.go.ug">www.budget.go.ug</a>, check and compare recorded date therein with date of LG submission to confirm.</li> </ul>	<p>Luwero LG performance contract was Submitted on 30th July 2018 to Ministry of Finance and Economic development.</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> <li>• From MoFPED's inventory of LG budget submissions, check whether:               <ul style="list-style-type: none"> <li>o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant.</li> </ul> </li> </ul>	<p>Luwero LG procurement plan for the forthcoming FY was submitted on 30th July 2018 as required by LG PPDA regulations 2006.</p>	Yes
Reporting: submission of annual and quarterly budget performance reports			

<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> <li>• If LG submitted report to MoFPED in time, then it is compliant</li> <li>• If LG submitted late or did not submit, then it is not compliant</li> </ul>	<p>Luwero District Local Government submitted annual Performance report on 30th July 2018 for the Previous Financial Year.</p>	<p>Yes</p>
<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> <li>• If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available).</li> <li>• If LG submitted late or did not submit at all, then it is not compliant.</li> </ul>	<p>Luwero LG Submitted the quarterly budget performance report for all the quarters of the previous FY 2017/2018 on the following dates:</p> <ul style="list-style-type: none"> <li>• Quarter-1 submitted on 19th December 2017;</li> <li>• Quarter -2 submitted on 15th February 2018;</li> <li>• Quarter-3 submitted on 28th May 2018 and</li> <li>• Quarter-4 submitted on 23rd August 2018.</li> </ul>	<p>No</p>
<p>Audit</p>			

<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> <li>• If LG submitted a 'Response' (and provide details), then it is compliant</li> <li>• If LG did not submit a 'response', then it is non-compliant</li> <li>• If there is a response for all –LG is compliant</li> <li>• If there are partial or not all issues responded to – LG is not compliant.</li> </ul>	<p>Luwero District Local Government submitted the Report on status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year 2017/18 and received by Office of Internal Auditor General and Auditor General on 15 March 2018 on Reference Number CR/D/115/7</p> <p>Since the required submission date was April 30, 2018, then Luwero District Local Government was compliant</p>	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>Luwero District Local Government had an unqualified audit opinion as per Auditor General's Report for FY 2017/2018.</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> <li>• A functional Physical Planning Committee in place that considers new investments on time: score 1.</li> </ul>	<p>Luwero LG has a functional physical Planning Committee in place;</p> <p>The District Physical Planning Committee comprised of 9 members, all district personnel as per the Appointment Letter-Ref: CR/156/7 dated 17th /May/2012</p>	1
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1.</li> </ul>	<p>The district has not submitted the available minutes to MoLHUD.</p>	0

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> <li>• All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0</li> </ul>	<p>The district has no District physical development plans in place. The List of approved physical development plan provided by Ministry of Lands Housing and Urban Development for 2018, Luwero has NO physical development prepared.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> <li>• Action area plan prepared for the previous FY: score 1 or else 0</li> </ul>	<p>There was NO evidence of Action Area Plan prepared prepared in the previous FY 2017/2018 from the District Planner's Office and Ministry of Lands Housing and Urban development (MoLHUD).</p>	<p>0</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"><li>• Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2.</li></ul>	<p>The investment activities in the AWP for current FY 2018/2019 are based on the Budget conference of 14th/March/2018 under Min.7/LDC/March/2018.</p>	<p>2</p>
--	--	--	----------

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1.</li> </ul>	<p>The Capital investments in the Annual work plan approved as per the Min-7/LDC/March/2018 were based on the Second 5 Year District Development Plan 2015/2016-2019/2020. The Investments include:</p> <ul style="list-style-type: none"> <li>. Construction of 44 classrooms ( two at each site); Ntinda p/s, Mityebiri r/c p/s, Kokko c/u p/s, Nambeere p/s, Watuba UMEA p/s, Lunyolya c/u p/s, Kabukunga p/s, Kkonko SDA p/s, Kalagala islamicp/s, Sekamuli c/u, Timba p/s;</li> <li>. Completion/renovation of 10 classrooms at Lusenke c/u, Busiika UMEA, Bukolwa c/u, Nalinya Lwantale, Butuntumula UMEA, Kalasa mixed p/s, Luwero girls;</li> <li>.Construction of 43 5-stance pit latrines, Ndabirakoddala, St Joseph Magogo, Kanyanda r/c, Sempa c/u, Nsawo c/u, Wobelenzi public, Nalwana Islamic, Kalule UMEA, Kabuguma, Kziba c/u;</li> <li>. Periodic maintenance of 516.45 km of roads in Kyevunze-Butuntumula-Kasiiso, Luwero-Kikube-Kagalama, Mabuye_Bugoba_Kiwanguzi, Nalongo-Nakakono-Mabuye, Lumonde_Lutuula_Lubenge, Buzibwera_Makonkonyigo, Kyampologoma – Katagwe, Kakakala_Tongo_Ndalike Swamp, Kikyusa_Masinga, Kyangabakama_Kuddumali; and</li> <li>. Completion of construction of a 100 bed ward in Luwero HC IV (to upgrade HC IV to Hospital) among others.</li> </ul>	
--	---	--	--

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2.</li> </ul>	<p>Project Profiles were discussed by the TPC meeting held on 8 February 2018 under minute 11/DTPC/Feb/2017/18.</p>	<p>2</p>
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> <li>• Annual statistical abstract, with gender- disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1.</li> </ul>	<p>Annual Statistical Abstracts with gender disaggregated data was compiled and discussed in the TPC on 29th June 2018 under Minute 7.8/June/TPC 2018.</p>	<p>1</p>
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2</li> </ul>	<p>The infrastructure Projects Implemented were derived from the annual work plan of the previous financial year 2017/2018 dated under the Budget approved by LG Council under Min 36/LDC/11/2017.</p>	<p>2</p>

<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY.</li> <li>o 100%: score 4</li> <li>o 80-99%: score 2</li> <li>o Below 80%: 0</li> </ul>	<p>There was evidence that all (100%) the sampled investment projects implemented in the previous FY were completed as per the workplan by end of FY. These include:</p> <ol style="list-style-type: none"> <li>1. Construction of a 2classroom block with an office and store as well five stance lined VIP latrine at Bulamaba P/S budgeted at 200,000,000 with actual cost of 179,891,277</li> <li>2. Water, sitting, drilling and installation of 19 boreholes at Mabuye, Nambeere, Keera, Munkoko, Buzibwera Town, Bwaziba, Kyamuwoya, Kibanga, Kawumu, Kisooba, Muyenga, Kwese, Lukuamu and Gweyawadde budgeted at 380,000,000 with actual cost of 375,664,600</li> <li>3. Water construction of min solar power water system budgeted at 40,000,000 with actual cost of 39,000,000</li> <li>4. Health, construction of a general ward at Luwero HC-IV [phase iv] budgeted at 450,000,000 with actual cost of 445,054,747</li> <li>5. Works construction of Luwero district administration block, [phase ii] budgeted at 102,000,000 with actual cost of 99,735,960</li> </ol> <p>All the sampled 5 investment projects were completed as per the approved workplan FY 2017/2018</p>	<p>4</p>
---	---	--	----------

<p>The LG has executed the budget for construction of investment projects and O&amp;M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2</li> </ul>	<p>The Investment Project include: 5 sampled out of Total 16.</p> <ol style="list-style-type: none"> <li>Construction of a 2 classroom block at Kikunyu mixed primary school budgeted at UGx 59,000,000 with actual cost of UGx 56,392,030 ; thus 95.6%</li> <li>Construction of a 2classroom block at Mugogo primary school budgeted at UGx 59,000,000 with actual cost of UGx 59,427,986; thus 101.0%</li> <li>Construction of five stance VIP latrine at Bulamaba P/S budgeted at UGx 14,000,000 with actual cost of UGx 14,074,297; thus 101.0%</li> <li>Water construction of min solar power water system budgeted at UGx 40,000,000 with actual cost of UGx 39,000,000; thus 97.5%</li> <li>Health, construction of a general ward at Luwero HCIV [phase iv] budgeted at UGx 450,000,000 with actual cost of UGx 445,054,747; thus 98.9%</li> </ol> <p>No Investment Project exceeded a Max. 15% or minus 15% of original budget in FY 2017/2018</p>	2
<p>The LG has executed the budget for construction of investment projects and O&amp;M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has budgeted and spent at least 80% of the O&amp;M budget for infrastructure in the previous FY: score 2</li> </ul>	<p>Luwero LG budgeted Ugx 20,000,000/= and spent 14,269,032 as per voucher No: PVFIN_320 issued on 13th /June/2018 on Renovation of CAO's Office</p> <p>That is 71.35% of the Total budget for O&amp;M on Infrastructure in the previous FY 2017/2018</p>	0
Human Resource Management			

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has filled all HoDs positions substantively: score 3</li> </ul>	<p>According to the structure of the district of 12 Heads of departments , only 7 were substantively appointed for the current FY. The rest were in acting positions;</p> <p>These were The substantive;</p> <p>Chief Finance Officer was appointed on 12/09/2017</p> <p>Community Development officer was appointed on 14th July 2005</p> <p>Natural Resource Officer was appointed on 1st July 2017</p> <p>District Education Officer was appointed on 15th December 2010</p> <p>District Engineer was appointed on 8th December 2009</p> <p>District Health Officer 1st February 2018</p> <p>District Production and Marketing Officer was appointed on 11th April 2018.</p>	<p>0</p>
---	---	---	----------

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2</li> </ul>	<p>All the Heads of departments were appraised before end of the FY which was contrally to the guidelines issued by MoPs.</p> <p>Chief Finance officer was appraised on 2 February 2018</p> <p>District Education Officer was appraised on 6 February 2018</p> <p>District Community Development Officer was appraised on 14 march 2018</p> <p>District Natural officer was appraised 27 April 2018</p> <p>District Engineer was appraised on 10 May 2018</p> <p>District production and Marketing officer was appraised 12 May 2018 ,</p> <p>District planner was appraised on 11 April 2018</p> <p>District Health officer was appraised on 21 February 2018</p> <p>District internal auditor was appraised 7th/ June /2018</p>	<p>0</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that 100 % of staff submitted for recruitment have been considered: score 2</li> </ul>	<p>The CAO submitted 12 positions for recruitment on 1st February 2018 to the district service commission under reference 16/18, 50/2018. and all were considered on 14th May 2018 under minute 50/2018 and 23/may 2018 under minute 56/2018 . and these include ;3 fisheries officer , 4 porters , 2 Drivers , 2 Medical officers ,1 teacher .</p>	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that 100 % of positions submitted for confirmation have been considered: score 1</li> </ul>	<p>The CAO submitted 40 positions for confirmation to the DSC on 11 may 2018 and were considered in the DSC meeting of 29/03/2018 on Minute DSC 48/2018 as follows ;  Driver (2),Education Assistants (11)  Porters[2],Senior Medical Officers (2) , Parish Chief (1), Communication Officers(3) and the Education Assistants grade II (11).</p>	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1</li> </ul>	<p>The CAO submitted 3 cases for disciplinary action to DSC on 14 April 2018 and was discussed on under minute 28/2018 (D) in the FY 2017 /2018.</p> <p>These include ;</p> <ol style="list-style-type: none"> <li>1. A case of teacher absconding from duty was considered under minute DSC/ 28/2018 [d] and he was terminated on 25 April 2018 .</li> <li>2. A case of omission on the procedures of handling procurement of government property (Land) by the Town council of WObulezi was considered on 25th April 2018 under Minute DSC/ 39/2018 and the staff was warned .</li> <li>3.A case of the alleged embezzlement of funds by the Accounts Assistant was considered on 2nd March 2018 under minute DSC/ 25/ 2018 [1] and he was denied promotion and responsibility of handling Finance.</li> </ol>	<p>1</p>

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3</li> </ul>	<p>The district service commission recruited 6 staff on 25th March 2018 under Minute DSC/56/2018. These included 4 Community Development Officers and two deputy Head Teachers.</p> <p>The 4 Community Development Officers and were appointed on 22/May/2018 accessed salary on 25/July /2018</p> <p>The 2 Deputy Headteachers were appointed on 25/May/2018 accessed salary payroll on 20/July /2018.</p>	3
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2</li> </ul>	<p>Luwero LG had 29 retired officers for the FY 2017/2018 and only 5 accessed the payroll within two months after retirement.</p>	0
Revenue Mobilization			
<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4.</li> <li>If the increase is from 5% -10 %: score 2.</li> <li>If the increase is less than 5 %: score 0.</li> </ul>	<p>Luwero District Local Government increased OSR from UGX 402,913,746 for FY 2016/17 to UGX 436,145,835 for FY 2017/2018 (excluding Local revenue from sale of Government/Assets of UGX9,752,000) as per draft Final Accounts for FY 2017/18 vote 532.</p> <p>The Increase in OSR was UGX 33,232,089 representing a percentage increase of 8.25%.</p>	2

<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within</li> </ul> <p>+/- 10 %: then score 2. If more than +/- 10 %: Score 0.</p>	<p>The Local Revenue Budgeted for FY 2017/2018 was UGX 462,119,000 (As per original budget for FY 2017/2018 Vote 532)</p> <p>The Local Revenue collected during FY 2017/2018 was UGX 445,897,835 as seen from the Draft Final Accounts for FY 2017/2018.</p> <p>Workings:UGX 445,897,835 / UGX 462,119,000 x 100= 96.48%.</p> <p>Therefore revenue collection ratio was 96.48%.</p>	<p>2</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2</li> </ul>	<p>This was no evidence of transfer/share of 65% mandatory remittances of Local Revenue to LLGs except the payment vouchers for the month of February 2018 for Makulubita sub county.</p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2</li> </ul>	<p>Total expenditure on Council allowances and emoluments during FY 2017/2018 was UGX 115,929,205 excluding General staff salaries of UGX 179,731,200</p> <p>The OSR for FY 2016/17 was UGX 402,913,746.</p> <p>So (UGX 115,929,205/ UGX 402,913,746) gives 28.77%, which is higher than 20% of the OSR collected in the previous FY 2016/2017.</p> <p>There was no approval for expenditure on Council allowances and emoluments above 20% of the OSR collected in the previous FY 2016/2017 from the Ministry of Local Government.</p>	<p>0</p>
<p>Procurement and contract management</p>			

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2</li> </ul>	<p>Luwero LG had Senior Procurement Officer dully appointed on 1st /Feb/2018 and Procurement Officer appointed on 1st /February /2018.</p>	<p>2</p>
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1</li> </ul>	<p>There was evidence that the TEC produced and submitted reports to the contract committee as per the following files sampled:</p> <ol style="list-style-type: none"> <li>LUWE532/WKS/17-18/00045 for construction of two classroom block at Mugogo Primary School submitted on 27th – Nov-2017 to the contract committee;</li> <li>LUWE532/SUPLS/17-18/00096 for the supply of banana chorms to Nyimbwa sub county and submitted on 3rd April 2018 to the contract committee;</li> <li>LUWE532/WRKS/17-18/00042 for the construction of two classroom block at Watoba Wumea Primary School and submitted on 27th Nov 2017 and</li> <li>LUWE532/WKS/17-18/00098 Construction of solar powered water system at Kamila sub and submitted on 30th –April 2018.</li> </ol>	<p>1</p>

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1</li> </ul>	<p>The contract committee considered the recommendations of the TEC as per the following sampled files:</p> <p>a) Construction of two classroom block LUWE/WKS/17-18/00045. The TEC recommended KAST Engineering Works Ltd as the best evaluated bidder to construct two classroom block at Mugogo at the evaluated price of 59,427,986 as at 27th November 2017 and the contract committee sat on 28th November 2017 MIN018/LDLGCC/17-18 and approved KAST Engineering Works Ltd as best evaluated and awarded contract at UGX 59,427,986,</p> <p>b) Supply of banana Chorms LUWE532/SUPLS/17-18/00096. The TEC recommended Sedrik Enterpirse Ltd as the best evaluated bidder on 3rd April 2018 at UGX 9,720,000 and the contract committee also held meeting on 3rd April 2018 and approved Sedrik Enterprises as the best evaluated bidder at the evaluated price of UGX 9,720,000 MIN030/LDLGCC/17-18;</p> <p>c) Construction of two classroom blocks at Watoba Wumea Primary school LUWE532/WRKS/17-18/0042. The TEC sat on 27th November 2017 and recommended DALA WARE (U) LTD be approved as the best evaluated bidder at the price of UGX 58,915,254 and on 28th November 2017 MIN018/LDLGCC/17-18 the contract committee sat and approved DALA WARE at UGX 58,915,252 892,000 and</p> <p>d) Construction of Solar power water system at Kamila sub-county LUWE532/WRKS/17-18/00098 The TEC recommended RUSCO Water development Technologies Ltd on 30th April 2018 to be approved as the best evaluated at the price of 39,963,000 and the contract committee held meeting on 2rd May 2018 MIN036/LDLGCC/17-18 approved RUSCO Water Development Technologies as the best evaluated and warded contract UGX 39,963,000</p>	
---	---	--	--

The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.

Maximum 2 points on this performance measure.

• a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for

the previous FY: score 2

There was evidence that Procurement and Disposal Plan for current FY covered all infrastructural projects. Samples drawn from Luwero Local Government Work plan and cross-checked in procurement Plan included:

- 1) Construction of Sambwe Health centre;
- 2) Solar installation at Health facility;
- 3) Construction of 5-stances lined pit latrine at Lwero SDA Primary school and
- 4) Drilling of deep boreholes.

LG made procurements in previous FY by strictly adhering to the procurement plan.

Contract agreements sampled from the procurement plan and seen to be implemented were as follows:

1. Contract Agreement No: LUWE532/WRKS/17-18/00045 seen where contract was signed between Luwero LG and KAST Engineering works Ltd for the construction of two classroom block at Mugogo Primary School This was item No.5 in the procurement Plan for 2017/2018;
2. Contract Agreement No: LUWE532/SUPLS/17-18/00096 for the supply of of Banana chorms to Nyimbwa sub county where there as an agreement between Luwero Local government and Sedrik Enterprises Ltd This was item No. 3 in the procurement plan for 2017/2018;
3. Contract Agreement No: LUWE/WRKS/17-18/00042 seen where there was and agreement between Luwero Local government and DALA WARE for construction of two classroom block at Watoba Primary School This was item No. 4 in the procurement Plan for 2017/2018 and
4. Contract Agreement No: LUWE532/WRKS/17-18/00098 seen where there was agreement between Luwero Local Government and Rusco Water Development Technologies to construct solar power water system at Kamali This was item No. 3 in the procurement Plan for 2017/2018.

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/ infrastructure by August 30: score 2</li> </ul>	<p>There was evidence that Luwero LG prepared the bid documents for all investment/infrastructure by August 30th . Luwero had 31 bid documents to prepare and prepared 25. This represents 80%.</p>	<p>2</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2</li> </ul>	<p>Luwero LG updated all the procurement activities as per the file seen stamped 29th June 2018 .</p>	<p>2</p>

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure.

• For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2.

There was evidence that Luwero Local government adhered to Procurement Thresholds as per the following sampled files:

Open Bidding:

1. Contract No: LUWE532/WKS/17-18/00042  
Construction of two classroom block at Watoba Wumea It was estimated at UGX58,915,252 and the advertisement was put on New vision of 11th October 2017;
  2. Contract No: LUWE532/WKS/17-18/00045.  
Construction of two classroom block at Mugogo Primary school It was estimated at UGX: 59,423,986, it was advertised on 18th May 2017 in the New Vision news paper ;
  3. Contract No: LUWE532/WRKS/00098  
Construction of Solar power Water system It was estimated at UGX 39,963,000, it was advertised in the New Vision News Paper 3rd April 2018 and
  4. Contract No: LUWE532/WRKS/17-18/00052  
Construction of 5-stances pit latrine at Kalagala – Health Centre IV Kalagala Sub-county It was estimated at UGX: 13,986,074, it was advertised on 11th November 2017 in the New Vision News Paper.
- Selective bidding:
5. Contract No: LUWE532/SUPLS/17-18/00096  
Supply of banana chorms It was estimated at UGX: 9,720,000 and letter of invitation issued on 14th March 2018.

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates</li> </ul> <p>for all projects based on technical supervision: score 2</p>	<p>There was evidence that all works project implemented in the previous FY were appropriately certified as verified below;</p> <ol style="list-style-type: none"> <li>Project: Roofing of two classroom block at Kyetume Contractor: M/S Sebalamu Technical services and Supplies Ltd Contract No LUWE532/WRKS/17-18/00090 signed on: 30th May 2018;</li> <li>Project: Construction of two classroom block at Kikunyu mixed Primary school Contractor: M/S Restoration 2010 Company Ltd Contractor No: LUWE532/WRKS/17-18/00046 signed 27th – February 2018;</li> <li>Project: Construction of Staff house at Makokonyingo Primary School Contractor: M/S Danrite Ltd:  Contract No: LUWE532/WRKS/17-18/00047 signed: 18th April 2018 and</li> <li>Project: Construction of Min solar power water system, Contractor: RUSCO Water Development Technology Ltd Contract No: LUWE532/WRKS/17-18/00098 Signed : 17th May 2018.</li> </ol>	<p>2</p>
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2</li> </ul>	<p>The projects sampled for the current financial year were labelled with names of the project , contractor, source of funding and expected duration but the contract value was not indicated for example the Proposed construction of Luwero District Administration block phase II.</p>	<p>0</p>
<p>Financial management</p>			

<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4</li> </ul>	<p>Luwero LG had a separate file for Bank Reconciliations statements for FY 2017/18 that were reviewed and approved and Separate bank reconciliations statements exist for separate bank accounts.</p> <p>However there was completely no bank reconciliation statements prepared for the Months of July and August 2018 hence no bank reconciliations are up to-date at the time of the assessment ( as at 10/9/2018) as per the assessment guidelines.</p>	<p>0</p>
<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>If the LG makes timely payment of suppliers during the previous FY <ul style="list-style-type: none"> <li>no overdue bills (e.g. procurement bills) of over 2 months: score 2.</li> </ul> </li> </ul>	<p>Luwero District Local Government did not have overdue bills for FY 2017/18 as evidenced from a claims Register as well as payment register.</p> <p>Luwero District Local Government made timely payment of suppliers during the previous FY 2017/2018 evidenced by making payments in less than two months as per payment register with reference to the following sampled payments:  Contract No Luwe532/WRKS/17-18/00099 Construction of Bulamba Primary School Payment requested on 30/5/2018 and paid on 8/6/2018, and  Contract No Luwe532/WRKS/17-18/00040 Construction of General Ward requested on 17/5/2018 and paid on 23/5/2018.</p>	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has a substantive Senior Internal Auditor: 1 point.</li> <li>LG has produced all quarterly internal audit reports for the previous FY: score 2.</li> </ul>	<p>There is a substantive Senior Internal Auditor as evidenced by details from personal No. CR/D/10053 as per the posting instruction dated 22/5/2013 at rank of District Internal Auditor.</p>	<p>1</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• LG has produced all quarterly internal audit reports for the previous FY: score 2.</li> </ul>	<p>Luwero District Local Government produced all the 4 quarterly internal audit reports for FY 2017/18 evidenced by internal audit reports submitted as follows: Quarter 1 on 31/10/2017, Quarter 2 on 31/1/2018, Quarter 3 on 30/4/2018 and Quarter 4 Submitted on 10/8/2018.</p>	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>There was no specific report on information provided to Luwero District Local Government Council and LG PAC on the status of implementation of internal audit findings for financial year 2017/18 and there was no trace of follow up on audit queries.</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1.</li> </ul>	<p>Internal Audit Reports for FY 2017/18 were submitted to LG Accounting Officer and LG PAC.</p> <p>The LG PAC did not hold any meeting during the FY 2017/18 and hence the Luwero District PAC did not review all the 4 quarterly Internal Audit Reports for FY 2017/18 and hence no follow up.</p>	<p>0</p>

<p>The LG maintains a detailed and updated assets register Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4</li> </ul>	<p>Luwero District Local Government maintains an up-dated assets register covering details on buildings, vehicle and all other assets as per the format in the Local Government accounting manual.</p>	<p>4</p>
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> <li>Unqualified audit opinion: score 4</li> <li>Qualified: score 2</li> <li>Adverse/disclaimer: score 0</li> </ul>	<p>Luwero District Local Government had an unqualified audit opinion as per Auditor General's Report for FY 2017/2018.</p>	<p>4</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2</li> </ul>	<p>Luwero District council Met as per Min36/LDC/09/2017 where they discussed service delivery issues</p> <p>Min36/LDC/09/2017 (i) LG PAC recommendations for Financial year 2017/2018 by council</p>	<p>2</p>
<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>Evidence that LG has designated a person to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaints: score 1.</li> </ul>	<p>Luwero has a designated and Appointed Labour Officer on Ref: CR/D/11579 Ms-Were Barbara Sarah as the person responsible for feedback (grievance/complaints)</p>	<p>1</p>

<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1</li> </ul>	<p>The specified System of recording is in place, With a registry/entry book, mediation between the complainants and respondents and on record as well as feedback and follow ups.</p> <p>Record samples handled on complaints are below</p> <ol style="list-style-type: none"> <li>1. Ref: LB/D/007/2018 complaint registered on 15th /Feb/2017 -complaint on unpaid compensation advances and forwarded to industrial court after failed mediations on 30th /July/2018</li> <li>2. Adier Maguar Vs Katikamu SSS SDA Received on 20th /10/2017; Ref: Js/oJ/Gen/2017 after failed mediations forwarded to industrial court Ref: LBRID/010/2018</li> </ol>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> <li>The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2</li> </ul>	<p>The LG payroll was pinned up on the main Notice board dated November /02/2017</p> <p>No Pensioners schedule were pinned up on Main Noticeboard and on all other Notice boards</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>Evidence that the procurement plan and awarded contracts and amounts are published: score 1.</li> </ul>	<p>The procurement plan and awarded contracts was never published on the notice board</p>	<p>0</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1.</li> </ul>	<p>There was no Evidence of LG assessment results and implications published for Previous financial Year 2017/2018 in either Website and public Notice boards</p>	<p>0</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1</li> </ul>	<p>No Evidence of discussion and communication on Guidelines, circulars from National Level to Lower Local Governments (LLG) for financial Year 2017/2018</p>	<p>0</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1.</li> </ul>	<p>There was no evidence that Luwero LG discussed with the public and / or provided feed-backs on status of activity implemented in the previous FY 2017/2018.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2.</li> </ul>	<p>There was evidence that the LG gender focal person and CDO provided guidance and support to sector to mainstream gender, Vulnerability and inclusion in their activities as follows:</p> <ol style="list-style-type: none"> <li>Community dialog and gender based violence;</li> <li>Gender responsive planning and budgetary workshop on 5th October 2017;</li> <li>Gender budget was UGX 7,738,342 and</li> <li>There was report of gender based violence training held on 15th June 2018 Bamunanika county 7th December 2017 in Katikamu county and workshop on male engagement for the safety of women and girls.</li> </ol>	<p>2</p>
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implement-ted: score 2.</li> </ul>	<p>There was evidence that the gender focal point and CDO planned for activities to strengthen women,s role and address vulnerability as follows:</p> <ol style="list-style-type: none"> <li>Budget for the women council, there is a budget of UGX 6,975,000 ( to support the seating of the women executive committee meeting, budget for two meetings, one women council meeting, two skills enhancement training ,-mushroom growing and craft shoe making ), and</li> <li>The PWD , workshop in mainstreaming PWD issues /concerns in development –this activity was implement in the fourth quarter</li> </ol> <p>According to budget performance report of 2017/2018, the department budgeted for UGX: 7,438,342 ,99% was spent on the activities of gender and there was also Special grant for PWD UGX 35,731,719 32,000,000 that goes directly to PWD to carry out activities according to their proposals like goat rearing, piggery, including tents and chairs .</p> <p>Total budget of UGX23,323,204(total budget for the vulnerable groups in the district-women, elderly and PWD .</p> <p>The entire budget spent on activities of the PWDs hence 100%.</p>	<p>2</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1</li> </ul>	<p>The was evidence that , the was environmental screening, projects, plans and mitigation measures are carried out as follows;</p> <ol style="list-style-type: none"> <li>1. Project : Periodic maintenance of Kassiiso – Mazzi-Kalungi Road (57km) under UNRA; Contractor: Canaan Sites Ltd Date: 20th Sept 2017</li> <li>2. Project : Construction of Shelter for Agro-Processing facilities site in Kikyusa Sub county Contractor: Rayna Ltd Date 24/10/2017;</li> <li>3. Kasana Health Center IV Contractor: DALA WARE Engineering Services Date: 29th Sept 2017;</li> <li>4. Project: Construction of 5-stances VIP latrine at Monde Primary School –Katikamu Contractor: DanriteCompany Ltd Date: 15th November2017 and</li> <li>5. Construction of District Office Block Contractor: KAST Engineering Services Ltd Luwero town council Date: 28th September 2017.</li> </ol>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1</li> </ul>	<p>There was evidence that Luwero LG integrated environmental and social management and health safety plan in the contract bid documents as seen in the following sampled contracts;</p> <ol style="list-style-type: none"> <li>1. LUWE532/WRKS/17-18/00098 that was submitted on 17th May 2018;</li> <li>2. LUWE532/WRKS?17-18/0048 submitted on 27th February 2018;</li> <li>3. LUWE532/WRKS/17-18/008 submitted on 26th April 2018 and</li> <li>4. LUWE532/WRKS/17-18/00042 submitted on 27th February 2018.</li> </ol>	<p>1</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1</li> </ul>	<p>There was evidence that Luwero Local government implemented projects where they have proof of ownership.</p> <p>The following Land Titles were seen;</p> <ol style="list-style-type: none"> <li>1. Plot 26 Block 45 where the Health Center IV is constructed;</li> <li>2. Plot 864 Block 652 where District Headquarter being constructed ;</li> <li>3. Plot 5 Block 599 Land Title for Kigumbya Primary School and</li> <li>4. Plot 367 Block 146 Land Title for Bukalasa land office.</li> </ol>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1</li> </ul>	<p>The was evidence that environmental and social mitigation certification forms were completed by environmental officer and CDO.For examples;</p> <ol style="list-style-type: none"> <li>1. LUWE532/WRKS/17-18/00098 that was submitted on 17th May 2018;</li> <li>2. LUWE532/WRKS?17-18/0048 submitted on 27th February 2018;</li> <li>3. LUWE532/WRKS/17-18/008 submitted on 26th April 2018 and</li> <li>4. LUWE532/WRKS/17-18/00042 submitted on 27th February 2018.</li> </ol>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1</li> </ul>	<p>There was no evidence of prior environmental and social clearance.</p>	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1</li> </ul>	<p>There was evidence that Monthly reports included completed check lists, however there was no evidence of deviations observed with pictures and corrective actions taken.</p>	<p>0</p>
---	---	---	----------

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>Basing on the district wage bill and the performance contract headteachers signed with the local government there is evidence that all the 229 primary schools have one head teacher and a minimum of 7 teachers .The total number of primary teachers approved is 2647.</p>	4
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>From the performance contract and approved wage bill for the education department vote 532, staff lists. It is evident that the LG has deployed a head teacher and at least seven teachers .</p>	4
<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<p>• Evidence that the LG has filled the structure for primary teachers with a wage bill provision</p> <ul style="list-style-type: none"> <li>o If 100%: score 6</li> <li>o If 80 - 99%: score 3</li> <li>o If below 80%: score 0</li> </ul>	<p>Luwero district local government has filled all 2647 teachers(100%) as per the approved structure.</p>	6

<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6</li> </ul>	<p>As per the staff structure, there is evidence that only three out of the four inspectors of schools were appointed as per the following minute : Kamoga JJuuko Min/02/2014 : Kamulegeya Yusuf MIN /84/2009 (c) I and Kyomugisha Mary 147/2005/(d) (481).</p>	<p>0</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> <li>• Primary Teachers: score 2</li> </ul>	<p>There was no need to submit a recruitment plan since all the positions of teachers were filled.</p>	<p>2</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> <li>• School Inspectors: score 2</li> </ul>	<p>The department on 12/02/2018 submitted to the human resource office a recruitment plan for one inspector of schools .</p>	<p>2</p>
<p>Monitoring and Inspection</p>			

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> <li>• 100% school inspectors: score</li> </ul> <p>3</p>	<p>.</p> <p>All the 3 Inspectors of Schools were appraised as shown below</p> <ol style="list-style-type: none"> <li>1.Kamoga Jjuuko- Inspector of School was appraised on 4/05/2018</li> <li>2. Kamulegeya Yusuf-Inspector of School was appraised on 2/04/2018</li> <li>3. Kyomugisha Mary- Inspector of School was appraised on 5/04/2018</li> </ol>	<p>3</p>
---	---	---	----------

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> <li>• Primary school head teachers o 90 - 100%: score 3</li> <li>o 70% and 89%: score 2</li> <li>o Below 70%: score 0</li> </ul>	<p>Luwero LG Education department appraised 90% [9 out of the 10] of the sampled Primary Head Teachers. This is detailed below;</p> <p>Head Teacher of Kiryambidde primary school-CR/D/32042 was appraised on 2nd February 2018</p> <p>Head Teacher of Twenyeze Primary School was - CR/D/31251 appraised on 4th January 2018</p> <p>Head Teacher of Bukolwa Primary school - CR/D/30315 was appraised on 6th February 2018</p> <p>Head Teacher of Luwuube SDA -CR/D/34364 was appraised on 14th March 2018</p> <p>Head Teacher of Lutembe Primary School-CR/D/ 31886 was appraised on 27th April 2018</p> <p>Head Teacher Lukomera Parents -CR/D/32686 was appraised on 10th May 2018</p> <p>Head Teacher of Ggalama church of Uganda - CR/D/188 was appraised on 11th April 2018</p> <p>Head Teacher of Kabuye Umea- CR/D/32458 was appraised on 12th May 2018</p> <p>Head Teacher of Luwero Church of Uganda primary school CR/D/30003 was appraised on 22nd March 2018</p> <p>Head Teacher of Lukomera Primary School.CR/D/32034 was not appraised</p>	<p>3</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1</li> </ul>	<p>Circulars issued by the ministry of education like stop malaria, Uganda national primary schools performing arts festival syllabus, guidelines on school charges , stop malaria, school timing were received by the local government and disseminated to the schools in the headteachers' meetings with the DEO. held on 7/03/2018, 23/08/2018 . From the sampled schools mentioned earlier these circulars were found in place.</p>	<p>1</p>

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2</li> </ul>	<p>The DEO held meetings with head teachers on 7/03/2018 and sensitized them about school hygiene and conducive learning environment. It was also stressed that equitable access to toilet facility should be ensured.</p>	<p>2</p>
<p>The LG Education De- partment has effectively inspected all registered primary schools2</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> <li>o 100% - score 12</li> <li>o 90 to 99% - score 10</li> <li>o 80 to 89% - score 8</li> <li>o 70 to 79% - score 6</li> <li>o 60 to 69% - score 3</li> <li>o 50 to 59 % score 1</li> <li>o Below 50% score 0.</li> </ul> </li> </ul>	<p>The district has a total of 444 licenced and registered schools where 229 are government aided while 215 are private schools</p> <p>Term 3:(2017) :Govt 179/229:private 105/215 percentage supervised 64%(286/444)</p> <p>Term 1(2018):Govt 229/229:private 150/215 percentage supervised 85%(286/444)</p> <p>Term 2(2018): Govt 186/229:private 200/215 percentage supervised 87%(286/444)</p> <p>Average inspection performance 79%.</p>	<p>6</p>
<p>LG Education department has discussed the results/ reports of school inspec- tions, used them to make recommendations for corrective actions and fol- lowed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4</li> </ul>	<p>There was no evidence seen to show that the department discussed inspection reports and made recommendations for corrective action..</p>	<p>0</p>

<p>LG Education department has discussed the results/ reports of school inspec- tions, used them to make recommendations for corrective actions and fol- lowed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2</li> </ul>	<p>The department submitted to DES inspection reports for term 3(2017),term 1 (2018), term 2 (2018 ) and received acknowledgement dated 3/11/2017, 28/05/2018, 5/09/2018 respectively.</p>	<p>2</p>
<p>LG Education department has discussed the results/ reports of school inspec- tions, used them to make recommendations for corrective actions and fol- lowed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the inspection recommendations are followed- up: score 4.</li> </ul>	<p>There was no evidence to show that the inspection recommendations were followed up.</p>	<p>0</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> <li>o List of schools which are consistent with both EMIS reports and PBS: score 5</li> </ul> </li> </ul>	<p>There was evidence that the List of schools from the DEO office had 229 schools which is consistent with both EMIS reports and PBS.</p>	<p>5</p>

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> <li>• Enrolment data for all schools which is consistent with EMIS report and PBS: score 5</li> </ul>	<p>Enrollment data recorded in EMIS (117,805) is not consistent with PBS(117,180) .</p>	<p>0</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2</li> </ul>	<p>Luwero DLG council committee on education met and discussed service delivery issues in Social Service, and Standing committee where education sector was discussed on 28th /Feb/2018 and performance reports under minute 4.4/CBS&amp; E/2/2018</p>	<p>2</p>
<p>The LG committee responsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the education sector committee has presented issues that require approval to Council: score 2</li> </ul>	<p>The education sector committee presented issues to council as required for approval on 28th /Feb/2018 Minute.7/LDC/March/2018</p>	<p>2</p>

<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> <li>• 100% schools: score 5</li> <li>• 80 to 99% schools: score 3</li> <li>• Below 80 % schools: score 0</li> </ul>	<p>Luwero LG with a Total of 229 schools. 5 primary schools were sampled as below</p> <ol style="list-style-type: none"> <li>1. Kabuye UMEA- Appointed SMC on Ref: Edu/212/6 on 2nd /January/2018; hold meeting Min6.6/2018 on 22/06/2018 elected PTA chairperson and budget discussions</li> <li>2. St. Jude Kyegombwa- EMIS-5149-SMC- appointed Ref: Edu/2126/6; There was no file on evidence of meetings held.</li> <li>3. Zirowwe St. Augustine Primary School; EMIS 5429-SMC held Meeting and discussed budget on 6th /July/2018 in Min-IV/7/17 and Min. V/7/17</li> <li>4. Lukomera c/u EMIS No: 5126 had evidence of SMCs minutes</li> <li>5. Kayindu C/U primary school Kalagala, No minutes of SMCs meetings</li> </ol> <p>It was confirmed that 2 schools held the mandatory meetings -40%</p> <p>3 school had no evidence on record for appointment of their respective SMC's at the DEO's office</p>	<p>0</p>
<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has publicised all schools receiving non-wage recurrent grants</li> </ul> <p>e.g. through posting on public notice boards: score 3</p>	<p>The LG publicised all schools receiving non-wage recurrent grants on the notice board (not dated ),</p>	<p>3</p>
<p>Procurement and contract management</p>			

<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements,</p> <p>to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4</li> </ul>	<p>The education sector submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget late on 30/07/2018.</p>	<p>0</p>
<p>Financial management and reporting</p>			
<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3.</li> </ul>	<p>All payments requests for Luwero District Local Government Education department were certified and recommended as per copy of contracts as per the following sampled contracts: Sample : Contract No Luwe532/WRKS/17-18/00099 Construction of Bulamba Primary School requested on 30/5/2018 and paid on 8/6/2018 and Sample Contract No Luwe532/WRKS/17-18/00110 Construction of pit Latrine requested on 30/5/2018 and paid on 8/6/2018.</p>	<p>3</p>
<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4</li> </ul>	<p>Information availed indicated that the district planner and education department do file and submit quarterly reports concurrently.</p> <p>Hence NO DATES were availed from the Education department to indicate whether they had filed by 15th July or NOT.</p>	<p>0</p>

<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</li> <li>o If sector has no audit query</li> </ul> <p>score 4</p> <ul style="list-style-type: none"> <li>o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2</li> <li>o If all queries are not responded to score 0</li> </ul>	<p>Luwero District Local Government Education department did not respond to 4 Internal Audit queries raised in Quarter 1 and No information was provided to the internal audit on the status of implementation of all audit findings for the financial year 2017/18.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2</li> </ul>	<p>There was no concrete evidence seen to verify that the education department in consultation with the gender focal person disseminated guideline on how the senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills.</p>	<p>0</p>

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2</li> </ul>	<p>There was no documentary evidence to show that the LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools.</p>	<p>0</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the School Management Committee meets the guideline on gender composition: score 1</li> </ul>	<p>There was evidence SMCs in Luwero district met the guidelines on gender composition as per sampled schools: Kasana UMEA(5 are female while 7 were male ), Luwero boys (6 are female while 7 are male ) and Katuumu assubira (5 are female while 7 are males) .</p>	<p>1</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1:</li> </ul>	<p>There was no evidence that the LG Education department in collaboration with Environment department issued guidelines on environmental management in schools.</p>	<p>0</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1</li> </ul>	<p>There was no evidence to show that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions</p>	<p>0</p>

<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"><li>• The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 1</li></ul>	<p>There was no evidence that the environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with.</p>	<p>0</p>
--	---	---	----------

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> <li>• More than 80% filled: score 8</li> <li>• 60 – 80% - score 4</li> <li>• Less than 60% filled: score 0</li> </ul>	<p>Luwero District Local Government had filled 364 out of 481 (76%) positions in the structure for primary health care with a wage bill provision from PHC wage for the current FY.</p>	4
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>The Human Resources Management (HRM) department received a recruitment plan with 158 vacant positions from the health department on 13th March 2018 though the hard copy was received on 5th September 2018. A printed email message of submission was on file.</p>	6

<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In- charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> <li>o 100%: score 8</li> <li>o 70 – 99%: score 4</li> <li>o Below 70%: score 0</li> </ul>	<p>Luwero LG appraised 75 % [3 out of 5] of the In – charges of the sampled health facilities as detailed below;</p> <p>Nyimbwa HC IV (Min 57/2015) was appraised on 27th July 2017</p> <p>Kalagala HCIV (Min 55/2015) was appraised on 8th November 2017</p> <p>Luwero HC IV (Min 74/ 2017) was appraised on 18th January 2018</p> <p>However the In-charges of Bukalasa HCIII (Min 57/2017) and Zirobwe HCIII (Min 55/2017) were not appraised.</p>	<p>4</p>
<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4</li> </ul>	<p>There were differences between deployment staff and what appeared in the budget as reflected in the following examples:</p> <p>Luwero HC IV:</p> <p>The deployment list at District did not have any Potter while the PBS list had 5.</p> <p>Bukalasa HC III:</p> <p>The deployment list at District had one Nursing Officer while the PBS list had 2.</p> <p>Butuntumula HC III:</p> <p>The Enrolled Nurses and Health Information Assistants were in the budget but not on the staff deployment list.</p> <p>Katikamu HC III:</p> <p>The staff deployment list at the District had 4 Enrolled Midwives yet the budget had 3.</p> <p>Nsanvu HC II:</p> <p>The staff deployment list at the District had 3 Enrolled Nurses yet the budget had only 2.</p>	<p>0</p>
<p>Monitoring and Supervision</p>			

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the DHO/MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3</li> </ul>	<p>The District Health Officer (DHO) did not receive any of the 3 prioritized policies / guidelines and none of the 5 sampled health facilities including; Luwero HC IV, Bukalasa HC III, Butuntumula HC III, Katikamu HCIII and Nsanvu HCII had received the prioritized policies / guidelines.</p>	<p>0</p>
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the DHO/MHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3</li> </ul>	<p>In a joint meeting with the CAO and In-charges held on 8th May 2018, under minute 1/Inc/18, the DHO explained the requirement in the PHC guidelines indicating that the In-charges are the custodians of the funds and are expected to account in time.</p>	<p>3</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>The District Health Team (DHT) supervised three out of the four (75%) HCIVs. The supervision of Bishop Asili Ceaser HC IV was not reflected in any quarterly supervision reports.</p> <p>The other 3 were also not supervised by the DHT in all the 4 quarters as shown below:</p> <p>Kalagala HC IV was supervised in 4th quarter as reflected in the undated report.</p> <p>Nyimbwa HC IV was supervised by the in 2nd quarter report as reflected in the report signed on 6th December 2017.</p> <p>Luwero HC IV was supervised in quarter 4 as reflected in the supervision book on; 25th May 2018; 11th June 2018; 13th June 2018; and 29th June 2018.</p>	<p>0</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> <li>• If 100% supervised: score 3</li> <li>• 80 - 99% of the health facilities: score 2</li> <li>• 60% - 79% of the health facilities: score 1</li> <li>• Less than 60% of the health facilities: score 0</li> </ul>	<p>All the 5 (100%) sampled facilities were supervised by the Health Sub Districts (HSDs) as reflected below:</p> <p>Bamunanika HSD supervised Wabusana HCIII and Kayindu HCII as reflected in quarterly reports dated 28th September 2017 and 19th December 2017 as well as in undated 3rd quarter report.</p> <p>Katikamu South HSD supervised Makulubita and Katikamu HCIIIs as per quarter 2 report dated 10th October 2017; quarter 3 report undated; and quarter 4 report dated 29th June 2018.</p> <p>Katikamu North HSD supervised Butuntumula HCIII as reflected in quarter 1 and 3 reports dated 7th September 2017 and 25th March 2018 respectively.</p>	<p>3</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4</li> </ul>	<p>The health department's discussion of the results / reports of the support supervision and monitoring visits for 4th quarter was not traced in the available DHT meeting minutes dated 16th May 2018 and 19th June 2018.</p> <p>Discussions and recommendations were made and captured in the other quarterly reports as reflected below:</p> <p>Quarter two DHT supervision report had an issue of a non functioning refrigerator for UNEP at Kamira HCIII, which was discussed under Minute 3 of a DHT meeting held on 8th May 2018. Replacement of the Technician was recommended.</p> <p>Quarter three DHT supervision report had a case of indiscipline of a staff of Katugo HCIII, which was discussed under Minute 5 of a DHT meeting held on May 2018. Summoning the staff to the disciplinary committee was recommended.</p>	<p>0</p>

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the recommendations are followed</li> <li>– up and specific activities undertaken for correction: score 6</li> </ul>	<p>Documentation of specific follow up of previous recommendations / actions was not available.</p>	<p>0</p>
<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> <li>o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10</li> </ul> </li> </ul>	<p>Luwero District Local Government health department submitted accurate and consistent reports /data for health facility lists that received PHC funding. All the 39 facilities that received PHC funds as per the performance contract were reflected in the HMIS reports.</p>	<p>10</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2</li> </ul>	<p>Luwero LG Council committee members for Health held the meeting on 28th /Feb/2018</p> <p>Min. 4/HC/PNR/05 and discussed service delivery issues and supervision reports</p>	<p>2</p>

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the health sector committee has presented issues that require approval to Council: score 2</li> </ul>	<p>Luwero LG Council committee members for Health held the meeting on 28th /Feb/2018 where they presented the health issues and Budget to LG council for approval Min. 7/LDC/March/2018</p>	<p>2</p>
---	--	---	----------

<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> <li>• If 100% of randomly sampled facilities: score 6</li> <li>• If 80-99 %: score 4</li> <li>• If 70-79: %: score 2</li> <li>• If less than 70%: score 0</li> </ul>	<p>Out of the five Health Unit Management (HUMCs) sampled, four (80%) were functional as reflected in the following meetings:</p> <p>Kikoma HCII HUMC had all the 4 quarterly meetings on; 28th September 2017 minute 3; 14th December 2017 minute 4; 15th February 2018; and 18th June 2018 (with no related discussion).</p> <p>Katikamu HCIII HUMC had all the 4 quarterly meetings held on; 29th September 2017; 23rd November 2017; 9th March 2018; and 1st June 2018 (with no related discussion).</p> <p>Bukolwa HCII HUMC had all the 4 mandatory quarterly meetings held on; 20th September 2017 minute 4; 29th December 2017 (with no related discussion); 23rd March 2018 minute 6; and 20th June 2018 (with no related discussion).</p> <p>Luwero HCIV HUMC had all the 4 mandatory quarterly meetings held on; 18th August 2017 minute 6; 30th November 2017 minute 4; 5th March 2018 minute 4; and 11th April 2018 minutes 4, 5 and 7.</p> <p>Bukalasa HCIII met only 3 times on; 2nd October 2017 minute 2; 1st March 2018; and 8th June 2018 (minutes not numbered). The 2nd quarter meeting was not held.</p>	<p>4</p>
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 4</li> </ul>	<p>Luwero District Local Government health department had publicised all health facilities that received PHC non-wage recurrent grants as reflected from the undated list that was pinned on the DHO's notice board that covered all the quarters.</p>	<p>4</p>
<p>Procurement and contract management</p>			

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2</li> </ul>	<p>The Procurement and Disposal Unit (PDU) received a procurement plan from the health department on 4th July 2018. A procurement plan was submitted to PDU on 4th July 2018. This was beyond the deadline of 30th April 2018.</p> <p>The plan catered for 4 main projects including the following:</p> <ol style="list-style-type: none"> <li>1. Upgrading Katuugo HCII;</li> <li>2. Solar installation at 8 facilities;</li> <li>3. Construction of a latrine at Kasozi HCIII;</li> <li>4. Construction of Sambwe HCII.</li> </ol>	<p>0</p>
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2.</li> </ul>	<p>The PDU received one form PP1 from the health department on 21st September 2017 covering the construction of a general at Luwero HCIV.</p>	<p>2</p>
<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4.</li> </ul>	<p>The DHO certified 3 projects and one certificate dated 9th May 2018 concerning the construction of a general ward at Luwero HCIV was attached.</p>	<p>4</p>
<p>Financial management and reporting</p>			

<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4</li> </ul>	<p>The department of Health Submitted to the planner on the following dates</p> <p>Quarter-2 submitted on 3rd February 2018</p> <p>Quarter-3 submitted on 18, May 2018</p> <p>Quarter-4 submitted on 15th August 2018</p> <p>Hence submitted after 15th of July</p>	<p>0</p>
<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> <li>If sector has no audit query: Score 4</li> <li>If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points</li> <li>If all queries are not responded to Score 0</li> </ul>	<p>Luwero District Local Government Health department did not respond to 1 internal audit query dated 16/10/2017 for Quarter 1, 1 internal audit query dated 27/7/2018 for Quarter 4, and 2 internal audit queries as per management letter dated 27/3/2018, and No information was provided to the internal audit on the status of implementation of all audit findings for the financial year 2017/18.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> <li>Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2</li> </ul>	<p>Four out of five sampled health facilities had HUMCs that met the 30% gender requirement as shown below; Kikoma HCIII HUMC had 67% (6 female out of 9 members); Bukalasa HCIII HUMC had 57% (4 female out of 7 members); Katikamu HCIII HUMC had 29% (2 females out of 7 members); Bukolwa HCII HUMC had 57% (4 females out of 7 members); and Luwero HCIV HUMC had 56% (5 females out of 9 members).</p>	<p>2</p>

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2.</li> </ul>	<p>The health department did not disseminate sanitation guidelines to all the health facilities. Only three out of the five sampled health facilities including; Bukalasa HCIII; Luwero HCIV and Bukolwa HCII had received the sanitation guidelines.</p>	<p>0</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2</li> </ul>	<p>The screening form for the construction of a general ward at Luwero HCIV dated 13th September 2017 and signed by the District Environment Officer was available but was not the one provided in the budget guidelines for screening of projects.</p>	<p>0</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 2</li> </ul>	<p>Luwero District Environmental Officer and Community Development Officer did not visit the site to checked whether the mitigation plan was complied with since no inception report was available.</p>	<p>0</p>
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4.</li> </ul>	<p>Luwero District health department did not issue guidelines on medical waste management to all the health facilities. Only three out of the five sampled facilities including Luwero HCIV, Bukalasa HCIII and Kikome HCIII had received the guidelines on medical waste management.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY:               <ul style="list-style-type: none"> <li>o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10</li> <li>o If 80-99%: Score 7</li> <li>o If 60-79: Score 4</li> <li>o If below 60 %: Score 0</li> </ul> </li> </ul>	<p>The sub-counties of Kikyusa, Kamira, Makulubita, and Nyimbwa have a safe water coverage below the district average which currently stands at 70% as per the District Atlas Report on the Ministry of Water and Environment website, and 69% at the beginning of the financial year 2017/2018 per the Uganda Water Supply atlas available in the water office.</p> <p>According to the budget allocation to the water projects for this current FY only 54% was allocated to the four sub-counties.</p>	0

<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p>	<ul style="list-style-type: none"> <li>• Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY.</li> <li>o If 100 % of the water projects are implemented in the targeted S/Cs:</li> </ul>	<p>The district water department planned to implement 26 water projects in the targeted sub-counties below the average district safe water coverage. The projects include drilling of 11 new boreholes ( 3 in Kikyusa, 4 in Kamira, 2 in Makulubita and 2 in Nyimbwa), rehabilitation of 14 boreholes and construction of 1 piped-water system.</p>	10
<p>Maximum 15 points for this performance measure</p>	<p>Score 15</p> <ul style="list-style-type: none"> <li>o If 80-99%: Score 10</li> <li>o If 60-79: Score 5</li> <li>o If below 60 %: Score 0</li> </ul>	<p>Only 23 projects were implemented which represents 88% of the 26 that were planned. The executed water projects include 10 drilled boreholes (1 in Kikyusa, 3 in Kamira, 2 in Makulubita and 4 in Nyimbwa), 12 boreholes rehabilitation (1 in Kikyusa, 6 in Kamira, 1 in Makulubita and 4 in Nyimbwa) and 1 construction of a piped-water system in Kamira.</p> <p>The District Water officer pointed out that 35 boreholes were targeted for rehabilitation throughout the district. This was uniformly distributed among the 9 sub-counties. So by proportionality 14 boreholes were planned for the 4 sub-counties</p>	

Monitoring and Supervision

<p>The district Water department carries out monthly monitoring of project investments in the sector</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> <li>• If more than 95% of the WSS facilities monitored: score 15</li> <li>• 80% - 95% of the WSS facilities - monitored: score 10</li> <li>• 70 - 79%: score 7</li> <li>• 60% - 69% monitored: score 5</li> <li>• 50% - 59%: score 3</li> <li>• Less than 50% of WSS facilities monitored: score 0</li> </ul>	<p>Monitoring forms (Form 1) were filled and available for 15 out of the 19 drilled boreholes. This represents 79% of the facilities monitored.</p>	7
--	---	---	---

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the district has submitted accurate/consistent data for the current FY: Score 5</li> <li>List of water facility which are consistent in both sector MIS reports and PBS: score 5</li> </ul>	<p>The data contained in the District Annual Work Plan is similar to the information obtained from the Ministry of Water and Environment MIS. The data include 40 boreholes to be rehabilitated, 19 new boreholes to be drilled, and one pumped system.</p>	5
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>List of water facility which are consistent in both sector MIS reports and PBS: score 5</li> </ul>	<p>The water facilities listed in the MIS report provided by the Ministry of Water and Environment reflected 19 boreholes planned and 19 were achieved, one piped water project planned and achieved, and 35 boreholes planned for rehabilitation and 40 actually rehabilitated. This was consistent with the performance information obtained from the PBS report for the 4th quarter</p>	5
Procurement and contract management			
<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>The water office submitted the district procurement plan to PDU late on the 7th- August- 2018.</p> <p>The District Water Officer attributed this late submission to the fact that the AWP on which the procurement plan is based was approved on 13th-June-2018.</p>	0

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2</li> </ul>	<p>The district appointed a Contract Manager, Mr. Joseph Kanyike. Although no contact management plan was seen, monitoring forms were available for 15 out of the 19 drilled boreholes.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>If water and sanitation facilities constructed as per design(s): score 2</li> </ul>	<p>The following completed facilities were sampled and inspected. They were all found to be functioning and well maintained, and the visible elements were confirmed to have been constructed as per design.</p> <p>Boreholes: DWD 56486 in Gweyawadde Village, DWD 56482 in Bwaziba Village, DWD 56497 in Mabuye Village, DWD 56483 in Busongola Village, and DWD 56494 in Kanseka Village</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>If contractor handed over all completed WSS facilities: score 2</li> </ul>	<p>There were no official handover reports of the completed facilities seen, although the facilities visited were functional and under the management of WSCs. As such, they were considered to have been handed over by the Contractor</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2</li> </ul>	<p>The completion certificates and completion reports not available on file for any of the projects completed during the last FY.</p>	<p>0</p>

<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points</li> </ul>	<p>Luwero District Local Government Education department payments were timely certified and recommended as per copy of contracts as per the following sampled contacts;</p> <ul style="list-style-type: none"> <li>Contract No Luwe532/WRKS/17-18/00099 Construction of Bulamba Primary School Payment requested on 30/5/2018 and paid on 8/6/2018</li> <li>Contract No Luwe532/WRKS/17-18/00099 Construction of a Latrine where payment was requested on 30/5/2018 and paid on 8/6/2018</li> </ul>	<p>3</p>
---	---	---	----------

Financial management and reporting

<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5</li> </ul>	<p>Luwero District Local Government Water department submitted the annual performance report for the previous FY 2017/18 including all four quarterly reports to the Planner on the following dates:</p> <ul style="list-style-type: none"> <li>Quarter 1 on 26th October 2017;</li> <li>Quarter 2 on 5th February 2018;</li> <li>Quarter 3 on 18th May 2017; and</li> <li>Quarter 4 on 16th August 2018.</li> </ul>	<p>0</p>
--	---	--	----------

<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</li> <li>If sector has no audit query score 5</li> <li>If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3</li> <li>If queries are not responded to score 0</li> </ul>	<p>Luwero District Local Government Water department did not respond to all Internal Audit queries on Boreholes as per the letter dated 1/6/2018 and No specific information was provided to the internal audit on the status of implementation of all audit findings for the financial year 2017/18.</p>	<p>0</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3</li> </ul>	<p>There is evidence of water and sanitation committee discussions of service delivery issues on 28th /Feb/2018 under Min 10/WKS/09/2018</p>	<p>3</p>
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the water sector committee has presented issues that require approval to Council: score 3</li> </ul>	<p>The water sector committee presented the issues required for council approval in</p> <p>Min.7/LDC/March/2018</p>	<p>3</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> <li>The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2.</li> </ul>	<p>There was no information on the notice boards regarding the AWP, Budget, Grant Releases and expenditures. No verifiable information could be provided to indicate that they had been displayed on the notice boards before</p>	<p>0</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> <li>All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2</li> </ul>	<p>All WSS visited had reference numbers, location, date of construction and source of funding</p>	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2</li> </ul>	<p>The information on tenders and contract awards was not on the notice board and no evidence that some information was removed from notice boards and kept on file.</p>	<p>0</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1</li> </ul>	<p>Reports on formation of WSCs together with application letters from the different communities are available on file.</p> <p>The attendance lists of these meetings were also seen.</p>	<p>1</p>

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&amp;M funds, ii) carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they have an M&amp;E plan for the previous FY: score 2</li> </ul> <p>Note: One of parameters above is sufficient for the score.</p>	<p>The facilities visited were functioning and well maintained, with most fenced for protection.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2</li> </ul>	<p>Filled environmental screening templates for the water projects not seen</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1</li> </ul>	<p>Since the environmental screening templates were not available, it was not possible to know whether there was follow up support</p>	<p>0</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that construction and supervision contracts have clause on environmental protection: score 1</li> </ul>	<p>Item 5.1 of the BoQ for the drilling contract, clause 2.20.2 of the solar powered project, and clause 63 of the drilling contract, all provide for social and/or environmental issues</p>	<p>1</p>
<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3</li> </ul>	<p>The Software report has no information on the composition of the WSCs. Information on these available from the Form 1s shows that only 2 out of 17 facilities have WSCs with at least 50% women representation.</p>	<p>0</p>
<p>Gender and special needs-sensitive sanitation facilities in public places/ RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3</li> </ul>	<p>There are no sanitation facilities planned and/or constructed in the last Financial Year</p>	<p>0</p>